### Comprehensive Assessment of Blood-Brain Barrier Opening and Sterile Inflammatory Response: Unraveling the Therapeutic Window

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- 26

### 27 Abstract

Microbubbles (MBs) combined with focused ultrasound (FUS) have emerged as a promising 28 29 noninvasive technique to permeabilize the blood-brain barrier (BBB) for drug delivery to the brain. 30 However, the safety and biological consequences of BBB opening remain incompletely 31 understood. This study investigates the effects of varying microbubble volume doses (MVD) and 32 ultrasound mechanical indices (MI) on BBB opening and the sterile inflammatory response (SIR) 33 using high-resolution ultra-high field MRI-guided FUS in mouse brains. The results demonstrate 34 that both MVD and MI significantly influence the extent of BBB opening, with higher doses and mechanical indices leading to increased permeability. Moreover, RNA sequencing reveals 35 36 upregulated inflammatory pathways and immune cell infiltration after BBB opening, suggesting 37 the presence and extent of SIR. Gene set enrichment analysis identifies 12 gene sets associated 38 with inflammatory responses that are upregulated at higher doses of MVD or MI. A therapeutic 39 window is established between significant BBB opening and the onset of SIR, providing operating 40 regimes for avoiding each three classes of increasing damage from stimulation of the NFKB 41 pathway via TNF signaling to apoptosis. This study contributes to the optimization and 42 standardization of BBB opening parameters for safe and effective drug delivery to the brain and 43 sheds light on the underlying molecular mechanisms of the sterile inflammatory response.

### 44 Significance Statement

45 The significance of this study lies in its comprehensive investigation of microbubble-facilitated 46 focused ultrasound for blood-brain barrier (BBB) opening. By systematically exploring various 47 combinations of microbubble volume doses and ultrasound mechanical indices, the study reveals 48 their direct impact on the extent of BBB permeability and the induction of sterile inflammatory 49 response (SIR). The establishment of a therapeutic window between significant BBB opening and 50 the onset of SIR provides critical insights for safe and targeted drug delivery to the brain. These 51 findings advance our understanding of the biological consequences of BBB opening and 52 contribute to optimizing parameters for clinical applications, thus minimizing potential health risks, 53 and maximizing the therapeutic potential of this technique. 54

### 55 Main Text

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### 57 Introduction

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59 Since the discovery of noninvasively permeabilizing the blood-brain barrier (BBB) through microbubbles and focused ultrasound (MB+FUS) (1), multiple efforts have been undertaken to 60 shuttle drugs such as chemotherapeutics, antibodies, and other therapeutic molecules and 61 62 carriers into the brain parenchyma (2, 3). This has been reflected in the growing number of 63 clinical trials registered per year (4). With the growing number of applications MB+FUS has been 64 employed for, most attention has been devoted to optimizing blood-brain barrier opening (BBBO) 65 parameters on the ultrasound side, while conventional echocardiography contrast agent 66 microbubbles with polydisperse size distributions have been employed at or near their clinical 67 dose. As the field moves to more sophisticated microbubble formulations with longer circulation 68 persistence and monodisperse size distributions, there is a need to elucidate the effects of 69 microbubble dose on BBBO. Additionally, the full extent of the sterile inflammatory response 70 (SIR) from BBBO still needs to be characterized, in terms of both ultrasound and microbubble 71 doses. Considering this, the safety of BBBO in the future needs to be considered to minimize 72 health concerns and mitigate harmful secondary effects (5).

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The BBB is crucial in maintaining homeostasis and is our first line of defense against pathogens and noxious insults, which would cause considerable damage if permitted to cross into the brain parenchyma (6). To avoid the incidental passage of undesirable molecules, the BBB is made up of a basal layer of endothelial cells, which selectively exclude hydrophilic molecules larger than 400 Daltons (7, 8). Tight junction proteins such as occludins, claudins and junctional adhesion molecules exist on endothelial cell membranes and form complexes to fasten together neighboring cells (9, 10). Additionally, pericytes and astrocytic end feet processes envelop the vasculature, regulating vascular constriction and ensuring proper maintenance of the barrier (11– 13). Collectively, these constituents form a structure referred to as the neurovascular unit.

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84 Microbubbles (MBs) are ultrasound (US) responsive colloidal particles that have a gas core 85 encapsulated in a phospholipid monolayer shell (14-17). These 1-10 µm diameter spheres 86 experience an isotropic but dynamic pressure field as the ultrasound wave (~1 mm wavelength) 87 passes over, resulting in volumetric oscillations at MHz frequency within the ultrasound focal 88 region (16). The ultrasound is typically fixed to lower frequency (F, ~1 MHz for mice and ~0.2-0.5 89 MHz for humans) to ensure transcranial propagation, but the amplitude (PNP, peak negative 90 pressure) can be varied to produce a range of bioeffects. The dose of ultrasound can thus be adjusted to achieve the desired mechanical index (MI =  $PNP/F^{1/2}$ ) (18). With increasing MI, the 91 92 microbubble acoustic behavior progresses from mild harmonic oscillations to violent inertial 93 implosions (19-21). It is generally considered safe to avoid inertial oscillations (22, 23). In the 94 context of BBBO, harmonic MB oscillations were found to pry apart tight junction proteins, 95 creating transient pores in the brain endothelium and allowing blood-borne molecules to 96 extravasate (24-27). Additional ultrasound parameters that can be optimized include pulse 97 repetition frequency, pulse length and total sonication time, as well as details of the ultrasound 98 beam and focal region (28, 29).

100 As the microbubble is the acoustic actuator that captures the acoustic energy and uses it to produce localized mechanical work on the endothelium, it is also an important parameter that 101 102 must considered. Of particular interest is the size and concentration, which can be quantified as 103 the injected microbubble volume dose (MVD,  $\mu L/kg$ ) (30, 31). When matching MVD, 104 microbubbles of different sizes were found to produce similar pharmacokinetic profiles (32, 33), 105 acoustic response as measured by passive cavitation detection (34), and extent of BBB opening 106 (30). Thus, MVD and MI serve as relevant microbubble and ultrasound dosing parameters, 107 forming two axes from which a window of safety and efficacy can be discerned.

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109 Despite rigorous technical characterization over the years, one of the most understudied areas is 110 related to the biological consequences of BBBO. On a larger scale, it has been observed that 111 temporarily permeabilizing the BBB can induce microhemorrhages, transient edema and even 112 cell death (4). Upon closer examination, transcriptomic analyses of the parenchymal 113 microenvironment post MB+FUS have revealed upregulation of several major inflammatory 114 pathways, mostly notably the NFkB pathway (28, 35). While occurring in the absence of an active 115 infection, this event has been labeled as the sterile inflammatory response (SIR) and is initiated 116 when damage-associated molecular patterns are released from injured cells (36-38). These 117 include ATP, uric acid, DNA and HMBG proteins, which bind to pattern recognition receptors and 118 provoke the immune system (39, 40). Subsequently, proinflammatory cytokines such as TNF 119 and IL2 are released from inflammasomes and stimulate the activation of innate immunity (41). 120 Several studies have implicated the hallmark activation of the NFkB pathway in the persistence of SIR; however, the direct mechanism of activation and associated pathways remain unclear (42-121 122 44). Moreover, microglia, the primary immune cells of the brain, migrate to the source of 123 inflammation and release cytokines, signaling the recruitment of peripheral immune cells and 124 other cell types in the area (35, 45). Peripheral immune cells such as CD68+ macrophages 125 circulate in the meninges and lymphatic system via chemotaxis, migrate to the area to investigate 126 and resolve the inflammatory response (35). Despite BBB closure within a 24-hour window, 127 peripheral immune cells have been known to continue extravasating past the BBB (46, 47).

