

Amended Return

990-PF

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2001

Department of the Treasury
Internal Revenue Service

Note. The organization may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2001, or tax year beginning 10/1/2001, and ending 9/30/2002

G Check all that apply: Initial return Final return Amended return Address change Name change

Use the IRS label.	Name of organization Carnegie Corporation of New York	A Employer identification number 13-1628151
Otherwise, print or type.	Number and street (or P O box number if mail is not delivered to street address) Room/suite 437 Madison Avenue	B Telephone number (see page 10 of the instr.) (212)371-3200
	City or town State ZIP + 4 New York NY 10022	C If exemption application is pending, check here <input type="checkbox"/>
H Check organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, column (c), line 16) 1,627,733,524		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, col. (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received Check <input type="checkbox"/> if the foundation is not required to attach Sch. B	35,000,000			
2 Distributions from split-interest trusts				
3 Interest on savings and temporary cash investments	1,912,613	1,878,841		
4 Dividends and interest from securities	26,674,904	27,733,797		
5a Gross rents				
b (Net rental income or (loss) _____)				
6a Net gain or (loss) from sale of assets not on line 10	12,006,350			
b G.S.P. for assets on 6a <u>1,249,517,370</u>				
7 Capital gain net income (from Part IV, line 2)		5,500,991		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns				
b Less: Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule) Schedule 1	3,267,733	18,505,630		
12 Total. Add lines 1 through 11	78,861,600	53,619,259		
13 Compensation of officers, directors, trustees, etc.	1,664,775	428,125		1,236,650
14 Other employee salaries and wages	5,261,729	317,462		4,943,966
15 Pension plans/employee benefits	2,730,717	153,121		2,571,757
16a Legal fees (attach schedule) Schedule 2	436,182	233,501		214,371
b Accounting fees (attach schedule) Schedule 2	118,775	76,245		39,030
c Other professional fees Schedule 2	4,145,372	4,099,166		
17 Interest				
18 Taxes (attach schedule) Schedule 3	607,427			
19 Depreciation and depletion Schedule 4	155,793			
20 Occupancy	1,467,636	169,684		1,298,195
21 Travel, conferences, and meetings	1,191,593	64,724		1,088,191
22 Printing and publications	583,929			424,081
23 Other expenses (attach schedule) Schedule 5	2,113,873	164,052		1,915,826
24 Total operating and administrative expenses. Add lines 13 through 23	20,477,801	5,706,080		13,732,067
25 Contributions, gifts, grants paid	150,091,501			76,301,020
26 Total expenses and disbursements. Add lines 24 and 25	170,569,302	5,706,080		90,033,087
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-91,707,702			
b Net investment income (if negative, enter -0-)		47,913,179		
c Adjusted net income (if negative, enter -0-)				

Paperwork Reduction Act Notice, see the instructions.

(HTA)

Form 990-PF (2001)

RECEIVED
 JUN 18 2003
 OPEN UP
 650
 SCANNED JUN 21 2003

Amended Return

Carnegie Corporation of New York

13-1628151

Page 2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only.			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
	1	Cash - non-interest bearing	5,458	7,782	7,782
	2	Savings and temporary cash investments	10,501	11,574	11,574
	3	Accounts receivable	2,886,953	1,861,831	1,861,831
		Less: allowance for doubtful accounts			
	4	Pledges receivable	0		
		Less: allowance for doubtful accounts			
	5	Grants receivable	0	15,636,000	15,636,000
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)	0		
A	7	Other notes and loans receivable			
s		Less: allowance for doubtful accounts	0	0	
s	8	Inventories for sale or use	0		
e	9	Prepaid expenses and deferred charges	522,308	1,007,030	1,007,030
t	10a	Investments - U.S. and state government obligations Schedule 7	89,050,562	71,983,548	79,392,605
s	b	Investments - corporate stock (attach schedule) Schedule 7	784,183,693	542,815,756	431,911,622
	c	Investments - corporate bonds (attach schedule) Schedule 7	208,768,701	175,026,562	183,028,465
	11	Investments - land, buildings, and equipment: basis			
		Less: accumulated depreciation	0	0	
	12	Investments - mortgage loans	0		
	13	Investments - other (attach schedule) Schedule 8	669,122,992	912,492,437	914,474,217
	14	Land, buildings, and equipment: basis Schedule 4			
		Less: accumulated depreciation	425,029	402,398	402,398
	15	Other assets (describe _____)			
	16	Total assets (to be completed by all filers-see page 16 of the instructions. Also, see page 1, item I)	1,754,976,197	1,721,244,918	1,627,733,524
Lia-	17	Accounts payable and accrued expenses	1,450,930	4,275,045	
bilities	18	Grants payable	52,979,869	108,132,177	
	19	Deferred revenue	0		
	20	Loans from officers, directors, trustees, and other disqualified persons	0		
	21	Mortgages and other notes payable (attach schedule)	0		
	22	Other liabilities (describe _____)	0		
	23	Total liabilities (add lines 17 through 22)	54,430,799	112,407,222	
N	Organizations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input checked="" type="checkbox"/>				
e	24	Unrestricted	1,565,208,530	1,457,864,828	
t	25	Temporarily restricted		15,636,000	
	26	Permanently restricted	135,336,868	135,336,868	
A	Organizations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>				
s	27	Capital stock, trust principal, or current funds			
e	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
t	29	Retained earnings, accumulated income, endowment, or other funds			
s	30	Total net assets or fund balances (see page 17 of the instructions)	1,700,545,398	1,608,837,696	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,754,976,197	1,721,244,918	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,700,545,398
2	Enter amount from Part I, line 27a	2	-91,707,702
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	1,608,837,696
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,608,837,696

Amended Return

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Schedule 6			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			0
b			0
c			0
d			0
e			0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a		0	0
b		0	0
c		0	0
d		0	0
e		0	0

2 Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	5,500,991
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the organization liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the organization does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2000	69,020,818	1,843,777,116	0.0374
1999	73,457,872	1,840,557,521	0.0399
1998	61,268,493	1,549,237,949	0.0395
1997	47,542,821	1,521,584,879	0.0312
1996	79,001,979	1,382,106,023	0.0572

2 Total of line 1, column (d)	2	0.2052
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.0410
4 Enter the net value of noncharitable-use assets for 2001 from Part X, line 5	4	1,737,042,151
5 Multiply line 4 by line 3	5	71,218,728
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	479,132
7 Add lines 5 and 6	7	71,697,860
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.	8	90,166,249

Amended Return

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18)

1a Exempt operating foundations described in section 4940(d)(2), check here and <input type="checkbox"/> enter "N/A" on line 1. Date of ruling letter: _____ (attach copy of ruling letter if necessary)		
b Domestic organizations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	479,132
c All other domestic organizations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3 Add lines 1 and 2	3	479,132
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	479,132
6 Credits/Payments: <i>See Amendment Statement</i>		
a 2001 estimated tax payments and 2000 overpayment credited to 2001	6a	479,132
b Exempt foreign organizations - tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	0
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	479,132
8 Enter any PENALTY for underpayment of estimated tax. Check here if Form 2220 <input type="checkbox"/> is attached	8	0
9 TAX DUE. If the total of lines 5 and 8 is more than line 7, enter AMOUNT OWED	9	0
10 OVERPAYMENT. If line 7 is more than the total of lines 5 and 8, enter the AMOUNT OVERPAID	10	0
11 Enter the amount of line 10 to be: Credited to 2002 estimated tax <u>0</u> Refunded	11	0

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the organization attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the organization in connection with the activities.	1b		X
c Did the organization file Form 1120-POL for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the organization. \$ <u>0</u> (2) On organization managers. \$ <u>0</u>			
e Enter the reimbursement (if any) paid by the organization during the year for political expenditure tax imposed on organization managers. \$ <u>0</u>			
2 Has the organization engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3 Has the organization made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a Did the organization have unrelated business gross income of \$1,000 or more during the year?	4a	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: * By language in the governing instrument or * By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the organization have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, column (c), and Part XV	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <u>New York and Washington, DC</u>			
b If the answer is "Yes" to line 7, has the organization furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9 Is the organization claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2001 or the taxable year beginning in 2001 (see instructions for Part XIV on page 25)? If "Yes," complete Part XIV	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses See Schedule B	10	X	
11 Did the organization comply with the public inspection requirements for its annual returns and exemption application? Web site address <u>www.carnegie.org</u>	11	X	
12 The books are in care of <u>Robert J. Seman, Director of Finance</u> Telephone no. <u>(212)371-3200</u> Located at <u>437 Madison Avenue, New York, NY</u> ZIP+4 <u>10022</u>			
13 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year	13		N/A

Amended Return

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the organization (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the organization agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1) - (6), did ANY of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 19 of the instructions)? <input type="checkbox"/>	1b	X
c Did the organization engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2001? <input type="checkbox"/>	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the organization was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2001, did the organization have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2001? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years _____		
b Are there any years listed in 2a for which the organization is NOT applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see page 19 of the instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to ANY of the years listed in 2a, list the years here. _____		
3a Did the organization hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2001 as a result of (1) any purchase by the organization or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the organization had excess business holdings in 2001.)	3b	X
4a Did the organization invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the organization make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2001?	4b	X
5a During the year did the organization pay or incur any amount to:		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 5a(1) - (5), did ANY of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 20 of the instructions)? <input type="checkbox"/>	5b	X
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c If the answer is "Yes" to question 5a(4), does the organization claim exemption from the tax because it maintained expenditure responsibility for the grant? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," attach the statement required by Regulations section 53.4945-5(d).		
See attachment #2		
6a Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6a	
b Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If you answered "Yes" to 6b, also file Form 8870.	6b	X

Amended Return

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 20 of the instructions):

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account, other allowances
See Schedule 9		1,664,775	324,209	201,700

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 21 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account, other allowances
Daniel Fallon, Carnegie Corporation of NY 437 Madison Avenue, NY, NY 10022	Program Chair 100%	206,900	54,888	6,000
David Speedie, Carnegie Corporation of NY 437 Madison Avenue, NY, NY 10022	Program Chair 100%	183,550	51,474	1,278
Patricia Rosenfield, Carnegie Corporation of NY 437 Madison Avenue, NY, NY 10022	Program Chair 100%	174,100	49,780	820
Meredith Jenkins, Carnegie Corporation of NY 437 Madison Avenue, NY, NY 10022	Sr. Investment Assoc. 100%	147,500	44,040	0
Narciso Matos, Carnegie Corporation of NY 437 Madison Avenue, NY, NY 10022	Program Chair 100%	133,250	34,305	25,000
Total number of other employees paid over \$50,000				45

3 Five highest-paid independent contractors for professional services - (see page 21 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Westport Asset Management, Inc. 253 Riverside Avenue, Westport, CT 06880	Investment Advisory	969,525
Capital Guardian Trust Company P.O. Box 2227, Brea, CA 92621	Investment Advisory	738,038
Southeastern Asset Management, Inc. 6410 Poplar Ave., Suite 900, Memphis, TN 38119	Investment Advisory	488,592
Dewey Ballantine LLP 1775 Pennsylvania Avenue, Washington, DC 20006	Legal	441,830
EII Realty Securities, Inc. 717 Fifth Avenue, New York, NY 10022	Investment Advisory	290,519
Total number of others receiving over \$50,000 for professional services		11

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 See Schedule 10	2,527,500
2	
3	
4	

Amended Return

Part IX-B Summary of Program-Related Investments

(see page 21 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 None	0
2	
3 All other program-related investments. See page 22 of the instructions.	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations, see page 22 of the instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	1,764,890,188
b Average of monthly cash balances	1b	-1,395,618
c Fair market value of all other assets (see page 22 of the instructions)	1c	
d Total (add lines 1a, b, and c)	1d	1,763,494,570
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	1,763,494,570
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 23 of the instructions)	4	26,452,419
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,737,042,151
6 Minimum investment return. Enter 5% of line 5	6	86,852,108

Part XI Distributable Amount

(see page 23 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1 Minimum investment return from Part X, line 6		
2 a Tax on investment income for 2001 from Part VI, line 5	2a	479,132
b Income tax for 2001. (This does not include the tax from Part VI.)	2b	100,133
c Add lines 2a and 2b	2c	579,265
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	86,272,843
4 a Recoveries of amounts treated as qualifying distributions	4a	255,122
b Income distributions from section 4947(a)(2) trusts	4b	
c Add lines 4a and 4b	4c	255,122
5 Add lines 3 and 4c	5	86,527,965
6 Deduction from distributable amount (see page 23 of the instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	86,527,965

Part XII Qualifying Distributions

(see page 23 of the instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	90,033,087
b Program-related investments - Total from Part IX-B	1b	0
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	133,162
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	90,166,249
5 Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 24 of the instructions)	5	479,132
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	89,687,117

NOTE: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Amended Return

Part XIII Undistributed Income

(see page 24 of the instructions)

	(a) Corpus	(b) Years prior to 2000	(c) 2000	(d) 2001
1 Distributable amount for 2001 from Part XI, line 7				86,527,965
2 Undistributed income, if any, as of the end of 2000:				
a Enter amount for 2000 only			21,387,389	
b Total for prior years: 19__ ,19__ ,19__				
3 Excess distributions carryover, if any, to 2001:				
a From 1996	0			
b From 1997	0			
c From 1998	0			
d From 1999	0			
e From 2000	0			
f Total of lines 3a through e	0			
4 Qualifying distributions for 2001 from Part XII, line 4: \$ 90,166,249				
a Applied to 2000, but not more than line 2a			21,387,389	
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2001 distributable amount				68,778,860
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2001 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			
6 Enter the net total of each column as indicated below:				
a Corpus. Add 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions		0		
e Undistributed income for 2000. Subtract line 4a from line 2a. Taxable amount			0	
f Undistributed income for 2001. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2002				17,749,105
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3)				
8 Excess distributions carryover from 1996 not applied on line 5 or line 7 (see page 25 of the instructions)				
9 Excess distributions carryover to 2002. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 1997				
b Excess from 1998				
c Excess from 1999				
d Excess from 2000				
e Excess from 2001				

Amended Return

Part XIV Private Operating Foundations

(see page 25 of the instructions and Part VII-A, question 9)

Not Applicable

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2001, enter the date of the ruling _____
- b** Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax Year				
		Prior 3 years			
	(a) 2001	(b) 2000	(c) 1999	(d) 1998	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - Enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year - see page 26 of the instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
- None
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
- None
- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here if the organization only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the organization makes gifts, grants, etc. (see page 26 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.
- a** The name, address, and telephone number of the person to whom applications should be addressed:
See Schedule 11
- b** The form in which applications should be submitted and information and materials they should include:
See Schedule 11
- c** Any submission deadlines:
- None
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
See Schedule 11

Amended Return

Part XV Supplementary Information

(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Attachment #1				76,301,020
Total			3a	76,301,020
b Approved for future payment See Attachment #1				108,132,177
Total			3b	108,132,177

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

**Amended Return
Schedule of Contributors**

Supplementary Information for
line 1 of Form 990, 990-EZ and 990-PF (see instructions)

OMB No. 1545-0047

2001

Name of organization

Carnegie Corporation of New York

Employer identification number

13-1628151

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust not treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the General rule or a Special rule. (Note: Only a section 501(c)(7), (8), or (10) organization can check box(es) for both the General rule and a Special rule - see instructions.)

General Rule -

For organizations filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules -

For a section 501(c)(3) organization filing Form 990, or Form 990-EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of \$5,000 or 2% of the amount on line 1 of these forms. (Complete Parts I and II.)

For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. (Complete Parts I, II, and III.)

For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, some contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. (If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the Parts unless the General rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year.) \$ _____

Caution: Organizations that are not covered by the General rule and/or the Special rules do not file Schedule B (Form 990, 990-EZ, or 990-PF), but they must check the box in the heading of their Form 990, Form 990-EZ, or on line 1 of their Form 990-PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Amended Return

Name of organization Carnegie Corporation of New York	Employer identification number 13-1628151
--	--

Part I Contributors (See Specific Instructions.)

(a) No.	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	<u>The Bill and Melinda Gates Foundation</u> <u>PO Box 23350</u> <u>Seattle, Washington 98102</u>	\$ <u>25,000,000</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> Complete Part II if there is a noncash contribution.)
2	<u>Michael R. Bloomberg</u> <u>17 East 79th Street</u> <u>New York, NY 10021</u>	\$ <u>10,000,000</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> Complete Part II if there is a noncash contribution.)
—	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> Complete Part II if there is a noncash contribution.)
—	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> Complete Part II if there is a noncash contribution.)
—	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> Complete Part II if there is a noncash contribution.)
—	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> Complete Part II if there is a noncash contribution.)

Amended Return

CARNEGIE CORPORATION OF NEW YORK

Form 990-PF
September 30, 2002
E.I.N.: #13-1628151

Reason for filing an amended return

Carnegie Corporation of New York is filing an amended Form 990-PF, *Return of Private Foundation*, for the tax year ended September 30, 2002 to report additional information on Schedule B, Schedule of Contributors, of the return.

990-PF Part VI Line 6a

No additional tax is due or to be refunded as a result of filing an amended return. Original return showed estimated tax payments of \$1,250,000, a tax liability of \$479,132 and a tax refund of \$770,868, which has been received previously. The amended return has been changed to reflect no additional tax payment or refund is due by reducing estimated tax payments to \$479,132, equaling the tax liability for the year.

Amended Return

Form 8868

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

December 2003

Department of the Treasury Internal Revenue Service

File a separate application for each return.

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box.
• If you are filing for an Additional (not automatic) 3-Month Extension, complete only Part II (on page 2 of this form).

Note: Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I Automatic 3-Month Extension of Time—Only submit original (no copies needed)

Note: Form 990-T corporations requesting an automatic 6-month extension—check this box and complete Part I only.

All other corporations (including Form 990-C filers) must use Form 7004 to request an extension of time to file income tax returns. Partnerships, REMICs and trusts must use Form 8736 to request an extension of time to file Form 1065, 1066, or 1041.

Form fields: Type or print, Name of Exempt Organization (CARNEGIE CORPORATION OF NEW YORK), Employer identification number (13-1628151), Number, street, and room or suite no. (437 MARLSON AVE), City, town or post office, state, and ZIP code (NEW YORK NY 10022).

Check type of return to be filed (file a separate application for each return):

- Form 990, Form 990-BL, Form 990-EZ, Form 990-PF, Form 990-T (corporation), Form 990-T (sec. 401(a) or 408(a) trust), Form 990-T (trust other than above), Form 1041-A, Form 4720, Form 5227, Form 6069, Form 8870.

- If the organization does not have an office or place of business in the United States, check this box.
• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN).

1 I request an automatic 3-month (6-month, for 990-T corporation) extension of time until MAY 15 2003 to file the exempt organization return for the organization named above. The extension is for the organization's return for: tax year beginning OCTOBER 1 2001, and ending SEPTEMBER 30 2003.

2 If this tax year is for less than 12 months, check reason: Initial return, Final return, Change in accounting period.

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. \$ 500,000
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made include any prior year overpayment allowed as a credit. \$ 1,250,000
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. \$ -0-

Signature and Verification

Under penalties of perjury I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature: Robert J. Seman, Title: Director of Finance, Date: 2/17/03

Part I Line 11
OTHER INCOME**Amended Return**

	<u>Column (a)</u>	<u>Column (b)</u>
Income from Limited Partnerships	\$3,242,868 *	\$18,480,765 **
Royalty Income	22,641	22,641
Interest on Tax Refund	1,931	1,931
Dividends on Annuities	293	293
	<hr/>	<hr/>
TOTAL	<u>\$3,267,733</u>	<u>\$18,505,630</u>

*Includes capital gains.

**Net investment income per K-1s. Capital gains are reclassified to line 7 column (b).

Amended Return

SCHEDULE OF LEGAL, ACCOUNTING, AND OTHER PROFESSIONAL FEES

Part I Line 16a,b,c

	<u>Column (a)</u>	<u>Column (b)</u>	<u>Column (d)</u>	
<u>Legal Fees</u>	\$436,182	\$233,501	214,371	LINE 16(a)
<u>Accounting Fees:</u>				
Regular annual audit	43,000	30,100	12,900	
Pension plan audit	9,000	-	9,000	
Tax & Other Services	66,775	46,145	17,130	
Total - Accounting Fees	118,775	76,245	39,030	LINE 16(b)
<u>Other Professional Fees:</u>				
Investment Management and Custody	4,145,372	4,099,166	--	LINE 16(c)

Amended Return

	<u>TAXES</u>
<u>Part 1, Line 18, column a</u>	
Federal Excise Taxes	\$479,134
Federal Unrelated Business Income Tax	78,149
States Unrelated Business Income Tax	50,144
Deferred Federal Excise Taxes	0
	<hr/>
Total Taxes	<u>\$607,427</u>
	<hr/>
Total per FY02 Financial Statements	<u>\$607,427</u>

LAND, BUILDINGS AND EQUIPMENT

Amended Return

<u>Part I, Line 19</u>	Beginning Balance <u>10/1/2001</u>	Activity <u>10/1/01 - 9/30/02</u>	Ending Balance <u>9/30/2002</u>
Leasehold Improvements	3,880,126	13,690	3,893,816
Furniture and Equipment	3,134,293	119,472	3,253,765
TOTAL - Fixed Assets	<u>7,014,419</u>	<u>133,162</u>	<u>7,147,581</u>
Less: Accumulated Amortization	(3,859,746)	(9,640)	(3,869,386)
Less: Accumulated Depreciation	(2,729,644))	
		FY92 (2,641)	
		FY93 (14,546)	
		FY94 (9,334)	
		FY95 (9,330)	
		FY96 (1,702)	
		FY97 (13,134)	(2,875,797)
		FY98 (18,144)	
		FY99 (20,841)	
		FY00 (24,756)	
		FY01 (20,994)	
		FY02 (10,731)	
TOTAL - Amort. & Deprec.	<u>(6,589,390)</u>	<u>(155,793)</u>	<u>(6,745,183)</u>
TOTAL	<u><u>425,029</u></u>	<u><u>(22,631)</u></u>	<u><u>402,398</u></u>

LEASEHOLD IMPROVEMENTS AND ASSETS ACQUIRED PRIOR TO FY90

Amended Return

26th and 27th Floors

YEAR	DESCRIPTION	LIFE OF LEASE	START DATE	AMOUNT	BOOK VALUE AS OF														
					9/30/82	9/30/90	9/30/91	9/30/92	9/30/93	9/30/94	9/30/95	9/30/96	9/30/97	9/30/98	9/30/99	9/30/00	9/30/01	9/30/02	
FY88	LEASEHOLD IMPROVEMENT	9.50	1/1/89	1,107,912.69	1,020,445.90	903,823.51	787,201.12	670,578.73	553,956.35	437,333.96	320,711.57	204,089.18	87,466.79	0.00	0.00	0.00	0.00	0.00	
FY89	LEASEHOLD IMPROVEMENT	9.25	4/1/89	2,378,537.32	2,459,156.93	2,160,396.14	1,881,635.35	1,602,874.56	1,324,113.77	1,045,352.98	766,592.19	487,831.39	209,070.60	0.00	0.00	0.00	0.00	0.00	
FY90	LEASEHOLD IMPROVEMENT	8.50	1/1/90	10,817.00		9,862.56	8,589.97	7,317.38	6,044.79	4,772.21	3,499.62	2,227.03	954.44	0.00	0.00	0.00	0.00	0.00	
FY91	LEASEHOLD IMPROVEMENT	7.50	1/1/91	47,728.00			42,955.20	36,591.47	30,327.73	23,864.00	17,500.27	11,136.53	4,772.80	0.00	0.00	0.00	0.00	0.00	
FY92	LEASEHOLD IMPROVEMENT	6.25	4/1/92	16,048.00				14,764.16	12,196.48	9,628.80	7,061.12	4,493.44	1,925.76	0.00	0.00	0.00	0.00	0.00	
FY93	LEASEHOLD IMPROVEMENT	4.50	4/1/93	51,310.00				45,608.89	34,206.67	22,804.44	11,402.22	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
FY94*	LEASEHOLD IMPROVEMENT	9.75	4/1/94	35,697.00					33,866.38	30,205.15	26,343.92	22,882.69	19,221.46	15,560.25	11,899.00	8,237.77	4,576.54		
FY95	LEASEHOLD IMPROVEMENT	8.75	4/1/95	20,250.00						19,092.86	16,778.57	14,464.29	12,150.00	9,835.71	7,521.43	5,207.14	2,892.86		
FY01	LEASEHOLD IMPROVEMENT	2.75	4/1/01	8,476.00												6,934.91	3,852.73		
FY02**	LEASEHOLD IMPROVEMENT	11.75	4/1/01	13,690.00														13,107.45	
TOTAL AMORTIZATION PER YEAR					3,890,466.01	3,459,602.83	3,074,082.21	2,720,381.64	2,332,126.30	1,972,148.01	1,589,024.99	1,187,467.21	764,502.29	341,537.37	31,371.46	25,395.95	19,420.43	20,379.82	24,429.57

