# TPC Benchmark™ E Full Disclosure Report for



# PRIMERGY RX2540 M1

**Using** 

Microsoft SQL Server 2014 Enterprise Edition

**Using** 

Microsoft Windows Server 2012 R2 Standard Edition

**TPC-E Version 1.13.0** 

**Submitted for Review** 

October 6, 2014

#### First Edition October 2014

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Benchmark results are highly dependent upon workload, specific application requirements, system design and implementation. Relative system performance will vary as a result of these and other factors. Therefore, TPC Benchmark<sup>TM</sup> E should not be used as a substitute for a specific customer application benchmark when critical capacity planning and/or product evaluation decisions are contemplated.

All performance data contained in this report were obtained in a rigorously controlled environment. Results obtained in other operating environments may vary significantly. We do not warrant or represent that a user can or will achieve similar performance expressed in transactions per second (tpsE) or normalized price/performance (\$/tpsE). No warranty of system performance or price/performance is expressed or implied in this report.

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# **Abstract**

This report documents the TPC Benchmark™ E results achieved by Fujitsu using Microsoft SQL Server 2014 Enterprise Edition SP1.

The TPC Benchmark™ E tests were run on a PRIMERGY RX2540 M1 system using the Microsoft Windows Server 2012 R2 Standard Edition operating system.

The results, summarized below, show the number of TPC Benchmark™ E transactions per second (tpsE) and the price per tpsE (\$/tpsE).

Hardware	Software	Total System Cost	tpsE	\$ USD/tpsE	Availability Date
Fujitsu PRIMERGY RX2540 M1	Microsoft SQL Server 2014 Enterprise Edition Microsoft Windows Server 2012 R2 Standard Edition	\$ 492,023 USD	3,772.08	\$ 130.44 USD	December 1, 2014

The benchmark implementation and results were audited by Doug Johnson for InfoSizing Inc. (<a href="www.sizing.com">www.sizing.com</a>). The auditor's attestation letter is contained in Section 8 of this report.



TPC-E 1.13.0 **TPC Pricing 2.0.0** 

Report Date October 6, 2014

TPC-E Throughput 3,772.08 tpsE

Price/Performance \$ 130.44 USD per tpsE

**Availability Date December 1, 2014**  Total System Cost \$ 492,023 USD

#### **Database Server Configuration**

Operating System **Microsoft Windows Server** 2012 R2 Standard Edition

Database Manager Microsoft SQL Server 2014 Enterprise **Edition** 

Processors/Cores/Threads 2/36/72

Memory 512 GB



#### Tier A

PRIMERGY RX200 S8 2x Intel Xeon E5-2667 v2 3.30 GHz 64 GB Memory 2x 250 GB 7.2k rpm SATA Drive 2x onboard LAN 1 Gb/s 1x Dual Port LAN 10 Gb/s

#### Tier B

PRIMERGY RX2540 M1 2x Intel Xeon E5-2699 v3 2.30 GHz 512 GB Memory 2x 300 GB 15k rpm SAS Drives 4x 450 GB 15k rpm SAS Drives 2x onboard LAN 10 Gb/s 6x SAS RAID Controller

#### Storage

1x PRIMECENTER Rack 5x ETERNUS JX40 65x 400 GB SSD Drives

Initial Database Size 15,604 GB

Redundancy Level 1 RAID-5 data and RAID-10 log

Storage 65 x 400 GB SSD 4 x 450 GB 15k rpm HDD



# TPC-E 1.13.0 **TPC Pricing 2.0.0**

Report Date October 6, 2014 Availability Date December 1, 2014

				December 1, 201		14
Description	Part Number	Price Source	Unit Price	Qty	Extended Price	3-yr. Maint. Price
Database Server (Tier B) Hardware			\$		\$	\$
PRIM ERGY RX2540 M 1						
PY RX2540 M1 4x 3.5' expandable	S26361-K1495-V101	1	1,472.00	1	1,472.00	
Upgrade kit from 4x to 8x 3.5' HDD	S26361-F2495-E120	1	102.00	1	102.00	
Modular PSU 800W platinum hp	S26113-F574-E13	1	351.00	1	351.00	
Cable pow ercord rack, 4m, grey	T26139-Y1968-E100	1	14.00	1	14.00	
Pow er Supply Dummy	S26113-F574-E99	1	7.00	1	7.00	
Intel Xeon E5-2699v3 18C/36T 2.30 GHz	S26361-F3849-E199	1	6,885.00	2	13,770.00	
Cooler Kit 2nd CPU	S26361-F3849-E100	1	34.00	1	34.00	
32GB (1x32GB) 4Rx4 DDR4-2133 LR ECC	S26361-F3844-E517	1	1,357.00	16	21,712.00	
Performance Mode Installation	S26361-F3694-E2	1	7.00	2	14.00	
PLAN EM 2x 10Gb T interface card	S26361-F5302-E210	1	657.00	1	657.00	
DVD-RW supermulti ultraslim SATA	S26361-F3778-E1	1	129.00	1	129.00	
HD SAS 12G 300GB 15K HOT PL 3.5' EP	S26361-F5532-E530	1	662.00	2	1,324.00	
HD SAS 12G 450GB 15K HOT PL 3.5' EP	S26361-F5532-E545	1	891.00	4	3,564.00	
PRAID EP400i	S26361-F5243-E1	1	608.00	1	608.00	
RAID Ctrl SAS 6G 8Port ex 1GB LP LSI V3	S26361-F3713-L503	1	950.00	5	4,750.00	
Rack Mount Kit F1 CMA QRL LV	S26361-F2735-E175	1	156.00	1	156.00	
Mounting of RMK in symmetrical racks	S26361-K1495-V101	1	7.00	1	7.00	
Region-kit APAC/EMEA/ India	S26361-F1452-E100	1	14.00	1	14.00	
PYRX2540 Series during normal business hours, Primergy	PY R254-N038005-0NA	1	350.00	'	14.00	350.00
Installation, Midrange Server, w/o OS, One Time billing	111254 14000000 0147	'	000.00	1		000.00
PYRX2540 Series Warranty Uplift, 36 Months, Enhanced Plus	PYR254 S26361-K1495-V101	1	2,267.00	1		2,267.00
Level, 24x7 4hr Onsite, Prepaid billing	111234_320301-1(1493-1/101	'	2,207.00	'		2,207.00
Level, 24x7 411 Orisite, Frepaid billing				Subtotal (*)	48,685.00	2,617.00
Storage			-	oubtotal ( )	40,000.00	2,017.00
PRIMECENTER RACK						
PRIMECENTER M1 Rack 724S 24U-1050x700	S26361-K827-V220	1	2,400.00	1	2,400.00	
Dummy panel, plastics, 1U + assembly	S26361-F4530-L131	1	12.00	3	36.00	
Dummy panel, plastics, 10 + assembly  Dummy panel, plastics, 2U + assembly	S26361-F4530-L132	1	12.00	6	72.00	
Socket strip 3phase 3x 8 sockets	S26361-F2262-L31	1	240.00	1	240.00	
PYPRIMECENTER during normal business hours, PRIMERGY	PY PCTR-N076005-0NA	1	265.00	1	240.00	265.00
·	F1 FC1R-1N070005-01NA	'	205.00	!		265.00
Installation, Racks, One Time billing PYPRIMECENTER Warranty Uplift, 36 Months, Enhanced Plus	DVDCTD LIQUAZEA ONA	4	750.00	1		750.00
	PYPCTR-U004361-0NA	1	750.00	1		750.00
Level, 24x7 4hr Onsite, Prepaid billing (PYPCTR-U004361-0NA)		4				
ETERNUS JX40	ETC-ET IVO44DO	1	2 222 22	-	40,400,00	
ETERNUS JX40	FTS:ETJXS11BG	4	3,232.00	5	16,160.00	
JX40 SSD SAS 12G 400GB MLC 2.5"	FTS:JX40-SSD400	1	3,706.00	65	240,890.00	
SAS CABLE 1X SFF 8088-1X SFF 8088 2M	D:KBSAS1S-1S-2M	1	73.00	5	365.00	0.405.00
PYJX40 Warranty Uplift, 12 Months, Enhanced	PYJX40-U004121-0NA	1	639.00	5		3,195.00
Plus Level, 24x7x365 Phone Support (Sev1 -						
Live Transfer), 24x7x365 Onsite and Parts						
(Sev1 Resp. Time - 4 Hours), Incl. Holidays,						
Prepaid billing						
PYJX40 Post Warranty, 24 Months, Enhanced	PYJX40-P004241-0NA	1	1,218.00	5		6,090.00
Plus Level, 24x7x365 Phone Support (Sev1 -						
Live Transfer), 24x7x365 Onsite and Parts						
(Sev1 Resp. Time - 4 Hours), Incl. Holidays,						
Prepaid billing						
PYJX40 during normal business hours,	PYJX40-N043005-0NA	1	450.00	5		2,250.00
Primergy storage installation, One Time billing						
				Subtotal(*)	260,163.00	12,550.00