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Here, we report on a study to determine the extent of BBBO and SIR using a combination of MI doses (0.2 - 0.6 MPa/MHz<sup>1/2</sup>) and MVD (0.1 – 40  $\mu$ L/kg). By using MRI-guided FUS, we sonicated

131 the right mouse striatum and collected brain samples for bulk RNA sequencing 6 hours postsonication. We defined BBBO as 15% increase in signal intensity after gadolinium bolus injection 132 over a 1 mm<sup>3</sup> volume in contrast enhanced T1-weighted MRI (CE-T1w MRI). Additionally, we 133 defined SIR according to three classes defined by normalized enrichment scores (NES > 1.65) 134 (Class I: TNF□ signaling via NFκB gene set; Class II: both the TNF□ signaling via NFκB and 135 136 Inflammatory response gene sets; Class III: TNF $\square$  signaling via NF $\kappa$ B, Inflammatory response, 137 and a damage-associated marker, apoptosis). Using these criteria, we developed a therapeutic 138 window of ultrasound MI and microbubble MVD between the onset of BBBO and the onset of 139 SIR, for each of the three classes. These windows will help to determine safe and efficacious 140 MB+FUS parameters for BBBO in various applications.

141 142

### 143 **Results**

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### 145 Microbubbles are Monodisperse in Size.

146 Microbubbles were isolated to a uniform size of 3-µm diameter, as seen under brightfield 147 microscopy (Figure 1A). The size distribution of the microbubbles was found to be monodisperse, 148 with narrow peaks observed in both the number- and volume-weighted distributions (Figure 1B). 149 The mean diameters for the number- and volume-weighted distributions were 3.3 µm and 3.7 µm, 150 respectively. The 10<sup>th</sup> and 90<sup>th</sup> percentiles in diameter were determined to be 2.53 µm and 4.19 151 µm respectively (Table S1). To ensure consistent microbubble volume dose injection, each 152 microbubble batch was analyzed. Figure 1C illustrates the relationship between microbubble 153 concentration and volume, which was integrated to calculate the gas volume fraction (IMB). For a basis concentration of  $10^{10}$  MBs/mL, the mean  $\Box$ MB was determined to be 17 µL/mL (Table 154 155 S1). MVD is calculated by multiplying DMB by the fluid volume dose (mL/kg) injected 156 intravenously into the subject.

157 Blood-Brain Barrier Opening is Dependent on Both MVD and MI. Before the FUS treatment. 158 all mice underwent T1w MRI after an injection of MultiHance (gadolinium contrast, CE-T1w MRI) and T2-weighted (T2w) MRI to confirm the integrity of the BBB and normal morphology of the 159 160 brain, respectively. The CE-T1wMRI revealed an intact BBB prior to FUS procedure, as seen of minimal to no enhancement after MultiHance injection; quantification of BBBO was performed on 161 post-FUS CE-T1w MRI, based on the changes in T1 signal intensities in T1w vs CE-T1w MRI as 162 163 illustrated in Figure 2A. The contrast enhancement difference between the contralateral 164 hemispheres before focused ultrasound treatment was determined to be 0.05 ± 6% (mean ± 165 standard deviation) (Supplemental Figure 1). T2w MRI confirmed normal brain morphology in all 166 animals.

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168 Figure 2B shows representative images of BBBO for all twelve doses (MI/MVD). Within these 169 experimental parameters, a clear onset of BBBO occurs at MVDs greater than 1 µL/kg at 0.2 MI. 170 and MVDs greater than 0.1 µL/kg at both 0.4 and 0.6 MI. Irregular morphology was observed at 171 the highest dose, as indicated by loss of brain structure in hypointense areas in T1w MRI (white 172 arrow in Figure 2B). As the MI or MVD was increased, both the BBBO volume and amplitude 173 increase, as seen by increased hyperintense areas and the relative signal intensity increase 174 (Figures 2C and D). Linear trends between brain contrast enhancement in BBBO (as referred to 175 both the enhanced volume and the relative signal intensity increase) and the MI/MVD were observed, yielding significant (p < 0.05) trends for all MIs (Figure 2C and D). Transposed plots 176 177 comparing volume and contrast enhancement to MI are shown in Supplemental Fig. 2A and B. Multiple regression analysis (MI+MVD) between BBBO contrast enhancement resulted in  $R^2$  = 178 0.86, although R<sup>2</sup> increased to 0.90 when analyzed as MI\*MVD. This was similar for BBBO 179 volume, with R<sup>2</sup> moving from 0.89 (MI+MVD) to 0.94 (MI\*MVD). Using FIJI (NIH, Bethesda, 180 181 Maryland), a round and circle score was given to each shape of BBBO, and no significant 182 differences were found between any dose pair (Supplemental Fig. S3). Based on the significance 183 testing of pre-FUS controls  $(0.05 \pm 6\%)$  (mean  $\pm$  standard deviation), Supplemental Fig. 1),

184 significant BBBO after FUS was defined as a 15% contrast enhancement over a 1 mm<sup>3</sup> volume. These intensity and volume thresholds are represented as dotted lines in Figures 2C and D. 185

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187 Immunohistochemistry Shows Increased BBBO Leads to Stronger Immune Cell Infiltration.

188 In addition to RNA sequencing, a subset of mice underwent immunohistochemistry (IHC) to track 189 immune cell infiltration at the site of MB+FUS treatment. Five main markers for immune cells 190 were utilized, including GFAP, Iba1, CD68, CD4 and CD8 staining, astrocytes, microglia, 191 peripheral macrophages, helper T-cells and cytotoxic T-cells. As a major effector protein, NFkB 192 was used as a marker for detecting inflammatory hotspots in the region of sonication. 193 Representative close-up images of each marker, along with a DAPI nuclear stain, are shown in Figure 3A at the respective resolution for each analyzed image. Histology was conducted at the 194 195 three MIs used in the study (0.2, 0.4 and 0.6) and an MVD of 10 µL/kg. The images indicate 196 infiltration of immune cells as the MI increases (Figure 3B). MRI images showing the location of 197 BBBO through increased MRI contrast correlate with the increased fluorescence of the IHC 198 markers at these locations. Notably, at the highest MI (0.6), there is evident migration of 199 astrocytes and microglia to the injured region. Hematoxylin and eosin (H&E) staining was also 200 performed at each MI (Figure 3C). Apart from small amounts of red blood cell extravasation at the 201 highest dose (black arrows), there was little difference observed in the morphology. Additionally, 202 CD44 (activated immune cells) showed increased expression with increasing MI, while Luxol fast 203 blue (myelin integrity) showed no differences between the groups (Supplemental Figure 4).