*In FY94, the Corporation entered into a separate agreement with the building landlord to continue to rent our existing space through 2003.
 **In FY02, the Corporation entered into a separate agreement with the building landlord to continue to rent our existing space through 2013

23rd Floor**

YEAR	DESCRIPTION	LIFE	START DATE	AMOUNT	9/30/82	9/30/90	9/30/91	9/30/92	9/30/93	9/30/94	9/30/95	9/30/96	9/30/97	9/30/98	9/30/99
FY95	LEASEHOLD IMPROVEMENT	5.00	10/1/95	3,350.00							3,015.00	2,345.00	1,675.00	0.00	
TOTAL AMORTIZATION PER YEAR					3,350.00						3,015.00	2,345.00	1,675.00	0.00	
TOTAL AMORTIZATION PER YEAR											335.00	670.00	670.00	1,675.00	

**Landlord exercised option to early terminate lease effective February 1998

YEAR	DESCRIPTION	LIFE	START DATE	AMOUNT	BOOK VALUE AS OF											
					9/30/82	9/30/90	9/30/91	9/30/92	9/30/93	9/30/94	9/30/95	9/30/96	9/30/97	9/30/98	9/30/99	
FY88	FURNITURE-MOVE RELATED	10.00	1/1/89	278,537.03	257,646.75	229,793.05	201,939.35	174,085.64	146,231.94	118,378.24	90,524.53	62,670.83	34,817.13	6,963.43	0.00	
FY88	FURNITURE-MOVE RELATED	10.00	4/1/89	481,545.61	457,468.33	409,313.77	361,159.21	313,004.65	264,850.09	216,695.52	168,540.96	120,386.40	72,231.84	24,077.28	0.00	
TOTAL MOVE RELATED					760,082.64	713,115.08	619,106.82	563,098.55	487,090.29	411,082.03	335,073.76	259,065.50	183,057.23	107,048.97	31,040.71	0.00
TOTAL MOVE RELATED DEPRECIATION PER YEAR						44,967.56	76,008.26	76,008.26	76,008.26	76,008.26	76,008.26	76,008.26	76,008.26	76,008.26	31,040.71	

YEAR	DESCRIPTION	LIFE	START DATE	AMOUNT	BOOK VALUE AS OF												
					9/30/88	9/30/89	9/30/90	9/30/91	9/30/92	9/30/93	9/30/94	9/30/95	9/30/96	9/30/97	9/30/98	9/30/99	
FY88	FURNITURE-GENERAL ADMIN	10.00	4/1/88	94,934.57	90,187.84	80,694.38	71,200.92	61,707.46	52,214.00	42,720.54	33,227.08	23,733.62	14,240.17	4,746.71	0.00	0.00	
FY89	FURNITURE-GENERAL ADMIN	10.00	4/1/89	162,520.40	162,520.40	154,394.38	138,142.34	121,890.30	105,638.26	89,386.23	73,134.18	56,882.14	40,630.10	24,378.06	8,126.02	0.00	
TOTAL GENERAL ADMIN					257,454.97	252,708.24	235,088.76	209,343.26	183,597.76	157,852.26	132,106.76	106,361.26	80,615.76	54,870.27	29,124.77	8,126.02	0.00
TOTAL GENERAL ADMIN DEPRECIATION PER YEAR						4,746.73	17,619.48	25,745.50	25,745.50	25,745.50	25,745.50	25,745.50	25,745.50	25,745.50	20,998.73	8,126.02	

FY02-LEASEHOLD IMPROVEMENTS										
									BOOK	
		CHECK	CHECK			LIFE			VALUE	
PAYEE	DESCRIPTION	#	DATE	SLCODE	METHOD	YRS	AMOUNT	9/30/02		
Quadrant Construction	Cabinets & Countertops- 26th floor	102416	1/3/02	2205	SL	11.75	5,445.00	5,213.30		
Quadrant Construction	Library Lighting- 26th floor	102416	1/3/02	2205	SL	11.75	4,250.00	4,069.15		
Quadrant Construction	Computer Room Lighting- 26th floor	102512	2/20/02	2220	SL	11.75	3,995.00	3,825.00		
TOTALS								13,690.00	13,107.45	
CURRENT YEAR AMORTIZATION									582.55	
Note: New Lease signed in August 2002 for an additional 10 years ending December 31, 2013										

Amended Return

FY01-LEASEHOLD IMPROVEMENTS										
									BOOK	BOOK
		CHECK	CHECK			LIFE			VALUE	VALUE
<u>PAYEE</u>	<u>DESCRIPTION</u>	<u>#</u>	<u>DATE</u>	<u>SLCODE</u>	<u>METHOD</u>	<u>YRS</u>	<u>AMOUNT</u>	<u>9/30/01</u>	<u>9/30/02</u>	
Clestra Hauseman	Computer training room - 26th floor	101603 &	9/13/00	2205	SL	2.75	8,476.00	6,934.91	3,852.73	
		129 &	2/14/01	2205						
		101923	4/18/01	2205						
TOTALS							8,476.00	6,934.91	3,852.73	
CURRENT YEAR AMORTIZATION								1,541.09	3,082.18	

Amended Return

FY94-LEASEHOLD IMPROVEMENTS																	
PAYEE	DESCRIPTION	CHECK #	CHECK DATE	SLCODE	METHOD	LIFE YRS	AMOUNT	BOOK	BOOK	BOOK	BOOK	BOOK	BOOK	BOOK	BOOK	BOOK	
								VALUE	VALUE	VALUE	VALUE	VALUE	VALUE	VALUE	VALUE	VALUE	VALUE
Quadrant Construction	Work area-27th floor	2864	8/2/94	2221	SL	9.75	16,311.00	13,474.54	13,801.62	12,128.69	10,455.77	8,782.85	7,109.92	5,437.00	3,764.08	2,091.15	
Quadrant Construction	Work area-computer area	ACC4	-	2221	SL	9.75	19,386.00	18,391.85	16,403.54	14,415.23	12,426.92	10,438.62	8,450.31	6,462.00	4,473.69	2,485.38	
TOTALS							35,697.00	33,866.38	30,205.15	26,543.92	22,882.69	19,221.46	15,560.23	11,899.00	8,237.77	4,576.54	
CURRENT YEAR AMORTIZATION								1,830.62	3,661.23	3,661.23	3,661.23	3,661.23	3,661.23	3,661.23	3,661.23	3,661.23	3,661.23
NOTE: During FY94, the Corporation entered into a separate agreement with the landlord to continue to rent our space through 2003.																	

FY02-FURNITURE & EQUIPMENT

<u>PAYEE</u>	<u>DESCRIPTION</u>	<u>CHECK #</u>	<u>CHECK DATE</u>	<u>SLCODE</u>	<u>METHOD</u>	<u>LIFE YRS</u>	<u>AMOUNT</u>	<u>BOOK VALUE 9/30/02</u>
Charnstrom	Conference Table	2198	12/5/01	2205	SL	10	1,026.77	975.43
Altel Systems	Audio Equipment for Board Room	102294	11/7/01	2210	SL	10	17,097.00	16,242.15
Fax-Express	Fax - Samsung 6500	2551	1/23/02	2210	SL	10	1,495.00	1,420.25
Fax-Express	Fax - Samsung 6500	102965	7/24/02	2210	SL	10	1,895.00	1,800.25
Global Computer	Projector	102725	4/17/02	2210	SL	10	2,812.83	2,672.19
AMC Computer Corp	Tape backup drive	102299	11/14/01	2505	SL	5	4,205.00	3,784.50
Castelle	Fax Server	AMEX	1/10/02	2505	SL	5	2,906.00	2,615.40
WorldCom	Firewall Hardware	102805	5/22/02	2505	SL	5	12,405.64	11,165.08
Dell Marketing	PC - 1500 GX400	2154	11/28/01	2510	SL	5	1,941.00	1,746.90
Troy Group	Printer Sharespools - 2	2182	11/28/01	2510	SL	5	2,190.00	1,971.00
Dell Marketing	10 - PCs - 1500 GX400	102377	12/19/01	2510	SL	5	13,530.00	12,177.00
Dell Marketing	30 - PCs - 1500 GX400	102408	1/3/02	2510	SL	5	39,960.00	35,964.00
Dell Marketing	9 - PCs - 1500 GX400	102500	2/12/02	2510	SL	5	11,628.00	10,465.20
Global Computer	Printer HP2200DN	102501	2/12/02	2510	SL	5	1,152.69	1,037.42
Hewlett Packard	Laserjet Printer 4100	Amex	8/21/02	2510	SL	5	1,099.00	989.10
Dell Marketing	Laptop (NMA)	103018	8/28/02	2510	SL	5	2,941.00	2,646.90
Dell Marketing	PC - GX260T	103018	8/28/02	2510	SL	5	1,187.00	1,068.30
TOTALS							119,471.93	108,741.07
CURRENT YEAR DEPRECIATION								10,730.86
	RECAP:FIXED ASSETS			SLCODE			AMOUNT	
				2205			1,026.77	
				2210			23,299.83	
				2505			19,516.64	
				2510			75,628.69	
				TOTAL			119,471.93	

FY01-FURNITURE & EQUIPMENT

PAYEE	DESCRIPTION	CHECK #	CHECK DATE	SLCODE	METHOD	LIFE YRS	AMOUNT	BOOK	BOOK
								VALUE 9/30/01	VALUE 9/30/02
Dancer, Sellew & Douglas	Bookcase	28130	11/15/00	2205	SL	10	1,045.00	992.75	888.25
Dancer, Sellew & Douglas	Workstations - 1	102013	5/23/01	2205	SL	10	1,873.00	1,779.35	1,592.05
Dancer, Sellew & Douglas	Workstations - 1 & Files	102013	5/23/01	2205	SL	10	5,959.00	5,661.05	5,065.15
Fax-Express	Fax - Samsung 6500	70	2/7/01	2210	SL	10	1,695.00	1,610.25	1,440.75
Fax-Express	Fax - Samsung 6500	521	4/18/01	2210	SL	10	1,795.00	1,705.25	1,525.75
AMC Computer Corp	Monitor - Flat Panel	28231	12/6/00	2505	SL	5	1,705.00	1,534.50	1,193.50
TKM Serve - See Note Below*	Lan Wiring	101727	12/6/00	2505	SL	5	(7,677.35)	(5,374.15)	(5,374.15)
AMC Computer Corp	Compaq rack	101679	11/8/00	2505	SL	5	2,820.00	2,538.00	1,974.00
AMC Computer Corp	Server - exchange server	101750	1/3/01	2505	SL	5	14,089.00	12,680.10	9,862.30
AMC Computer Corp	Server - tape backup server	101750	1/3/01	2505	SL	5	3,902.00	3,511.80	2,731.40
AMC Computer Corp	Tape backup drives	101750	1/3/01	2505	SL	5	10,750.00	9,675.00	7,525.00
AMC Computer Corp	Tape backup drives	101750	1/3/01	2505	SL	5	4,385.00	3,946.50	3,069.50
AMC Computer Corp	Server - Email	101868	3/14/01	2505	SL	5	3,204.00	2,883.60	2,242.80
AMC Computer Corp	Server - Secure Messaging	101868	3/14/01	2505	SL	5	2,934.00	2,640.60	2,053.80
AMC Computer Corp	Snap Server	102160	8/22/01	2505	SL	5	2,465.00	2,218.50	1,725.50
AMC Computer Corp	Server	102266	10/24/01	2505	SL	5	4,210.00	3,789.00	2,947.00
Dell Marketing	4 - PC - Pentium III	101665	10/25/00	2510	SL	5	5,608.00	5,047.20	3,925.60
Global Computer	Lap top - 1	101720	12/6/00	2510	SL	5	4,292.14	3,862.93	3,004.50
Dell Marketing	1 - PC - Pentium III	28388	1/3/01	2510	SL	5	1,191.00	1,071.90	833.70
Dell Marketing	1 - PC - Pentium III	28388	1/3/01	2510	SL	5	1,286.00	1,157.40	900.20
Dell Marketing	1 - PC - Pentium III	101834	2/14/01	2510	SL	5	2,529.00	2,276.10	1,770.30
Global Computer	Laser Printer	1088	6/20/01	2510	SL	5	1,345.90	1,211.31	942.13
Global Computer	Laser Printer	102103	7/5/01	2510	SL	5	1,089.00	980.10	762.30
Global Computer	Laser Printer	102103	7/5/01	2510	SL	5	1,204.50	1,084.05	843.15
Dell Marketing	2 - PC - Pentium III	102101	7/5/01	2510	SL	5	2,678.00	2,410.20	1,874.60
Connections	Toshiba laptops - 2	AMEX	3/16/01	2510	SL	5	4,860.03	4,374.03	3,402.02
Global Computer	Laser Printers - 2	102135	8/2/01	2510	SL	5	3,299.00	2,969.10	2,309.30
Global Computer	Laser Printer	102135	8/2/01	2510	SL	5	1,749.00	1,574.10	1,224.30
Dell Marketing	1 - PC - Pentium III	102141	8/8/01	2510	SL	5	1,916.00	1,724.40	1,341.20
Dell Marketing	1 - PC - Pentium III	102141	8/8/01	2510	SL	5	2,065.00	1,858.50	1,445.50
Troy Group	External Sharespools - 3	AMEX	7/3/01	2510	SL	5	3,336.57	3,002.91	2,335.60
Dell Marketing	2 - PC - Pentium III	102229	10/3/01	2510	SL	5	2,754.00	2,478.60	1,927.80
Dell Marketing	1 - PC - Pentium III	102229	10/3/01	2510	SL	5	1,572.00	1,414.80	1,100.40
Global Computer	Projector and screen for training room	102271	10/24/01	2510	SL	5	5,549.40	4,994.46	3,884.58
TOTALS							103,478.19	95,284.19	74,289.78
CURRENT YEAR DEPRECIATION								8,194.00	20,994.41

FY00-FURNITURE & EQUIPMENT

PAYEE	DESCRIPTION	CHECK #	CHECK DATE	SLCODE	METHOD	LIFE YRS	AMOUNT	BOOK VALUE 9/30/00	BOOK VALUE 9/30/01	BOOK VALUE 9/30/02
Clestra Hauserman	Dividing wall and door - computer room	24646& 25572	6/30/99 11/17/99	2205	SL	10	3,900.00	3,705.00	3,315.00	2,925.00
Dancer, Sellev & Douglas	Workstations - 3	101169	12/8/99	2205	SL	10	15,301.00	14,535.95	13,005.85	11,475.75
Dancer, Sellev & Douglas	Workstations - 1	27491	8/2/00	2205	SL	10	1,899.00	1,804.05	1,614.15	1,424.25
Fax-Express	Fax - Samsung 5700E - 2	101544	8/2/00	2210	SL	10	3,790.00	3,600.50	3,221.50	2,842.50
Fax-Express	Fax - Samsung 5700E	101611	9/20/00	2210	SL	10	1,895.00	1,800.25	1,610.75	1,421.25
Fax-Express	Fax - Samsung 5700E	25434	11/3/99	2235	SL	10	1,895.00	1,800.25	1,610.75	1,421.25
AMC Computer Corp	Network switch	101443	5/31/00	2505	SL	5	33,933.00	30,539.70	23,753.10	16,966.50
TKM Serve	Lan Wiring	101531 & Manual Accrual	7/18/00 9/30/00	2505	SL	5	32,214.85	28,993.37	22,550.40	16,107.43
Dell Marketing	1 - PC - Pentium III	25487	11/10/99	2510	SL	5	1,386.00	1,247.40	970.20	693.00
Dell Marketing	3 - PC - Pentium III	101168	12/8/99	2510	SL	5	4,530.00	4,077.00	3,171.00	2,265.00
Dell Marketing	2 - PC - Pentium III	101211	12/22/99	2510	SL	5	3,182.00	2,863.80	2,227.40	1,591.00
Dell Marketing	2 - PC - Pentium III	101275	2/2/00	2510	SL	5	3,852.00	3,466.80	2,696.40	1,926.00
Dell Marketing	4 - PC - Pentium III	101445	5/31/00	2510	SL	5	6,628.00	5,965.20	4,639.60	3,314.00
AMC Computer Corp	Monitor	25920	1/5/00	2510	SL	5	1,200.00	1,080.00	840.00	600.00
Dell Marketing	4 - PC - Pentium III	101494	6/28/00	2510	SL	5	6,628.00	5,965.20	4,639.60	3,314.00
Dell Marketing	3 - PC - Pentium III	101570	8/23/00	2510	SL	5	4,836.00	4,352.40	3,385.20	2,418.00
Dell Marketing	Lap top	101602	9/13/00	2510	SL	5	3,315.00	2,983.50	2,320.50	1,657.50
Global Computer	Lap top - 3	101213	12/22/99	2510	SL	5	5,160.09	4,644.08	3,612.06	2,580.05
Zones	Lap top - 1	101448	5/31/00	2510	SL	5	2,573.00	2,315.70	1,801.10	1,286.50
TOTALS							138,117.94	125,740.15	100,984.56	76,228.97
CURRENT YEAR DEPRECIATION								12,377.79	24,755.59	24,755.59

FY99-FURNITURE & EQUIPMENT

PAYEE	DESCRIPTION	CHECK #	CHECK DATE	SLCODE	METHOD	LIFE YRS	AMOUNT	BOOK VALUE	BOOK VALUE	BOOK VALUE	BOOK VALUE
								9/30/99	9/30/00	9/30/01	9/30/02
AMC Computer Corp	Tape Backup for LAN	100736	2/10/99	2505	SL	5	6,955.00	6,259.50	4,868.50	3,477.50	2,086.50
AMC Computer Corp	Tape Backup#2 for LAN	100776	3/10/99	2505	SL	5	6,450.00	5,805.00	4,515.00	3,225.00	1,935.00
AMC Computer Corp	Network software	100785	3/17/99	2505	SL	5	5,245.00	4,720.50	3,671.50	2,622.50	1,573.50
AMC Computer Corp	Computer storage unit	100957	7/21/99	2505	SL	5	5,450.00	4,905.00	3,815.00	2,725.00	1,635.00
AMC Computer Corp	Modem/communications server	101028	9/29/99	2505	SL	5	2,275.00	2,047.50	1,592.50	1,137.50	682.50
American Power Conversion	UPS upgrade-server	AMEX-July	7/30/99	2505	SL	5	1,125.30	1,012.77	787.71	562.65	337.59
Dell Direct	1 - PC's - XPS - R450	AMEX - Oct	10/1/99	2510	SL	5	3,033.89	2,730.50	2,123.72	1,516.95	910.17
Dell Direct	2 - PC's - P6450	100739	2/10/99	2510	SL	5	3,788.00	3,409.20	2,651.60	1,894.00	1,136.40
Dell Direct	1 - PC's - P6000	24007	3/17/99	2510	SL	5	1,733.00	1,559.70	1,215.10	866.50	519.90
Dell Direct	1 - PC's - PIII 450K	24342	5/12/99	2510	SL	5	2,074.00	1,866.60	1,451.80	1,037.00	622.20
Dell Direct	1 - PC's - PIII 450K	24428	5/26/99	2510	SL	5	1,702.00	1,531.80	1,191.40	851.00	510.60
Dell Direct	2 - PC's - PIII 450K	100945	7/7/99	2510	SL	5	3,004.00	2,703.60	2,102.80	1,502.00	901.20
Zones	Laptop - ThinkPad	100965	7/21/99	2510	SL	5	4,297.00	3,867.30	3,007.90	2,148.50	1,289.10
AMC Computer Corp	Internet access box	24825	8/4/99	2510	SL	5	1,960.00	1,764.00	1,372.00	980.00	588.00
Dell Direct	1 - PC's - PIII 450K	100984	8/11/99	2510	SL	5	1,616.00	1,454.40	1,131.20	808.00	484.80
Dell Direct	6 - Laptops	100984	8/11/99	2510	SL	5	16,044.00	14,439.60	11,230.80	8,022.00	4,813.20
Zones	HP Laserjet printer & sharespool	25158	9/22/99	2510	SL	5	2,200.00	1,980.00	1,540.00	1,100.00	660.00
Dell Direct	1 - PC's - PIII 500K	25189	9/29/99	2510	SL	5	1,386.00	1,247.40	970.20	693.00	415.80
AMC Computer Corp	File server for grant mgmt system	100993	8/18/99	2555	SL	5	15,175.00	13,657.50	10,622.50	7,587.50	4,552.50
AMC Computer Corp	File server for grant mgmt system - #2	100993	8/18/99	2555	SL	5	6,950.00	6,255.00	4,865.00	3,475.00	2,085.00
Quadrant Construction	Video cabinets in central files	100701	1/6/99	2205	SL	10	3,950.00	3,752.50	3,357.50	2,962.50	2,567.50
Dancer, Sellev & Douglas	Workstations - 2	101017	9/15/99	2205	SL	10	17,644.00	16,761.80	14,997.40	13,233.00	11,468.60
Fax-Express	Fax - Samsung 5700E	101010	9/8/99	2210	SL	10	1,895.00	1,800.25	1,610.75	1,421.25	1,231.75
TOTALS							115,952.19	105,531.42	84,689.88	63,848.35	43,006.81
CURRENT YEAR DEPRECIATION								10,420.77	20,841.54	20,841.54	20,841.54

FY98-FURNITURE & EQUIPMENT												
PAYEE	DESCRIPTION	CHECK #	CHECK DATE	SLCODE	METHOD	LIFE YRS	AMOUNT	BOOK VALUE 9/30/98	BOOK VALUE 9/30/99	BOOK VALUE 9/30/00	BOOK VALUE 9/30/01	BOOK VALUE 9/30/02
Fax Express	Okidata fax machine	22368	6/10/98	2210	SL	5	1,795.00	1,615.50	1,256.50	897.50	538.50	179.50
AMC Computer Corp	File Server	100367	3/11/98	2505	SL	5	15,175.00	13,657.50	10,622.50	7,587.50	4,552.50	1,517.50
AMC Computer Corp	Network software	100513	7/29/98	2505	SL	5	2,350.00	2,115.00	1,645.00	1,175.00	705.00	235.00
Dell Direct	15 - PC's - P6233	100193	11/5/97	2510	SL	5	30,660.00	27,594.00	21,462.00	15,330.00	9,198.00	3,066.00
Dell Direct	7 - PC's - P6233	100223	11/26/97	2510	SL	5	13,348.16	12,013.34	9,343.71	6,674.08	4,004.45	1,334.82
Dell Direct	2 - PC's - P6233	100223	11/26/97	2510	SL	5	3,723.76	3,351.38	2,606.63	1,861.88	1,117.13	372.38
PC Zone	Toshiba laptop	Amex-100265	11/25/97	2510	SL	5	3,619.76	3,257.78	2,533.83	1,809.88	1,085.93	361.98
INMAC	Toshiba laptop	21868	3/25/98	2510	SL	5	1,799.00	1,619.10	1,239.30	899.50	539.70	179.90
Dell Direct	3 - PC's - XPS	100416	4/22/98	2510	SL	5	4,254.00	3,828.60	2,977.80	2,127.00	1,276.20	425.40
PC Zone	Toshiba laptop	Amex-100346	1/15/98	2510	SL	5	2,419.80	2,177.82	1,693.86	1,209.90	725.94	241.98
Global Computer Supplies	Overhead Projector	100491	7/8/98	2510	SL	5	4,957.62	4,461.86	3,470.33	2,478.81	1,487.29	495.76
IC Computer Corp	Laserjet Printer	100557	9/9/98	2510	SL	5	1,095.00	985.50	766.50	547.50	328.50	109.50
Dell Direct	2 - PC's - P6233	100582	9/30/98	2510	SL	5	3,814.00	3,432.60	2,669.80	1,907.00	1,144.20	381.40
Dell Direct	1 - PC's - PSMMX233	23098	10/21/98 -A	2510	SL	5	1,708.00	1,537.20	1,195.60	854.00	512.40	170.80
TOTALS							90,719.10	81,647.19	63,303.37	45,339.55	27,215.73	9,071.91
CURRENT YEAR DEPRECIATION								9,071.91	18,143.82	18,143.82	18,143.82	18,143.82