# **TPC-E 1.13.0 TPC Pricing 2.0.0**

Report Date October 6, 2014 Availability Date December 1, 2014

COL Convey 2014 Enterprise Edition 2 Constitution	7 10 00750	2	40 470 50	40	242 505 00	
SQL Server 2014 Enterprise Edition 2 Core License	7JQ-00750	2	13,472.50	18	242,505.00	
Microsoft Window's Server 2012 R2	P72-06284	2	735.00	1	735.00	
Standard Edition 2 Processor License	- /-	_	050.00	4		050.0
Microsoft Problem Resolution Services	n/a	2	259.00	1 Subtotal	040.040.00	259.00 259.00
Application Server (Tier A) Hardware			_	Subtotai	243,240.00	259.00
Primergy RX200 S8		1				
PY RX200 S8, 4x2.5	S26361-K1455-V101	1	1,323.00	1	1,323.00	
Modulare SV 450W platin hp	S26113-F575-E12	1	275.00	1	275.00	
Pow er Supply Dummy	S26113-F574-E99	1	7.00	1	7.00	
Intel Xeon E5-2667v2 8C/16T 3.30GHz 25MB	S26361-F3803-E300	1	3,490.00	2	6,980.00	
Fan upgrade kit 2nd CPU	S26361-F1386-E120	1	61.00	1	61.00	
8GB (1x8GB) 2Rx8 DDR3-1866 R ECC	S26361-F3793-E515	1	237.00	8	1,896.00	
Performance Mode Installation	S26361-F3694-E2	1	7.00	2	14.00	
DVD-RW supermulti slimline SATA	S26361-F3269-E2	1	129.00	1	129.00	
HD SATA 6G 250GB 7.2K HOT PL 2.5" BC	S26361-F3708-E250	1	304.00	2	608.00	
Eth Ctrl 2x10GBase-T PCle x8 X540-T2	S26361-F3752-E202	1	966.00	1	966.00	
Rack Mount Kit F1 CMA QRL LV	S26361-F2735-E175	1	156.00	1	156.00	
Cable pow ercord rack, 4m, grey	T26139-Y1968-E100	1	14.00	1	14.00	
Mounting of RMK in symmetrical racks	S26361-F4530-E10	1	7.00	1	7.00	
Rack Cable Arm 1U	S26361-F2735-E81	1	27.00	1	27.00	
Region-kit APAC/EMEA/ India	S26361-F1452-E100	1	14.00	1	14.00	
PYRX200 Series during normal business hours, Primergy	PYR200-N039005-0NA	1	200.00	1		200.00
installation, Low-end Server, w/o OS, One Time billing			200.00			200.00
PYRX200 Series Warranty Uplift, 36 Months, Enhanced Level,	PYR200 S26361-K1455-V101	1	536.00	1		536.00
12x5 4hr Onsite, Prepaid billing			555.55			000.0
12/0 III Oliolo, Fropaid Simily				Subtotal(*)	12.477.00	736.00
Application Server (Tier A ) Software				(,	,	
Microsoft Window's Server 2012	P73-05761	2	735.00	1	735.00	
Standard Edition 2 Processor License						
				Subtotal	735.00	
Miscellaneous						
DISPLAY B20T-6 LED (incl 2spares)	S26361-K1416-V140	1	200.00	3	600.00	
Infrastructure or Connectivity						
KB400 USB grey INT USA (incl 2 spares)	S26381-K550-E102	1	14.00	3	42.00	
Mouse M480 grey (incl 2 spares)	S26381-K431-E101	1	8.00	3	24.00	
StarTech.com Shielded Cat6a Molded STP Patch Cable -	C6ASPAT7BL	3	12.99	4	51.96	
patch cable - 7 ft - b (+2 spares)						
				Subtotal(*)	717.96	0.00
				Total	566,017.96	16,162.00
Dollar Volume Discount (see Notes)	28%	1			90,157.48	
					475,860.48	
Notes:			Three-Y	ear Cost of Ow	nership USD	\$492,023.00
	com				Throughput	3772.08
Price Source: 1=Fujitsu, 2=Microsoft Corporation. 3=w w w .cdw .						J
Price Source: 1=Fujitsu, 2=Microsoft Corporation, 3=www.cdw. Discount applies to all subtotal marked with(*).					\$ USD/tpsE	\$130.44

The benchmark results and test methodology were audited by Doug Johnson for InfoSizing Inc. (www.sizing.com)

Prices used in TPC benchmarks reflect the actual prices a customer would pay for a one-time purchase of the stated components. Individually negotiated discounts are not permitted. Special prices based on assumptions about past or future purchases are not permitted. All discounts reflect standard pricing policies for the listed components. For complete details, see the pricing section of the TPC benchmark pricing specifications. If you find that the stated prices are not available according to these terms, please inform the TPC at pricing@tpc.org. Thank you.



# **TPC-E 1.13.0 TPC Pricing 2.0.0**

Report Date October 6, 2014 Availability Date December 1, 2014

	Numerical Qu	antities Summai	ry		
Reported Throughput:	3,772.08 tpsE	Configured Custo	Configured Customers:		
Response Times (in seconds)	Minimum	Average	90th%tile	Maximum	
Broker Volume	0.00	0.01	0.02	0.12	
Customer Position	0.00	0.01	0.02	3.41	
Market Feed	0.00	0.01	0.02	3.39	
Market Watch	0.00	0.01	0.01	0.20	
Security Detail	0.00	0.00	0.01	0.14	
Trade Lookup	0.00	0.05	0.08	0.25	
Trade Order	0.00	0.04	0.12	0.44	
Trade Result	0.00	0.01	0.04	1.45	
Trade Status	0.00	0.00	0.01	0.14	
Trade Update	0.00	0.06	0.08	0.29	
Data Maintenance	0.00	0.01	N/A	0.10	
Transaction Mix		Transaction Cour	nt	Mix %	
Broker Volume			13,307,785	4.900%	
Customer Position		35,306,398 13.000			
Market Feed		2,715,902 1.00			
Market Watch		48,885,835			
Security Detail			38,022,531 14.00		
Trade Lookup			21,726,689	8.000%	
Trade Order			27,430,355	10.100%	
Trade Result			27,158,982	10.000%	
Trade Status			51,601,664	19.000%	
Trade Update		5,431,761	2.000%		
Data Maintenance			120	N/A	
Test Duration and Timings					
Ramp-up Time (hh:mm:ss)	00:16:04				
Measurement Interval (hh:mm:ss)		02:00:00			
Business Recovery Time (hh:mm:s	ss)	00:13:44			
Total Number of Transactions Com	pleted		271,587,902		

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# Clause 0: Preamble

#### Introduction

TPC Benchmark™ E (TPC-E) is an On-Line Transaction Processing (OLTP) workload. It is a mixture of read-only and update intensive transactions that simulate the activities found in complex OLTP application environments. The database schema, data population, transactions, and implementation rules have been designed to be broadly representative of modern OLTP systems. The benchmark exercises a breadth of system components associated with such environments, which are characterized by:

- The simultaneous execution of multiple transaction types that span a breadth of complexity; Moderate system and application execution time;
- A balanced mixture of disk input/output and processor usage; Transaction integrity (ACID properties);
- A mixture of uniform and non-uniform data access through primary and secondary keys;
- Databases consisting of many tables with a wide variety of sizes, attributes, and relationships with realistic content;
- Contention on data access and update.

The TPC-E operations are modelled as follows: The database is continuously available 24 hours a day, 7 days a week, for data processing from multiple Sessions and data modifications against all tables, except possibly during infrequent (e.g., once a month) maintenance Sessions. Due to the worldwide nature of the application modelled by the TPC-E benchmark, any of the transactions may be executed against the database at any time, especially in relation to each other.

#### Goal of the TPC-E Benchmark

The TPC-E benchmark simulates the OLTP workload of a brokerage firm. The focus of the benchmark is the central database that executes transactions related to the firm's customer accounts. In keeping with the goal of measuring the performance characteristics of the database system, the benchmark does not attempt to measure the complex flow of data between multiple application systems that would exist in a real environment.

The mixture and variety of transactions being executed on the benchmark system is designed to capture the characteristic components of a complex system. Different transaction types are defined to simulate the interactions of the firm with its customers as well as its business partners. Different transaction types have varying run-time requirements.