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205 Passive Cavitation Detection Demonstrates Microbubble Activity Varies with Both MI and 206 **MVD.** During each sonication, passive cavitation detection (PCD) recordings were performed to 207 assess microbubble acoustic activity throughout the treatment. Voltage data obtained from PCD 208 recordings were preprocessed and converted to the frequency domain (Figure 4A). As expected, 209 the frequency content analysis revealed an increase in subharmonic and ultra-harmonic content 210 with higher MVD and MI (Figure 4B). The presence of broadband content was observed only 211 when the MVD exceeded 1 µL/kg at 0.6 MI (Figure 4B). The frequency content over the course of 212 the treatments displayed a slight increase after retro-orbital injections, followed by a subsequent 213 decline as the MBs were cleared from circulation. This phenomenon was particularly evident at 214 the highest MVD of 40 µL/kg (Figure 4C). The average harmonic cavitation dose was calculated 215 for all doses, and a significant linear trend was observed at all three MIs (p < 0.05, Figure 3D). 216 Furthermore, there were no significant differences in broadband (inertial) cavitation doses 217 between 0.2 and 0.4 MI at any MVD. However, statistically significant differences were observed 218 at 0.6 MI with MVD higher than 1 µL/kg (Figure 4D). Transposed plots comparing harmonic and 219 broadband cavitation dose to the MI can be found in Supplemental Figures 5A and B. These 220 values for no FUS and no MB controls are shown in Supplemental Figure 6.

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222 RNA Sequencing Indicates Differential Gene Expression Varies with MI and MVD. Six hours 223 after MRI-guided FUS treatment. RNA was extracted from the treated brain region. Figure 5A 224 illustrates our RNA sequencing pipeline, highlighting steps from extraction to analysis. To confirm 225 variance between groups, all samples were initially plotted on a Principal Component Analysis 226 (PCA) graph. Figure 5B displays the primary two components with the highest variability, accounting for 14% and 9% of the total variance. Other variability testing was conducted on our 227 228 samples including UMAP and t-SNE plots found in Supplemental Figures 7A and 7B.

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230 Each triplicate sample was then analyzed for differential gene expression against the no-FUS 231 control (+Isoflurane) (Figure 5C). Overall, the differential expression of genes increased with a 232 higher MI or MVD. The highest number of differentially expressed genes was observed in the 233 highest MVD and MI dose (40 MVD + 0.6 MI), totaling 1836 genes; the lowest observed number 234 was 12 at the lowest MI and MVD. To understand their significance, the 1836 differentially 235 expressed genes from the highest dose were analyzed for similarities with other doses. Among 236 these genes, 536 were also found in at least two other doses, indicating a specific effect of 237 MB+FUS treatment (Figure 5D). The 536 genes were organized based on their fold change at the 238 highest dose. As the dose was reduced in either MVD or MI, fewer genes in the set showed 239 significant differential expression, as indicated by the white bars. Notably, the lowest dose (0.1 240 MVD + 0.2 MI) did not exhibit significant differential expression among the 536 genes. 241 Furthermore, the expression levels of these 536 genes remained consistent across all doses. The 242 genes showing higher expression levels in the highest dose (40 MVD + 0.6 MI) also 243 demonstrated higher expression levels in all other doses. Similarly, the less expressed genes 244 maintained their lower expression levels consistently across all doses, following a similar 245 gradient.

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247 Hallmark Gene Set Enrichment Analysis Reveals Strong Inflammatory Response after 248 **BBBO:** The next step in RNA analysis was to perform gene set enrichment analysis to provide a 249 biological context for the differentially expressed genes. To cover a wide range of biological processes, we utilized the 50 hallmark gene sets from the Broad Institute of MIT and Harvard 250 251 (Cambridge, Massachusetts). Figure 6A illustrates the top gene sets identified across all 12 252 doses. Notably, there are 12 distinct gene sets that show upregulation at the highest doses and 253 gradually decline as the MI or MVD decreases. These twelve gene sets include, beginning with 254 the most significant: TNF Signaling via NFκB, Inflammatory Response, Hypoxia, Allograft 255 Rejection, Epithelial-Mesenchymal Transition, Interferon Gamma Response, IL6 Jak Stat3 256 Signaling, Apoptosis, Complement, P53 Pathway, IL2 Stat5 Signaling, and Coagulation. 257 Importantly, all these gene sets are closely associated with inflammatory responses.

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259 Enrichment plots for each of the twelve gene sets identified in the highest dose (40 MVD + 0.6 260 MI) are presented in Figure 6B. The high peak on the left side of the plots indicates the strong 261 enrichment of these gene sets in our samples. It is worth noting that these gene sets exhibit close 262 relationships with each other. To illustrate this interconnectivity, a Circos plot is shown in Figure 263 6C, where direct gene connections (represented by purple lines) and connections via Gene Ontology (GO) biological processes (light blue lines) are established between each gene set. 264 265 Some connections show stronger relationships, such as interferon-gamma and interferon-alpha 266 signaling, while others demonstrate weaker associations with other groups, such as Epithelial-267 Mesenchymal Transition.

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Figure 6D provides another perspective by visualizing the major mechanisms between these gene sets through signaling molecules. Three main groups emerge from the analysis of these gene sets: the initial inflammatory response (TNF□ signaling via NFκB), the major inflammatory response (which involves more chemokine and cytokine signaling), and damage-associated gene sets (related to apoptosis). Overall, the results of the gene set enrichment analysis highlight the prominent role of inflammatory responses and related pathways in the transcriptional changes observed owing to MB+FUS dose escalation.

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277 Blood-Brain Barrier Opening Intensity is the Best Indicator of SIR. To better understand the 278 relationship between our parameters and BBBO or SIR, we conducted a correlation matrix 279 analysis of all major variables identified thus far. Figure 7A presents this matrix, highlighting both 280 strong and weak correlations. Our analysis revealed a stronger correlation between hyperintense 281 volume and contrast enhancement in BBBO areas with MVD, as compared to the MI (0.83/0.76 282 and 0.41/0.48, respectively). Passive cavitation parameters exhibited relatively consistent 283 relationships (0.46 - 0.64) with both MVD and MI. In terms of RNA expression, indicated by 284 normalized enrichment scores (NES), correlations varied with MVD or MI. MVD showed a 285 stronger correlation with complement, hypoxia, and TNF signaling via NF $\kappa$ B (R<sup>2</sup> = 0.79, 0.68, 286 and 0.70, respectively). On the other hand, MI displayed a stronger correlation with IL2 stat5 287 signaling, IL6 jak stat3 signaling, interferon-gamma response, allograft rejection, and 288 inflammatory response ( $R^2 = 0.60, 0.63, 0.75, 0.61, and 0.78$  respectively). Interestingly, our main 289 finding indicated that BBBO volume and BBBO contrast enhancement were the effects most 290 strongly associated with RNA expression, with all correlations having R<sup>2</sup> values greater than 0.86 291 (excluding allograft rejection and interferon-gamma response). To further explore this relationship, we plotted our top-represented gene sets against BBBO volume (Figure 7B). At least
 half of all doses exhibited significant NES in each gene set, and each relationship demonstrated
 strong linear correlations.

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296 A noteworthy observation was the identification of a small cluster of three MVD/MI doses: 1 MVD 297 + 0.6 MI, 10 MVD + 0.4 MI, and 40 MVD + 0.2 MI (highlighted by the red circle). These doses 298 exhibited similar BBBO contrast enhancement (between 31.6 and 38.5 %) and similar NES in five 299 out of the six gene sets. To gain further insights, we performed differential gene expression 300 analysis between each dose (Figure 7C). The volcano plots indicated minimal differences in gene 301 expression, with a limited number of differentially expressed genes (4-125 genes). To corroborate 302 these findings, we examined the fold change in highly utilized genes (leading edge genes) within 303 five inflammatory families (Figure 7D). We observed similar expression levels among the cluster 304 doses, while their expression differed significantly from the lowest and highest doses. Overall, our 305 results suggest that the extent of BBBO plays a pivotal role in the SIR, surpassing the influence of 306 other parameters in isolation.