Amended Return

FY 97-FURNITURE & EQUIPMENT

PAYER	DESCRIPTION	CHECK #	CHECK DATE	SL CODE	METHOD	LIFE YRS	AMOUNT	BOOK	BOOK	BOOK	BOOK	BOOK	BOOK
								VALUE 9/30/97	VALUE 9/30/98	VALUE 9/30/99	VALUE 9/30/00	VALUE 9/30/01	VALUE 9/30/02
Tobron Office Furniture	Glass Tables (3)	5207	10/16/96	2205	SL	10	3,965.00	3,766.75	3,766.75	2,975.75	2,577.25	2,180.75	1,784.25
Tobron Office Furniture	Workstation and wall unit - SVS	5017 & 5463	8/15/96 1/8/97	2205	SL	10	6,201.00	5,890.95	5,890.95	4,650.75	4,030.65	3,410.55	2,790.45
Tobron Office Furniture	Workstation in Secretary's Office	5018 & 5453	8/15/96 1/2/97	2205	SL	10	6,048.00	5,745.60	5,745.60	4,536.00	3,931.20	3,326.40	2,721.60
Danker, Sellew & Douglas	2 Drawer Lateral files (2)	15786 & 16169	2/5/97 3/27/97	2205	SL	10	2,257.00	2,144.15	2,144.15	1,692.75	1,467.05	1,241.35	1,015.65
Tobron Office Furniture	Workstation and wall unit - LL	5622	3/5/97	2205	SL	10	6,255.00	5,942.25	5,942.25	4,691.25	4,065.75	3,440.25	2,814.75
Tobron Office Furniture	2 Drawer Lateral files (2)	5745	4/16/97	2205	SL	10	3,026.00	2,874.70	2,874.70	2,269.50	1,966.90	1,664.50	1,361.70
Danker, Sellew & Douglas	File Cabinets	5757	4/23/97	2205	SL	10	4,988.00	4,738.60	4,738.60	3,741.00	3,242.20	2,743.40	2,244.60
Tobron Office Furniture	Credenza (2)	5840	5/28/97	2205	SL	10	2,980.00	2,831.00	2,831.00	2,235.00	1,937.00	1,639.00	1,341.00
Castle Air Conditioning Corp	Air Conditioner for new phone system	5255	10/24/96	2210	SL	5	7,734.00	6,960.60	6,960.60	3,867.00	2,320.20	775.40	0.00
Fax Express	Okidata fax machine	5521	1/29/97	2210	SL	5	1,995.00	1,795.50	1,795.50	997.50	598.50	199.50	0.00
Specialty Business Machines	2 - Lexmark wheelwriters	15766	2/5/97	2210	SL	5	2,113.24	1,901.92	1,901.92	1,056.62	633.97	211.32	0.00
Fax Express	Okidata fax machine	20554	9/18/97	2210	SL	5	1,395.00	1,255.50	1,255.50	697.50	418.50	159.50	0.00
Lande Group/Micro Computer	Internet Box	5338	12/11/96	2505	SL	5	2,530.00	2,277.00	2,277.00	1,265.00	759.00	253.00	0.00
AMC Computer Corp	Server card	100130	9/24/97	2505	SL	5	1,150.00	1,035.00	1,035.00	575.00	345.00	115.00	0.00
Castelle	Fax Server	20757	10/22/97	2505	SL	5	1,514.00	1,362.60	1,362.60	757.00	454.20	151.40	0.00
Dell Direct	1 - PC's - 6200	5570	2/12/97	2510	SL	5	2,663.00	2,396.70	2,396.70	1,331.50	798.90	266.30	0.00
Dell Direct	1 - PC's - P5	16222	4/9/97	2510	SL	5	2,398.00	2,068.20	2,068.20	1,149.00	689.40	229.80	0.00
Dell Direct	2 - PC's - P5	100008	7/2/97	2510	SL	5	4,558.00	3,922.20	3,922.20	2,179.00	1,307.40	435.80	0.00
Dell Direct	30 - PC's - P5	100151	10/8/97	2510	SL	5	61,920.00	55,728.00	55,728.00	30,960.00	18,576.00	6,192.00	0.00
PC Zone	Toshiba laptop	Amex-100139	9/30/97	2510	SL	5	4,037.66	3,633.89	3,633.89	2,018.83	1,211.30	403.77	0.00
Dell Direct	1 - PC's	20763	10/22/97	2510	SL	5	1,910.00	1,719.00	1,719.00	955.00	573.00	191.00	0.00
TOTALS							131,337.90	119,990.11	119,990.11	74,598.95	51,903.37	29,207.79	16,074.00
CURRENT YEAR DEPRECIATION								11,347.79	0.00	45,591.16	22,695.58	22,695.58	13,135.79

FY96-FURNITURE & EQUIPMENT														
PAYER	DESCRIPTION	CHECK #	CHECK DATE	SL CODE	METHOD	LIFE YRS	AMOUNT	BOOK	BOOK	BOOK	BOOK	BOOK	BOOK	
								VALUE 9/30/96	VALUE 9/30/97	VALUE 9/30/98	VALUE 9/30/99	VALUE 9/30/00	VALUE 9/30/01	VALUE 9/30/02
The Future Now	Hard drives for LAN	4238	12/13/95	2305	SL	5	3,535.29	3,181.76	2,474.70	1,767.63	1,060.59	353.53	0.00	0.00
The Future Now	Expansion of concentrator module	4497	2/28/96	2305	SL	5	4,225.05	3,802.55	2,957.54	2,112.53	1,267.52	422.51	0.00	0.00
The Future Now	Network software	13157	3/13/96	2305	SL	5	1,925.02	1,732.52	1,347.51	962.51	577.51	192.50	0.00	0.00
The Future Now	Communications module for LAN	13531	4/25/96	2305	SL	5	2,055.00	1,849.50	1,438.50	1,027.50	616.50	205.50	0.00	0.00
Dell Direct	7 - PC's	4496	2/28/96	2310	SL	5	13,643.00	12,278.70	9,550.10	6,821.50	4,092.90	1,364.30	0.00	0.00
Dell Direct	2 - PC's	4673	4/25/96	2310	SL	5	3,924.00	3,531.60	2,746.80	1,962.00	1,177.20	392.40	0.00	0.00
Dell Direct	1 - PC's	13776	5/22/96	2310	SL	5	2,063.00	1,836.70	1,444.10	1,031.50	618.90	206.30	0.00	0.00
Word Pros	4 - laserjet printer 5	5084	9/11/96	2310	SL	5	7,300.00	6,570.00	5,110.00	3,630.00	2,190.00	730.00	0.00	0.00
Dell Direct	6 - PC's	5087	9/12/96	2310	SL	5	12,192.00	10,972.80	8,534.40	6,096.00	3,657.60	1,219.20	0.00	0.00
DZT Systems	Voice recognition system	13775	5/22/96	2310	SL	5	1,570.00	1,413.00	1,099.00	785.00	471.00	157.00	0.00	0.00
Dell Direct	Base server - DC Lan	4257	12/13/95	2365	SL	5	12,071.00	10,863.90	8,449.70	6,035.30	3,621.30	1,207.10	0.00	0.00
Dell Direct	2 - PC's	4752	5/29/96	2365	SL	5	6,979.00	6,281.10	4,885.30	3,489.50	2,093.70	697.90	0.00	0.00
Tobron Office Furniture	Credenza	4531	3/13/96	2205	SL	10	1,820.00	1,729.00	1,547.00	1,365.00	1,183.00	1,001.00	819.00	637.00
Tobron Office Furniture	Wall unit, file cabinets	4624	4/17/96	2205	SL	10	5,710.00	5,424.50	4,853.50	4,282.50	3,711.50	3,140.50	2,569.50	1,998.50
Tobron Office Furniture	Credenza	4927	7/2/96	2205	SL	10	1,967.00	1,868.65	1,671.95	1,475.25	1,278.55	1,081.85	885.15	688.45
Tobron Office Furniture	File Cabinet	4927	7/2/96	2205	SL	10	1,346.00	1,278.70	1,144.10	1,009.30	874.90	740.30	605.70	471.10
Texstyle	Tearoom Carpet	12267 & 12786 & 12872	11/22/95 1/31/96 2/14/96	2205	SL	10	3,562.25	3,384.14	3,027.91	2,671.69	2,315.46	1,959.24	1,603.01	1,246.79
Baringers	Workstation	4715	5/8/96	2205	SL	10	2,611.00	2,480.45	2,219.35	1,958.25	1,697.15	1,436.05	1,174.95	913.85
Fax Express	Okidata fax machine	12893	2/14/96	2205	SL	5	1,395.00	1,255.50	976.50	697.50	418.50	139.50	0.00	0.00
Fax Express	Dex fax machine	4479	2/21/96	2205	SL	5	1,995.00	1,795.50	1,396.50	997.50	598.50	199.50	0.00	0.00
Fax Express	Konica fax machine	14273	7/31/96	2205	SL	5	1,495.00	1,345.50	1,046.50	747.50	448.50	149.50	0.00	0.00
Williams Telecommunications	Telephone system	5117 5190	9/27/96 10/9/96	2210	SL	5	120,919.00	108,827.10	84,643.30	60,459.50	36,275.70	12,091.90	0.00	0.00
		ACCI												
TOTALS							214,302.61	193,723.16	152,564.26	111,403.37	70,246.47	29,087.57	7,657.31	5,953.69
CURRENT YEAR DEPRECIATION								20,579.43	41,158.90	41,158.90	41,158.90	41,158.90	21,430.26	1,701.63

Amended Return

FY95-FURNITURE & EQUIPMENT																
PAYEE	DESCRIPTION	CHECK #	CHECK DATE	SLCODE	METHOD	LIFE YRS	AMOUNT	BOOK VALUE 9/30/95	BOOK VALUE 9/30/96	BOOK VALUE 9/30/97	BOOK VALUE 9/30/98	BOOK VALUE 9/30/99	BOOK VALUE 9/30/00	BOOK VALUE 9/30/01	BOOK VALUE 9/30/02	
Furniture Consultants	Desk Chair	8883 & 9466	8/19/94 11/9/94	2205	SL	10	1,433.00	1,361.35	1,218.05	1,074.75	951.45	788.15	644.85	501.55	358.25	
Furniture Consultants	Conference Table	2835 & 3152	7/19/94 11/23/94	2205	SL	10	1,194.00	1,134.30	1,014.90	895.50	776.10	656.70	537.30	417.90	298.50	
Furniture Consultants	Workstation	2835 & 3152 & 9719	7/19/94 11/23/94 12/14/94	2205	SL	10	3,645.63	3,463.35	3,098.79	2,734.22	2,369.66	2,005.10	1,640.35	1,275.97	911.41	
Furniture Consultants	Conference Table	10570	3/15/95	2205	SL	10	1,319.00	1,233.05	1,121.15	989.25	857.35	725.45	593.55	461.65	329.75	
Furniture Consultants	Conference Table	3915	8/16/95	2205	SL	10	1,395.00	1,325.25	1,185.75	1,046.25	906.75	767.25	627.75	488.25	348.75	
Tobron Office Furniture	Workstation	3656 & 4145	5/17/95 10/25/95	2205	SL	10	15,044.00	12,391.80	11,087.40	9,783.00	8,478.60	7,174.20	5,869.80	4,565.40	3,261.00	
Tobron Office Furniture	Workstation	3667 & 4145	5/24/95 10/25/95	2205	SL	10	6,022.00	5,720.90	5,118.70	4,516.50	3,914.30	3,312.10	2,709.90	2,107.70	1,505.50	
Tobron Office Furniture	Bookcases	3646 & 4176	5/11/95 11/1/95	2205	SL	10	5,963.00	5,664.85	5,068.35	4,472.25	3,875.95	3,279.65	2,683.35	2,087.05	1,490.75	
Midhattran Woodworking	Serving Table	11015	6/1/95	2205	SL	10	1,765.00	1,676.75	1,500.25	1,323.75	1,147.25	970.75	794.25	617.75	441.25	
Tobron Office Furniture	Table	11164 & 4014	6/21/95 9/27/95	2205/2280	SL	10	1,022.00	970.90	868.70	766.50	664.30	562.10	459.90	357.70	255.50	
Tobron Office Furniture	Workstation(4)	3646 & 4014	5/11/95 9/27/95	2280	SL	10	56,502.50	53,677.38	48,027.15	42,376.88	36,726.63	31,076.38	25,426.13	19,775.88	14,123.63	
Fax-Express	4 - fax machines	3150	11/23/94	2210	SL	5	7,080.00	6,372.00	4,956.00	3,540.00	2,124.00	708.00	0.00	0.00	0.00	
Fax-Express	Fax machine	10377	3/15/95	2210	SL	5	1,395.00	1,255.50	976.50	697.50	418.50	139.50	0.00	0.00	0.00	
Fax-Express	Fax machine	11868	9/27/95	2210	SL	5	1,370.00	1,233.00	959.00	685.00	411.00	157.00	0.00	0.00	0.00	
Altel System	Projection Screen	3454	3/15/95	2210	SL	5	3,958.00	3,562.20	2,770.60	1,979.00	1,187.40	395.80	0.00	0.00	0.00	
Fax-Express	Fax machine	11920	10/11/95	2210	SL	5	1,545.00	1,390.50	1,081.50	772.50	463.50	154.50	0.00	0.00	0.00	
Fax-Express	Fax machine	3637	5/10/95	2281	SL	5	2,075.00	1,867.50	1,452.50	1,037.50	622.50	207.50	0.00	0.00	0.00	
The Future Now	Network software	3982	9/20/95	2505	SL	5	1,875.00	1,687.50	1,312.50	957.50	562.50	187.50	0.00	0.00	0.00	
The Future Now	Jukebox (CD Rom player)	3982	9/20/95	2505	SL	5	8,399.13	7,559.22	5,879.39	4,199.57	2,519.74	859.91	0.00	0.00	0.00	
The Future Now	2-PC's and network boards	4069	10/11/95	2505	SL	5	19,774.69	17,797.22	13,842.28	9,887.55	5,952.41	1,977.47	0.00	0.00	0.00	
The Future Now	Laserjet 4+ printer- 10	5155	11/23/94	2510	SL	5	14,274.53	12,847.08	9,992.17	7,137.27	4,282.36	1,427.45	0.00	0.00	0.00	
The Future Now	HP 600c laptops - 12	3445	3/15/95	2510	SL	5	34,715.50	31,243.95	24,300.85	17,357.75	10,414.65	3,471.55	0.00	0.00	0.00	
The Future Now	Laserjet 4+ printer	3445	3/15/95	2510	SL	5	1,461.60	1,315.44	1,023.12	750.80	438.48	146.16	0.00	0.00	0.00	
The Future Now	Laptop - t2400cs	3445	3/15/95	2510	SL	5	3,578.50	3,220.47	2,504.81	1,789.15	1,073.49	357.83	0.00	0.00	0.00	
The Future Now	HP 600c laptops - 2	3445	3/15/95	2510	SL	5	6,478.38	5,850.54	4,534.87	3,239.19	1,943.51	647.84	0.00	0.00	0.00	
The Future Now	Laptop and printer	3958	9/6/95	2510	SL	5	5,298.31	4,768.48	3,708.82	2,649.16	1,589.49	529.83	0.00	0.00	0.00	
The Future Now	Laserjet printers -6	3056	10/18/94	2510	SL	5	11,347.50	10,212.75	7,943.25	5,673.75	3,404.25	1,134.75	0.00	0.00	0.00	
Dell Direct	7 - PC's	3455	3/15/95	2510	SL	5	17,035.55	15,349.80	11,938.75	8,527.67	5,116.60	1,705.55	0.00	0.00	0.00	
Dell Direct	1 - PC's	3914	8/16/95	2510	SL	5	3,938.00	3,544.20	2,756.60	1,969.00	1,181.40	393.80	0.00	0.00	0.00	
Dell Direct	8 - PC's	4070	10/11/95	2510	SL	5	14,396.00	12,956.40	10,077.20	7,198.00	4,518.80	1,459.60	0.00	0.00	0.00	
Dell Direct	1 - PC's	7335	12/2/94	2565	SL	5	2,475.84	2,228.26	1,733.09	1,257.92	742.75	247.58	0.00	0.00	0.00	
Dell Direct	2-PC's	7354/7277	11/11 & 12/9/1994	2565	SL	5	3,086.34	4,577.71	3,360.44	2,543.17	1,325.90	508.63	0.00	0.00	0.00	
BSO Associates	LAN setup in DC office	4061	10/4/95	2565	SL	5	17,345.50	15,610.95	12,141.85	8,672.75	5,203.65	1,734.55	0.00	0.00	0.00	
TOTALS							278,228.08	255,070.55	208,755.45	162,440.32	116,125.22	69,810.12	41,987.31	32,656.80	23,326.28	
CURRENT YEAR DEPRECIATION								25,157.55	46,315.10	46,315.10	46,315.10	46,315.10	27,822.81	9,530.51	9,330.51	

Amended Return

FY94-FURNITURE & EQUIPMENT																
PAYEE	DESCRIPTION	CHECK #	CHECK DATE	SLCODE	METHOD	LIFE YRS	AMOUNT	BOOK	BOOK	BOOK	BOOK	BOOK	BOOK	BOOK	BOOK	BOOK
								VALUE	VALUE	VALUE	VALUE	VALUE	VALUE	VALUE	VALUE	VALUE
								9/30/94	9/30/95	9/30/96	9/30/97	9/30/98	9/30/99	9/30/00	9/30/01	9/30/02
Furniture Consultants	Desk Chair	6589 & 7255	10/12/93 1/18/94	2205	SL	10	922.00	875.90	783.70	691.50	599.30	507.10	414.90	322.70	230.50	138.30
Furniture Consultants	Chair (2)	1210 & 7255	2/1/93 1/18/94	2205	SL	10	1,749.50	1,662.03	1,487.08	1,312.13	1,137.18	962.23	787.28	612.33	437.38	262.43
Furniture Consultants	Bookcase-library	65428 & 7519	9/27/93 2/22/94	2205	SL	10	2,470.00	2,346.50	2,099.50	1,852.50	1,605.50	1,358.50	1,111.50	864.50	617.50	370.50
Furniture Consultants	Coffee Table	2346 & 7791	1/25/94 3/29/94	2205	SL	10	1,486.00	1,411.70	1,263.10	1,114.50	965.90	817.30	668.70	520.10	371.50	222.90
Leek Building Products	Acoustical panels & tack panels	2300 & 2527	1/11/94 4/5/94	2205	SL	10	5,785.00	5,495.75	4,917.25	4,338.75	3,760.25	3,181.75	2,603.25	2,024.75	1,446.25	867.75
Furniture Consultants	Chair (2)	2346 & 7949	1/25/94 4/26/94	2205	SL	10	1,335.00	1,268.25	1,134.75	1,001.25	867.75	734.25	600.75	467.25	333.75	200.25
Furniture Consultants	Desk Chair	2346 & 8044	1/25/94 5/3/94	2205	SL	10	883.50	839.33	750.98	662.63	574.28	485.93	397.58	309.23	220.88	132.53
Furniture Consultants	Credenza bookcases	7540 & 2681	3/1/94 6/14/94	2205	SL	10	2,000.00	1,900.00	1,700.00	1,500.00	1,300.00	1,100.00	900.00	700.00	500.00	300.00
Furniture Consultants	Coffee Table	8013 & 2681	4/27/94 6/14/94	2205	SL	10	1,486.00	1,411.70	1,263.10	1,114.50	965.90	817.30	668.70	520.10	371.50	222.90
D F B Sales	Drapenes - DAH's office	8477 & 9045	6/14/94 9/6/94	2205	SL	10	1,996.00	1,896.20	1,696.60	1,497.00	1,297.40	1,097.80	898.20	698.60	499.00	299.40
Furniture Consultants	Credenza with files	7757 & 8883	3/29/94 8/16/94	2205	SL	10	1,985.00	1,885.75	1,687.25	1,488.75	1,290.25	1,091.75	893.25	694.75	496.25	297.75
Furniture Consultants	Workstations - 27th floor	2346 & 2651	1/25/94 5/31/94	2205	SL	10	69,555.76	66,077.97	59,122.40	52,166.82	45,211.24	38,255.67	31,300.09	24,344.52	17,388.94	10,433.36
		8381 & 2681	6/7/94 6/14/94													
		2906	8/23/94													
Everton	Computer Stand	8808	8/15/94	2205	SL	10	1,685.00	1,600.75	1,432.25	1,263.75	1,095.25	926.75	758.25	589.75	421.25	252.75
The Future Now	Backup system	2219	12/21/93	2505	SL	5	2,590.00	2,331.00	1,813.00	1,295.00	777.00	259.00	0.00	0.00	0.00	0.00
The Future Now	Memory	2231	12/28/93	2505	SL	5	5,420.00	4,878.00	3,794.00	2,710.00	1,626.00	542.00	0.00	0.00	0.00	0.00
The Future Now	Board	7522	2/22/94	2505	SL	5	573.00	515.70	401.10	286.50	171.90	57.30	0.00	0.00	0.00	0.00
The Future Now	Backup file server	2608	5/10/94	2505	SL	5	6,400.00	5,760.00	4,480.00	3,200.00	1,920.00	640.00	0.00	0.00	0.00	0.00
The Future Now	Backup communication device	2620	5/17/94	2505	SL	5	2,034.00	1,830.60	1,423.80	1,017.00	610.20	203.40	0.00	0.00	0.00	0.00
The Future Now	RAM for file server	2810	7/5/94	2505	SL	5	2,195.00	1,975.50	1,536.50	1,097.50	658.50	219.50	0.00	0.00	0.00	0.00
The Future Now	File Server	3003	9/30/94	2505	SL	5	5,684.00	5,115.60	3,978.80	2,842.00	1,705.20	568.40	0.00	0.00	0.00	0.00
The Future Now	Backup system	3136	11/16/94	2505	SL	5	2,335.00	2,101.50	1,634.50	1,167.50	700.50	233.50	0.00	0.00	0.00	0.00
The Future Now	8 Channel master console	3136	11/16/94	2505	SL	5	1,795.00	1,615.50	1,256.50	897.50	538.50	179.50	0.00	0.00	0.00	0.00
The Future Now	LAN Card	3136	11/16/94	2505	SL	5	1,070.00	963.00	749.00	535.00	321.00	107.00	0.00	0.00	0.00	0.00
The Future Now	Communications/diagnostics server	3056a	10/18/94	2505	SL	5	10,425.00	9,382.50	7,297.50	5,212.50	3,127.50	1,042.50	0.00	0.00	0.00	0.00
Manhattan Office	Scanner	6724	11/2/93	2510	SL	5	1,315.00	1,183.50	920.50	657.50	394.50	131.50	0.00	0.00	0.00	0.00
Dell Direct	5 PCs - 486's & monitors	2141&2130	11/2&11/9/93	2510	SL	5	23,037.00	20,733.50	16,125.90	11,518.50	6,911.10	2,303.70	0.00	0.00	0.00	0.00
Acc Audio-Visual	Overhead Projector	6768	11/9/93	2510	SL	5	805.00	724.50	563.50	402.30	241.50	80.50	0.00	0.00	0.00	0.00
Dell Direct	5 PCs - 486's & monitors	2169&2174	11/23&11/30/93	2510	SL	5	14,400.00	12,960.00	10,080.00	7,200.00	4,320.00	1,440.00	0.00	0.00	0.00	0.00
Dell Direct	4 PCs - 486's & monitors	2218	12/21/93	2510	SL	5	11,521.00	10,368.90	8,064.70	5,760.50	3,456.30	1,152.10	0.00	0.00	0.00	0.00
Manhattan Office	2 - HP IV printers	2301	1/11/94	2510	SL	5	3,988.00	3,582.20	2,791.60	1,994.00	1,196.40	398.80	0.00	0.00	0.00	0.00
Manhattan Office	Testlab docking station	7524	2/22/94	2510	SL	5	611.00	549.90	427.70	305.50	183.30	61.10	0.00	0.00	0.00	0.00
Dell Direct	1 pc - 486's & monitor	2571	4/26/94	2510	SL	5	2,574.00	2,316.60	1,801.80	1,287.00	772.20	257.40	0.00	0.00	0.00	0.00
The Future Now	3 - monitors	7967	4/26/94	2510	SL	5	1,920.00	1,728.00	1,344.00	960.00	576.00	192.00	0.00	0.00	0.00	0.00
The Future Now	CD ROM drive	2620	5/17/94	2510	SL	5	1,795.00	1,615.50	1,256.50	897.50	538.50	179.50	0.00	0.00	0.00	0.00
The Future Now	Hard drive for laptop	8385	6/7/94	2510	SL	5	545.00	490.50	381.50	272.50	163.50	54.50	0.00	0.00	0.00	0.00
The Future Now	7 - monitors	2710	6/28/94	2510	SL	5	4,971.56	4,429.40	3,445.09	2,460.78	1,476.47	492.16	0.00	0.00	0.00	0.00
Imac	1 printer	8870	8/15/94	2510	SL	5	734.83	661.35	514.38	367.42	220.45	73.48	0.00	0.00	0.00	0.00
The Future Now	Scanner	2990	9/27/94	2510	SL	5	9,990.20	8,991.18	6,993.14	4,995.10	2,997.06	999.02	0.00	0.00	0.00	0.00
Global Computer Supplies	Network Cards	9261	9/30/94	2510	SL	5	1,278.00	1,150.20	894.60	639.00	383.40	127.80	0.00	0.00	0.00	0.00
Office Automation Services	Faxback System	3006	9/30/94	2510	SL	5	9,410.00	8,469.00	6,587.00	4,705.00	2,823.00	941.00	0.00	0.00	0.00	0.00
Imac	Memory for laptop	3055a	10/18/94	2510	SL	5	884.39	795.95	619.07	442.20	265.32	88.44	0.00	0.00	0.00	0.00
Imac	Cable Tester	3055a	10/18/94	2510	SL	5	2,405.00	2,164.50	1,683.50	1,202.50	721.50	240.50	0.00	0.00	0.00	0.00
Imac	Monitor and HP printer	3055a	10/18/94	2510	SL	5	1,932.00	1,738.80	1,352.40	966.00	579.60	193.20	0.00	0.00	0.00	0.00
Dell Direct	2 PCs	9324a	10/18/94	2510	SL	5	2,388.00	2,149.20	1,671.60	1,194.00	716.40	238.80	0.00	0.00	0.00	0.00
Acc Audio-Visual Inc	Panasonic VCR	9326a	10/18/94	2510	SL	5	560.00	504.00	392.00	280.00	168.00	56.00	0.00	0.00	0.00	0.00
The Future Now	12-Printers	3136	11/16/94	2510	SL	5	11,345.00	10,390.50	8,081.50	5,772.50	3,463.50	1,154.50	0.00	0.00	0.00	0.00
Information Age Assoc	Photo Views-5 consultant user pak	2173	11/30/93	2520	SL	5	3,595.50	3,235.95	2,516.85	1,797.75	1,078.65	359.55	0.00	0.00	0.00	0.00