The benchmark defines:

- Two types of transactions to simulate Consumer-to-Business as well as Business-to-Business activities
- Several transactions for each transaction type
- Different execution profiles for each transaction type
- A specific run-time mix for all defined transactions

For example, the database will simultaneously execute transactions generated by systems that interact with customers along with transactions that are generated by systems that interact with financial markets as well as administrative systems. The benchmark system will interact with a set of driver systems that simulate the various sources of transactions without requiring the benchmark to implement the complex environment. The Performance Metric reported by TPC-E is a "business throughput" measure of the number of completed Trade-Result transactions processed per second (see Clause 6.7.1). Multiple Transactions are used to simulate the business activity of processing a trade, and each Transaction is subject to a Response Time constraint. The Performance Metric for the benchmark is expressed in transactions-per-second-E (tpsE). To be compliant with the TPC-E standard, all references to tpsE Results must include the tpsE rate, the associated price-per-tpsE, and the Availability Date of the Priced Configuration (See Clause 6.7.3 for more detail).

Although this specification defines the implementation in terms of a relational data model, the database may be implemented using any commercially available Database Management System (DBMS), Database Server, file

system, or other data repository that provides a functionally equivalent implementation. The terms "table", "row", and "column" are used in this document only as examples of logical data structures.

TPC-E uses terminology and metrics that are similar to other benchmarks, originated by the TPC and others. Such similarity in terminology does not imply that TPC-E Results are comparable to other benchmarks. The only benchmark Results comparable to TPC-E are other TPC-E Results that conform to a comparable version of the TPC-E specification.

#### **Restrictions and Limitations**

Despite the fact that this benchmark offers a rich environment that represents many OLTP applications, this benchmark does not reflect the entire range of OLTP requirements. In addition, the extent to which a customer can achieve the Results reported by a vendor is highly dependent on how closely TPC-E approximates the customer application. The relative performance of systems derived from this benchmark does not necessarily hold for other workloads or environments. Extrapolations to any other environment are not recommended. Benchmark Results are highly dependent upon workload, specific application requirements, and systems design and implementation. Relative system performance will vary because of these and other factors. Therefore, TPC-E should not be used as a substitute for specific customer application benchmarking when critical capacity planning and/or product evaluation decisions are contemplated.

Benchmark Sponsors are permitted various possible implementation designs, insofar as they adhere to the model described and pictorially illustrated in this specification. A Full Disclosure Report (FDR) of the implementation details, as specified in Clause 9.1, must be made available along with the reported Results.

# Clause 1: Overview

#### **Order and Titles**

The order and titles of sections in the Report and Supporting Files must correspond with the order and titles of sections from the TPC-E Standard Specification (i.e., this document). The intent is to make it as easy as possible for readers to compare and contrast material in different Reports (9.1.1.1).

The order and titles in this report correspond to those in the TPC-E specification.

# **Executive Summary Statement**

The TPC Executive Summary Statement must be included near the beginning of the Report (9.2).

The Executive summary has been included near the beginning of this FDR.

# **Benchmark Sponsor**

A statement identifying the benchmark sponsor(s) and other participating companies must be provided (9.3.1.1).

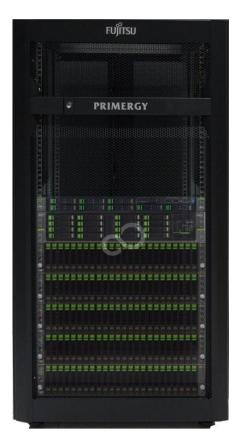
Fujitsu is the sponsor of this TPC Benchmark™ E result.

# **Configuration Diagram**

Diagrams of both measured and Priced Configurations must be reported in the Report, accompanied by a description of the differences (9.3.1.2).

The measured and priced configurations are shown in the following figures. There are differences between both configurations at additional storage used for database setup and backup in the measured configuration. This storage is not used during measurement and not required for pricing.

Figure 1-1: Priced Configuration



#### Tier A

PRIMERGY RX200 S8
2x Intel Xeon E5-2667 v2 3.30 GHz
64 GB Memory
2x 250 GB 7.2k rpm SATA Drive
2x onboard LAN 1 Gb/s
1x Dual Port LAN 10 Gb/s

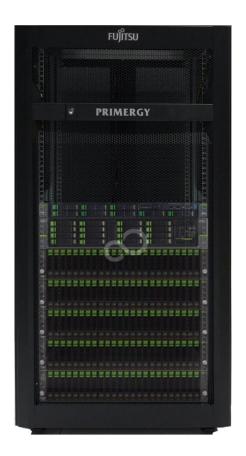
#### Tier B

PRIMERGY RX2540 M1
2x Intel Xeon E5-2699 v3 2.30 GHz
512 GB Memory
2x 300 GB 15k rpm SAS Drives
4x 450 GB 15k rpm SAS Drives
2x onboard LAN 10 Gb/s
6x SAS RAID Controller

#### **Storage**

1x PRIMECENTER Rack 5x ETERNUS JX40 65x 400 GB SSD Drives

Figure 1-2: Measured Configuration



#### Tier A

PRIMERGY RX200 S8
2x Intel Xeon E5-2667 v2 3.30 GHz
64 GB Memory
2x 250 GB 7.2k rpm SATA Drive
2x onboard LAN 1 Gb/s
1x Dual Port LAN 10 Gb/s

#### Tier B

PRIMERGY RX2540 M1
2x Intel Xeon E5-2699 v3 2.30 GHz
512 GB Memory
2x 300 GB 15k rpm SAS Drives
4x 450 GB 15k rpm SAS Drives
2x onboard LAN 10 Gb/s
6x SAS RAID Controller

#### **Storage**

1x PRIMECENTER Rack 5x ETERNUS JX40 65x 200 GB SSD Drives 40x 1.2 TB 10k rpm SAS Drives

# **Hardware Configuration**

A description of the steps taken to configure all the hardware must be reported in the Report (9.3.1.4).

#### Driver

The driver system is not part of the System Under Test (SUT) and priced configuration. This system was connected with Tier A system, using onboard LAN with 2 x 10 Gb/s Ethernet. There are two LAN segments for these connections.

#### Tier A

The Tier A server is a Fujitsu PRIMERGY RX200 S8 with two Intel Xeon E5-2667 v2 Eight-Core Processor and 64 GB of memory. Two SATA 250 GB 7.2k rpm disk drives are connected to the onboard controller. One 10 Gb/s dual port Ethernet LAN card is plugged in the PCI-E slot. Each of the two ports is directly connected with one of the 10 Gb/s Ethernet onboard LAN ports of Tier B using a LAN crossover cable. There are two LAN segments for these connections. The two onboard 1 Gb/s LAN ports are used for driver connection.

#### Tier B

The Tier B or database server is a Fujitsu PRIMERGY RX2540 M1 with two Intel Xeon E5-2699 v3 18-Core Processors and 512 GB memory. Six of the eight onboard 3.5" disk bays are used with 2x SAS 300 GB 15k rpm; 4x SAS 450 GB 15k rpm disk drives and SAS/SATA RAID Controller 12 Gb/s SAS3.0 (PRAID EP400i). Two drives are configured with RAID1 for OS and database. The four drives with 450 GB are configured with RAID10 for database log. Five RAID Controllers SAS 6G 8Port ex 1GB LP LSI V3 are used to connect the external disk drives to the server. The controller cache of all 6 RAID controllers is configured with Write Through. The two onboard 10 Gb/s Ethernet LAN ports are connected to the Tier A system as described above.

#### Storage

5 Fujitsu ETERNUS JX40 are used, each with 13x 400GB SSD 2.5" RAID5. The enclosures are connected to the controllers SAS 6G 8Port ex 1GB LP LSI V3. For details see table 2-2 Disk Configuration. The disk configuration can be done with the ServerView RAID Manager, which is shipped on ServerStart DVD together with the Server.

# **Software Configuration**

A description of the steps taken to configure all the software must be reported in the Report (9.3.1.5).

The default installation of the operating system was executed on Tier A and B as well as the installation of the database SW on Tier B and the database client connectivity on Tier A. Information about changes to the software, settings and BenchCraft can be found in the SupportingFiles directory Introduction - Software.

# Clause 2: Database Design, Scaling and Population

#### **Database Creation**

A description of the steps taken to create the database for the Reported Throughput must be reported in the Report (9.3.2).

The physical organization of tables and indices, within the database, must be reported in the Report. (9.3.2.1)

The database has been created for 1,900,000 customers. The SQL Server scripts and setup command files are included in the SupportingFiles\Clause2 folder. Two file groups are used for the tables and indices. The distribution is shown in table 2-1.

# **Partitioning**

While few restrictions are placed upon horizontal or vertical partitioning of tables and rows in the TPC-E benchmark (see Clause 2.3.3), any such partitioning must be reported in the Report. (9.3.2.2)

There is no partitioning implemented in this configuration.

# **Replication and Duplicated Attributes**

Replication of tables, if used, must be reported in the Report (9.3.2.3). Additional and/or duplicated attributes in any table must be reported in the Report along with a statement on the impact on performance (9.3.2.4).

There is no replication implemented in this configuration. No duplications or additional attributes were used.