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Therapeutic Windows Can be Defined Between BBBO and SIR. As previously determined through control experiments (Supplemental Figure 1), we defined significant BBBO as a minimum of 15% contrast enhancement in a volume greater than 1 mm<sup>3</sup>. Figure 8A presents a dot plot depicting all doses and their corresponding BBBO volume (size) and contrast enhancement (color). Utilizing the defined thresholds, we observe a distinct region representing insignificant BBB) (blue) and significant BBBO (red).

315 To establish thresholds for the onset of SIR, we recognized the gradient of responses and 316 developed different classes of sterile inflammation (Figure 8B). Each class encompasses the 317 previous classifications, creating a hierarchical framework. Figure 8C illustrates the therapeutic 318 window between significant BBBO and SIR. In Class I, the least strict classification, significant 319 NES (> 1.65) in the TNF  $\Box$  signaling via NF  $\kappa$ B gene set serves as the defining criterion. Within this 320 class, a small window of significant BBB opening is observed before the onset of SIR (10 MVD + 321 0.2 MI and 1 MVD + 0.4 MI). Notably, this is the only instance where the onset of SIR occurs 322 without significant BBBO (0.1 MVD + 0.6 MI).

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As we progress to Class II, which is defined by the onset of significant NES (> 1.65) for both the TNF $\square$  signaling via NF $\kappa$ B and Inflammatory response gene sets, the therapeutic window expands. In this class, three doses exhibit significant BBBO without the onset of SIR (10-40 MVD + 0.2 MI and 1 MVD + 0.4 MI).

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Finally, the most stringent classification of SIR is Class III, characterized by significant NES (> 1.65) for TNF $\square$  signaling via NF $\kappa$ B, Inflammatory response, and a damage-associated marker, apoptosis. Within this class, we observe five doses with significant BBBO but no onset of SIR (10-40 MVD + 0.2 MI, 1-10 MVD + 0.4 MI, and 1 MVD + 0.6 MI). Taken together, these findings delineate the therapeutic window between significant BBBO and the onset of SIR, providing valuable insights into the relationship between these two critical factors.

### 336 **Discussion**

337 338 The use of focused ultrasound and microbubbles to disrupt the blood-brain barrier has gained 339 significant attention as a promising approach for delivering therapeutics to the brain (48–52). This 340 technique offers modularity, allowing for the manipulation of therapeutic effects. However, 341 defining appropriate thresholds can be challenging. In our study, we focused on two key parameters: mechanical index (MI, MPa/MHz1/2) and microbubble volume dose (MVD, µL/kg), 342 343 which have been shown to play crucial roles in determining BBB opening (18, 53). MVD is a 344 measure of microbubble that takes into account the volume of gas that it occupies multiplied by 345 the concentration of particles. When injected in animals, the MVD can be calculated by taking the sum of all MB volumes divided by the weight of the animals (31, 33). Prior studies performed by
 Song et al. showed that BBBO intensity linearly increased with increasing MVD regardless of
 microbubble number or size (30).

350 The MI combines ultrasound frequency and pressure and has been previously demonstrated to 351 predict the threshold of BBBO (53). Consistent with previous studies (52), we observed a strong 352 linear relationship between MI and the extent of BBBO, as measured by the signal intensity of 353 MRI contrast enhancement. Similarly, MVD, which combines microbubble number and size, 354 exhibited a strong linear effect on BBBO. Our findings align with previous studies (54) and 355 confirm the significant influence of both MI and MVD on BBB opening. To explore the combined 356 influence of MI and MVD on BBBO, we performed multiple regression analyses and observed a 357 stronger relationship when these parameters interacted. The interaction term (MI\*MVD) showed a 358 high coefficient of determination ( $R^2 = 0.9$ ), indicating that the combined effect of MI and MVD 359 has a greater impact on BBB opening than either parameter alone.

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Passive cavitation detection provided valuable insights into microbubble behavior during the treatments. The analysis of the voltage data (34) allowed us to determine the harmonic and broadband (inertial) cavitation doses, which showed linear trends with MI and MVD. Although the R<sup>2</sup> values for these relationships were slightly lower due to variability in measurements, they still demonstrated significant trends. Notably, the harmonic cavitation dose exhibited a particularly strong relationship with both BBBO volume and contrast enhancement, indicating its potential as a predictive parameter for assessing BBBO.

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369 Determining the threshold for significant BBBO is crucial for safe and effective treatments. While 370 various studies have investigated this threshold, the wide range of parameters and metrics used 371 makes direct comparisons challenging. Nonetheless, many studies have reported a threshold in 372 the range of 0.3 to 0.5 MI at different MVDs. In our study, we found the threshold for significant 373 BBBO (>15% contrast enhancement in a volume of 1 mm<sup>3</sup>) to be greater than 1 MVD at 0.2 MI 374 and greater than 0.1 MVD at 0.4 and 0.6 MI. It is noteworthy to mention that our estimates for a 375 15% increase in targeted signal intensity are dependent on our MRI protocol (such as the field 376 strength of 9.4 Tesla, T1w sequence parameters, the dose and time of the injection of gadolinium chelate, etc.). The actual cortical volume of the BBBO (1 mm<sup>3</sup>) is the more robust parameter for 377 378 the extent and limit of detection for the BBBO. When considering the combined effect of MI and 379 MVD (MI\*MVD), the threshold was found to be greater than 0.2. It is important to note that our 380 highest dose (40 MVD + 0.6 MI) resulted in irregular tissue morphology illustrated by 381 hyperintense and hypointense regions on T1-weighted + CE MRI. This observation suggests a 382 potential maximum safety threshold of 40 MVD and 0.6 MI indicating that caution should be 383 exercised at higher doses to avoid adverse tissue effects. 384

385 Differential gene expression (DEG) enables us to understand the global transcriptomic activity of 386 a cell or tissue. However, this broad analysis excludes the specificity of which pathways are most 387 upregulated or downregulated. Instead, the information we receive is the individual fold changes 388 of genes. What we can extract from this type of data is that at higher doses (40 MVD + 0.6 MI) 389 there are significantly more upregulated genes compared to the rest of the doses, meaning that 390 more activity is occurring in that region, whether related to inflammation or not. To make sense of 391 this data more broadly, we compared all the significant differentially expressed genes in the 392 highest dose (40 MVD + 0.6 MI) against all the populations of DEGs in the rest of the conditions 393 for Figure 5D. By organizing the DEG data against the 40 MVD + 0.6 MI, we can see that there is 394 a similar trend following this order among all groups, especially when the MI and MVD decrease. 395 Specifically, the lowest amount of differentially expressed genes is observed in 1 MVD + 0.2 MI, 396 not the lowest dose (0.1 MVD + 0.2 MI, Fig. 5C), which is not what we expected. However, when 397 compared against the most common DEGs, no genes were significantly up or down-regulated, 398 indicating that the 62 DEGs are not related to inflammation or damage but rather other tangential 399 biological processes.