Amended Return

FY94 FURNITURE & EQUIPMENT																
PAYEE	DESCRIPTION	CHECK #	CHECK DATE	SL CODE	METHOD	LIFE YRS	AMOUNT	BOOK VALUE 9/30/94	BOOK VALUE 9/30/95	BOOK VALUE 9/30/96	BOOK VALUE 9/30/97	BOOK VALUE 9/30/98	BOOK VALUE 9/30/99	BOOK VALUE 9/30/00	BOOK VALUE 9/30/01	BOOK VALUE 9/30/02
Campbell Services	OnTime for Windows	3005	9/30/94	2520	SL	5	3,960.00	3,564.00	2,772.00	1,980.00	1,188.00	396.00	0.00	0.00	0.00	0.00
Campbell Services	OnTime for Windows	3052a	10/18/94	2520	SL	5	4,720.00	4,248.00	3,304.00	2,360.00	1,416.00	472.00	0.00	0.00	0.00	0.00
TOTALS							254,695.24	233,892.65	192,287.48	150,682.31	109,077.14	67,471.97	42,002.44	32,668.57	23,334.69	14,000.81
CURRENT YEAR DEPRECIATION								20,802.59	41,605.17	41,605.17	41,605.17	41,605.17	25,469.52	9,333.88	9,333.88	9,333.88

Amended Return

FY93-FURNITURE & EQUIPMENT

PAYER	DESCRIPTION	CHECK #	CHECK DATE	SLCODE	METHOD	LIFE YRS	AMOUNT	BOOK	BOOK	BOOK	BOOK	BOOK	BOOK	BOOK	BOOK	BOOK	
								VALUE 9/30/93	VALUE 9/30/94	VALUE 9/30/95	VALUE 9/30/96	VALUE 9/30/97	VALUE 9/30/98	VALUE 9/30/99	VALUE 9/30/00	VALUE 9/30/01	VALUE 9/30/02
Furniture Consultants	Chair - AR	618 & 4165	7/27/92 10/26/92	2205	SL	10	981.75	932.66	834.49	736.31	638.14	539.96	441.79	343.61	245.44	147.26	49.09
Furniture Consultants	Workstation - AHL	630 & 1030	7/29/92 11/9/92	2205	SL	10	13,721.00	13,034.95	11,662.85	10,290.75	8,918.65	7,546.55	6,174.45	4,802.35	3,430.25	2,058.15	686.05
Furniture Consultants	Chair - LG	652 & 1050	8/3/92 11/9/92	2205	SL	10	904.00	858.80	768.40	678.00	587.60	497.20	406.80	316.40	226.00	135.60	45.20
Furniture Consultants	Chairs - (6)	667 & 4331	8/10/92 11/16/92	2205	SL	10	4,055.50	3,852.73	3,447.18	3,041.63	2,636.08	2,230.53	1,824.98	1,419.43	1,013.88	608.33	202.78
Furniture Consultants	Desk	4385	11/25/92	2205	SL	10	750.00	712.50	637.50	562.50	487.50	412.50	337.50	262.50	187.50	112.50	37.50
Furniture Consultants	Chair - VS	786 & 4498	9/14/92 12/21/92	2205	SL	10	981.75	932.66	834.49	736.31	638.14	539.96	441.79	343.61	245.44	147.26	49.09
Furniture Consultants	Tackboard	786 & 4581	9/14/92 7/4/93	2205	SL	10	965.50	917.23	820.68	724.13	627.58	531.03	434.48	337.93	241.38	144.83	48.28
Furniture Consultants	Bookcases - (3)	1200 & 1595	1/26/93 6/14/93	2205	SL	10	6,042.00	5,739.90	5,135.70	4,531.50	3,927.30	3,323.10	2,718.90	2,114.70	1,510.50	906.30	302.10
Inc. Co	Display Shelf - Library	4701	2/1/93	2205	SL	10	682.00	647.90	579.70	511.50	443.30	375.10	306.90	238.70	170.50	102.30	34.10
Furniture Consultants	Workstation - JW	1240 & 1529	2/1/93 5/21/93	2205	SL	10	13,655.71	12,972.92	11,607.35	10,241.78	8,876.21	7,510.64	6,145.07	4,779.50	3,413.93	2,048.36	682.79
Furniture Consultants	Workstation - CS area	1317 & 1529	2/23/93 5/21/93	2205	SL	10	24,189.39	22,979.92	20,560.98	18,142.04	15,723.10	13,304.16	10,885.23	8,466.29	6,047.35	3,628.41	1,209.47
Furniture Consultants	Bookcases - glass	618, 630 1342	6/29/93 3/8/93	2205	SL	10	48,554.00	46,126.30	41,270.90	36,415.50	31,560.10	26,704.70	21,849.30	16,993.90	12,138.50	7,283.10	2,427.70
Furniture Consultants	Bookcases	652 4990	8/3/92 3/22/93	2205	SL	10	989.00	939.55	840.65	741.75	642.85	543.95	445.05	346.15	247.25	148.35	49.45
Furniture Consultants	Workstation - KPE	652 1429	8/3/92 4/12/93	2205	SL	10	10,386.56	9,867.23	8,828.58	7,789.92	6,751.26	5,712.61	4,673.95	3,635.30	2,596.64	1,557.98	519.33
Furniture Consultants	File - 2 drawer	1504 & 6125 & 1976	5/10/93 8/16/93 9/20/93	2205	SL	10	1,939.50	1,833.03	1,640.08	1,447.13	1,254.18	1,061.23	868.28	675.33	482.38	289.43	96.48
Furniture Consultants	Bookcases	1504	5/10/93	2205	SL	10	2,955.00	2,807.25	2,511.75	2,216.25	1,920.75	1,625.25	1,329.75	1,034.25	738.75	443.25	147.75
Furniture Consultants	File Cabinet	1545 & 1893	6/1/93 8/30/93	2205	SL	10	4,357.50	4,159.63	4,043.88	3,568.13	3,092.38	2,616.63	2,140.88	1,665.13	1,189.38	713.63	237.88
Furniture Consultants	Credenza and Bookcases	1545 & 1976	6/1/93 9/20/93	2205	SL	10	6,591.00	6,346.45	5,857.35	5,168.25	4,479.15	3,790.05	3,100.95	2,411.85	1,722.75	1,033.65	344.55
Furniture Consultants	Chairs - (2)	1545 & 6196	6/1/93 8/23/93	2205	SL	10	1,537.00	1,460.15	1,306.45	1,152.75	999.05	845.35	691.65	537.95	384.25	230.55	76.85
ig Apple Business	Typewriter - Canon ap810	4290	11/16/92	2210	SL	5	1,050.00	945.00	735.00	525.00	315.00	105.00	0.00	0.00	0.00	0.00	0.00
io System	Dictating Machine	5315	5/5/93	2210	SL	10	531.20	504.64	451.52	398.40	345.28	292.16	239.04	185.92	132.80	79.68	26.56
Network Now	Network File Server	1461	4/19/93	2505	SL	5	5,345.00	4,810.50	3,741.50	2,672.50	1,603.50	534.50	0.00	0.00	0.00	0.00	0.00
ommunications	Communications device	6114	8/16/93	2505	SL	5	1,175.00	1,057.50	822.50	587.50	352.50	117.50	0.00	0.00	0.00	0.00	0.00
ell Direct Sales	4 PC-486D/25, 5 PC-486D 33	1031	11/9/92	2510	SL	5	23,033.00	20,729.70	16,323.10	11,516.50	6,909.90	2,303.30	0.00	0.00	0.00	0.00	0.00
Manhattan Office	HP Laserjet IV - (2)	1052	11/16/92	2510	SL	5	3,090.00	2,781.00	2,163.00	1,545.00	927.00	309.00	0.00	0.00	0.00	0.00	0.00
Manhattan Office	Laptops - (1)	1093	12/21/92	2510	SL	5	8,973.00	8,075.70	6,281.10	4,486.50	2,691.90	897.30	0.00	0.00	0.00	0.00	0.00
ell Direct Sales	(5) PC-486DX 33	1167	1/11/93	2510	SL	5	11,865.00	10,678.50	8,305.50	5,932.50	3,559.50	1,186.50	0.00	0.00	0.00	0.00	0.00
ell Direct Sales	(5) PC-486DX 33	1201	1/26/93	2510	SL	5	11,865.00	10,678.50	8,305.50	5,932.50	3,559.50	1,186.50	0.00	0.00	0.00	0.00	0.00
Manhattan Office	Toshiba T3300, I-120	4841	2/22/93	2510	SL	5	2,335.00	2,101.50	1,634.50	1,167.50	700.50	233.50	0.00	0.00	0.00	0.00	0.00
ell Direct Sales	(5) PC-486DX/33	1328	3/01/93	2510	SL	5	11,865.00	10,678.50	8,305.50	5,932.50	3,559.50	1,186.50	0.00	0.00	0.00	0.00	0.00
ell Direct Sales	UltraScan Monitor	5240	4/19/93	2510	SL	5	529.00	476.10	370.30	264.50	158.70	52.90	0.00	0.00	0.00	0.00	0.00
Manhattan Office	Data/Fax Modem	5438	5/21/93	2510	SL	5	571.00	513.90	399.70	285.50	171.30	57.10	0.00	0.00	0.00	0.00	0.00
Manhattan Office	Toshiba Desk Station	5537	6/07/93	2510	SL	5	736.00	662.40	515.20	368.00	220.80	73.60	0.00	0.00	0.00	0.00	0.00
ell Direct Sales	(1) PC - 486	1781	7/27/93	2510	SL	5	2,982.00	2,683.80	2,087.40	1,491.00	894.60	298.20	0.00	0.00	0.00	0.00	0.00
ell Direct Sales	(1) PC - 486	1957	9/13/93	2510	SL	5	38,799.00	34,919.10	27,159.30	19,399.50	11,639.70	3,879.90	0.00	0.00	0.00	0.00	0.00
ell Direct Sales	(2) PC - 486	2024	9/30/93	2510	SL	5	5,968.00	5,371.20	4,177.60	2,984.00	1,790.40	596.80	0.00	0.00	0.00	0.00	0.00
ell Direct Sales	Projector - Sharp QA 1650	2035	9/30/93	2510	SL	5	5,820.00	5,238.00	4,074.00	2,910.00	1,746.00	582.00	0.00	0.00	0.00	0.00	0.00
Manhattan Office	Toshiba T4500 200	6584	10/19/93 ACC	2510	SL	5	2,335.00	2,101.50	1,634.50	1,167.50	700.50	233.50	0.00	0.00	0.00	0.00	0.00
TOTALS							283,795.36	262,688.79	220,475.66	178,262.52	136,049.38	93,836.25	65,456.71	50,910.78	36,364.84	21,818.90	7,272.97
DIFFERENT YEAR DEPRECIATION								21,106.57	42,213.14	42,213.14	42,213.14	42,213.14	28,379.54	14,545.94	14,545.94	14,545.94	14,545.94

Amended Return

FY92-FURNITURE & EQUIPMENT

PAYER	DESCRIPTION	CHECK #	CHECK DATE	SLCODE	METHOD	LIFE YRS	AMOUNT	BOOK	BOOK	BOOK	BOOK	BOOK	BOOK	BOOK	BOOK	BOOK	BOOK	BOOK
								VALUE 9/30/92	VALUE 9/30/93	VALUE 9/30/94	VALUE 9/30/95	VALUE 9/30/96	VALUE 9/30/97	VALUE 9/30/98	VALUE 9/30/99	VALUE 9/30/00	VALUE 9/30/01	VALUE 9/30/02
Furniture Consultants	Chairs - (2)/LC&HAC	26969& 27403	10/07/91 2/3/92	2205	SL	10	1,918.00	1,822.10	1,630.30	1,438.50	1,246.70	1,054.90	863.10	671.30	479.50	287.70	95.90	0.00
Furniture Consultants	Chairs - (4)	26969& 27298	10/07/91 1/6/92	2205	SL	10	2,868.00	2,724.60	2,437.80	2,151.00	1,864.20	1,577.40	1,290.60	1,003.80	717.00	430.20	143.40	0.00
Furniture Consultants	Coffee Table	26969& 2388	10/07/91 12/9/91	2205	SL	10	1,657.00	1,574.15	1,408.45	1,242.75	1,077.05	911.35	743.65	579.95	414.25	248.55	82.85	0.00
Furniture Consultants	Bookcases - (3)	27068& 27403	10/28/91 2/3/92	2205	SL	10	5,520.00	5,244.00	4,692.00	4,140.00	3,588.00	3,036.00	2,484.00	1,932.00	1,380.00	828.00	276.00	0.00
Furniture Consultants	Bookcase	1716& 27403	7/22/91& 2/3/92	2205	SL	10	1,540.00	1,748.00	1,564.00	1,580.00	1,196.00	1,012.00	828.00	644.00	460.00	276.00	92.00	0.00
Furniture Consultants	Chairs - (2)	2319& 294	11/18/91 4/27/92	2205	SL	10	1,872.00	1,778.40	1,591.20	1,404.00	1,216.80	1,029.60	842.40	655.20	468.00	280.80	93.60	0.00
Furniture Consultants	Chairs - (4)	2731& 399	1/21/92 6/1/92	2205	SL	10	3,749.00	3,561.55	3,186.65	2,811.75	2,436.85	2,061.95	1,687.05	1,312.15	937.25	562.35	187.45	0.00
Furniture Consultants	Chairs - (2)	2724 399	2/18/92 6/1/92	2205	SL	10	866.00	822.70	736.10	649.50	562.90	476.30	389.70	303.10	216.50	129.90	43.30	0.00
Midhattan Woodworking	Conference tables - (2)	27451	2/18/92	2205	SL	10	4,500.00	4,275.00	3,825.00	3,375.00	2,925.00	2,475.00	2,025.00	1,575.00	1,125.00	675.00	225.00	0.00
Furniture Consultants	Desk, table & wall unit	276 & 630	4/20/92 7/29/92	2205	SL	10	10,399.00	9,879.05	8,839.15	7,799.25	6,759.35	5,719.45	4,679.55	3,639.65	2,599.75	1,559.85	519.95	0.00
Furniture Consultants	Desk	384 & 882	7/13/92 9/30/92	2205	SL	10	2,586.00	2,456.70	2,198.10	1,939.50	1,680.90	1,422.30	1,163.70	905.10	646.50	387.90	129.30	0.00
Furniture Consultants	Desk	498 & 882	6/29/92 9/30/92	2205	SL	10	2,778.00	2,639.10	2,361.30	2,083.50	1,805.70	1,527.90	1,250.10	972.30	694.50	416.70	138.90	0.00
Furniture Consultants	Chair	498 & 4075	6/29/92 9/30/92	2205	SL	10	981.75	932.66	834.49	736.31	638.14	539.96	441.79	343.61	245.44	147.26	49.09	0.00
Midhattan Woodworking	Conference tables - (3)	832	9-28/92	2205	SL	10	6,750.00	6,412.50	5,737.50	5,062.50	4,387.50	3,712.50	3,037.50	2,362.50	1,687.50	1,012.50	337.50	0.00
Vero System	Dictating Machine	2127	10/16/91	2210	SL	10	629.10	597.65	534.74	471.83	408.92	346.01	283.10	220.19	157.28	94.37	31.46	0.00
Vero System	Dictating Machine	2558	1/21/92	2210	SL	10	621.00	589.95	527.85	465.75	403.65	341.55	279.45	217.35	155.25	93.15	31.05	0.00
Vero System	Dictating Machine-(2)	711	8/24/92	2210	SL	10	1,250.10	1,187.60	1,062.59	937.58	812.57	687.56	562.55	437.54	312.53	187.52	62.51	0.00
Ace Audio-Visual Co	Podium	127	3/16/92	2210	SL	10	2,037.40	1,935.53	1,731.79	1,528.05	1,324.31	1,120.57	916.83	713.09	509.35	305.61	101.87	0.00
Hello Direct	Teleconferencing Unit	343	5/11/92	2210	SL	5	1,774.82	1,597.34	1,242.37	887.41	532.45	177.48	0.00	0.00	0.00	0.00	0.00	0.00
Hello Direct	Teleconferencing Unit	414	6/8/92	2210	SL	5	1,295.00	1,165.50	906.50	647.50	388.50	129.50	0.00	0.00	0.00	0.00	0.00	0.00
Fax-Express	Fax machine	722	8/24/92	2210	SL	5	3,663.00	3,296.70	2,564.10	1,831.50	1,098.90	366.30	0.00	0.00	0.00	0.00	0.00	0.00
Big Apple Business	Typewriters - (3)	881	9/30/92	2210	SL	5	1,810.00	1,629.00	1,267.00	905.00	543.00	181.00	0.00	0.00	0.00	0.00	0.00	0.00
Ariel Systems	Microphones for board room	880	9/30/92	2210	SL	5	19,931.20	17,938.08	15,951.84	9,965.60	5,979.36	1,993.12	0.00	0.00	0.00	0.00	0.00	0.00
Municipal Electric	Wiring for microphones	879	9/30/92	2210	SL	5	8,612.50	7,751.25	6,038.75	4,306.25	2,583.75	861.25	0.00	0.00	0.00	0.00	0.00	0.00
RCA Business Telephone	Telephone system	834	9/28/92	2211	SL	5	20,000.00	18,000.00	14,000.00	10,000.00	6,000.00	2,000.00	0.00	0.00	0.00	0.00	0.00	0.00
Network Management	Backup system & lan expansion	27166	12/2/92	2505	SL	5	10,010.95	9,009.86	7,007.67	5,005.48	3,003.29	1,001.10	0.00	0.00	0.00	0.00	0.00	0.00
Manhattan Office	Power supply & board	27488	2/2/92	2505	SL	5	1,064.00	957.60	744.80	522.00	319.20	106.40	0.00	0.00	0.00	0.00	0.00	0.00
Network Management	Hard Disk for network	116	3/9/92	2505	SL	5	16,901.00	15,210.90	11,830.70	8,450.50	5,070.30	1,690.10	0.00	0.00	0.00	0.00	0.00	0.00
Innac	Computer cabinet	139	3/23/92	2505	SL	5	832.85	749.57	583.00	416.43	249.86	83.29	0.00	0.00	0.00	0.00	0.00	0.00
Manhattan Office	Toshiba PC T-2200sx	27053	10/28/91	2510	SL	5	11,247.69	10,122.92	7,873.38	5,623.85	3,374.31	1,124.77	0.00	0.00	0.00	0.00	0.00	0.00
Zeos	PC - 386	27354	1/27/92	2510	SL	5	2,340.00	2,286.00	1,778.00	1,270.00	762.00	254.00	0.00	0.00	0.00	0.00	0.00	0.00
Manhattan Office	HP laserjet III	27190	1/27/92	2510	SL	5	2,051.00	1,845.90	1,435.70	1,025.50	615.30	205.10	0.00	0.00	0.00	0.00	0.00	0.00
Computerland	Deskpro 386s	27431	2/19/92	2510	SL	5	2,071.00	1,863.90	1,449.70	1,035.50	621.30	207.10	0.00	0.00	0.00	0.00	0.00	0.00
Manhattan Office	HP laserjet III	27467	2/24/92	2510	SL	5	2,046.00	1,841.40	1,432.20	1,023.00	613.80	204.60	0.00	0.00	0.00	0.00	0.00	0.00
Zeos	PC - 386DX - (4)	256	4/13/92	2510	SL	5	9,760.00	8,784.00	6,832.00	4,880.00	2,928.00	976.00	0.00	0.00	0.00	0.00	0.00	0.00
Zeos	PC - 386DX - (4)	300	4/27/92	2510	SL	5	9,360.00	8,424.00	6,532.00	4,680.00	2,808.00	936.00	0.00	0.00	0.00	0.00	0.00	0.00
Zeos	PC - 386DX - (6)	360	5/18/92	2510	SL	5	14,240.00	12,816.00	9,968.00	7,120.00	4,272.00	1,424.00	0.00	0.00	0.00	0.00	0.00	0.00
Manhattan Office	HP painter s1300	875	9/30/92	2510	SL	5	2,628.50	2,365.65	1,839.95	1,314.25	788.55	262.85	0.00	0.00	0.00	0.00	0.00	0.00
Egghead Software	Network software	437	6-15/92	2520	SL	5	1,992.81	1,712.53	1,331.97	951.41	570.84	199.28	0.00	0.00	0.00	0.00	0.00	0.00
TOTALS							196,564.67	179,349.32	145,518.62	111,487.92	77,457.22	43,426.52	23,770.06	18,487.82	13,205.59	7,923.35	2,641.12	0.00
CURRENT YEAR DEPRECIATION								17,018.35	34,030.70	34,030.70	34,030.70	34,030.70	19,656.47	5,282.24	5,282.24	5,282.24	5,282.24	2,641.12