# **Cardinality of Tables**

The cardinality (e.g. the number of rows) of each table, as it existed after database load (see Clause 2.6), must be reported in the Report (9.3.2.5).

The database was configured for 1,900,000 customers. The cardinality of the tables after database load is as shown in the following table 2-1.

Table 2-1: Table Cardinality and File Groups

Table	Cardinality after database load	File Group
ACCOUNT PERMISSION	13490342	1
ADDRESS	2850004	1
BROKER	19000	1
CASH_TRANSACTION	30205369151	2
CHARGE	15	1
COMMISSION_RATE	240	1
COMPANY	950000	1
COMPANY_COMPETITOR	2850000	1
CUSTOMER	1900000	1
CUSTOMER_ACCOUNT	9500000	1
CUSTOMER_TAXRATE	3800000	1
DAILY_MARKET	1698457500	1
EXCHANGE	4	1
FINANCIAL	19000000	1
HOLDING	1680816025	2
HOLDING_HISTORY	44000459125	2
HOLDING_SUMMARY	94488562	2
INDUSTRY	102	1
LAST_TRADE	1301500	1
NEWS_ITEM	1900000	1
NEWS_XREF	1900000	1
SECTOR	12	1
SECURITY	1301500	1
SETTLEMENT	32832000000	2
STATUS_TYPE	5	1
TAXRATE	320	1
TRADE	32832000000	2
TRADE_HISTORY	78796796253	2
TRADE_REQUEST	0	2
TRADE_TYPE	5	1
WATCH_ITEM	190008342	1
WATCH_LIST	1900000	1
ZIP_CODE	14741	1

# Distribution of Tables, Partitions and Logs

The distribution of tables, partitions and logs across all media must be explicitly depicted for the measured and Priced Configurations (9.3.2.6).

Table 2-2: Disk Configuration

HBA - Port	Disk#	Drives	Partition	Size	Use
Crtl 0	1	2x300GB 15K SAS, RAID1	C:\	278 GB	OS, DB
onboard	0	4x450GB 15K SAS, RAID10	L:\	837 GB	DB Log
Crtl 1 Port 0 JX40	2	13x400GB SSD, RAID5	C:\jp\tpce011 C:\jp\tpce012	97.6 GB 4367 GB	Filegroup1 Filegroup2
	3	8x1200GB HDD, RAID5	C:\jp\help01	7821 GB	DB Setup,Backup
Crtl 1 Port 1 JX40	4	23x200 GB SSD, RAID5	C:\jp\help06	4087 GB	DB Setup
Crtl 2 Port 0 JX40	5	13x400GB SSD, RAID5	C:\jp\tpce021 C:\jp\tpce022	97.6 GB 4367 GB	Filegroup1 Filegroup2
	6	8x1200GB HDD, RAID5	C:\jp\help02	7821 GB	DB Setup,Backup
Crtl 2 Port 1 JX40	7	23x200 GB SSD, RAID5	C:\jp\help07	4087 GB	DB Setup
Crtl 3 Port 0 JX40	8	13x400GB SSD, RAID5	C:\jp\tpce031 C:\jp\tpce032	97.6 GB 4367 GB	Filegroup1 Filegroup2
	9	8x1200GB HDD, RAID5	C:\jp\help03	7821 GB	DB Setup,Backup
Crtl 3 Port 1 JX40	10	23x200 GB SSD, RAID5	C:\jp\help08	4087 GB	DB Setup
Crtl 4 Port 0 JX40	11	13x400GB SSD, RAID5	C:\jp\tpce041 C:\jp\tpce042	97.6 GB 4367 GB	Filegroup1 Filegroup2
	12	8x1200GB HDD, RAID5	C:\jp\help04	7821 GB	DB Setup,Backup
Crtl 5 Port 0 JX40	13	13x400GB SSD, RAID5	C:\jp\tpce051 C:\jp\tpce052	97.6 GB 4367 GB	Filegroup1 Filegroup2
	14	8x1200GB HDD, RAID5	C:\jp\help05	7821 GB	DB Setup,Backup

#### **Database Interface, Data Model and Load Methodology**

A statement must be provided in the Report that describes:

The Database Interface (e.g., embedded, call level) and access language (e.g., SQL, COBOL read/write) used to implement the TPC-E Transactions. If more than one interface / access language is used to implement TPC-E, each interface / access language must be described and a list of which interface /access language is used with which Transaction type must be reported.

The data model implemented by the DBMS (e.g., relational, network, hierarchical) (9.3.2.7).

The methodology used to load the database must be reported in the Report (9.3.2.8).

Microsoft SQL Server 2014 Enterprise Edition is a relational database. The interface used was Microsoft SQL Server stored procedures accessed with Remote Procedure Calls embedded in C++ code using the Microsoft ODBC interface.

The methodology used to load the database is described in Clause2 of the SupportingFiles directory.

# **Clause 3: Transactions**

# **Vendor-Supplied Code**

A statement that vendor-supplied code is functionally equivalent to Pseudo-code in the specification (see Clause 3.2.1.6) must be reported in the Report (9.3.3.1).

The vendor supplied code is functionally equivalent to the pseudo-code.

# **Database Footprint Requirements**

A statement that the database footprint requirements (as described in Clause 3.3) were met must be reported in the Report (9.3.3.2).

Database footprint requirements were met as described in the specification.

# **Clause 4: SUT, Driver and Network**

# **Network Configuration**

The Network configurations of both the measured and Priced Configurations must be described and reported in the Report. This includes the mandatory Network between the Driver and Tier A (see Clause 4.2.2) and any optional Database Server interface networks (9.3.4.2):

Figures 1-1 and 1-2 show the configuration of the measured and priced configurations. Both are identical in case of the network configuration. Tier B system PRIMERGY RX2540 M1 has an onboard Ethernet controller with two 10 Gb/s ports. Tier A system PRIMERGY RX200 S8 has an onboard Ethernet controller with two 1Gb/s ports used for driver system connection. Tier A system was extended with one dual-port 10 Gb/s Ethernet controller card. These two ports were directly connected with the two onboard ports of Tier B using different LAN segments.

# Clause 5: EGen

#### **EGen Version**

The version of EGen used in the benchmark must be reported (9.3.5.1).

The EGen version used was 1.13.0.

#### **EGen Code**

A statement that all required TPC-provided EGen code was used in the benchmark must be reported (9.3.5.2).

All the required TPC-provided code was used in the benchmark.

#### **EGen Modifications**

If the Test Sponsor modified EGen, a statement EGen has been modified must be reported in the Report. All formal waivers from the TPC documenting the allowed changes to EGen must also be reported in the Report (see Clause 5.3.7.1). If any of the changes to EGen do not have a formal waiver that must also be reported (9.3.5.3). If the Test Sponsor extended EGenLoader (as described in Appendix A.6), the use of the extended EGenLoader and the audit of the extension code by an Auditor must be reported (9.3.5.4).

EGen v1.13.0 introduces non-trivial constructors for certain classes defined in TxnHarnessStructs.h. As a consequence it is a compile-time error to use any of these classes as a member of a union. The TPC-E subcommittee has been informed of this situation. This change in EGen compile-time behavior is unintentional so the TPC-E subcommittee has classified this as a logic error (per TPC Policies v6.2 Clause 5.4.4) and will address it in a future release of EGen. In the interim, the TPC-E subcommittee recommends that affected test sponsors wishing to publish a result proceed according to TPC-E v1.13.0 Clause 5.3.6. Accordingly, EGen was modified for this publication by removing the constructors in question. The TPC-E subcommittee has discussed this solution and found no compliance issues with it.

The file TxnHarnessStructs.h can be found in Supporting Files Clause5.

# **Clause 6: Performance Metrics and Response time**

#### **EGen Driver**

The number of EGenDriverMEE and EGenDriverCE instances used in the benchmark must be reported in the Report (see Clause 6.2.5) (9.3.1.1).

One Tier A system was used and configured to drive 16 EGenDriverMEE and 16 EGenDriverCE instances.

#### **Measured Throughput**

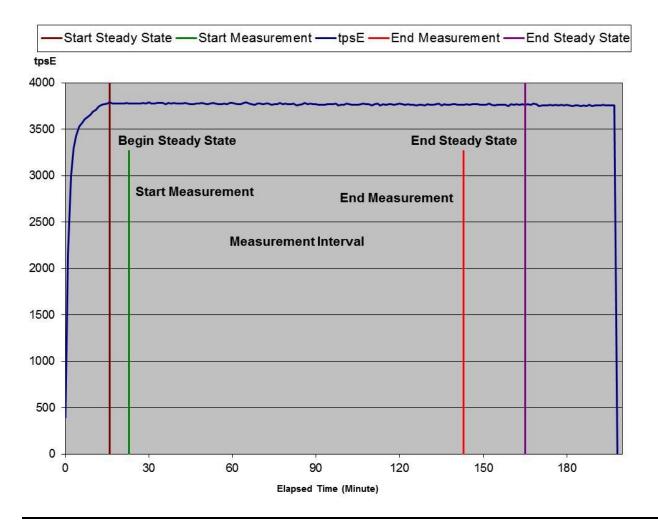
The Measured Throughput must be reported in the Report (see Clause 6.7.1.2) (9.3.6.2).