### 400

401 To provide a biological context for the population of DEG and the pattern it exhibits we ran a gene 402 set enrichment analysis (GSEA) with the data. The 50-hallmark gene set analysis categorized 50 403 of the most basic biological functions of a cell, allowing us to identify a variety of effects. All 404 identified paths were related to inflammation and/or cellular damage, with the leading pathway 405 being the TNF signaling through NFkB. This supports many other studies, which identified 406 significant upregulation of the NFkB pathway as a result of BBBO (28, 35, 55). Outside of broad 407 inflammatory responses, one pathway that is also upregulated is the JAK/STAT and IFN 408 pathways. These pathways have been identified in events of immune recruitment, specifically 409 involving T-cell activation and macrophage recruitment to the site of inflammation (56, 57). Not only does this result support evidence of peripheral immune cell extravasation, but it also sheds 410 411 light on the specific population of immune cells that are recruited and their specific mechanism of 412 action. A third major event that is observed in the RNA sequencing data indicative of neuronal 413 damage is the enrichment of genes in pathways related to hypoxia and apoptosis. Increased 414 mechanical indices are known to be detrimental to cells, as the exerted mechanical forces 415 exerted forces are known to cause hemorrhaging (58, 59). This has been shown in other studies 416 (52, 53), where at higher doses, MB+FUS can cause microhemorrhages and damage that is 417 similar to damage responses seen in traumatic brain injury (60). Additionally, altered blood flow 418 and hypoxia have been observed as transient events accompanying BBBO, with the major 419 mechanism implicated being capillary vessel restriction (61). Our results suggest that when 420 microbubbles cavitate under ultrasound, they can cause a transient delay in blood perfusion, 421 inducing local hypoxia or ischemia through hemorrhaging contributing to apoptosis. A comparison 422 in these studies can prove that higher MVD/MI doses can mirror damage seen under these 423 conditions. The Circos plot displays the connecting relationship between these events (Fig. 6C).

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425 After collecting individual information about the 50-hallmark analysis, a correlation analysis was 426 run against all the major upregulated and enriched pathways to further understand our knowledge 427 of ultrasound and microbubble parameters as well as the most enriched pathways resulting from 428 RNA sequencing. Interestingly, according to the results of the correlation matrix, there were 429 characteristic qualities that were unique to MVD such as the activation of the complement 430 system, hypoxia, and NF $\kappa$ B pathway via TNF $\Box$  signaling. PEG, which is a reagent commonly 431 used to improve drug longevity in the body and is found on the external shell of the microbubble, 432 can activate the immune system through the complement system via C3b opsonization of the 433 phosphate in the lipid headgroup (62). This phenomenon has been recognized as a potential 434 cause of complement activation-related pseudo allergy (CARPA) and has been reported in the 435 use of Doxil (63, 64). Surprisingly, the biggest immune pathway that has been implicated in the 436 initiation of SIR, the NFkB pathway, was more highly correlated to MVD than MI. More in-depth 437 work is required to define a mechanism to explain why we see this trend. On the other hand, the 438 MI had a stronger relationship with RNA seq pathways such as Allograft rejection, IL2 STAT3, IL6 439 JAK STAT3, IFN $\gamma$ , and inflammatory response. These five pathways are interconnected, as they 440 represent the cascade of the inflammatory response after activation. Their stronger relationship to 441 MI also requires a more in-depth mechanism analysis. Although these pathways correlate 442 differently between MI and MVD, all pathways correlate most highly to BBBO volume ( $R^2 > 0.70$ ) 443 and contrast enhancement ( $R^2 > 0.81$ ).

444

445 Normalized enrichment scores of the GSEA showed a strong linear trend when plotted against 446 BBBO intensity (contrast enhancement %). This was evaluated among all pathways with greater 447 than 6 significant NES. A reoccurring phenomenon of a "cluster" of MVD and MI combinations is 448 apparent on the trendlines where 1.0 MVD + 0.6 MI and 10 MVD + 0.4 MI and 40 MVD + 0.2 MI 449 resulted in similar intensity of opening and NES. This is seen in 5 out of the 6 graphs in Figure 450 7B, except Hypoxia where 10 MVD + 0.4 MI did not have a significant NES. This further supports 451 the fact that the intensity of BBBO is the greatest indicator of bioeffects. Moreover, using a 452 greater MVD with a lower MI can permeabilize the BBB similar to a lower MVD and higher MI, 453 resulting in similar bioeffects. Figure 7C analyzed the differentially expressed genes in the cluster to compare the amount of differentially expressed genes and, despite having upwards of 125 differentially expressed genes down to 4, this is not considered significantly differentially expressed compared to the amount in Figure 5C with hundreds and thousands of differentially expressed genes. Figure 7D aims to further corroborate this claim by comparing the individual gene expression between 5 inflammatory pathways that are involved in sterile inflammation.

460 As defined previously, BBBO was considered significant when 15% contrast enhancement is 461 achieved in a volume greater than 1 mm<sup>3</sup>. As seen in Figure 8A, the volume of BBBO 462 corresponds with increased contrast enhancement. We can define a BBBO window comparing 463 our MVDs, and MIs. Next, by comparing the RNA sequencing data from 12 experimental 464 conditions for BBBO, differences in gene expression were analyzed and the complexity of the 465 immune response elicited from permeabilizing the BBB was characterized. Figure 8B highlights 466 these orders or classes by increasing the requirement of what can and should be considered a 467 part of the sterile immune response. NFkB signaling has been defined in a plethora of studies as a hallmark of the SIR (4, 28, 35, 55). Kovacs et al. first defined this to be the major molecular 468 469 mechanism behind the sterile immune response, especially after MB+FUS BBBO, with many 470 other studies following the pursuit of further investigating the bioeffects (28, 35). Due to this, we 471 broadly defined SIR as the activation of the NF $\kappa$ B pathway via TNF signaling (NES > 1.65). 472 Since the sterile inflammatory response is also known to prompt and recruit further immune 473 responses, we incorporated another criterion for SIR as the addition of the significantly enriched 474 inflammatory response (NES > 1.65). This is what we defined as Class II SIR, providing a larger 475 window to optimize parameters. Recently, several groups have worked to operate within the SIR 476 window after MB+FUS (65-67) without activating damage pathways (apoptosis). Our Class III 477 window is defined as the onset of inflammatory responses without significantly activating the 478 apoptosis pathway.

479

480 Moving forward, further investigations are warranted to expand the therapeutic windows. Firstly, 481 exploring novel microbubble formulations or ultrasound pulsing schemes could lead to more 482 precise and efficient BBB opening while minimizing adverse SIR-related effects. Secondly, 483 defining the mechanisms underlying the observed bioeffects, such as inflammation, immune 484 recruitment, and tissue damage, will provide valuable insights for the most useful class. Finally, 485 more advanced MRI sequences can provide more insight to the microenvironment noninvasively. 486 This includes using quantitative iron oxide-enhanced T2/T2\* weighted MRI, which could be easily 487 incorporated into the MR guiding/ BBB kinetic protocols or taking a multi-parametric/ multi-modal 488 imaging approach to assess inflammation, cellular damage and necrosis, as well as hypoxia 489 using advanced non-invasive PET/MRI(68-70). All efforts will provide more tunable therapeutic 490 windows that allow more safe and effective treatments.