Part 1, Line 23
OTHER EXPENSES**Amended Return**

	<u>Column (a)</u>	<u>Column (b)</u>	<u>Column (d)</u>
Trustees Expenses	\$80,370	--	\$80,970
Consultants	589,611	--	515,793
Postage, Telephone & Messenger	180,331	20,871	160,504
Office Equipment, Services and Supplies	280,680	33,838	298,538
Computer Equipment and Service	228,200	28,983	320,528
Copying & Duplicating	62,813	7,334	56,181
Books & Periodicals	93,417	11,588	83,771
Insurance	63,249	--	59,070
Membership Fees	16,362	1,475	14,887
Real Estate Broker's Commission	499,160	57,703	441,457
Miscellaneous	19,680	2,260	17,289
	<u>\$2,113,873</u>	<u>\$164,052</u>	<u>\$2,048,988</u>
Subtotal			
	<u>\$2,113,873</u>	<u>\$164,052</u>	<u>\$2,048,988</u>
Less: Fixed Assets Capitalized	--	--	(133,162)
	<u>\$2,113,873</u>	<u>\$164,052</u>	<u>\$1,915,826</u>
TOTAL - Line 23			

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 101361

SUMMARY OF ASSETS AND LIABILITIES
 AS OF SEPTEMBER 30, 2002
 CARNEGIE CORPORATION OF NEW YORK
 CAPITAL GUARDIAN TAX EXEMPT
 FUNDS

GLOBAL ASSETS - EB
 T15A AP MGW
 PREPARED 10/18/02 PAGE 2

-----M A Amended Return
 VALUE %

-----B O O K-----
 VALUE %

===== A S S E T S =====

SECURITIES			
FIXED INCOME	254.22	.00	254.22
EQUITIES	203,039,010.00	100.00	149,346,860.69
*TOTAL SECURITIES	203,039,264.22	100.00	149,347,114.91
CASH	.00	.00	.00
RECEIVABLES	79,921.35	.04	79,921.35
**TOTAL ASSETS	203,119,185.57	100.04	149,427,036.26

===== L I A B I L I T I E S =====

PAYABLES	79,921.05	.04	79,921.05
*TOTAL LIABILITIES	79,921.05	.04	79,921.05
NET ASSETS	203,039,264.52	100.00	149,347,115.21

SCHEDULE A

Schedule 6
 FED ID # 13-1628151

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 101361

SECURITY TRANSACTION REPORT
 YEARLY
 FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 10/18/02 AP MGW PAGE 2

CCNY - CAPITAL GUARDIAN - CO

	=====A C Q U I R E D=====			=====D I S P O S E D=====				
	NUMBER OF SHRS OR \$ PAR	COST	UNIT COST	NUMBER OF SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
**TOTAL								
INVESTMENT ACTIVITY	*****	8,087,434.13	*****	*****	9,792,225.57	5,001,190.84	*****	4,791,034.73-
MISCELLANEOUS	*****	.00	*****	*****	.00	.00	*****	.00
ACCOUNT GRAND TOTAL	*****	8,087,434.13	*****	*****	9,792,225.57	5,001,190.84	*****	4,791,034.73-

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

ACCOUNT 175316

SUMMARY OF ASSETS AND LIABILITIES
AS OF SEPTEMBER 30, 2002

CARNEGIE CORP. OF NEW YORK
CAPITAL GUARDIAN TRUST
US EQUITY ACCOUNT

GLOBAL ASSETS - EB

T15A AP
PREPARED 10/18/02 PAGE 2

Amended Return

ASSETS	B O O K		M A R K E T	
	VALUE	%	VALUE	%
SECURITIES				
FIXED INCOME	2,355,919.51	1.88	2,383,712.69	2.66
EQUITIES	122,653,290.00	98.01	87,058,828.26	97.19
*TOTAL SECURITIES	125,009,209.51	99.89	89,442,540.95	99.85
CASH	.00	.00	.00	.00
RECEIVABLES	231,557.60	.19	231,557.60	.26
**TOTAL ASSETS	125,240,767.11	100.08	89,674,098.55	100.11
LIABILITIES				
PAYABLES	94,898.02	.08	94,898.02	.11
*TOTAL LIABILITIES	94,898.02	.08	94,898.02	.11
NET ASSETS	125,145,869.09	100.00	89,579,200.53	100.00

SCHEDULE A

SCHEDULE C
FED ID # 13-1628151

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 175316

SECURITY TRANSACTION REPORT
 YEARLY
 FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 10/18/02 AP PAGE 1

CARNEGIE CORP. OF NEW YORK
 CAPITAL GUARDIAN TRUST
 US EQUITY ACCOUNT

Amended Return

	=====A C Q U I R E D=====			=====D I S P O S E D=====				
	NUMBER OF SHRS OR \$ PAR	COST	UNIT COST	NUMBER OF SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
FIXED INCOME								
TEMPORARY INVESTMENTS								
INSTITUTIONAL LIQUID ASSETS FUND								

DB INSTITUTIONAL LIQUID ASSETS FUND								
INVESTMENT ACTIVITY	36,965,574.94	36,965,574.94	100.00	38,559,127.24	38,559,127.24	38,559,127.24	100.00	.00
CORPORATE OBLIGATIONS								
DOMESTIC CONVERTIBLE OBLIGATIONS								
NON-OIL INDUSTRIALS								

FREEPORT-MCMORAN COPPER & GOLD INC CONV BOND 8.250% DTD 07AUG2001 DUE 31JAN2006								
INVESTMENT ACTIVITY	.00	.00	.00	620,000.00	623,778.00	880,893.96	142.08	257,115.96
FINANCIAL OBLIGATIONS								

NEXTEL COMMUNICATIONS INC 4.75% DTD 16JUN1999 DUE 01JUL2007								
INVESTMENT ACTIVITY	451,000.00	273,626.00	60.67	.00	.00	.00	.00	.00
FOREIGN CONVERTIBLE OBLIGATIONS								

NORTEL NETWORKS CORP SERIES 144A 4.250% DTD 15AUG2001 DUE 01SEP2008								
INVESTMENT ACTIVITY	.00	.00	.00	514,000.00	526,099.80	153,728.15	29.91	372,371.65-
**TOTAL CORPORATE OBLIGATIONS								
INVESTMENT ACTIVITY	*****	273,626.00	*****	*****	1,149,877.80	1,034,622.11	*****	115,255.69-
**TOTAL FIXED INCOME								
INVESTMENT ACTIVITY	*****	37,239,200.94	*****	*****	39,709,005.04	39,593,749.35	*****	115,255.69-

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

ACCOUNT 175316

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

CCNY - CAPITAL GUARDIAN - DE

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 2

	=====A C Q U I R E D=====			=====D I S P O S E D=====				
	NUMBER OF SHRS OR \$ PAR	COST	UNIT COST	NUMBER OF SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
EQUITIES								
PREFERRED STOCK								
DOMESTIC CONVERTIBLE PREFERRED STOCK								

FORD CAPITAL TRUST								
6.500% CNV PREFERRED STOCK								
INVESTMENT ACTIVITY	17,300.00	882,255.07	51.00	3,400.00	170,000.00	156,489.46	46.03	13,510.54-
COMMON STOCK								
DOMESTIC COMMON STOCK								
AEROSPACE								

UNITED TECHNOLOGIES CORP COM								
INVESTMENT ACTIVITY	3,100.00	160,436.74	51.75	17,900.00	918,414.33	1,187,629.83	66.35	269,215.50
BANKS								

BANK OF AMERICA CORP								
COM STK US00.01								
(FRMLY BANKAMERICA CORP)								
INVESTMENT ACTIVITY	.00	.00	.00	27,300.00	1,709,127.03	1,815,598.38	66.51	106,471.35
BANC ONE CORP COM NPV								
INVESTMENT ACTIVITY	.00	.00	.00	8,700.00	330,961.29	338,418.70	38.90	7,457.41
CITIGROUP INC								
COM								
INVESTMENT ACTIVITY	.00	.00	.00	18,600.00	545,316.02	807,023.10	43.39	261,707.08
ADJUSTMENT	.00	.00	.00	.00	19,347.65	.00	.00	.00
SECURITY TOTAL*	.00	.00	***	18,600.00	564,663.67	807,023.10	*****	261,707.08

Amended Return

=====A C Q U I R E D=====
 NUMBER OF SHRS OR \$ PAR COST UNIT COST
 YEARLY SECURITY TRANSACTION REPORT
 GLOBAL ASSETS - EB
 GAIN OR LOSS-
 UNIT PROCEEDS BOOK VALUE

INVESTMENT ACTIVITY	NUMBER OF SHRS OR \$ PAR	COST	UNIT COST	NUMBER OF SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT	GAIN OR LOSS-
JP MORGAN CHASE & CO COMMON STOCK (FORMERLY CHASE MAHATTAN CORP)	56,500.00	1,864,936.26	33.01	.00	.00	.00	.00	.00
WASHINGTON MUT INC COM	33,400.00	1,043,811.42	31.25	18,400.00	475,333.34	574,903.13	31.24	99,569.79
WELLS FARGO & CO NEW COM	3,500.00	141,615.05	40.46	26,400.00	1,076,441.29	1,294,119.51	49.02	217,678.22
**TOTAL BANKS ADJUSTMENT ACTIVITY	3,050,362.73	0.00	0.00	4,137,178.97	19,347.65	4,830,062.82	0.00	692,883.85
**TOTALS FOR CATEGORY	3,050,362.73	0.00	0.00	4,156,526.62	4,830,062.82	0.00	0.00	692,883.85
BEVERAGE								
ANHEUSER BUSCH COS INC COM	25,200.00	868,941.09	0.00	1,160,200.86	1,160,200.86	46.04	46.04	291,259.77
PEPSICO INC COM	8,500.00	336,175.00	0.00	427,920.78	427,920.78	50.34	50.34	91,745.78
**TOTAL BEVERAGE INVESTMENT ACTIVITY	0.00	0.00	0.00	1,205,116.09	1,588,121.64	0.00	0.00	383,005.55
BUILDING - FOREST PRODUCTS								

Amended Return

CGNY - CAPITAL GUARDIAN - DE

=====ACQURIED=====
 NUMBER OF UNIT COST SHRS OR \$ PAR
 BOOK VALUE PROCEEDS UNIT GAIN OR LOSS
 =====D I S P O S E D=====

Amended Return

ADVANCED MEDICAL OPTICS INC
COM USD0.01

INVESTMENT ACTIVITY	UNIT	COST	SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT	GAIN OR LOSS
INVESTMENT ACTIVITY	63,186.93	6,244.38	6,244.38	63,186.93	57,571.80	9.22	5,615.13-
CAPITAL CHANGE							
**SECURITY TOTAL*	63,186.93	6,244.38	6,244.38	63,186.93	57,571.80	9.22	5,615.13-

ALLERGAN INC COM
USDO.01

INVESTMENT ACTIVITY	UNIT	COST	SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT	GAIN OR LOSS
INVESTMENT ACTIVITY	63.90	63.90	10.12	63,186.93	57,571.80	9.22	5,615.13-
ADJUSTMENT							
**SECURITY TOTAL*	63.90	63.90	10.12	63,186.93	57,571.80	9.22	5,615.13-

LILLY ELI & CO COM

INVESTMENT ACTIVITY	UNIT	COST	SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT	GAIN OR LOSS
INVESTMENT ACTIVITY	78.90	860,000.22	78.90	63,186.93	57,571.80	9.22	5,615.13-

PFIZER INC COM

INVESTMENT ACTIVITY	UNIT	COST	SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT	GAIN OR LOSS
INVESTMENT ACTIVITY	29.61	707,603.63	29.61	63,186.93	57,571.80	9.22	5,615.13-
**TOTAL DRUG INVESTMENT ACTIVITY	3,541,993.42	3,541,993.42	20,100.00	813,168.18	842,167.14	41.90	92,185.89
CAPITAL CHANGE	63,186.93	63,186.93		63,186.93	57,571.80	9.22	5,615.13-
ADJUSTMENT							
**TOTALS FOR CATEGORY ELECTRICAL EQUIPMENT	3,605,180.35	3,605,180.35	20,100.00	876,355.11	899,738.94	41.90	92,185.89

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

ACCOUNT 175316

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

CCNY - CAPITAL GUARDIAN - DE

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 7

Amended Return

	=====A C Q U I R E D=====			=====D I S P O S E D=====				
	NUMBER OF SHRS OR \$ PAR	COST	UNIT COST	NUMBER OF SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
APPLIED MICRO CIRCUITS CORP CDT-COM								
INVESTMENT ACTIVITY	41,600.00	291,304.99	7.00	25,000.00	353,837.48	314,967.98	12.60	38,869.50-
APPLIED MATLS INC COM								
INVESTMENT ACTIVITY	57,000.00	925,001.23	16.23	14,400.00	316,484.64	608,589.70	42.26	292,105.06
CAPITAL CHANGE	74,600.00	.00	.00	.00	.00	.00	.00	.00
SECURITY TOTAL*	131,600.00	925,001.23	***	14,400.00	316,484.64	608,589.70	*****	292,105.06
BROADCOM CORP CL A								
INVESTMENT ACTIVITY	9,100.00	206,196.44	22.66	12,700.00	875,903.52	469,147.39	36.94	406,756.13-
GENERAL MTRS CORP CL H NEW								
INVESTMENT ACTIVITY	.00	.00	.00	29,200.00	551,802.00	415,420.95	14.23	136,381.05-
GLOBESPAN VIRATA INC COMMON STOCK (FORMERLY GLOBESPAN INC)								
INVESTMENT ACTIVITY	.00	.00	.00	204.00	10,780.08	2,520.91	12.36	8,259.17-
CAPITAL CHANGE	204.00	10,780.08	52.84	.00	.00	.00	.00	.00
SECURITY TOTAL*	204.00	10,780.08	***	204.00	10,780.08	2,520.91	*****	8,259.17-
HEWLETT PACKARD CO COM								
INVESTMENT ACTIVITY	.00	.00	.00	52,900.75	1,617,454.35	931,944.47	17.62	685,509.88-
CAPITAL CHANGE	31,561.75	531,588.60	16.84	.00	.00	.00	.00	.00
SECURITY TOTAL*	31,561.75	531,588.60	***	52,900.75	1,617,454.35	931,944.47	*****	685,509.88-

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

ACCOUNT 175316

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

CCNY - CAPITAL GUARDIAN - DE

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 8

Amended Return

	=====A C Q U I R E D=====			=====D I S P O S E D=====				
	NUMBER OF SHRS OR \$ PAR	COST	UNIT COST	NUMBER OF SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
KLA-TENCOR CORPORATION COM STK USDO.001 (FORMERLY KLA INSTRUMENTS)								
INVESTMENT ACTIVITY	28,900.00	1,238,571.66	42.86	11,900.00	256,056.05	664,850.49	55.87	408,794.44
LINEAR TECHNOLOGY CORP COM								
INVESTMENT ACTIVITY	13,400.00	440,368.44	32.86	12,000.00	614,130.00	525,282.49	43.77	88,847.51-
MIPS TECHNOLOGIES INC COM								
INVESTMENT ACTIVITY	.00	.00	.00	5,000.00	261,253.70	41,048.63	8.21	220,205.07-
NRG ENERGY INC COM								
INVESTMENT ACTIVITY	.00	.00	.00	19,600.00	636,899.49	235,855.79	12.03	401,043.70-
CAPITAL CHANGE	.00	.00	.00	20,300.00	448,857.40	448,857.40	22.11	.00
SECURITY TOTAL*	.00	.00	***	39,900.00	1,085,756.89	684,713.19	*****	401,043.70-
NETWORKS ASSOCS INC COM								
INVESTMENT ACTIVITY	14,000.00	397,805.79	28.41	.00	.00	.00	.00	.00
NOVELLUS SYS INC COM								
INVESTMENT ACTIVITY	5,000.00	128,809.40	25.76	7,800.00	358,201.34	342,559.56	43.92	15,641.78-
PMC-SIERRA INC COM STK NPV (FRMLY SIERRA SEMICONDUCTOR)								
INVESTMENT ACTIVITY	24,000.00	289,011.86	12.04	24,700.00	920,655.28	474,298.36	19.20	446,356.92-

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 175316

SECURITY TRANSACTION REPORT
 YEARLY
 FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 10/18/02 AR PAGE 10

CCNY - CAPITAL GUARDIAN - DE

Amended Return

	=====A C Q U I R E D=====			=====D I S P O S E D=====				
	NUMBER OF SHRS OR \$ PAR	COST	UNIT COST	NUMBER OF SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
AMERICREDIT CORP COM								
INVESTMENT ACTIVITY	13,000.00	302,521.70	23.27	.00	.00	.00	.00	.00
CINCINNATI FIN CORP COM USD2								
INVESTMENT ACTIVITY	.00	.00	.00	16,700.00	634,671.46	691,576.69	41.41	56,905.23
CONCORD EFS COM STK USD0.33 1/3								
INVESTMENT ACTIVITY	33,200.00	559,619.11	16.86	.00	.00	.00	.00	.00
EQUITY SECS TR I EQ TR SECS CVC								
INVESTMENT ACTIVITY	9,700.00	351,602.31	36.25	.00	.00	.00	.00	.00
FEDERAL NATIONAL MORTGAGE ASSOC COM NPV								
INVESTMENT ACTIVITY	8,200.00	611,286.57	74.55	.00	.00	.00	.00	.00
INDYMAC BANCORP INC								
INVESTMENT ACTIVITY	.00	.00	.00	15,500.00	149,237.18	355,553.11	22.94	206,315.93
PMI GROUP INC COM STK NPV								
INVESTMENT ACTIVITY	.00	.00	.00	3,200.00	116,666.67	198,233.38	61.95	81,566.71
CAPITAL CHANGE	11,700.00	.00	.00	.00	.00	.00	.00	.00
SECURITY TOTAL*	11,700.00	.00	***	3,200.00	116,666.67	198,233.38	*****	81,566.71

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 175316

SECURITY TRANSACTION REPORT
 YEARLY
 FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 10/18/02 AP PAGE 11

CCNY - CAPITAL GUARDIAN - DE

Amended Return

	=====A C Q U I R E D=====			=====D I S P O S E D=====				
	NUMBER OF SHRS OR \$ PAR	COST	UNIT COST	NUMBER OF SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
SLM CORP COM STK (FORMERLY USA EDUCATION INC)								
INVESTMENT ACTIVITY		.00	.00	11,900.00	527,739.52	1,060,800.03	89.14	533,060.51
CAPITAL CHANGE	42,500.00	1,896,445.96	44.62	.00	.00	.00	.00	.00
SECURITY TOTAL*	42,500.00	1,896,445.96	***	11,900.00	527,739.52	1,060,800.03	*****	533,060.51
USA EDUCATION INC COM (REREF FROM FROM 2857118)								
INVESTMENT ACTIVITY		.00	.00	33,800.00	1,487,200.00	3,012,176.76	89.12	1,524,976.76
CAPITAL CHANGE	.00	.00	.00	42,500.00	1,896,445.96	1,896,445.96	44.62	.00
SECURITY TOTAL*	.00	.00	***	76,300.00	3,383,645.96	4,908,622.72	*****	1,524,976.76
**TOTAL FINANCE								
INVESTMENT ACTIVITY	*****	1,825,029.69	*****	*****	2,915,514.83	5,318,339.97	*****	2,402,825.14
CAPITAL CHANGE	*****	1,896,445.96	*****	*****	1,896,445.96	1,896,445.96	*****	.00
TOTALS FOR CATEGORY	***	3,721,475.65	*****	*****	4,811,960.79	7,214,785.93	*****	2,402,825.14
FOOD								

CAMPBELL SOUP CO COM								
INVESTMENT ACTIVITY	.00	.00	.00	39,000.00	1,426,023.37	1,002,040.90	25.69	423,982.47-
GENERAL MILLS INC COM								
INVESTMENT ACTIVITY	.00	.00	.00	31,200.00	1,096,553.69	1,415,149.57	45.36	318,595.88
HEINZ H J CO COM								
INVESTMENT ACTIVITY	9,200.00	365,603.76	39.74	1,100.00	35,631.04	37,513.65	34.10	1,882.61

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 175316

SECURITY TRANSACTION REPORT
 YEARLY
 FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 10/18/02 AP PAGE 13

CCNY - CAPITAL GUARDIAN - DE

Amended Return

	=====A C Q U I R E D=====			=====D I S P O S E D=====				
	NUMBER OF SHRS OR \$ PAR	COST	UNIT COST	NUMBER OF SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
ANTHEM INC COM STK USDO.01								
INVESTMENT ACTIVITY	1,000.00	36,000.00	36.00	1,000.00	36,000.00	41,948.60	41.95	5,948.60
INSURANCE								
ALLSTATE CORP COM STK USDO.01								
INVESTMENT ACTIVITY	.00	.00	.00	29,900.00	956,812.59	1,156,977.49	38.69	200,164.90
BERKSHIRE HATHAWAY INC DEL COM								
INVESTMENT ACTIVITY	.00	.00	.00	4.00	266,764.04	275,990.60	68998	9,226.56
HARTFORD FINANCIAL SERVICES GRP INC COMMON STOCK USDO.01 FORMERLY ITT HARTFORD GROUP INC)								
INVESTMENT ACTIVITY	.00	.00	.00	1,300.00	45,076.59	85,780.72	65.99	40,704.13
PRINCIPAL FINANCIAL GROUP COM STK USDO.01								
INVESTMENT ACTIVITY	8,000.00	148,000.00	18.50	8,000.00	148,000.00	231,067.43	28.88	83,067.43
TRAVELERS PPTY CAS COM STK USDO.01 CL 'A'								
INVESTMENT ACTIVITY	1,800.00	33,300.00	18.50	2,247.34	39,308.65	38,582.82	17.17	725.83-
CAPITAL CHANGE	447.34	6,008.65	13.43	.00	.00	.00	.00	.00
SECURITY TOTAL*	2,247.34	39,308.65	***	2,247.34	39,308.65	38,582.82	*****	725.83-

=====A C Q U I R E D=====				=====D I S P O S E D=====			
NUMBER OF	UNIT	COST	SHRS OR \$ PAR	NUMBER OF	UNIT	PROCEEDS	LOSS- GAIN OR
TRAVELERS PTY CAS CORP				BOOK			
COMMON STOCK CL B				VALUE			
INVESTMENT ACTIVITY		919.08	13,339.00	919.08		13,134.93	204.07-
CAPITAL CHANGE		.00	.00	.00		.00	.00
**SECURITY TOTAL*		919.08	13,339.00	919.08		13,134.93	204.07-
TOTAL INSURANCE		***	*****	*****		*****	*****
INVESTMENT ACTIVITY	181,300.00	*****	*****	1,469,300.87	*****	1,801,533.99	332,233.12
CAPITAL CHANGE	19,347.65	*****	*****	.00	*****	.00	.00
TOTALS FOR CATEGORY	200,647.65	***	*****	1,469,300.87	*****	1,801,533.99	332,233.12
LEISURE AND RECREATION							