The measured throughput was 3,772.08 tpsE.

# Test Run Graph

A Test Run Graph of throughput versus elapsed wall clock time must be reported in the Report for the Trade-Result Transaction (see Clause 6.7.2) (9.3.6.3).

Figure 6-1: Test Run Graph



#### **Steady State**

The method used to determine that the SUT had reached a Steady State prior to commencing the Measurement Interval must be reported in the Report (9.3.6.4).

During the run the tpsE throughput was observed to determine steady state. After the run steady state was confirmed by:

- 1. Looked at the Test Run Graph and verified that tpsE was steady prior to commencing the Measurement Interval
- 2. Calculated 60 minute average tpsE during the Steady State moving the time window 10 minutes each time. Then confirmed that the minimum 60 minute average tpsE was not less than 98% of the Reported Throughput, and that the maximum 60 minute average tpsE was not greater than 102% of the Reported Throughput.
- 3. Calculated 10 minute average tpsE during the Steady State moving the window 1 minute each time. Then confirmed that the minimum 10 minute average tpsE was not less than 80% of the Reported Throughput, and that the maximum 10 minute average tpsE was not greater than 120% of the Reported Throughput.

# **Work Performed During Steady State**

A description of how the work normally performed during a Test Run, actually occurred during the Measurement Interval must be reported in the Report (for example checkpointing, writing Undo/Redo Log records, etc.) (9.3.6.5).

The Microsoft SQL Server recovery interval parameter was set to the maximum allowable value to perform checkpoint at specific intervals. Checkpoints were automatically issued at specified intervals (449 seconds) and specified duration (440 seconds). SQL Server was started with trace flag 3502, which caused it to log the occurrence of the checkpoints. This information was used to verify that the checkpoints occurred at the appropriate times and duration during steady state.

# **Transaction Input Parameter Averages**

The recorded averages over the Measurement Interval for each of the Transaction input parameters specified by clause 6.4.1 must be reported (9.3.6.6).

Table 6-2: Transaction Input Parameter Averages.

Transaction	Parameter	Range Min	Range Max	Value	Check
Customer Position	By Tax ID	48.00%	52.00%	50.01%	Ok
	Get History	48.00%	52.00%	50.00%	Ok
	Overall				Ok
Market Watch	By Watch List	57.00%	63.00%	60.00%	Ok
	By Customer Account	33.00%	37.00%	35.00%	Ok
	By Industry	4.50%	5.50%	5.00%	Ok
	Overall				Ok
Security Detail	Access LOB	0.90%	1.10%	1.00%	Ok
	Overall				Ok
Trade Lookup	Frame 1	28.50%	31.50%	30.01%	Ok
	Frame 2	28.50%	31.50%	29.99%	Ok
	Frame 3	28.50%	31.50%	30.00%	Ok
	Frame 4	9.50%	10.50%	10.00%	Ok
	Overall				Ok
Trade Update	Frame 1	31.00%	35.00%	33.01%	Ok
	Frame 2	31.00%	35.00%	33.00%	Ok
	Frame 3	32.00%	36.00%	33.99%	Ok
	Overall				Ok
Trade Order	By Non-Owner	9.50%	10.50%	10.00%	Ok
	By Company Name	38.00%	42.00%	39.99%	Ok
	Buy On Margin	7.50%	8.50%	8.01%	Ok
	Rollback	0.94%	1.04%	0.99%	Ok
	LIFO	33.00%	37.00%	35.01%	Ok
	Trade Qty 100	24.00%	26.00%	24.99%	Ok
	Trade Qty 200	24.00%	26.00%	25.01%	Ok
	Trade Qty 400	24.00%	26.00%	25.01%	Ok
	Trade Qty 800	24.00%	26.00%	24.99%	Ok
	Market Buy	29.70%	30.30%	30.00%	Ok
	Market Sell	29.70%	30.30%	30.00%	Ok
	Limit Buy	19.80%	20.20%	19.99%	Ok
	Limit Sell	9.90%	10.10%	10.00%	Ok
	Stop Loss	9.90%	10.10%	10.00%	Ok
	Overall				Ok

# **Clause 7: Transaction and System Properties**

#### **ACID Tests**

The results of the ACID tests must be reported in the Report along with a description of how the ACID requirements were met, and how the ACID tests were run (9.3.7.1).

The TPC Benchmark™ E Standard Specification defines a set of transaction processing system properties that a system under test (SUT) must support during the execution of the benchmark. Those properties are Atomicity, Consistency, Isolation and Durability (ACID). This section quotes the specification definition of each of those properties and describes the tests done as specified and monitored by the auditor, to demonstrate compliance. See also file MSTPCE ACID Procedures.pdf in the SupportingFiles directory.

# **Redundancy Level and Data Accessibility**

The Test Sponsor must report in the Report the Redundancy Level (see Clause 7.5.7.1) and describe the Data Accessibility test(s) used to demonstrate compliance (9.3.7.2).

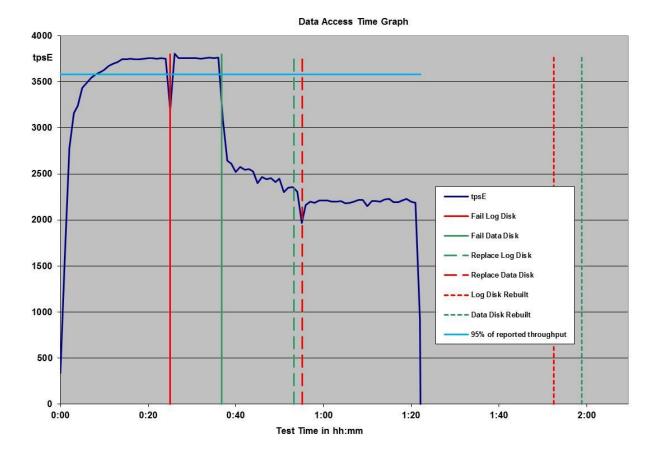
A Data Accessibility Graph for each run demonstrating a Redundancy Level must be reported in the Report (see Clause 7.5.7.2) (9.3.7.3).

Redundancy Level 1 was used for the storage system. To prove Redundancy Level 1, the following steps were successfully performed on a database data and log disk. The test for Redundancy Level 1 is the test for Permanent Irrecoverable Failure of any single Durable Medium. The different steps and the various states of the two disks are reported by ServerView RAID and written to the system event (see SupportingFiles).

- 1. Determine the current number of completed trades in the database by counting the rows in SETTLEMENT.
- 2. Start submitting Transactions and ramp up to the Durability Throughput Requirements (as defined in Clause 7.5.3) and satisfy those requirements for at least 5 minutes with a throughput above 95% of reported throughput.
- 3. Induce the failure described for the redundancy level being demonstrated. In this case fail a disk in a database log array database data array. Since RAID10 is used, the transactions continue. Run for at least 5 minutes with throughput above 95% of reported throughput.
- 4. Induce the failure described for the redundancy level being demonstrated. In this case fail a disk in the database data array. Since RAID5 is used, the transactions continue. Run for at least 5 minutes.
- 5. Begin the necessary recovery process, by replacing the failed drives in the database data array and start the rebuild process.
- 6. Begin the necessary recovery process, by replacing the failed drives in the database log array and start the rebuild.
- 7. Continue running the Driver for at least 20.
- 8. Terminate the run gracefully from the Driver.
- 9. Wait until rebuild process has finished.
- 10. Determine the current number of completed trades in the database by counting the rows in SETTLEMENT.
- 11. Run the evaluation of Trade-Result Transactions executed and compare it with the difference of the SETTLEMENT rows counted.

The Graph in Figure 7-1 show the measured throughput versus time and the different test stated.

Figure 7-1: Redundancy Level and Data Accessibility Graph



# **Business Recovery**

The Test Sponsor must describe in the Report the test(s) used to demonstrate Business Recovery (9.3.4.7). The Business Recovery Time must be reported on the Executive Summary Statement and in the Report. If the failures described in Clauses 7.5.2.2, 7.5.2.3 and 7.5.2.4 were not combined into one Durability test (usually powering off the Database Server during the run), then the Business Recovery Time for the failure described for instantaneous interruption is the Business Recovery Time that must be reported in the Executive Summary Statement. All the Business Recovery Times for each test requiring Business Recovery must be reported in the Report (9.3.7.6). 9.3.7.6 The Business Recovery Time Graph (see Clause 7.5.7.4) must be reported in the Report for all Business Recovery tests (9.3.7.7).