491

In conclusion, our study demonstrates the significant influence of MVD and MI on BBBO and SIR. The combination of MI and MVD showed a stronger effect on BBBO than either parameter alone. RNA analysis revealed differential gene expression associated with inflammatory responses and immune recruitment. The study defines three therapeutic windows between significant BBBO and the onset of three classes of SIR, providing valuable guidance for safe and effective focused ultrasound-mediated drug delivery to the brain.

498

### 499 Materials and Methods

500

### 501 Animals.

All experiments involving animals were conducted according to the regulations and policies of the Institutional Animal Care and Use Committee (IACUC) protocol 00151 in CD-1 IGS mice (strain code: 022). All mice used were female 8- to 11-wk-old and purchased from Charles River Laboratory.

- 506
- 507 Microbubble Preparation.

508 Lipid-coated microbubbles containing a Perfluorobutane (PFB) gas core were synthesized via 509 sonication, as described previously by Fesitan et al. (71). Under sterile conditions, polydisperse 510 MBs were created and collected. A single diameter (3  $\pm$  0.5  $\mu$ m) was isolated by differential 511 centrifugation. The isolation process, including centrifugation speeds used, can be found in Fig. 512 S8. Microbubble concentration and number- and volume-weighted size distributions were measured with a Multisizer 3 (Beckman Coulter). Microbubble concentration ( $c_i$ , MBs/µL) versus 513 514 microbubble volume ( $v_i$ ,  $\mu L/MB$ ) was plotted, and the gas volume fraction ( $\phi MB$ ) was estimated 515 as follows:

$$\phi MB = \sum_{i=1}^{n} v_i \times c_i$$

where *i* is the index of the sizing bin, 300 bins ranging from 0.7 to 18  $\mu$ m in diameter. Three independent MB preparations were measured two hours before FUS treatment to confirm size distributions and concentration. Microbubble cakes were stored in the refrigerator at 4 °C for use within 1 week. Microbubbles were diluted to injection concentration within 15 minutes before injection. Supplemental Figure 9 shows MB stability 1 hour after dilution to relevant injection concentration.

### 523 Magnetic Resonance Imaging.

524 All animal procedures were performed under approved Institutional Animal Care and Use 525 Committee protocols (IACUC #00151 and #0596). A Bruker BioSpec 9.4/ 20 Tesla MR Scanner 526 (Bruker, Billerica, MA) with a mouse head RF phase-array coil was used in the Colorado Animal 527 Imaging Shared Resource (RRID:SCR\_021980). Mice were placed into in house designed MRI 528 bed that contained stereotaxic ear bars to prevent movement of the mouse head during the 529 transfer from MRI to focused ultrasound (FUS) system and back into the MRI scanner. Each MRI 530 sessions consisted from a 3D-localizer a T1w MSME (Multi-Spin Multi-Echo) images were 531 acquired in the axial plane (repetition time (TR)/echo time (TE), 720/12 ms; flip angle, 90°; 532 number of averages, 4; field of view, 20 mm x 20 mm; matrix size, 256x256; resolution, 78 µm x 533 78 µm x 700 µm) was performed 12 min after intravenous injection of 0.4 mmol/kg gadobenate 534 dimeglumine (MultiHance, Bracco, Princeton, NJ). All mice underwent high-resolution 3D T2-535 turboRARE (Rapid Acquisition with Relaxation Enhancement) scans (repetition time (TR)/echo 536 time (TE), 2511/33 ms; flip angle, 90°; number of averages, 4; field of view, 20 mm × 20 mm; 537 matrix size, 256x256; resolution, 78 µm x 78 µm x 700 µm). Mice remained stereo-tactically 538 placed on an MRI bed and transferred to the FUS system for treatment where an intravenous 539 injection of 0.1 mL gadobenate dimeglumine (MultiHance, Bracco, Milan, Italy) was given. All 540 image acquisition was performed using Bruker ParaVision NEO360 v.3.3 software.

### 542 MR Image Analysis.

543 T1w MRI data sets were used to quantify the extent of blood-brain barrier opening using FIJI 544 (Maryland, USA). All axial slices were analyzed by defining the contralateral hemisphere and 545 determining the mean and standard deviation of voxel intensities. The treated hemisphere was 546 then defined, and all voxels were found above two standard deviations of the contralateral side. 547 The area was determined and multiplied by slice thickness (0.7 mm) to find BBBO volume. The 548 contrast enhancement was determined by the average intensity within BBBO volume and divided 549 by the average intensity of the control region.

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### 551 MRI-Guided FUS Treatment.

The experimental setup is shown in Fig. 1D. A single element, geometrically focused transducer (frequency: 1.515 MHz, diameter: 30 mm) was driven by the RK-50 system (FUS Instruments, Toronto, Canada). A single element, geometrically focused transducer (frequency: 0.7575 MHz, diameter: 10 mm) coaxially inside the driving transducer was used for passive cavitation detection. Using the T2-weighted MR image (coronal), the center of the striatum was targeted 557 (Fig. 1D). Ultrasound gel (Aquasonic gel, Clinton Township, MI) was placed on the mouse head 558 confirming the lack of air bubbles. An acoustically transparent tank filled with degassed water was 559 placed on top of the gel Fig. 1D. Microbubbles (0.1-40 µL/kg; 0.1 mL) and 0.1 mL MultiHance was 560 injected intravenously through a retroorbital injection via 26 Ga needle. Directly after injection 561 (within 10 seconds) FUS was applied. FUS parameters were as follows: 10 ms PL, 1 Hz PRF, 562 300 s treatment time, and a PNP of 0.308, 0.615, or 0.923 MPa (0.246, 0.492, or 0.738 MPa in 563 situ). Voltage data from the PCD was collected during the entire FUS treatment and analyzed as 564 previously described (34). The remaining PCD analysis was done using MATLAB (Massachusetts, USA) including the calculations of harmonic and broadband cavitation doses. 565 566 Directly after FUS mice were then sent back to MRI to complete post-FUS T1-weighted imaging. 567 Groups were divided into n = 3 for all 12 dose levels (3 MIs, 4 MVDs).

### 569 **RNA Extraction and Bulk RNA Sequencing.**

570 At 6 hours post FUS treatment mice were sacrificed via perfusion with 60 mL of ice-cold PBS. 571 Brains were immediately dissected, and the treated site was removed and snap-frozen using liquid nitrogen. Samples were stored at -80 °C until further use. Brain samples were weighed and 572 573 then immediately placed into a cell lysing buffer (Qiagen, Hilden, Germany), and homogenized for 574 30 seconds. RNA was isolated and purified using the RNAeasy Kit (74004, Qiagen) where all 575 reagents were provided, and the manufacturer's instructions were followed. Quality control and 576 library preparation were performed through the Anschutz Genomics Core for sequencing. Poly A 577 selected total RNA paired-end sequencing was conducted at 40 million paired reads (80 million 578 total reads) on a NovaSEQ 6000 sequencer. 579