CARNIVAL CORP							
CL A COM							
INVESTMENT ACTIVITY	.00	.00	16,300.00	651,034.61		457,284.08	193,750.53-
DISNEY WALT CO							
DISNEY COM							
INVESTMENT ACTIVITY	11,000.00	14.55	13,000.00	357,912.59		290,000.52	67,912.07-
HASBRO INC COM							
INVESTMENT ACTIVITY	.00	.00	56,600.00	1,343,718.71		833,786.92	509,931.79-
TOTAL LEISURE AND RECREATION	***	*****	*****	*****		*****	*****
INVESTMENT ACTIVITY	160,014.02	*****	*****	2,352,665.91		1,581,071.52	771,594.39-
MACHINERY - TOOLS							

DEUTSCHE BANK TRUST COMPANY AMERICAS

NEW YORK

ACCOUNT 175316

SECURITY TRANSACTION REPORT

GLOBAL ASSETS - EB

FROM 10/01/2001 TO 09/30/2002

PREPARED ON ACCRUAL BASIS (TRADE-DATED)

GCNY - CAPITAL GUARDIAN - DE

Amended Return

=====ACQUIRE D=====

NUMBER OF UNIT COST

SHRS OR \$ PAR

BOOK VALUE

PROCEEDS

UNIT

PROC

GAIN OR LOSS-

INGERSOLL RAND CO
CL 'A' COM STK USD2

INVESTMENT ACTIVITY 9,600.00 362,887.22 37.80 .00 .00

ILLINOIS TOOL WKS INC COM INVESTMENT ACTIVITY .00 .00 .00

TOTAL MACHINERY - TOOLS INVESTMENT ACTIVITY ***

MACHINERY - OTHER

APPLERA CORP-APPLIED BIOSYST COM

INVESTMENT ACTIVITY 44,200.00 975,491.41 22.07

DOVER CORP COM INVESTMENT ACTIVITY .00 .00 .00

TOTAL MACHINERY - OTHER INVESTMENT ACTIVITY ***

MEDICAL SUPPLY AND SERVICE

BECTON DICKINSON & CO COM INVESTMENT ACTIVITY .00 .00 .00

INVESTMENT ACTIVITY 3,700.00 296,686.41 80.19

FOREST LABS INC COM INVESTMENT ACTIVITY 34,988.05

INVESTMENT ACTIVITY 3,700.00 296,686.41 80.19

753,394.80 242,450.00 10,400.00 72.44

247,552.93 212,564.88 7,500.00 33.01

1,627,763.29 ***** 1,331,063.20

696,099.84 740,241.74 20,900.00 33.31

931,663.45 590,821.46 25,100.00 37.12

1,836,144.11 ***** 1,738,640.75

1,836,144.11 1,738,640.75 28,700.00 63.98

.00 .00 .00 .00 .00

10/18/02 AP

PAGE 15

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

ACCOUNT 175316

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 17

CCNY - CAPITAL GUARDIAN - DE *Amended Return*

	=====A C Q U I R E D=====			=====D I S P O S E D=====				
	NUMBER OF SHRS OR \$ PAR	COST	UNIT COST	NUMBER OF SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
ALCOA INC COM								
INVESTMENT ACTIVITY	.00	.00	.00	43,000.00	1,487,784.56	1,384,029.37	32.19	103,755.19-
METALS - OTHER								

NEWMONT MINING CORP HLDG CO COM USD1.60 (FORMERLY NEWMONT MINING CORP)								
INVESTMENT ACTIVITY	20,000.00	422,389.14	21.12	20,000.00	422,389.14	569,335.68	28.47	146,946.54
OFFICE EQUIPMENT								

CADENCE DESIGN SYSTEMS INC COM STK USDO.01								
INVESTMENT ACTIVITY	26,600.00	519,899.66	19.55	.00	.00	.00	.00	.00
CISCO SYSTEMS COMMON STOCK NPV								
INVESTMENT ACTIVITY	41,700.00	603,088.52	14.46	41,200.00	1,149,601.34	831,744.27	20.19	317,857.07-
COMPAQ COMPUTER CORP								
INVESTMENT ACTIVITY	39,000.00	313,353.30	8.03	.00	.00	.00	-.00	.00
CAPITAL CHANGE	.00	.00	.00	49,900.00	531,588.60	531,588.60	10.65	.00
SECURITY TOTAL*	39,000.00	313,353.30	***	49,900.00	531,588.60	531,588.60	*****	.00
INTERNATIONAL BUSINESS MACHS CORP COM								
INVESTMENT ACTIVITY	3,100.00	233,428.84	75.30	2,900.00	319,303.16	293,773.60	101.30	25,529.56-

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

ACCOUNT 175316

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

CCNY - CAPITAL GUARDIAN - DE

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 18

Amended Return

	=====A C Q U I R E D=====			=====D I S P O S E D=====				
	NUMBER OF SHRS OR \$ PAR	COST	UNIT COST	NUMBER OF SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
MICROSOFT CORP COMMON STOCK								
INVESTMENT ACTIVITY	7,000.00	358,850.63	51.26	3,500.00	211,160.60	238,692.04	68.20	27,531.44
PEOPLESOFT INC COM								
INVESTMENT ACTIVITY	.00	.00	.00	20,500.00	373,176.03	811,762.98	39.60	438,586.95
VERITAS SOFTWARE CO COM								
INVESTMENT ACTIVITY	20,000.00	383,899.89	19.19	6,500.00	275,674.62	207,203.34	31.88	68,471.28-
TOTAL OFFICE EQUIPMENT INVESTMENT ACTIVITY	***	2,412,520.84	*****	*****	2,328,915.75	2,383,176.23	*****	54,260.48
CAPITAL CHANGE	*****	.00	*****	*****	531,588.60	531,588.60	*****	.00
TOTALS FOR CATEGORY	***	2,412,520.84	*****	*****	2,860,504.35	2,914,764.83	*****	54,260.48
OIL - DOMESTIC								
----- WEATHERFORD INTL LTD COM USD1 (FORMERLY WEATHERFORD INTERNATIONAL INC)								
CAPITAL CHANGE	19,100.00	343,374.87	17.98	.00	.00	.00	.00	.00
ASHLAND INC COM								
INVESTMENT ACTIVITY	9,300.00	361,488.66	38.87	.00	.00	.00	.00	.00
BAKER HUGHES COM US								
INVESTMENT ACTIVITY	.00	.00	.00	15,900.00	291,168.75	559,878.05	35.21	268,709.30

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 175316

SECURITY TRANSACTION REPORT
 YEARLY
 FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 10/18/02 AP PAGE 19

CCNY - CAPITAL GUARDIAN - DE

Amended Return

	=====A C Q U I R E D=====			=====D I S P O S E D=====				
	NUMBER OF SHRS OR \$ PAR	COST	UNIT COST	NUMBER OF SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
UNOCAL CORP COM								
INVESTMENT ACTIVITY	18,800.00	578,232.00	30.76	.00	.00	.00	.00	.00
WILLIAMS COS COM								
INVESTMENT ACTIVITY	28,100.00	345,857.55	12.31	8,000.00	211,599.00	158,550.48	19.82	53,048.52-
**TOTAL OIL - DOMESTIC								
INVESTMENT ACTIVITY	*****	1,285,578.21	*****	*****	502,767.75	718,428.53	*****	215,660.78
CAPITAL CHANGE	*****	343,374.87	*****	*****	.00	.00	*****	.00
TOTALS FOR CATEGORY	***	1,628,953.08	*****	*****	502,767.75	718,428.53	*****	215,660.78
OIL - INTERNATIONAL								

EXXON MOBIL CORP COMMON STOCK								
INVESTMENT ACTIVITY	8,500.00	315,858.44	37.16	.00	.00	.00	.00	.00
SCHLUMBERGER LTD COM								
INVESTMENT ACTIVITY	2,700.00	149,939.25	55.53	.00	.00	.00	.00	.00
**TOTAL OIL - INTERNATIONAL								
INVESTMENT ACTIVITY	*****	465,797.69	*****	*****	.00	.00	*****	.00
OIL - SERVICE								

B J SERVICES								
INVESTMENT ACTIVITY	11,400.00	281,384.23	24.68	.00	.00	.00	.00	.00

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

ACCOUNT 175316

SECURITY TRANSACTION REPORT
YEARLY

FROM 10/01/2001 TO 09/30/2002

CCNY - CAPITAL GUARDIAN - DE

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 20

Amended Return

	=====A C Q U I R E D=====			=====D I S P O S E D=====					
	NUMBER OF SHRS OR \$ PAR	COST	UNIT COST	NUMBER OF SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-	
WEATHERFORD INTL INC NEW COM									
CAPITAL CHANGE	.00	.00	.00	19,100.00	343,374.87	343,374.87	17.98	.00	
TOTAL OIL - SERVICE INVESTMENT ACTIVITY	***	281,384.23	*****	*****	.00	.00	*****	.00	
CAPITAL CHANGE	*****	.00	*****	*****	343,374.87	343,374.87	*****	.00	
TOTALS FOR CATEGORY	***	281,384.23	*****	*****	343,374.87	343,374.87	*****	.00	
PUBLISHING									

GANNETT INC COM									
INVESTMENT ACTIVITY	.00	.00	.00	8,200.00	519,546.56	613,224.21	74.78	93,677.65	
RETAILING									

CIRCUIT CITY STORES INC- CIRCUIT CITY GRP COM									
INVESTMENT ACTIVITY	.00	.00	.00	38,500.00	423,096.21	890,191.81	23.12	467,095.60	
DOLLAR GENERAL CORP COM STK USDO.50									
INVESTMENT ACTIVITY	.00	.00	.00	26,900.00	536,644.29	402,148.94	14.95	134,495.35-	
EBAY INC COM STK USDO.01									
INVESTMENT ACTIVITY	14,200.00	784,511.26	55.25	.00	.00	.00	.00	.00	
LOWES COS INC COM									
INVESTMENT ACTIVITY	.00	.00	.00	45,400.00	1,033,139.00	1,982,807.11	43.67	949,668.11	

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

ACCOUNT 175316

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

CCNY - CAPITAL GUARDIAN - DE

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 21

Amended Return

	=====A C Q U I R E D=====			=====D I S P O S E D=====				
	NUMBER OF SHRS OR \$ PAR	COST	UNIT COST	NUMBER OF SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
TMP WORLDWIDE INC COM								
INVESTMENT ACTIVITY	26,800.00	701,090.13	26.16	3,200.00	239,563.60	150,554.98	47.05	89,008.62-
WAL MART STORES INC COM								
INVESTMENT ACTIVITY	.00	.00	.00	2,500.00	125,725.50	137,545.41	55.02	11,819.91
TOTAL RETAILING INVESTMENT ACTIVITY	***	1,485,601.39	*****	*****	2,358,168.60	3,563,248.25	*****	1,205,079.65
SERVICE								

BROCADE COMMUNICATIONS SYS INC COMMON STOCK								
INVESTMENT ACTIVITY	1,500.00	19,466.85	12.98	41,000.00	824,093.68	1,240,172.76	30.25	416,079.08
CHECKFREE CORP COM STK USDO.01								
INVESTMENT ACTIVITY	.00	.00	.00	7,000.00	410,305.12	145,105.16	20.73	265,199.96-
CLOROX CO COM								
INVESTMENT ACTIVITY	.00	.00	.00	42,700.00	1,705,486.67	1,706,635.16	39.97	1,148.49
DOUBLECLICK INC COMMON STOCK								
INVESTMENT ACTIVITY	.00	.00	.00	47,400.00	980,605.77	368,097.88	7.77	612,507.89-
FLUOR CORP COM								
INVESTMENT ACTIVITY	.00	.00	.00	4,500.00	101,197.13	182,243.91	40.50	81,046.78

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 175316

SECURITY TRANSACTION REPORT
 YEARLY
 FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 10/18/02 AP PAGE 22

CCNY - CAPITAL GUARDIAN - DE

Amended Return

	=====A C Q U I R E D=====			=====D I S P O S E D=====				
	NUMBER OF SHRS OR \$ PAR	COST	UNIT COST	NUMBER OF SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
INTERPUBLIC COM USDO.10								
INVESTMENT ACTIVITY	12,700.00	423,042.08	33.31	.00	.00	.00	.00	.00
ROBERT HALF INTL INC COM								
INVESTMENT ACTIVITY	9,600.00	221,515.37	23.07	6,500.00	173,746.94	155,084.16	23.86	18,662.78-
VIRATA CORP COM								
CAPITAL CHANGE	.00	.00	.00	200.00	10,780.08	10,780.08	53.90	.00
**TOTAL SERVICE								
INVESTMENT ACTIVITY	*****	664,024.30	*****	*****	4,195,435.31	3,797,339.03	*****	398,096.28-
CAPITAL CHANGE	*****	.00	*****	*****	10,780.08	10,780.08	*****	.00
TOTALS FOR CATEGORY	***	664,024.30	*****	*****	4,206,215.39	3,808,119.11	*****	398,096.28-
TEXTILE AND APPAREL								
VF CORP COM NPV								
INVESTMENT ACTIVITY	.00	.00	.00	33,700.00	1,105,875.14	1,380,888.95	40.98	275,013.81
TRANSPORTATION - OTHER								
NAVISTAR INTL CORP NEW COM								
INVESTMENT ACTIVITY	.00	.00	.00	5,000.00	140,215.66	175,978.12	35.20	35,762.46
SABRE HLDGS CORP CL A								
INVESTMENT ACTIVITY	13,600.00	403,157.38	29.64	.00	.00	.00	.00	.00

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

ACCOUNT 175316

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 23

CCNY - CAPITAL GUARDIAN - DE

Amended Return

	=====A C Q U I R E D=====			=====D I S P O S E D=====				
	NUMBER OF SHRS OR \$ PAR	COST	UNIT COST	NUMBER OF SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
UNION PAC CORP COM								
INVESTMENT ACTIVITY	.00	.00	.00	5,300.00	208,254.37	240,355.54	45.35	32,101.17
TOTAL TRANSPORTATION - OTHER INVESTMENT ACTIVITY	***	403,157.38	*****	*****	348,470.03	416,333.66	*****	67,863.63
TV AND RADIO BROADCASTERS								
CABLEVISION NY GROUP CLASS 'A' COMMON STOCK USDO.01 (FORMERLY CABLEVISION SYSTEMS CORP)								
INVESTMENT ACTIVITY	8,200.00	315,320.16	38.45	.84	8.16	5.32	6.33	2.84-
CAPITAL CHANGE	42,694.84	658,811.80	15.43	.00	.00	.00	.00	.00
SECURITY TOTAL*	50,894.84	974,131.96	***	.84	8.16	5.32	*****	2.84-
COX COMMUNICATIONS INC CLASS 'A' COM STK USDO.01								
INVESTMENT ACTIVITY	3,200.00	96,475.52	30.15	5,000.00	202,093.12	197,464.40	39.49	4,628.72-
ENTERCOM COMMUNICATIONS CORP CL A								
INVESTMENT ACTIVITY	4,300.00	219,732.84	51.10	.00	.00	.00	.00	.00
JDS UNIPHASE CORP COM								
INVESTMENT ACTIVITY	113,500.00	283,441.61	2.50	.00	.00	.00	.00	.00
LIBERTY MEDIA CORP COMMON SERIES A								
INVESTMENT ACTIVITY	.00	.00	.00	33,200.00	344,766.07	437,214.89	13.17	92,448.82

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 175316

SECURITY TRANSACTION REPORT
 YEARLY
 FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 10/18/02 AP PAGE 24

CCNY - CAPITAL GUARDIAN - **Amended Return**

	=====A C Q U I R E D=====			=====D I S P O S E D=====				
	NUMBER OF SHRS OR \$ PAR	COST	UNIT COST	NUMBER OF SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
USA INTERACTIVE COM								
INVESTMENT ACTIVITY	17,100.00	354,694.02	20.74	26,200.00	516,247.99	736,817.38	28.12	220,569.39
VIACOM INC CLASS B COMMON								
INVESTMENT ACTIVITY	.00	.00	.00	29,800.00	1,250,402.09	1,190,877.57	39.96	59,524.52-
**TOTAL TV AND RADIO BROADCASTERS								
INVESTMENT ACTIVITY	*****	1,269,664.15	*****	*****	2,313,517.43	2,562,379.56	*****	248,862.13
CAPITAL CHANGE	*****	658,811.80	*****	*****	.00	.00	*****	.00
TOTALS FOR CATEGORY	***	1,928,475.95	*****	*****	2,313,517.43	2,562,379.56	*****	248,862.13
UTILITY - ELECTRIC								

AES CORP COM STK USDO.01								
INVESTMENT ACTIVITY	73,900.00	718,052.42	9.72	.00	.00	.00	.00	.00
CINERGY CORP COM								
INVESTMENT ACTIVITY	.00	.00	.00	27,100.00	724,777.08	840,542.63	31.02	115,765.55
EDISON INTL COM								
INVESTMENT ACTIVITY	.00	.00	.00	108,500.00	1,355,065.22	1,697,228.65	15.64	342,163.43
NISOURCE INC COM								
INVESTMENT ACTIVITY	20,100.00	424,417.06	21.12	.00	.00	.00	.00	.00

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 175316

SECURITY TRANSACTION REPORT
 YEARLY
 FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 10/18/02 AP PAGE 25

CCNY - CAPITAL GUARDIAN - DE *Accounted Returns*

	=====A C Q U I R E D=====			=====D I S P O S E D=====				
	NUMBER OF SHRS OR \$ PAR	COST	UNIT COST	NUMBER OF SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	GAIN OR LOSS-
NORTHEAST UTILITIES COM USD5								
INVESTMENT ACTIVITY	.00	.00	.00	32,300.00	645,831.36	572,918.47	17.74	72,912.89-
XCEL ENERGY INC COMMON STOCK								
INVESTMENT ACTIVITY	.00	.00	.00	10,150.00	448,857.40	204,469.56	20.14	244,387.84-
CAPITAL CHANGE	10,150.00	448,857.40	44.22	.00	.00	.00	.00	.00
SECURITY TOTAL*	10,150.00	448,857.40	***	10,150.00	448,857.40	204,469.56	*****	244,387.84-
TOTAL UTILITY - ELECTRIC INVESTMENT ACTIVITY	***	1,142,469.48	*****	*****	3,174,531.06	3,315,159.31	*****	140,628.25
CAPITAL CHANGE	*****	448,857.40	*****	*****	.00	.00	*****	.00
TOTALS FOR CATEGORY	***	1,591,326.88	*****	*****	3,174,531.06	3,315,159.31	*****	140,628.25
UTILITY - NATURAL GAS								

EL PASO CORPORATION COMMON STOCK USD3 (FORMERLY EL PASO ENERGY CORP)								
INVESTMENT ACTIVITY	31,600.00	577,654.93	18.28	.00	.00	.00	.00	.00
UTILITY - TELEPHONE								

AOL TIME WARNER COMMON STOCK								
INVESTMENT ACTIVITY	58,600.00	926,941.71	15.82	10,000.00	535,755.34	345,048.48	34.50	190,706.86-
AT & T CORP COM								
INVESTMENT ACTIVITY	53,800.00	607,573.34	11.29	46,200.00	709,298.01	825,775.65	17.87	116,477.64

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

ACCOUNT 175316

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 27

CCNY - CAPITAL GUARDIAN - DEAmended Return

	=====A C Q U I R E D=====			=====D I S P O S E D=====				
	NUMBER OF SHRS OR \$ PAR	COST	UNIT COST	NUMBER OF SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT PRDC	GAIN OR LOSS-
NOVA CHEMICALS CORP COM								
INVESTMENT ACTIVITY	19,300.00	465,703.35	24.13	.00	.00	.00	.00	.00
ELECTRICAL HOUSEHOLD APPLIANCES								

SONY CORP ADR NEW 50 YEN SH								
INVESTMENT ACTIVITY	.00	.00	.00	8,400.00	314,498.18	396,305.54	47.18	81,807.36
FINANCE								

XL CAPITAL LTD COM STK ORD VTG								
INVESTMENT ACTIVITY	.00	.00	.00	6,900.00	494,041.55	616,755.12	89.38	122,713.57
INSURANCE								

ACE LTD COM								
INVESTMENT ACTIVITY	2,600.00	91,000.00	35.00	2,600.00	91,000.00	110,750.07	42.60	19,750.07
INVESTMENT COMPANIES								

KONINKLIJKE (ROYAL) PHILIPS ELECTRONICS NY REG SHARES								
INVESTMENT ACTIVITY	.00	.00	.00	26,000.00	870,838.19	579,352.69	22.28	291,485.50-
MISCELLANEOUS								

ASTRAZENECA PLC SPONSORED ADR								
INVESTMENT ACTIVITY	7,700.00	287,020.47	37.28	23,100.00	830,801.79	1,073,015.18	46.45	242,213.39

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB
PREPARED ON ACCRUAL BASIS (TRADE-DATED)
PAGE 28

ACCOUNT 175316

CNY - CAPITAL GUARDIAN - DE

Amended Return

=====ACQUIRER DEDUCTIONS=====		DISPOSER DEDUCTIONS=====		DISPOSER DEDUCTIONS=====		DISPOSER DEDUCTIONS=====		DISPOSER DEDUCTIONS=====		DISPOSER DEDUCTIONS=====	
NUMBER OF	SHRS OR \$ PAR	COST	UNIT	NUMBER OF	SHRS OR \$ PAR	BOOK	VALUE	PROCEEDS	UNIT	GAIN OR	LOSS-
CANADIAN TAX REFUND (USD\$)											
530.00	.00	.00	.00	1,007.00	.00	.00	.00	.00	.00	.00	.00
FREE RECEIPTS/DELIVERIES											
**TOTAL MISCELLANEOUS											
*****	287,020.47	*****	*****	830,801.79	*****	*****	830,801.79	1,073,015.18	*****	242,213.39	.00
FREE RECEIPTS/DELIVERIES											
*****	.00	*****	*****	.00	*****	*****	.00	.00	*****	.00	.00
**TOTALS FOR CATEGORY											
*****	287,020.47	*****	*****	830,801.79	*****	*****	830,801.79	1,073,015.18	*****	242,213.39	.00
OIL - INTERNATIONAL											
ROYAL DUTCH PETE CO											
N Y REGISTRY SH PAR N CLDR 1.25											
41,400.00	2,039,877.81	49.27	14,500.00	728,789.53	752,894.28	51.92	24,104.75				
INVESTMENT ACTIVITY											
SHELL TRANSPORT & TRADING CO											
ADR-EACH CNV INTO 6 ORD 25P(ITC)											
.00	.00	.00	43,700.00	1,963,185.34	1,799,943.32	41.19	163,242.02-				
INVESTMENT ACTIVITY											
**TOTAL OIL - INTERNATIONAL											
*****	2,039,877.81	*****	*****	2,691,974.87	2,552,837.60	*****	139,137.27-				
PUBLISHING											
THOMSON CORP											
COM											
INVESTMENT ACTIVITY											
7,000.00	218,400.00	31.20	.00	.00	.00	.00	.00	.00	.00	.00	.00
UTILITY - TELEPHONE											

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

SECURITY TRANSACTION REPORT
YEARLY

GLOBAL ASSETS - EB

ACCOUNT 175316

GCNY - CAPITAL GUARDIAN - DE

Amended Return

FROM 10/01/2001 TO 09/30/2002
PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 29

=====A C Q U I R E D=====
NUMBER OF UNIT COST SHRS OR \$ PAR COST
NUMBER OF BOOK VALUE PROCEEDS UNIT GAIN OR LOSS-
COM STOCK