The tests for "Instantaneous interrupt," "Failure of all or part of memory," and "Loss of external power to the SUT" were combined by power off Tier A and B.

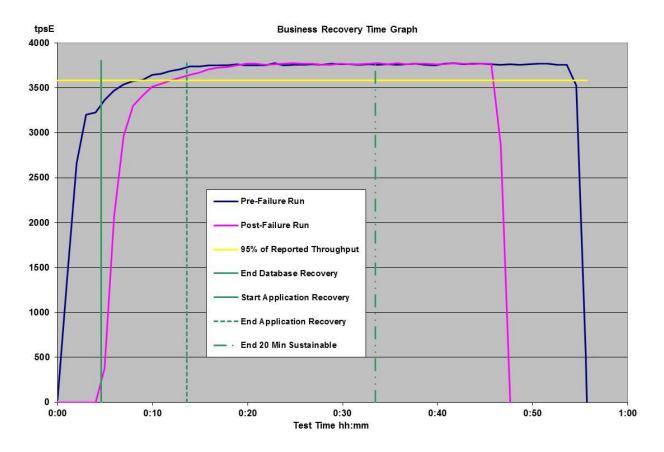
- 1. Determine the current number of completed trades in the database by counting the rows in SETTLEMENT.
- 2. Start submitting transactions and ramp up to the Durability Throughput Requirements (as defined in Clause 7.5.3) and satisfy those requirements for at least 20 minutes.
- 3. Induce the failures by power off Tier B.
- 4. On the driver side the number of MEE connections is captured and after transaction failures is noted by the drivers, terminate the run and collect the data for Pre-Failure Run.
- 5. Re-power and restart Tier B.
- 6. When restarting the database on Tier B, it automatically starts the recovery and records timestamps. The Database Recovery Time was 00:04:37 (hh:mm.ss).
- 7. After recovery has completed Trade-Cleanup has been executed. A new run started again submitting transactions and ramp up to the Durability Throughput Requirements (as defined in Clause 7.5.3) and satisfy those requirements for at least 20 minutes. The Application Recovery Time was 00:09:07 (hh:mm:ss).

- 8. Terminate the run gracefully from the Driver and collect the data for Post-Failure Run.
- 9. Verify that there are no errors in the Post-Failure run and check the consistency of the database as specified in Clause 7.3.1.1.
- 10. Determine the current number of completed trades in the database by counting the rows in SETTLEMENT.
- 11. Run the evaluation of Trade-Result Transactions executed in both runs and compare it with the difference of the SETTLEMENT rows counted. The difference must be less than or equal to the maximum number of Transactions which can be simultaneously in-flight from the Driver to the SUT.

The Business Recovery Time (per Clause 7.5.7 Step15) was 00:13:44 (hh:mm:ss).

The Graph in Figure 7-2 shows the measured throughput versus time and the Business Recovery.

Figure 7-2: Business Recovery Graph



# **Clause 8: Pricing Related Items**

# **60-Day Space**

Details of the 60-Day Space computations along with proof that the database is configured to sustain a Business Day of growth must be reported (9.3.8.1).

Table 8-1: Space Requirements

			TPC-E Disk Spac	e Requirements		İ			
Customers Used	1,900,000		11 O L Diak opuo	- requirements					
Performance	3772.08	TnsF	settlements after	8 hours (Busines	Day)	108,635,904			
	0		oo aa o			.00,000,001			
					initinal size	grow size			
Table	Initial Rows	Data (KB)	Index size (KB)	Extra 5% (KB)	Total + 5% (KB)	After run (KB)	Growth (KB)	1 Day Growth (KB)	Reg. Add. (KB)
ACCOUNT PERMISSION	13,490,342	742,880	4,688	37,378	784,946	747,600	32	79	37,378
ADDRESS	2,850,004	164,392	1,208	8,280	173,880	165,648	48	118	8,280
BROKER	19,000	1,392	1,456	142	2,990	2,848	0	0	142
CASH TRANSACTION	30,208,831,671	3,134,493,824	6,611,688	157,055,276	3,298,160,788	3,148,776,952	7,671,440	18,802,670	18,802,670
CHARGE	15	8	8	1	17	16		0	1
COMMISSION_RATE	240	16	16	2	34	32	0	0	2
COMPANY	950,000	202,520	62,072	13,230	277,822	264,592	0	0	13,230
COMPANY COMPETITOR	2,850,000	76,552			154,493	147,136	0	0	7,357
CUSTOMER	1,900,000	311,416	93,448	20,243	425,107	404,880	16	40	20,243
CUSTOMER_ACCOUNT	9,500,000	860,864	212,024	53,644	1,126,532	1,072,888	0	0	53,644
CUSTOMER_TAXRATE	3,800,000	79,240				80,600	152	373	4,022
DAILY_MARKET	1,698,457,500	79,572,448				79,806,488	1,352	3,314	3,990,257
EXCHANGE	4	8			17	16		0	1
FINANCIAL	19,000,000	2,141,152				2,148,296	304	746	107,400
HOLDING	1,680,911,826	112,515,040	76,752,624	9,463,383	198,731,047	191,886,616	2,618,952	6,419,041	6,419,041
HOLDING HISTORY	44,005,534,401	1,600,185,824	1,069,203,088	133,469,446	2,802,858,358	2,678,729,352	9,340,440	22,893,382	22,893,382
HOLDING SUMMARY	94,488,667	4,137,296	16,000			4,153,296	0	0	0
INDUSTRY	102	8				32	0	0	2
LAST TRADE	1,301,500	81,104	1,224	4,116	86,444	82,328	0	0	4,116
NEWS ITEM	1,900,000	205,994,664	3,168	10,299,892	216,297,724	205,997,848	16	40	10,299,892
NEWS XREF	1,900,000	47,336	1,208	2,427	50,971	48,544	0	0	2,427
SECTOR	12	8	24	2	34	32	0	0	2
SECURITY	1,301,500	180,128	51,120	11,562	242,810	231,248	0	0	11,562
SETTLEMENT	32.835.763.586	1.565.936.304	3,303,016	78.461.966	1.647.701.286	1.573.520.136	4,280,816	10.492.264	10,492,264
STATUS TYPE	5	8	8	1	17	16	0	0	1
TAXRATE	320	32	16	2	50	56	8	20	20
TRADE	32,835,989,527	3,919,706,832	2,196,109,864	305,790,835	6,421,607,531	6,122,597,832	6,781,136	16,620,538	16,620,538
TRADE HISTORY	78,806,371,430	2,370,508,016	6,183,400	118,834,571	2,495,525,987	2,384,323,968	7,632,552	18,707,355	18,707,355
TRADE REQUEST	0	0	0	0	0	698,360	698,360	1,711,678	1,711,678
TRADE TYPE	5	8	1,032	52	1,092	1,040	0	0	52
WATCH_ITEM	190,008,342	5,325,472	20,272	267,287	5,613,031	5,346,016	272	667	267,287
WATCH_LIST	1,900,000	47,360	43,984	4,567	95,911	91,344	0	0	4,567
ZIP_CODE	14,741	488		27	563	536	0	0	27
Growing Tables			Initial Database	Size		Settlements	44,323,166		
			15,978,809	(MB)		Grown Database	Size		
			15,604	(GB)		16,016,921	(MB)		
	number	partition size (MB)	file size (MB)	alloc total (MB)	loaded (MB)	required (MB)			
filegroup1	5	100,004	444,000	500,019	289,685	304,170		space OK	
filegroup2	5	4,466,934	22,250,000	22,334,669	15,689,124	15,782,529		space OK	
		Number of disks	65						
		Disk Capacity (MB)	381,024						
		RAID5 Overhead	8%						
Initial Growing Space (MB)	15,689,124	Total Space-1 (MB)	22,861,440	1					
Final Growing Space (MB)	15.727.233	Number of disks		Initial Log Size (MB)	51.558	Log units	1		
Delta (MB)	38,109	Disk Capacity (MB)	•	Final Log Size (MB)	341,728	Disks per unit	4		
Data Space per Trade (MB		RAID5 Overhead	•	Log Growth (MB)	290,170	Disk Capacity (MB)	428,704		
1 Day Data Growth (MB)	93.405	Total Space-2 (MB)	-	Log Space per Trade	0.006547	RAID10 Overhead	50.0%		
,	21,583,121	Total Space (MB)	22.861.440	1 Day Log Space (MB		Log Space (MB)	857,408		

#### **Attestation Letter**

The Auditor's Attestation Letter, which indicates compliance, must be included in the Report (9.3.8.2).