### 580 Bulk RNA Sequencing Analysis.

568

581 FASTQ files were obtained from Anschutz Genomics Core after sequencing. RNA Analysis was 582 performed using Pluto (https://pluto.bio). Principal components analysis (PCA)(72) was calculated 583 by applying the prcomp() R function to counts per million (CPM)-normalized values for all 40.773 584 targets in the experiment and samples from all groups. The data was shifted to be zero-centered. 585 The data was scaled to have unit variance before PCA was computed. Differential expression 586 analysis was performed comparing the groups to the isoflurane-only control group unless 587 otherwise noted in the figure caption. Genes were filtered to include only genes with at least 3 588 reads counted in at least 20% of samples in any group. Differential expression analysis was then 589 performed with the DESeq2 R package(72), which tests for differential expression based on a 590 model using the negative binomial distribution. Log2 fold change was calculated for the 591 comparison of the experiment to the control group. Thus, genes with a positive log2 fold change 592 value had increased expression in experimental samples. Genes with a negative log2 fold change 593 value had increased expression in control samples. Gene set enrichment analysis (GSEA) using 594 the fgsea R package and the fgseaMultilevel() function (73). The log2 fold change from the 595 experiment vs control differential expression comparison was used to rank genes. Hallmarks 596 gene set collection from the Molecular Signatures Database (MSigDB)(74, 75) was curated using 597 the msigdbr R package. 598

### 599 Immunohistochemistry and Histology.

600 A subset of mice (n = 7) underwent histological analysis. A representative mouse from 6 dose groups (0.2 MI at 10 MVD, 0.4MI at all MVDs, and 0.6 MI at 10 MVD, n = 1). A final mouse was 601 602 only exposed to similar isoflurane levels. Six hours after treatment (if applicable) mice were 603 sacrificed and perfused with 40 mL of 10% formalin (Thermo Fisher Scientific). Brains were 604 immediately dissected put into a 10% formalin solution and left to shake on an orbital shaker 605 overnight at room temperature. Primary antibody staining was done with anti-IBA1 (E4O4W, CST, 606 Massachusetts, USA.), anti-GFAP (ab4674, Abcam, Cambridge, UK), anti-CD4 (42-0042-82, 607 Thermo), anti-CD68 (14-0681-82, Thermo), anti-CD8 (MA514548, Thermo), anti-CD44 (701406, 608 Thermo), anti-NFkB-p65 (51-0500, Thermo. Samples were incubated overnight at 4 °C. Signals 609 were detected using three fluorescently labeled secondary antibodies which include: G anti AF594 (rat, A-11007, Thermo), G anti AF488 (chicken, A-11042, Thermo) and G anti 610

611 AF488 (rabbit, A-11008, Thermo). Expected cell types for each stain can be found in 612 supplemental table 2. Microscope slides were imaged on a spinning disk confocal microscope 613 (Nikon, Tokyo, Japan) at 20x. Quantification of images was done in FIJI (NIH, Maryland, USA) 614 where an Analyze Particles function was used to determine the location of nuclei (DAPI staining) 615 and compared the location of fluorescent signal from antibodies. If the locations matched at more 616 than 5 pixels, the cell was counted, and the location was noted. Each slice was analyzed at all 617 locations within. Each sample was also stained with Hematoxylin/Eosin and Luxol Fast Blue to 618 determine the effect on tissue morphology. These two stains were imaged on a Nikon brightfield 619 microscope.

620

### 621 Statistical Analysis.

622 All data collected is presented as mean  $\pm$  SD. No preprocessing was done to the data except for 623 voltage data collected from the PCD. PCD data were preprocessed as described in Martinez et 624 al(34). All statistical analysis was completed in Prism 9 (GraphPad, California, USA). Star 625 representations of p-values are indicated in captions and less than 0.05 was indicative of 626 statistical significance. An unpaired Student's t-test and ANOVA/multiple comparisons were used 627 to compare two groups and larger comparisons respectively. The false discovery rate (FDR) 628 method was applied for multiple testing correction (76). An adjusted p-value of 0.01 was used as 629 the threshold for statistical significance.

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the gas volume fraction. (D) Illustration of the MB+FUS treatment timeline. Initially, mice were imaged using T2w and CE-T1w MRI. Subsequently, they were moved to the RK-50 system to receive MB+FUS treatment. A more detailed timeline is provided at the bottom of panel D. After treatment, mice were imaged again with CE-T1w MRI. Data are presented as mean  $\pm$  standard deviation (*n* = 5).



**Figure 2. Assessment of BBBO using Gadolinium Contrast Enhancement.** (A) Cartoon depicting post-CE-T1w MRI image analysis. (B) Representative images of post-FUS MRI for each MI/MVD dose, captured at the peak BBBO area. (C) Quantification of BBBO volume (n = 3). Linear regression was performed for each mechanical index, resulting in R squared values of 0.86, 0.71, and 0.91 for 0.2, 0.4, and 0.6 MI, respectively. The X-axis is presented on a logarithmic scale. (D) Quantification of BBBO contrast enhancement (n = 3). Linear regression

was conducted for each mechanical index, resulting in R squared values of 0.79, 0.82, and 0.87
for 0.2, 0.4, and 0.6 MI, respectively. The X-axis is presented on a logarithmic scale. Data are
presented as mean ± standard deviation.





843 844 Figure 3. Histological Response after Blood-Brain Barrier Opening. (A) Representative 845 images of immunohistochemistry (IHC) markers at a zoomed-in scale. Scale bar = 25 µm. (B) 846 IHC images of three different mechanical indices (0.2, 0.4, and 0.6) at 10 MVD. A CE-T1w MRI 847 image is provided to demonstrate the location of the blood-brain barrier (BBB) opening relative to 848 immune cell distribution. "Comp" refers to the composite of all stains combined. (C) Hematoxylin 849 and eosin (H&E) staining of the same doses as depicted in (B), black arrow indicates red blood 850 cell extravasation.



852 853 Figure 4. Assessment of Acoustic Response using PCD. (A) Illustration of PCD data analysis. 854 Voltage vs time data was cropped to remove the pre-FUS signal, followed by Tukey windowing 855 and high-frequency filtering beyond PCD sensitivity (left). The resulting signal was then converted 856 to the frequency domain using FFT, and the area under the curve (AUC) was quantified for 857 respective regions to determine harmonic cavitation dose (HCD) and broadband cavitation dose 858 (BCD) (right). (B) Average FFT for each FUS pulse during a five-minute treatment at each 859 respective MI/MVD dose. (C) Representative spectrograms for 40 MVD doses are shown throughout the sonication time (300 seconds). Quantification of harmonic cavitation dose (D) and 860 861 broadband cavitation dose (E) with respect to microbubble volume dose and mechanical index (n

862 = 3). Linear regression was performed for each mechanical index, resulting in R squared values
 863 for harmonic cavitation dose was 0.71, 0.74, and 0.71 for 0.2, 0.4, and 0.6 MI, respectively. The
 864 R-squared values for broadband cavitation dose were 0.73, 0.52, and 0.75 for 0.2, 0.4, and 0.6

865 MI, respectively. Data are presented as mean ± standard deviation.

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Figure 5. Bulk RNA Sequencing of Treated Brain Region. (A) Sequential RNA processing 868 steps, from sample preparation to gene set enrichment analysis (GSEA) and mapping. (B) 869 Principal component analysis (PCA) plot displaying all samples in the dataset, including different 870 mechanical index (MI)/microbubble volume dose (MVD) combinations and isoflurane control 871 samples. (C) Differential gene expression analysis for each MI/MVD dose. The number displayed 872 on the top right of each plot indicates the count of significantly differentially expressed genes. All 873 doses were compared to isoflurane control samples (n = 3). Among the 1,836 differentially

expressed genes (DEGs) identified in the highest MI/MVD dose, 536 genes were also found in at
least two other doses. (D) Heatmap illustrating the expression of the 536 genes, ranked by log2
fold change in the highest MI/MVD dose. White color represents insignificant differential
expression. The Euclidean distance map is shown on top of the heatmap.