INVESTMENT ACTIVITY	20,000.00	184,274.00	9.21	.00	.00	.00	.00	.00	.00
AMDCS LTD									
TYCOM LTD									
COMMON STOCK USDO.25									
INVESTMENT ACTIVITY	.00	.00	.00	20,600.00	409,595.78	298,355.49	14.48	111,240.29-	
ERICSSON L M TEL CO									
ADR CL B SEK 10									
INVESTMENT ACTIVITY	.00	.00	.00	95,400.00	1,010,461.70	581,920.60	6.10	428,541.10-	
NOKIA CORP									
ADR									
INVESTMENT ACTIVITY	16,300.00	290,716.93	17.84	124,300.00	3,231,552.73	1,940,463.38	15.61	1,291,089.35-	
NORTEL NETWORKS CORP NEW									
COMMON STOCK									
INVESTMENT ACTIVITY	.00	.00	.00	124,500.00	936,612.99	570,559.84	4.58	366,053.15-	
INVESTMENT ACTIVITY - TELEPHONE									
TOTAL UTILITY - TELEPHONE	***	474,990.93	*****	*****	5,588,223.20	3,391,299.31	*****	2,196,923.89-	
INVESTMENT ACTIVITY	*****	*****	*****	*****	*****	*****	*****	*****	*****
TOTAL COMMON STOCK	***	45,822,516.38	*****	*****	73,601,330.15	72,279,545.68	*****	1,321,784.47-	
INVESTMENT ACTIVITY	*****	*****	*****	*****	*****	*****	*****	*****	*****
FREE RECEIPTS/DELIVERIES	*****	*****	*****	*****	*****	*****	*****	*****	*****
CAPITAL CHANGE	*****	*****	*****	*****	*****	*****	*****	*****	*****
ADJUSTMENT	*****	*****	*****	*****	*****	*****	*****	*****	*****
TOTALS FOR CATEGORY	***	49,794,909.67	*****	*****	77,573,723.44	76,169,404.39	*****	1,321,784.47-	
INVESTMENT ACTIVITY	*****	*****	*****	*****	*****	*****	*****	*****	*****
FREE RECEIPTS/DELIVERIES	*****	*****	*****	*****	*****	*****	*****	*****	*****
CAPITAL CHANGE	*****	*****	*****	*****	*****	*****	*****	*****	*****
ADJUSTMENT	*****	*****	*****	*****	*****	*****	*****	*****	*****
TOTALS FOR CATEGORY	***	50,677,164.74	*****	*****	77,743,723.44	76,325,893.85	*****	1,335,295.01-	
INVESTMENT ACTIVITY	*****	*****	*****	*****	*****	*****	*****	*****	*****
FREE RECEIPTS/DELIVERIES	*****	*****	*****	*****	*****	*****	*****	*****	*****
CAPITAL CHANGE	*****	*****	*****	*****	*****	*****	*****	*****	*****
ADJUSTMENT	*****	*****	*****	*****	*****	*****	*****	*****	*****
TOTALS FOR CATEGORY	***	46,704,771.45	*****	*****	73,771,330.15	72,436,035.14	*****	1,335,295.01-	
INVESTMENT ACTIVITY	*****	*****	*****	*****	*****	*****	*****	*****	*****
FREE RECEIPTS/DELIVERIES	*****	*****	*****	*****	*****	*****	*****	*****	*****
CAPITAL CHANGE	*****	*****	*****	*****	*****	*****	*****	*****	*****
ADJUSTMENT	*****	*****	*****	*****	*****	*****	*****	*****	*****
TOTAL EQUITIES	***	46,704,771.45	*****	*****	73,771,330.15	72,436,035.14	*****	1,335,295.01-	

ACCOUNT PERIOD TOTALS

-----ACQUIRE-----
 CNY - CAPITAL GUARDIAN - DE
 Amended Return

NUMBER OF UNIT	SHRS OR \$ PAR	COST	NUMBER OF UNIT	SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT PROC	LOSS- GAIN OR
*****	83,943,972.39	*****	*****	113,480,335.19	*****	112,029,784.49	*****	1,450,550.70-
*****	.00	*****	*****	.00	*****	.00	*****	.00
*****	3,972,393.29	*****	*****	3,889,858.71	*****	3,889,858.71	*****	.00
*****	.00	*****	*****	.00	*****	.00	*****	.00
*****	87,916,365.68	*****	*****	117,452,728.48	*****	115,919,643.20	*****	1,450,550.70-
*****	.00	*****	*****	82,534.58	*****	.00	*****	.00
*****	*****	*****	*****	*****	*****	*****	*****	*****

**TOTAL

INVESTMENT ACTIVITY
 FREE RECEIPTS/DELIVERIES
 CAPITAL CHANGE
 ADJUSTMENT
 ACCOUNT GRAND TOTAL

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

ACCOUNT 176865

SUMMARY OF ASSETS AND LIABILITIES
AS OF SEPTEMBER 30, 2002

CARNEGIE CORP OF NEW YORK
INTERNALLY MANAGED FIXED INCOME
ACCOUNT

GLOBAL ASSETS - EB

T15A
AP
PREPARED 10/18/02

PAGE

2

Amended Return

-----B O O K-----
VALUE % VALUE %
-----M A R K E T-----
VALUE %

A S S E T S			

SECURITIES	FIXED INCOME	CASH	RECEIVABLES
67,494,463.10	98.87	74,613,637.51	98.98
.00	.00	.00	1.02
770,585.94	1.13	770,585.94	
68,265,049.04	100.00	75,384,223.45	100.00

L I A B I L I T I E S			

PAYABLES	*TOTAL LIABILITIES	NET ASSETS	
.00	.00	68,265,049.04	100.00
.00	.00	75,384,223.45	100.00

SCHEDULE A

Gettitude 6
Fed ID # 13-1628151

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 176865

SECURITY TRANSACTION REPORT
 YEARLY
 FROM 10/01/2001 TO 09/30/2002
 CARNegie CORP OF NEW YORK
 INTERNALLY MANAGED FIXED INCOME
 ACCOUNT

GLOBAL ASSETS - EB
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 10/18/02 AP PAGE 1

Amended Return

=====A C Q U I R E D===== UNIT COST SHRS OR \$ PAR
 =====N U M B E R O F B O O K V A L U E P R O C E E D S P R O C L O S S-
 G A I N O R

FIXED INCOME
 TEMPORARY INVESTMENTS
 INSTITUTIONAL LIQUID ASSETS FUND
 DB INSTITUTIONAL LIQUID ASSETS FUND
 INVESTMENT ACTIVITY 6,180,030.63 6,180,030.63 100.00 6,180,030.62 6,180,030.62 100.00 .00
 GOVERNMENTAL OBLIGATIONS
 US GOVERNMENT SECURITIES
 TREASURY NOTES

 UNITED STATES TREAS NOTES
 DTD 10/15/1996 6.500%
 10/15/2006

INVESTMENT ACTIVITY .00 .00 .00 .00 .00 .00 .00
 UNITED STATES TREAS NOTES
 DTD 09/02/1997 6.250% 08/31/2002
 INVESTMENT ACTIVITY 4,000,000.00 4,000,000.00 100.88 4,035,000.00 4,000,000.00 100.00
 PAYMENT/MATURITY .00 .00 .00 .00 .00 .00 .00
 **SECURITY TOTAL* .00 .00 .00 .00 .00 .00 .00
 UNITED STATES TREAS NTS
 DTD 08/16/1999 6.00% MAT 08/15/2009

INVESTMENT ACTIVITY 9,500,000.00 10,047,734.38 105.77 9,500,000.00 10,047,734.38 108.41 250,859.37
 INVESTMENT ACTIVITY 10,047,734.38 105.77 9,500,000.00 10,047,734.38 108.41 250,859.37

UNIT GAIN OR LOSS-
PROC

UNITED STATES TREASURY NOTE 6.5% DTD 15FEB2000 DUE 15FEB2010
SHRS OR \$ PAR COST COST SHRS OR \$ PAR COST
UNIT NUMBER OF UNIT COST SHRS OR \$ PAR COST
BOOK VALUE PROCEEDS

INVESTMENT ACTIVITY 4,000,000.00 4,401,875.00 110.05 4,000,000.00 4,401,875.00 4,716,875.00 117.92 315,000.00

UNITED STATES TREASURY NOTE 5.750% DTD 15NOV2000 15NOV2005
INVESTMENT ACTIVITY 9,500,000.00 10,292,656.25 108.34

U.S. GOVERNMENT TREASURY NOTES 6.00% DTD 15FEB2001 DUE 15FEB2011
INVESTMENT ACTIVITY 10,000,000.00 9,768,750.00 97.69 10,000,000.00 9,768,750.00 10,627,343.75 106.27 858,593.75

**TOTAL INVESTMENT ACTIVITY
PAYMENT/MATURITY

34,511,015.63 34,511,015.63 33,866,015.64 4,015,625.00 39,777,656.25 4,000,000.00 1,911,640.61

**TOTALS FOR CATEGORY

34,511,015.63 34,511,015.63 37,881,640.64 39,777,656.25 1,896,015.61

TREASURY BONDS

UNITED STATES TREAS BDS DTD 11/15/1986 7.500% 11/15/2016
INVESTMENT ACTIVITY .00 .00 8,735,000.00 10,122,500.00 126.53 1,387,500.00

UNITED STATES TREAS BDS DTD 02/15/1989 8.875% 02/15/2019
INVESTMENT ACTIVITY 3,000,000.00 4,067,343.75 135.58 3,000,000.00 4,067,343.75 4,110,937.50 137.03 43,593.75

UNITED STATES TREASURY BOND 6.25% DTD 16AUG1993 DUE 15AUG2023
(REFER FROM 3914119)
INVESTMENT ACTIVITY .00 .00 2,000,000.00 1,947,187.50 2,361,875.00 118.09 414,687.50

**TOTAL INVESTMENT ACTIVITY
INVESTMENT ACTIVITY

4,067,343.75 4,067,343.75 14,749,531.25 16,595,312.50 1,845,781.25

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK
ACCOUNT 176865

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002
CNRY - INTERNALLY MANAGED FIXED INCOME

GLOBAL ASSETS - EB
PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP
PAGE 3

Amended Return

=====ACQURIED===== D I S P O S E D===== UNIT GAIN OR LOSS-
FEDERAL AGENCY OBLIGATIONS SHRS OR \$ PAR COST NUMBER OF UNIT COST
OTHER FEDERAL AGENCIES SHRS OR \$ PAR COST NUMBER OF UNIT COST
FEDERAL NATL MTG ASSN DTD 05/10/1999 5.625% 05/14/2004

INVESTMENT ACTIVITY .00 .00 5,000,000.00 4,710,350.00 5,274,500.00 105.49 564,150.00

**TOTAL GOVERNMENTAL OBLIGATIONS

INVESTMENT ACTIVITY 38,578,359.38 *****

**TOTALS FOR CATEGORY

PAYMENT/MATURITY *****

INVESTMENT ACTIVITY 44,758,390.01 *****

**TOTALS FOR CATEGORY

PAYMENT/MATURITY *****

INVESTMENT ACTIVITY 44,758,390.01 *****

TOTAL FIXED INCOME ***

INVESTMENT ACTIVITY 63,827,499.37 *****

LIBILITIES *****

OPTIONS OUTSTANDING *****

MISCELLANEOUS LIABILITIES *****

REVERSE AGREEMENT 3.150% 29DEC2001

INVESTMENT ACTIVITY 8,000,000.00- 8,970,000.00-

REVERSE AGREEMENT 3.150% 29DEC2001

INVESTMENT ACTIVITY 30,000,000.00- 39,025,000.00-

REVERSE AGREEMENT 2.200% 12NOV2001

INVESTMENT ACTIVITY 10,000,000.00- 13,512,500.00-

INVESTMENT ACTIVITY 13,519,106.11- 1.35 6,606.11-

INVESTMENT ACTIVITY 17,679,689.17- 1.10 9,689.17-

INVESTMENT ACTIVITY 51,739,594.62- 1.29 27,094.62-

INVESTMENT ACTIVITY 13,512,500.00- 1.35 10,000,000.00-

INVESTMENT ACTIVITY 13,519,106.11- 1.35 6,606.11-

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 176865

SECURITY TRANSACTION REPORT
 YEARLY
 FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 AP 10/18/02

Amended Return

=====A C Q U I R E D=====						
NUMBER OF	UNIT	COST	SHRS OR \$ PAR	BOOK	UNIT	PROCEEDS
REVERSE AGREEMENT	1.950%	12DEC2001				
INVESTMENT ACTIVITY	10,000,000.00-	12,787,500.00-	1.28	10,000,000.00-	12,787,500.00-	12,792,348.59-
**TOTAL OPTIONS OUTSTANDING						4,848.59-
INVESTMENT ACTIVITY	74,295,000.00-	*****		95,682,500.00-	95,730,738.49-	*****
**TOTAL LIABILITIES						48,238.49-
INVESTMENT ACTIVITY	74,295,000.00-	*****		95,682,500.00-	95,730,738.49-	*****
**TOTAL LIABILITIES						48,238.49-
INVESTMENT ACTIVITY	29,536,609.99-	*****		36,176,572.49-	31,903,239.12-	*****
PAYMENT/MATURITY	.00	*****		4,015,625.00	4,000,000.00	*****
ACCOUNT GRAND TOTAL	29,536,609.99-	*****		32,160,947.49-	27,903,239.12-	*****
**TOTAL						4,273,333.37
INVESTMENT ACTIVITY	4,273,333.37	*****				*****
PAYMENT/MATURITY	15,625.00-	*****				*****
ACCOUNT GRAND TOTAL	4,257,708.37	*****				*****

A C C O U N T P E R I O D T O T A L S

SUMMARY OF ASSETS AND LIABILITIES
 AS OF SEPTEMBER 30, 2002

CARNegie CORP OF NEW YORK
 MORGAN STANLEY DEAN WITTER
 FIXED INCOME

Amended Return

-----B O O K----- VALUE %
 -----M A R K E T----- VALUE %

A S S E T S		L I A B I L I T I E S	
SECURITIES	36,674,744.60	25.51	39,917,562.03
FIXED INCOME	106,423,004.90	74.03	111,481,134.84
EQUITIES			
*TOTAL SECURITIES	143,097,749.50	99.54	151,398,696.87
CASH	.00	.00	.00
RECEIVABLES	704,971.15	.49	704,971.15
**TOTAL ASSETS	143,802,720.65	100.03	152,103,668.02
=====			
PAYABLES	42,918.19	.03	42,918.19
*TOTAL LIABILITIES	42,918.19	.03	42,918.19
NET ASSETS	143,759,802.46	100.00	152,060,749.83
=====			

SCHEDULE A

Schedule C
 Fed ID # 13-1628151

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB

ACCOUNT 101362

CARNEGIE CORP OF NEW YORK
MORGAN STANLEY DEAN WITTER
FIXED INCOME

Amended Return

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 1

FIXED INCOME
TEMPORARY INVESTMENTS
GOVERNMENT DISCOUNT SECURITIES

U.S. GOVERNMENT TREASURY BILL
DISCOUNT DTD 17MAY2001 DUE 15NOV2001

INVESTMENT ACTIVITY	NUMBER OF UNIT	SHRS OR \$ PAR	COST	UNIT	COST	NUMBER OF UNIT	SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT	GAIN OR LOSS-
INVESTMENT ACTIVITY	350,000.00	349,101.18	99.74	350,000.00	349,101.18	350,000.00	349,101.18	349,101.18	349,101.18	00	00
PAYMENT/MATURITY	00	00	00	00	00	00	00	00	00	00	00
FREE RECEIPTS/DELIVERIES	350,000.00	349,101.18	99.74	350,000.00	349,101.18	350,000.00	349,101.18	349,101.18	349,101.18	00	00
SECURITY TOTAL*	700,000.00	698,202.36	***	700,000.00	698,202.36	700,000.00	698,202.36	698,202.36	698,202.36	00	00
U.S. GOVERNMENT TREASURY BILL	DISCOUNT DTD 18OCT2001 DUE 18APR2002										
INVESTMENT ACTIVITY	350,000.00	347,290.03	99.23	350,000.00	347,290.03	350,000.00	347,290.03	347,290.03	347,290.03	00	00
PAYMENT/MATURITY	00	00	00	00	00	00	00	00	00	00	00
FREE RECEIPTS/DELIVERIES	350,000.00	347,290.03	99.23	350,000.00	347,290.03	350,000.00	347,290.03	347,290.03	347,290.03	00	00
SECURITY TOTAL*	700,000.00	694,580.06	***	700,000.00	694,580.06	700,000.00	694,580.06	694,580.06	694,580.06	00	00
U.S. GOVERNMENT TREASURY BILL	DISC DTD 18APR2002 DUE 17OCT2002										
INVESTMENT ACTIVITY	350,000.00	346,673.44	99.05	350,000.00	346,673.44	350,000.00	346,673.44	346,673.44	346,673.44	00	00
FREE RECEIPTS/DELIVERIES	350,000.00	346,673.44	99.05	350,000.00	346,673.44	350,000.00	346,673.44	346,673.44	346,673.44	00	00
SECURITY TOTAL*	700,000.00	693,346.89	***	350,000.00	346,673.45	350,000.00	346,673.45	346,673.45	346,673.45	00	00

=====A C Q U I R E D=====
 NUMBER OF UNIT SHRS OR \$ PAR COST
 =====S E L L E D=====
 NUMBER OF UNIT SHRS OR \$ PAR COST
 BOOK VALUE PROCEEDS UNIT GAIN OR LOSS-

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

ACCOUNT 101362

CCNY - MSWD - FI

FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 3

Amended Return

=====ACQUIRE D=====
UNIT COST SHRS OR \$ PAR COST
NUMBER OF UNIT COST SHRS OR \$ PAR COST
BOOK VALUE PROCEEDS UNIT GAIN OR LOSS-

FANNIE MAE DISC DTD 16SEP2001 DUE 11SEP2002

INVESTMENT ACTIVITY 3,000,000.00 2,986,805.00 99.56 3,000,000.00 2,986,805.00

FEDERAL NATIONAL MORTGAGE ASSOCIATION

DISC NT TD 17JUN2001 DUE 12JUN2002

INVESTMENT ACTIVITY 2,000,000.00 1,990,803.33 99.54 400,000.00 398,160.67

PAYMENT/MATURITY 2,000,000.00 1,990,803.33 99.54 400,000.00 398,160.67

SECURITY TOTAL* 2,000,000.00 1,990,803.33 *** 2,000,000.00 1,990,803.33

FEDERAL NATL MTG ASSN 7.125% DTD 15JAN2000 DUE 15JAN2030

INVESTMENT ACTIVITY 350,000.00 350,000.00 100.00 1,475,000.00 1,675,219.72

FREE RECEIPTS/DELIVERIES 350,000.00 397,509.05 113.57 350,000.00 397,509.05

SECURITY TOTAL* 350,000.00 397,509.05 *** 1,825,000.00 2,072,728.77

**TOTAL NATIONAL MORTGAGE ASSOCIATIONS

INVESTMENT ACTIVITY 4,977,608.33 ***** 5,060,185.39

PAYMENT/MATURITY 4,977,608.33 ***** 5,060,185.39

FREE RECEIPTS/DELIVERIES 397,509.05 ***** 1,592,642.66

TOTALS FOR CATEGORY *** 5,375,117.38 ***** 7,050,337.10

OTHER FEDERAL AGENCIES

FEDL HOME LOAN BANK

DISC DTD 25FEB2001 DUE 20FEB2002

INVESTMENT ACTIVITY 5,000,000.00 4,993,520.56 99.87 5,000,000.00 4,993,520.56

PAYMENT/MATURITY 5,000,000.00 4,993,520.56 99.87 5,000,000.00 4,993,520.56

SECURITY TOTAL* 5,000,000.00 4,993,520.56 *** 5,000,000.00 4,993,520.56

379.83 379.83 8,929.92- 8,929.92- 1,392.11 1,392.11 7,917.64

DEUTSCHE BANK TRUST COMPANY AMERICAS

NEW YORK

ACCOUNT 101362

GCNY - MSDW - FI

Amended Return

FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)

PAGE 4

10/18/02 AP

=====A C Q U I R E D===== D I S P O S E D=====

NUMBER OF UNIT	COST	SHRS OR \$ PAR	BOOK VALUE	UNIT	PROCEEDS	PROG	GAIN OR LOSS-
----------------	------	----------------	------------	------	----------	------	---------------

FEDL HOME LOAN BANK
DISC NT DUE 24APR2002

INVESTMENT ACTIVITY

5,000,000.00

4,984,775.00

99.70

5,000,000.00

4,984,775.00

4,984,775.00

4,984,775.00

99.70

**SECURITY TOTAL*

5,000,000.00

4,984,775.00

99.70

5,000,000.00

4,984,775.00

4,984,775.00

4,984,775.00

99.81

INVESTMENT ACTIVITY

6,000,000.00

5,982,360.00

99.71

6,000,000.00

5,982,360.00

5,982,360.00

5,988,333.34

99.81

FHLB DISC CORP
DISC DTD 01JUL2001 DUE 26JUN2002

INVESTMENT ACTIVITY

3,500,000.00

3,495,372.23

99.87

3,500,000.00

3,495,372.23

3,495,372.23

3,496,616.67

99.90

FEDL HOME LN MTG CORP
DISC DTD 09JAN2001 DUE 09JAN2002

INVESTMENT ACTIVITY

5,000,000.00

4,995,000.00

99.90

5,000,000.00

4,995,000.00

4,995,000.00

4,995,000.00

99.90

FEDERAL HOME LOAN MTG CORP
DISC DTD 05FEB2001 DUE 05FEB2002

INVESTMENT ACTIVITY

5,000,000.00

4,993,700.00

99.87

5,000,000.00

4,993,700.00

4,993,700.00

4,993,700.00

99.87

**SECURITY TOTAL*

5,000,000.00

4,993,700.00

99.87

5,000,000.00

4,993,700.00

4,993,700.00

4,993,700.00

99.87

**SECURITY TOTAL*

5,000,000.00

4,993,700.00

99.87

5,000,000.00

4,993,700.00

4,993,700.00

4,993,700.00

99.87

INVESTMENT ACTIVITY

5,000,000.00

4,993,700.00

99.87

5,000,000.00

4,993,700.00

4,993,700.00

4,993,700.00

99.87

PAYMENT/MATURITY

5,000,000.00

4,993,700.00

99.87

5,000,000.00

4,993,700.00

4,993,700.00

4,993,700.00

99.87

PAYMENT/MATURITY

5,000,000.00

4,993,700.00

99.87

5,000,000.00

4,993,700.00

4,993,700.00

4,993,700.00

99.87

**SECURITY TOTAL*

5,000,000.00

4,993,700.00

99.87

5,000,000.00

4,993,700.00

4,993,700.00

4,993,700.00

99.87

INVESTMENT ACTIVITY

5,000,000.00

4,993,700.00

99.87

5,000,000.00

4,993,700.00

4,993,700.00

4,993,700.00

99.87

PAYMENT/MATURITY

5,000,000.00

4,993,700.00

99.87

5,000,000.00

4,993,700.00

4,993,700.00

4,993,700.00

99.87

**SECURITY TOTAL*

5,000,000.00

4,993,700.00

99.87

5,000,000.00

4,993,700.00

4,993,700.00

4,993,700.00

99.87

INVESTMENT ACTIVITY

5,000,000.00

4,993,700.00

99.87

5,000,000.00

4,993,700.00

4,993,700.00

4,993,700.00

99.87

PAYMENT/MATURITY

5,000,000.00

4,993,700.00

99.87

5,000,000.00

4,993,700.00

4,993,700.00

4,993,700.00

99.87

**SECURITY TOTAL*

5,000,000.00

4,993,700.00

99.87

5,000,000.00

4,993,700.00

4,993,700.00

4,993,700.00

99.87

DEUTSCHE BANK TRUST COMPANY AMERICAS

NEW YORK

ACCOUNT 101362

CNRY - MSDM - FI

Amended Return

FROM 10/01/2001 TO 09/30/2002

10/18/02

AP

PAGE

5

SECURITY TRANSACTION REPORT

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)

-----A C Q U I R E D----- NUMBER OF UNIT COST SHRS OR \$ PAR COST NUMBER OF BOOK VALUE SHRS OR \$ PAR PROCEEDS UNIT GAIN OR LOSS-