Detlev Seidel Fujitsu Technology Solutions Heinz-Nixdorf-Ring 1 33106 Paderborn, Germany

October 6, 2014

I verified the TPC Benchmark<sup>™</sup> E v1.13.0 performance of the following configuration:

Platform: PRIMERGY RX2540 M1

Operating System: Microsoft Windows Server 2012 R2 Standard Edition
Database Manager: Microsoft SQL Server 2014 Enterprise Edition

The results were:

Performance Metric 3,772.08 tpsE Trade-Result 90<sup>th</sup> %-tile 0.04 Seconds

Tier B (Server) PRIMERGY RX2540 M1

CPUs 2 x Intel Xeon E5-2699 v3 (2.30 GHz, 18-core, 45 MB L3)

Memory 512 GB

Storage Qty Size Type

2 300 GB 15K rpm SAS HDD 4 450 GB 15K rpm SAS SSD 65 400 GB SAS SSD

Tier A (Client) PRIMERGY RX200 S8

CPUs 2 x Intel Xeon E5-2667 v2 (3.30 GHz, 8-core, 25 MB L3)

Memory 64 GB

Storage 2 x 250 GB 7.2K rpm SATA HDD

In my opinion, these performance results were produced in compliance with the TPC requirements for the benchmark.

The following verification items were given special attention:

- All EGen components were verified to be v1.13.0
- The transaction were correctly implemented
- The database was properly scaled and populated for 1,900,000 customers
- · The mandatory network between the driver and the SUT was configured

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- · The ACID properties were met
- · Input data was generated according to the specified percentages
- · The reported response times were correctly measured
- All 90% response times were under the specified maximums
- The measurement interval was 120 minutes
- The implementation used Redundancy Level 1
- The Business Recovery Time of 00:13:44 was correctly measured
- · The 60-day storage requirement was correctly computed
- · The system pricing was verified for major components and maintenance

#### Additional Audit Notes:

EGen v1.13.0 introduces non-trivial constructors for certain classes defined in TxnHarnessStructs.h. As a consequence it is a compile-time error to use any of these classes as a member of a union. The TPC-E subcommittee has been informed of this situation. This change in EGen compile-time behavior is unintentional so the TPC-E subcommittee has classified this as a logic error (per TPC Policies v6.2 Clause 5.4.4) and will address it in a future release of EGen. In the interim, the TPC-E subcommittee recommends that affected test sponsors wishing to publish a result proceed according to TPC-E v1.13.0 Clause 5.3.6. Accordingly, EGen was modified for this publication by removing the constructors in question. The TPC-E subcommittee has discussed this solution and found no compliance issues with it.

Respectfully Yours,

Doug Johnson, Auditor

François Raab, President

Fromis/and

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# **Clause 9: Supporting Files**

# **Supporting Files Index table**

An index for all files required by Clause 9.4 Supporting Files must be provided in the Report. The Supporting Files index is presented in a tabular format where the columns specify the following:

- The first column denotes the clause in the TPC Specification
- The second column provides a short description of the file contents
- The third column contains the path name for the file starting at the SupportingFiles directory.

If there are no Supporting Files provided then the description column must indicate that there is no supporting file and the path name column must be left blank (9.3.9.1).

Clause	Description	Path	Filename
	overview	SupportingFiles	SupportingFiles.doc
Introduction	System Configuration	SupportingFiles/Introduction/	SysInfo_TierA.txt SysInfo_TierB.txt
	Disk	SupportingFiles/Introduction/Hardware/	DiskConfiguration.docx
	Configuration	Cupporting nes/introduction/riardware/	flatfilelocations.txt
	Cornigulation		makehelpdirff.cmd
			Readme.txt
			tempdb8.sql
	Parameter	SupportingFiles/Introduction/Software/	CountOperations.reg
	OS Tunables		MemoryManagement.reg
	Database Setup		MSTPCE Database Setup Reference.doc
	·		SQL_IP.reg
			SQL_LargePages.req
			SQL_Nodes.reg
			SQL_Server_Configuration.ver
			TierA_MSSQL_ConnectTo.reg
			TierA_W32Time.reg
	Startup Scripts Tier A	SupportingFiles/Introduction/Software/	start_all_RX2540M1-16DR-affinity.cmd
	Startup Scripts Tier B	SupportingFiles/Introduction/Software/	Sqlstart2014.cmd
Clause 2	Create Database	SupportingFiles/Clause2	Backup_Database.sql
			Checkpoint_TPCE_Database.SQL
			Count_Customers.sql
			Create_Database.sql
			Create_DM_Audit_Table.sql
			Create_TID_Ranges_Table.sql
			Create_Timer_Table.sql
			Create_TL_TU_Warnings_Table.sql
			Create_TPCE_VERSIONS_Table.sql
			Database_Options_1.sql
			Database_Options_2.sql Drop_and_Create_TPCE_INFO.sql
			End_Load_Timer.sql
			Get_Next_T_ID.sql
			Install_Load_Timer_Proc.sql
			Load_TPCE_Info.sql
			Output TPCE VERSIONS Table.SQL
			Remove_Database.sql
			Restore_Database.sql
			SQL_Server_Configuration.sql
			TPCE_Setup.cmd
			Trade_Cleanup.sql
			Version.sql
	Create Database	SupportingFiles/Clause2/DB_setup	1900000Customers_Load_Timer.log
	output		Backup_Database.log
			BrokerVolume.log
			Build_Steps.log
			BulkInsert_1.out
			 BulkInsert_60.out

			Check_Constraints_Fixed.log Check_Constraints_Growing.log Check_Constraints_Scaling.log Convert_NI_ITEM_Data.log Create_DB_Audit_Tables.log Create_DM_Audit_Tables.log Create_Indexes_Fixed_Tables.log Create_Indexes_Growing_Tables.log Create_Indexes_Scaling_Tables.log Create_Indexes_Scaling_Tables.log Create_TID_Ranges_Table.log Create_TID_Ranges_Table.log Create_TID_Warnings_Table.log Create_TPCE_VERSIONS_Table.log Create_DB.log CustomerPosition.log Database_Options_1.log Database_Options_1.log Database_Options_2.log DataMaintenance.log DB_Check.log DB_Fimary_Key_Check.log DB_Primary_Key_Check.log DB_Primary_Key_Check.log DB_Tables.log Drop_DB_Audit_Tables.log Drop_Fixed_Tables.log Drop_Growing_Tables.log Drop_Growing_Tables.log EGenLoaderFrom1To32000.log EGenLoaderFrom1To32000.log EGenLoaderFrom1868001To1900000.log ERRORLOG.txt FK_Constraints.log Get_Next_T_ID.log Insert_Duplicates_Tests.log Load_Timer.log Load_Timer_log Load_Timer_Proc.log Load_Timer_log Load_Timer_Proc.log Load_Timer_Proc.log Load_Timer_Proc.log SecurityDetail.log Spfiles.ver Splog.ver Splog
_	Index Creation Scripts	SupportingFiles/Clause2/DDL	TradeUpdate.log Version.log BulkInsert_<160>.sql Convert_NI_ITEM_Data.SQL
			Create_Check_Constraints_Fixed.sql Create_Check_Constraints_Growing.sql Create_Check_Constraints_Scaling.sql Create_FK_Constraints.sql Create_Indexes_Fixed_Tables.sql Create_Indexes_Growing_Tables.sql Create_Indexes_Scaling_Tables.sql Create_Tables_Fixed.sql Create_Tables_Growing.sql Create_Tables_Scaling.sql Drop_FK_Constraints.sql Drop_Tables_Fixed.sql Drop_Tables_Growing.sql Drop_Tables_Growing.sql Drop_Tables_Growing.sql Drop_Tables_Growing.sql Drop_Tables_Growing.sql