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**Figure 6. Hallmark Gene Set Enrichment Analysis.** (A) Dot plot illustrating the 12 MI/MVD doses based on size (adjusted p-value) and color (normalized enrichment score). Gene sets are arranged by adjusted p-value for the highest MI/MVD dose. (B) Enrichment plot presenting the top 12 gene sets identified in (A). The x-axis displays the genes ranked by log2 fold change, with vertical ticks indicating the gene positions within the gene set. The heatmap represents gene expression, with red indicating higher expression in the first group (40 MVD 0.6 MI), and blue representing higher expression in the isoflurane control group. The green line represents the

running enrichment score. (C) Overlapped Circos plot demonstrating relationships between the
 top 12 enriched gene sets. Purple lines indicate the presence of the same gene in different gene
 sets, while blue connections represent genes found in similar Gene Ontology (GO) pathways
 across different gene sets. (D) Schematic representation of the top enriched gene set pathways.

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**Figure 7. Correlation of RNA Expression and BBB Opening.** (A) Correlation heatmap showing the relationship between MI and MVD doses and resulting BBBO volume, contrast enhancement (CE), cavitation doses, and RNA expression. Pearson correlation coefficient (r) values are displayed in each box. The color scale represents the magnitude of the Pearson correlation coefficient. (B) Correlation between normalized enrichment score (NES) scores and BBBO volume. Only pathways from gene set with more than six doses exhibiting significant NES scores are represented. Linear regression analysis was performed for each plot, and the corresponding

R-squared value is shown in the top left corner. The plots indicate the fraction of significant doses 900 901 in the bottom right. A red circle denotes a small cluster consisting of three different doses with 902 similar BBBO volumes. (C) Volcano plot displaying the differentially expressed genes (DEGs) 903 between doses within the small cluster (40 MVD + 0.2 MI, 10 MVD + 0.4 MI, and 1 MVD + 0.6 904 MI). The number of DEGs is indicated in the top right corner. (D) Bar graph representing the log2 fold change of the lowest dose (0.1 MVD + 0.2 MI), the cluster doses, and the highest dose (40 905 906 MVD + 0.6 MI). The x-axis displays five of the most influential genes within five inflammatory 907 signaling families.



Significant: 
Neither BBBO or SIR SIR Only BBBO Only Both BBBO and SIR 909 910 Figure 8. Therapeutic Window Between BBBO and Onset of Sterile Inflammatory Response 911 (SIR). (A) Dot plot illustrating the mean blood-brain barrier opening (BBBO) in terms of volume (represented by the size of the dot) and contrast enhancement (indicated by the color of the dot). 912 913 The grid is plotted based on microbubble volume dose (MVD) and mechanical index (MI). 914 Significant BBBO is defined as 15% contrast enhancement in a 1 mm<sup>3</sup> volume. The red region represents significant BBBO, while the blue region represents insignificant BBBO. (B) Classes 915 916 defined for the onset of the sterile inflammatory response. Each circle represents the criteria 917 required to meet a specific class level. (C) Dot plot displaying the regions of neither significant 918 BBBO nor SIR (purple), BBBO only (green), SIR only (light blue), or significant BBBO and SIR 919 (pink). Each plot represents a different class level for the onset of SIR as defined in (B).



### $\square$ T2-Weighted MRI Pre T1w+CE MRI FUS Application Post T1w+CE MRI Minute 12-18 Minute 24 Minute 0 Minute 6 FUS Instruments PC Transduce Stereotaxic Isoflourane 🔎 Setup Inlet/Outlet









# 0.1 MVD1 MVD **10 MVD 40 MVD**

















![](_page_32_Picture_10.jpeg)

![](_page_32_Picture_11.jpeg)

![](_page_33_Picture_0.jpeg)

![](_page_33_Figure_1.jpeg)

![](_page_33_Figure_3.jpeg)

![](_page_33_Figure_4.jpeg)

![](_page_34_Figure_0.jpeg)

![](_page_35_Figure_0.jpeg)

![](_page_36_Picture_0.jpeg)

## GFAP Iba1 CD68 NFkB CD4 CD8

![](_page_36_Picture_2.jpeg)

![](_page_36_Picture_3.jpeg)

![](_page_36_Picture_4.jpeg)

Comp

![](_page_36_Figure_5.jpeg)

![](_page_36_Figure_6.jpeg)

![](_page_36_Picture_7.jpeg)

![](_page_36_Picture_8.jpeg)

![](_page_36_Picture_9.jpeg)

![](_page_36_Picture_10.jpeg)

**CD68** 

CD4

GFAP

![](_page_36_Picture_11.jpeg)

![](_page_37_Figure_0.jpeg)

	Mechanical	Microbubble Volume Dose	BBBO Volume	Contrast Enhancment	Harmonic Cavitation Dose	Broadband Cavitation Dose	ALLOGRAFT REJECTION	APOPTOSIS	COMPLEMENT	HYPOXIA	IL2 STAT5 SIG.	IL6 JAK STAT3 SIG.	INFLAMMATORY RESP.	INTERFERON GAMMA RESP.	TNFA SIG. VIA NFKB	<b>1</b> Λ
Mechanical Index	1.00	0.00	0.41	0.48	0.58	0.46	0.61	0.38	0.55	0.34	0.60	0.63	0.78	0.75	0.38	
Microbubble Volume Dose	0.00	1.00	0.83	0.76	0.52	0.64	0.18	0.55	0.79	0.68	0.33	0.25	0.39	0.07	0.70	
BBBO Volume	0.41	0.83	1.00	0.98	0.86	0.90	0.73	0.96	0.90	0.97	0.88	0.86	0.91	0.70	0.92	
<b>Contrast Enhancment</b>	0.48	0.76	0.98	1.00	0.93	0.95	0.87	0.94	0.87	0.93	0.91	0.93	0.93	0.81	0.87	
Harmonic Cavitation Dose	0.58	0.52	0.86	0.93	1.00	0.97	0.97	0.78	0.73	0.75	0.85	0.94	0.88	0.89	0.72	
<b>Broadband Cavitation Dose</b>	0.46	0.64	0.90	0.95	0.97	1.00	0.98	0.77	0.80	0.75	0.82	0.91	0.86	0.87	0.74	06
ALLOGRAFT REJECTION	0.61	0.18	0.73	0.87	0.97	0.98	1.00	0.71	0.66	0.59	0.80	0.91	0.84	0.92	0.56	0.0
APOPTOSIS	0.38	0.55	0.96	0.94	0.78	0.77	0.71	1.00	0.75	0.96	0.95	0.91	0.94	0.76	0.93	

![](_page_37_Figure_2.jpeg)

B

![](_page_37_Figure_4.jpeg)

![](_page_38_Figure_0.jpeg)

**BBB Opening Window** 

![](_page_38_Figure_2.jpeg)

C **Class** e copyright holder for this prepri

0.2

 $\mathbf{O}$ 

**Sic** 

0.1

Significant: Neither BBBO or SIR

![](_page_38_Figure_8.jpeg)

![](_page_38_Picture_9.jpeg)

**BBBO Volume (mm<sup>3</sup>)** 

0.17 2.00

23.95

Insignificant BBBO Significant BBBO

![](_page_38_Figure_14.jpeg)

### Class II

### Class III