	Database Audit Scripts	SupportingFiles/Clause2/Audit_Scripts/ Database	Create_DB_Audit_Tables.SQL DB_Check.sql DB_FK_Constraints.sql	
			DB_Primary_Key_Check.SQL DB_Tables.sql Drop_DB_Audit_Tables.SQL	
	Databasa Space	SupportingFiles/Clause2/Audit_Scripts/	Insert_Duplicates_Tests.sql Referential_Integrity_Tests.sql SPFiles.sql	
	Database Space Scripts	Space	SPLog.sql SPUsed.sql	
Clause3	Transaction Frames	SupportingFiles/Clause3	BrokerVolume.sql CustomerPosition.sql DataMaintenance.sql MarketFeed.sql MarketWatch.sql SecurityDetail.sql TradeLookup.sql	
			TradeOrder.sql TradeResult.sql TradeStatus.sql TradeUpdate.sql	
	BaseServer	SupportingFiles/Clause3/BaseServer	BaseServer.cpp BaseServer.h BaseServer.vcproj BaseServer.vcxproj stdafx.cpp stdafx.h SUTServersLocals.h	
	SUT_CE_Server	SupportingFiles/Clause3/SUT_CE_Server	Release\SUT_CE_Server.exe CEServer.cpp CEServer.h CEServerMain.cpp PortDefinitions.h stdafx.cpp stdafx.h SUT_CE_Server.vcproj SUT_CE_Server.vcxproj SUTServer.sln SUTStructs.h	
	SUT_MEE_Serv er	SupportingFiles/Clause3/SUT_MEE_Se rver	Release\SUT_MEE_Server.exe MEEServer.cpp MEEServer.h MEEServerMain.cpp stdafx.cpp stdafx.h SUT_MEE_Server.vcproj SUT_MEE_Server.vcxproj	
	TransactionsSP	SupportingFiles/Clause3/TransactionsS P	BrokerVolumeDB_SP.cpp BrokerVolumeDB_SP.h CheckpointDB_SP.cpp CheckpointDB_SP.cpp CheckpointDB_SP.h CustomerPositionDB_SP.cpp CustomerPositionDB_SP.cpp DataMaintenanceDB_SP.h DataMaintenanceDB_SP.h MarketFeedDB_SP.cpp MarketFeedDB_SP.cpp MarketWatchDB_SP.cpp MarketWatchDB_SP.h SecurityDetailDB_SP.h SecurityDetailDB_SP.h stdafx.cpp stdafx.h TradeLookupDB_SP.h TradeOrderDB_SP.h TradeOrderDB_SP.h TradeResultDB_SP.h TradeResultDB_SP.h TradeResultDB_SP.h TradeResultDB_SP.h TradeResultDB_SP.h TradeResultDB_SP.h	

	TxnHarness	SupportingFiles/Clause3/TxnHarnes	TradeStatusDB_SP.h TradeUpdateDB_SP.cpp TradeUpdateDB_SP.h TransactionsSP.vcproj TransactionsSP.vcxproj TxnHarnessDBBase.cpp TxnHarnessDBConn.cpp TxnHarnessDBConn.h TxnHarness.vcxproj TxnHarness.vcxproj TxnHarness.vcxproj TxnHarness.vcxproj TxnHarness.vcxproj TxnHarness.vcxproj TxnHarness.vcxproj	
			TxnHarness_stdafx.h TxnHarnessSendToMarket.cpp TxnHarnessSendToMarket.h	
Clause4				
Clause5	EGen Driver Configuration	SupportingFiles/Clause5	RX2540M1_1900KCus_16x30_spidera.xml TxnHarnessStructs.h	
	EGenLoader Parameter	SupportingFiles/Clause5	BuildSteps.log EGenLoaderFrom1To32000.log EGenLoaderFrom32001To63000.log	
	EGenLogger Output	SupportingFiles/Clause5	EGenLoaderFrom 1868001To1900000.log TxnReportE-Ml.xls	
Clause6	EGenValidate	SupportingFiles/Clause6	EGenValidate.txt	
Clause7	ACID	SupportingFiles/Clause7	MSTPCE ACID Procedures.doc	
	ACID Procedures	SupportingFiles/Clause7/AcidProcs	AcidProc.cmd AcidProc.out Remove_AcidProcs.cmd	
	ACID Scripts  Atomicity	SupportingFiles/Clause7/AcidProcs/Scripts  SupportingFiles/Clause7/Atomicity	AcidProc.vbs CustomerPosition_Iso3.sql CustomerPosition_Iso4.sql Drop_SPROC.sql Remove_AcidProcs.vbs TradeOrder_C.sql TradeOrder_Iso1_1.sql TradeOrder_Iso1_2.sql TradeOrder_Iso3.sql TradeOrder_Iso3.sql TradeOrder_Iso4.sql TradeOrder_Iso4.sql TradeResult_Iso1_1.sql TradeResult_Iso1_2.sql TradeResult_Iso2_2.sql TradeResult_Iso2_2.sql TradeResult_Iso2_3.sql TradeResult_Iso3_3.sql TradeResult_Iso3.sql TradeResult_Iso3.sql TradeResult_Iso3.sql TradeResult_Iso4.sql Atomicity.cmd	
	Atomicity	SupportingFiles/Clause7/Atomicity/Scripts	Atomicity_C.out Atomicity_RB.out atom.vbs Atomicity_C.sql	
	Consistency	SupportingFiles/Clause7/Consistency	Atomicity_RB.sql Consistency.cmd Consistency.out	
	B	SupportingFiles/Clause7/Consistency/S cripts	Consistency.vbs	
	Durability Business Recovery	SupportingFiles/Clause7/Durability/Busi nessRecovery	BR_BenchCraft_Config.xml BR_Consistency.out BR_Count_Settlement1.ver BR_Count_Settlement2.ver BR_ERRORLOG1.txt BR_ERRORLOG2.txt BR_ERRORLOG3.txt BR_SystemEvents_TierB.txt BusinessRecov_Part1_step60.xlt	

			BusinessRecov_Part1_TxnReportE_20.xls
			BusinessRecov_Part1_TxnReportE_all.xls
			BusinessRecov_Part2_step60.xlt
			BusinessRecov_Part2_TxnReportE_20.xls
			BusinessRecov_Part2_TxnReportE_all.xls
			BusinessRecov_TimeGraph.xls
	Durability Data	SupportingFiles/Clause7/Durability/Data	DA_BenchCraft_Config.xml
	Accessibility	Accessibility	DA_Count_Settlement1.ver
			DA_Count_Settlement2.ver
			DA_ERRORLOG.txt
			DataAccess_TimeGraph.xls
			DataAccess_TxnReportE_5min1.xls
			DataAccess_TxnReportE_5min2.xls
			DataAccess_TxnReportE_20min.xls
			DataAccess_TxnReportE_all.xls
			SystemEvents_Application.txt
	Isolation	SupportingFiles/Clause7/Isolation	Isolation1_S1.rpt
			Isolation1_S2.rpt
			Isolation1_S3.rpt
			Isolation1_S4.rpt
			Isolation2_S1.rpt
			Isolation2_S2.rpt
			Isolation2_S3.rpt
			Isolation2_S4.rpt
			Isolation3_S1.rpt
			Isolation3_S2.rpt
			Isolation3_S3.rpt
			Isolation4_S1.rpt
			Isolation4_S2.rpt
			Isolation4_S3.rpt
		SupportingFiles/Clause7/Isolation/Script	Isolation1_S1.sql
		S	Isolation1_S2.sql
			Isolation1_S3.sql
			Isolation1_S4.sql
			Isolation2_S1.sql
			Isolation2_S2.sql
			Isolation2_S3.sql
			Isolation2_S4.sql
			Isolation3_S1.sql
			Isolation3_S2.sql
			Isolation3_S3.sql
			Isolation4_S1.sql
			Isolation4_S2.sql
			Isolation4_S3.sql
Clause8	60-Day Space Calculations	SupportingFiles/Clause8	tpce_space.xls

# **Appendix: Third Party Price Quotations**

Microsoft Corporation One Microsoft Way Redmond, WA 98052-6399 Tel 425 882 8080 Fax 425 936 7329 http://www.microsoft.com/

Microsoft

September 29, 2014

Fujitsu Detlev Seidel Heinz-Nixdorf-Ring 1 Paderborn, Germany 33106

Here is the information you requested regarding pricing for several Microsoft products to be used in conjunction with your TPC-E benchmark testing.

All pricing shown is in US Dollars (\$).

Part Number	Description	Unit Price	Quantity	Price					
Database Management System									
7JQ-00750	<b>SQL Server 2014 Enterprise Edition</b> 2 Core License Open Program - Level C	\$13,472.50	18	\$242,505.00					
Database Server Operating System									
P72-06284	Windows Server 2012 R2 Standard Edition 2 Processor License Open Program - Level C Unit Price reflects a 17% discount from the retail unit price of \$882.	\$735.00	1	\$735.00					
Tier-A Operating Sy	vstem(s)								
P73-05761	Windows Server 2012 Standard Edition 2 Processor License Open Program - Level C Unit Price reflects a 17% discount from the retail unit price of \$882.	\$735.00	1	\$735.00					
Support									
N/A	Microsoft Problem Resolution Services Professional Support (1 Incident).	\$259.00	1	\$259.00					

SQL Server 2014 Enterprise Edition, Windows Server 2012 R2 Standard Edition, and Windows Server 2012 Standard Edition are currently orderable and available through Microsoft's normal distribution channels. A list of Microsoft's resellers can be found in the Microsoft Product Information Center at

http://www.microsoft.com/products/info/render.aspx?view=22&type=how

Defect support is included in the purchase price. Additional support is available from Microsoft PSS on an incident by incident basis at \$259 call.

This quote is valid for the next 90 days. Reference ID: TPCE\_qhtplylGYLKTVUKf95957jydi\_2014\_dswslp.



http://www.cdw.com/shop/products/StarTech.com-Shielded-Cat6a-Molded-STP-Patch-Cable-patch-cable-7-ft-b/1973556.aspx