FEDERAL HOME LN MTG CORP DISC NT 03/15/2002

INVESTMENT ACTIVITY 2,000,000.00 1,998,525.00 99.93 .00 2,000,000.00 1,998,525.00 .00

PAYMENT/MATURITY 2,000,000.00 .00 .00

FEDERAL HOME LOAN MORTGAGE CORPORATION DISC NT DUE 21MAR2002

INVESTMENT ACTIVITY 7,000,000.00 6,985,198.89 99.79 .00 7,000,000.00 6,985,198.89 .00

PAYMENT/MATURITY 7,000,000.00 .00 .00

SECURITY TOTAL* 7,000,000.00 6,985,198.89 ***

FEDERAL HOME LOAN MORTGAGE CORPORATION DISC NT DUE 20JUN2002

INVESTMENT ACTIVITY 7,000,000.00 6,966,911.39 99.53 7,000,000.00 6,966,911.39

FEDERAL NATIONAL MORTGAGE ASSOCIATION 7.250% DTD 05MAY2000 DUE 15MAY2030

INVESTMENT ACTIVITY .00 .00 .00 1,000,000.00 1,117,717.00

TOTAL OTHER FEDERAL AGENCIES 45,395,363.07 ***

INVESTMENT ACTIVITY 45,395,363.07 *****

PAYMENT/MATURITY 45,395,363.07 *****

TOTALS FOR CATEGORY 45,395,363.07 ***

TOTAL GOVERNMENTAL OBLIGATIONS 58,911,933.72 ***

INVESTMENT ACTIVITY 58,911,933.72 *****

PAYMENT/MATURITY 58,911,933.72 *****

FREE RECEIPTS/DELIVERIES***** 59,309,442.77 *****

TOTALS FOR CATEGORY 59,309,442.77 ***

CORPORATE OBLIGATIONS 27,565,297.39 *****

DOMESTIC CORPORATE OBLIGATIONS 30,543,362.11 *****

AT&T AND SUBSIDIARIES OBLIGATIONS 58,426,769.55 *****

318,110.05 42,024.57 13,287.62

318,110.05 42,024.57 13,287.62

318,110.05 42,024.57 13,287.62

318,110.05 42,024.57 13,287.62

318,110.05 42,024.57 13,287.62

318,110.05 42,024.57 13,287.62

318,110.05 42,024.57 13,287.62

318,110.05 42,024.57 13,287.62

318,110.05 42,024.57 13,287.62

DEUTSCHE BANK TRUST COMPANY AMERICAS

NEW YORK

ACCOUNT 101362

CQNY - MSDW - FI

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 6

Amended Return

=====A C Q U I R E D=====

AT&T CORP DTD 03/26/1999 6.500% 03/15/2029	INVESTMENT ACTIVITY	00	00	410,000.00	363,403.35	343,077.85	83.68	20,325.50-
NON-AT&T TELEPHONE OBLIGATIONS								
BELLSOUTH TELEC DTD 06/04/1998 6.375% 06/01/2028	INVESTMENT ACTIVITY	00	00	755,000.00	632,163.35	762,182.45	100.95	130,019.10
NON-OIL INDUSTRIALS								
BANK OF BOSTON CORP 6.625% DTD 22NOV1993 DUE 01DEC2005	INVESTMENT ACTIVITY	00	00	855,000.00	853,905.15	907,958.70	106.19	54,053.55
BOEING CAP CORP 6.100% DTD 01MAR2001 DUE 01MAR2011	INVESTMENT ACTIVITY	155,000.00	102.68	159,158.55	00	00	00	00
BOEING CAP CORP 6.500% DTD 09NOV2001 DUE 15FEB2012	INVESTMENT ACTIVITY	895,000.00	99.66	891,939.10	891,939.10	895,721.30	100.08	3,782.20
BRISTOL-MEYERS SQUIBB 5.750% DTD 09/28/2001 MAT 10/01/2011	INVESTMENT ACTIVITY	00	00	1,240,000.00	1,235,238.40	1,233,638.80	99.49	1,599.60-

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB

ACCOUNT 101362

CGNY - MSDW - FI

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 7

Amended Return

-----ACQUIRE-----
NUMBER OF UNIT COST
NUMBER OF SHRS OR \$ PAR COST
BOOK VALUE
-----DISPOSE-----
UNIT GAIN OR LOSS-
PROC PROCEEDS

DESCRIPTION	UNIT	COST	SHRS OR \$ PAR	VALUE	PROC	LOSS-
NT DTD 08/15/1999 5.500% 02/15/2004 CVA CORP	800,000.00	821,808.00	102.73	.00	.00	.00
INVESTMENT ACTIVITY						
CONOCO INC NT DTD 04/20/1999 6.950% 04/15/2029	270,000.00	305,094.60	113.00	.00	.00	.00
INVESTMENT ACTIVITY						
CONTINENTAL AIRLINES CORP 6.648% DTD 20FEB1998 DUE 15SEP2017	.00	.00	.01	780,809.69	718,855.63	93.39
INVESTMENT ACTIVITY						
PAYMENT/MATURITY	.00	.00	.01	.01	.01	100.00
SECURITY TOTAL*	.00	.00	769,702.16	780,809.70	718,855.64	***
COOPER INDUSTRIES LTD 5.250% DTD 06/21/2002 MAT 07/01/2007	340,000.00	339,626.00	99.89	.00	.00	.00
INVESTMENT ACTIVITY						
DAIMLER-BENZ NORTH AMERICA CORP 8.000% DTD 08JUN2000 DUE 15JUN2010	.00	.00	.00	349,513.50	367,328.50	104.95
INVESTMENT ACTIVITY						
FARMERS EXCHANGE CAP TRUST SURPLUS NT SECS 144A 7.050% 07/15/2028	.00	.00	.00	1,298,181.35	1,081,822.20	80.73
INVESTMENT ACTIVITY						
216,359.15-						

CGNY - MSDW - FI

Amended Return

===== A C Q U I R E D =====
 NUMBER OF UNIT COST SHRS OR \$ PAR
 BOOK VALUE PROCEEDS GAIN OR LOSS-
 UNIT PROC

FORD MTR CO DEL DEB DTD 09/30/1998 6.625% 10/01/2008

INVESTMENT ACTIVITY .00 .00 940,000.00 900,827.15 797,120.00 84.80 103,707.15-

HERTZ CORP DTD 08/19/1999 7.625% 08/15/2007

INVESTMENT ACTIVITY .00 .00 190,000.00 188,375.50 196,999.60 103.68 8,624.10

HONEYWELL INTERNATIONAL 6.125% DTD 30OCT2001 DUE 01NOV2011

INVESTMENT ACTIVITY 705,000.00 704,191.95 99.89 85,000.00 84,886.95 90,606.60 106.60 5,719.65

LOWES COS INC DEB DTD 02/23/1999 6.500% 03/15/2029

INVESTMENT ACTIVITY .00 .00 370,000.00 368,263.25 365,685.00 98.83 2,578.25-

PHARMACIA CORP 6.600% DTD 09DEC1998 DUE 01DEC2028

INVESTMENT ACTIVITY .00 .00 1,000,000.00 953,164.50 1,029,049.20 102.90 75,884.70

REED ELSEVIER CAPITAL 6.125% DTD 07/31/2001 MAT 08/01/2006

INVESTMENT ACTIVITY .00 .00 280,000.00 279,879.60 287,532.00 102.69 7,652.40

SECURITIZED MULTIPLE ASSET RATED TR 1997-6 ASSET BACKED CL A-1 144A 7.710% 06/15/2006 (SMART)

INVESTMENT ACTIVITY .00 .00 392,303.06 392,303.06 11,857.05 3.02 380,446.01-

PAYMENT/MATURITY

INVESTMENT ACTIVITY .00 .00 410,304.37 410,304.37 29,858.36 ***** 380,446.01-

**SECURITY TOTAL*

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK
ACCOUNT 101362

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

Amended Return

GLOBAL ASSETS - EB
PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 9

=====A C Q U I R E D=====				=====D I S P O S E D=====			
NUMBER OF	SHRS OR \$ PAR	COST	UNIT	NUMBER OF	SHRS OR \$ PAR	BOOK VALUE	UNIT
							PROCEEDS
							LOSS-
160,000.00	160,000.00	160,000.00	100.00	.00	.00	.00	.00
INVESTMENT ACTIVITY							
SOUTHWEST AIRLINES CO							
5.496% DTD 30OCT2001 DUE 01NOV2006							
160,000.00	160,000.00	160,000.00	100.00	.00	.00	.00	.00
INVESTMENT ACTIVITY							
TARGET CORP							
7.50% DTD 11FEB2000 DUE 15FEB2005							
924,468.50	924,468.50	924,468.50	108.76	924,468.50	108.76	924,468.50	108.76
INVESTMENT ACTIVITY							
US AIRWAYS PT TRUST							
SERIES 00-1							
8.110% DTD 03MAR2000 DUE 20FEB2017							
467,869.83	467,869.83	464,196.38	101.87	467,869.83	101.87	467,869.83	101.87
INVESTMENT ACTIVITY							
US AIRWAYS							
8.020% DTD 02AUG2000 05FEB2019							
860,000.00	860,000.00	860,000.00	.00	937,488.80	.00	879,848.80	102.31
INVESTMENT ACTIVITY							
U.S. AIR, INC							
7.076% DTD 26JAN2001 DUE 20MAR2021							
384,332.16	384,332.16	384,332.16	.00	384,332.16	.00	386,703.49	100.62
INVESTMENT ACTIVITY							
UNITED TECHNOLOGIES CORP							
6.100% DTD 29APR2002 DUE 15MAY2012							
535,614.75	535,614.75	535,614.75	100.11	.00	.00	.00	.00
INVESTMENT ACTIVITY							
WAL-MART STORES, INC							
7.550% DTD 15AUG2000 DUE 15FEB2030							
.00	.00	.00	.00	1,261,751.00	.00	1,348,896.00	112.41
INVESTMENT ACTIVITY							
**TOTAL NON-OIL INDUSTRIALS							

INVESTMENT ACTIVITY							

PAYMENT/MATURITY							

TOTALS FOR CATEGORY ***							
3,917,432.95	3,917,432.95	3,917,432.95	*****	12,473,330.04	*****	11,991,961.20	*****

18,001.32	18,001.32	18,001.32	*****	18,001.32	*****	18,001.32	*****

12,491,331.36	12,491,331.36	12,491,331.36	*****	12,009,962.52	*****	12,009,962.52	*****

481,368.84-	481,368.84-	481,368.84-	.00	481,368.84-	.00	481,368.84-	.00

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 101362

SECURITY TRANSACTION REPORT
 YEARLY
 FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 10/18/02 AP PAGE 10

Amended Return

-----A C Q U I R E D-----
 NUMBER OF UNIT COST
 SHRS OR \$ PAR
 -----S P O S E D-----
 BOOK VALUE
 UNIT GAIN OR LOSS-
 PROC

DESCRIPTION	UNIT	COST	SHRS OR \$ PAR	BOOK VALUE	UNIT	GAIN OR LOSS-
BANKING OBLIGATIONS						
7.400% DTD 23JAN2001 DUE 15JAN2011						
BANK OF AMERICA CORP						
MEDIUM TERM NTS TRANCHE # SB 00001						
6.000% 02/17/2009						
INVESTMENT ACTIVITY	.00	.00	1,030,000.00	1,067,948.50	1,123,358.10	55,409.60
BANK ONE CORP						
MEDIUM TERM NTS TRANCHE # SB 00001						
11/22/1999 7.000% 11/15/2009						
CHASE MANHATTAN CORP NEW						
INVESTMENT ACTIVITY	.00	.00	150,000.00	132,339.00	161,133.00	28,794.00
UP MORGAN CHASE						
5.350% DTD 06MAR2002 DUE 01MAR2007						
INVESTMENT ACTIVITY	.00	.00	170,000.00	167,470.40	178,083.50	10,613.10
STATE STREET CORP						
7.650% DTD 20JUN2000 15JUN2010						
INVESTMENT ACTIVITY	.00	.00	430,000.00	429,148.60	482,154.70	53,006.10
U S BANCORP						
MEDIUM TERM NTS BOOK ENTRY TRANCHE # TR						
00125 6.875% 12/01/2004						
INVESTMENT ACTIVITY	.00	.00	1,600,000.00	1,558,078.00	1,714,320.00	156,242.00

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 101362

SECURITY TRANSACTION REPORT
 YEARLY
 FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 AP 10/18/02
 PAGE 12

Amended Return

=====A C Q U I R E D=====
 NUMBER OF UNIT COST
 SHRS OR \$ PAR
 CIGNA CORP
 NOTES
 7.000% DTD 08JAN2001 DUE 15JAN2011
 INVESTMENT ACTIVITY
 315,000.00 326,897.55 103.78 .00 .00 .00 .00
 CIGNA CORP
 10/19/2001 MAT 10/15/2011
 6.375% DTD
 INVESTMENT ACTIVITY
 155,000.00 154,054.50 99.39 155,000.00 154,054.50
 CIT GROUP INC
 16MAY2001 DUE 17MAY2004
 5.625% DTD
 INVESTMENT ACTIVITY
 1,025,000.00 1,045,274.50 101.98 1,025,000.00 1,045,274.50
 CHASE COMMERCIAL MTG SECS
 99-2 TRANCHE A-2
 7.198% DTD 01NOV1999 DUE 15NOV2009
 INVESTMENT ACTIVITY
 .00 .00 .00 1,400,000.00 1,349,632.80
 CITIGROUP INC
 05/10/2001 05/10/2006
 5.750% DTD
 INVESTMENT ACTIVITY
 .00 .00 .00 1,350,000.00 1,347,394.50
 CITIGROUP INC
 27AUG2012 DUE 27AUG2012
 5.625% DTD
 INVESTMENT ACTIVITY
 85,000.00 86,051.45 101.24 .00 .00 .00 .00
 CITICORP
 MED TERM NT TRANCHE# SB 00195
 DTD 11/06/1998 6.375% 11/15/2008
 INVESTMENT ACTIVITY
 .00 .00 .00 540,000.00 493,619.40
 561,345.60 103.95 67,726.20

=====D I S P O S E D=====
 UNIT GAIN OR LOSS
 PROCEEDS
 CIGNA CORP
 NOTES
 7.000% DTD 08JAN2001 DUE 15JAN2011
 INVESTMENT ACTIVITY
 315,000.00 326,897.55 103.78 .00 .00 .00 .00
 CIGNA CORP
 10/19/2001 MAT 10/15/2011
 6.375% DTD
 INVESTMENT ACTIVITY
 155,000.00 154,054.50 99.39 155,000.00 154,054.50
 CIT GROUP INC
 16MAY2001 DUE 17MAY2004
 5.625% DTD
 INVESTMENT ACTIVITY
 1,025,000.00 1,045,274.50 101.98 1,025,000.00 1,045,274.50
 CHASE COMMERCIAL MTG SECS
 99-2 TRANCHE A-2
 7.198% DTD 01NOV1999 DUE 15NOV2009
 INVESTMENT ACTIVITY
 .00 .00 .00 1,400,000.00 1,349,632.80
 CITIGROUP INC
 05/10/2001 05/10/2006
 5.750% DTD
 INVESTMENT ACTIVITY
 .00 .00 .00 1,350,000.00 1,347,394.50
 CITIGROUP INC
 27AUG2012 DUE 27AUG2012
 5.625% DTD
 INVESTMENT ACTIVITY
 85,000.00 86,051.45 101.24 .00 .00 .00 .00
 CITICORP
 MED TERM NT TRANCHE# SB 00195
 DTD 11/06/1998 6.375% 11/15/2008
 INVESTMENT ACTIVITY
 .00 .00 .00 540,000.00 493,619.40
 561,345.60 103.95 67,726.20

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

ACCOUNT 101362

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 13

Amended Return

DESCRIPTION	UNIT	SHRS OR \$ PAR	COST	UNIT	SHRS OR \$ PAR	VALUE	PROCEEDS	PRDC	GAIN OR LOSS-
INVESTMENT ACTIVITY	.00	900,000.00	899,802.00	935,062.50	103.90	35,260.50			
CONTINENTAL AIRLINES 1999-1 SERIES A 6.545% DTD 08FEB1999 DUE 02FEB2019	.00	360,112.74	350,958.50	332,564.12	92.35	18,394.38-			
INVESTMENT ACTIVITY	.00	500,000.00	499,694.90	520,742.19	104.15	21,047.29			
DETROIT EDISON CO 2001-1 SERIES A-5 6.420% DTD 09MAR2001 DUE 01MAR2015	.00	580,000.00	579,828.38	598,957.81	103.27	19,129.43			
INVESTMENT ACTIVITY	.00	440,000.00	448,979.30	102.04	.00	.00			
EQUITABLE COS INC SR NT 6.500% 04/01/2008	.00	1,125,000.00	1,047,392.57	1,198,125.00	106.50	150,732.43			
INVESTMENT ACTIVITY	.00	900,000.00	899,802.00	935,062.50	103.90	35,260.50			
CONNECTICUT RRB SPECIAL PURPOSE TRUST CL SERIES 2001-1 CLASS AS 6.2100% DTD 30MAR2001 DUE 30DEC2011	.00	900,000.00	899,802.00	935,062.50	103.90	35,260.50			
DETROIT EDISON SECURITIZATION FUNDING LL SERIES 2001-1 CL A3 5.875% DTD 09MAR2001 DUE 01MAR2010	.00	360,112.74	350,958.50	332,564.12	92.35	18,394.38-			
INVESTMENT ACTIVITY	.00	500,000.00	499,694.90	520,742.19	104.15	21,047.29			
DETROIT EDISON CO 2001-1 SERIES A-5 6.420% DTD 09MAR2001 DUE 01MAR2015	.00	580,000.00	579,828.38	598,957.81	103.27	19,129.43			
INVESTMENT ACTIVITY	.00	440,000.00	448,979.30	102.04	.00	.00			
FIRST UNION-CHASE 1999-C2 TRANCHE A-2 6.645% DTD 01MAY1999 DUE 15APR2009	.00	1,125,000.00	1,047,392.57	1,198,125.00	106.50	150,732.43			

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

ACCOUNT 101362

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 14

Amended Return

FLORIDA RESIDENTIAL PTY & CAS UT UNDERWRITING ASSN SER 144A 7.375% 07/01/2003 DIRECT PLACEMENT	INVESTMENT ACTIVITY	00	00	300,000.00	309,462.00	314,358.00	104.79	4,896.00
FLORIDA WINDSTORM UNDERWRITING ASSN SER 1999A SR SEC'D NT 144A DTD 03/31/1999 7.125% 02/25/2019 DIRECT PLACEMENT	INVESTMENT ACTIVITY	00	00	880,000.00	855,147.10	947,420.65	107.66	92,273.55
FORD MTR CR CO DTD 01/12/1999 5.800% 01/12/2009	INVESTMENT ACTIVITY	00	00	515,000.00	461,558.45	470,050.80	91.27	8,492.35
FORD MOTOR CR CO 10/28/1999 7.375% 10/28/2009	INVESTMENT ACTIVITY	00	00	1,930,000.00	1,942,149.05	1,913,633.60	99.15	28,515.45-
GMAC COMM MTGE SECS 98-C2 TRANCHE A-2 6.42% DTD 01AUG1998 DUE 15MAY2035	INVESTMENT ACTIVITY	00	00	1,350,000.00	1,240,312.50	1,429,101.56	105.86	188,789.06
GENERAL ELEC CAP CORP MED TERM NTS TRANCHE # TR 00443 01/13/2000 7.375% 01/19/2010	INVESTMENT ACTIVITY	00	00	995,000.00	994,904.05	1,131,812.50	113.75	136,908.45

CCNY - MSDW - FI

=====A C Q U I R E D=====

NUMBER OF UNIT COST SHRS OR \$ PAR CAS UT
UNIT COST NUMBER OF BOOK VALUE PROCEEDS UNIT GAIN OR LOSS-

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 101362

SECURITY TRANSACTION REPORT
 YEARLY
 FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 10/18/02 AP PAGE 15

GCNY - MSDW - FI

Amended Return

GENERAL ELEC CAP CORP MEDIUM TERM NTS 6.750% DTD 03/20/2002 MAT 03/15/2032	INVESTMENT ACTIVITY	1,160,000.00	1,142,122.70	98.46	185,000.00	182,800.35	182,757.20	98.79	43.15-
GOLDMAN SACHS GROUP 6.875% DTD 16JAN2001 DUE 15JAN2011	INVESTMENT ACTIVITY	.00	.00	.00	510,000.00	513,378.90	560,801.10	109.96	47,422.20
GOLDMAN SACHS GROUP INC 6.600% DTD 10JAN2002 DUE 15JAN2012	INVESTMENT ACTIVITY	330,000.00	331,567.50	100.48	.00	.00	.00	.00	.00
HANCOCK JOHN MUT LIFE INS CO SURPLUS NT 7.375% 02/15/2024	INVESTMENT ACTIVITY	.00	.00	.00	640,000.00	590,758.40	650,496.00	101.64	59,737.60
HARTFORD LIFE INC DEB 7.650% 06/15/2027	INVESTMENT ACTIVITY	.00	.00	.00	300,000.00	284,421.00	314,637.00	104.88	30,216.00
HARTFORD LIFE 7.375% DTD 01MAR2001 DUE 01MAR2031	INVESTMENT ACTIVITY	200,000.00	210,878.00	105.44	380,000.00	377,188.00	392,046.00	103.17	14,858.00
HOUSEHOLD FIN CORP DTD 02/05/1999 5.875% 02/01/2009	INVESTMENT ACTIVITY	.00	.00	.00	220,000.00	201,274.70	207,779.70	94.45	6,505.00

UNIT
 GAIN OR
 LOSS-

UNIT
 PROC

PROCEEDS

BOOK
 VALUE

NUMBER OF
 SHRS OR \$ PAR

UNIT
 COST

COST

NUMBER OF
 SHRS OR \$ PAR

-----A C Q U I R E D-----

-----D I S P O S E D-----

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 16

Amended Return

=====ACQUIRE D=====
UNIT COST
NUMBER OF SHRS OR \$ PAR
COST
UNIT COST
NUMBER OF SHRS OR \$ PAR
BOOK VALUE
PROCEEDS
UNIT
GAIN OR LOSS-

HOUSEHOLD FINANCE CORP	8.000%	18JUL2000	DUE 15JUL2010	INVESTMENT ACTIVITY	.00	.00	335,000.00	357,462.60	343,720.05	102.60	13,742.55-
MELLOW FUNDING CORP	7.500%	23JUN2000	15JUN2005	INVESTMENT ACTIVITY	.00	.00	335,000.00	973,557.00	1,065,811.50	109.31	92,254.50
METROPOLITAN LIFE INS CO	SURPLUS NT 144A 7.800%	11/01/2025		INVESTMENT ACTIVITY	.00	.00	975,000.00	285,560.00	271,002.50	108.40	14,557.50-
NATIONWIDE FINANCIAL SERVICES	6.250%	19NOV2001	DUE 15NOV2011	INVESTMENT ACTIVITY	570,000.00	567,292.50	99.53	.00	.00	.00	.00
NATIONWIDE MUTUAL INS CO	8.250%	30NOV2001	DUE 01DEC2031	INVESTMENT ACTIVITY	100,000.00	100,432.00	100.43	.00	.00	.00	.00
PSE&G TRANSITION FUNDING LLC	SERIES 2001-1 CLASS AB	31JAN2001	DUE 15JUN2015	INVESTMENT ACTIVITY	.00	.00	1,020,000.00	1,024,433.21	1,061,900.39	104.11	37,467.18
PECO ENERGY TRANSITION TRUST	SERIES 001A	01MAR2001	DUE 31DEC2010	INVESTMENT ACTIVITY	.00	.00	1,650,000.00	1,650,000.00	1,721,556.65	104.34	71,556.65

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

ACCOUNT 101362

SECURITY TRANSACTION REPORT
YEARLY

FROM 10/01/2001 TO 09/30/2002

COPY - MSDW - FI Amended Return

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
AP 10/18/02
PAGE 17

=====ACQUIRE D=====
NUMBER OF UNIT COST SHRS OR \$ PAR COST UNIT COST SHRS OR \$ PAR VALUE PROCEEDS PRODC LOSS-
GAIN OR

PRUDENTIAL INS CO AMER 8.300% 07/01/2025

INVESTMENT ACTIVITY .00 .00 1,540,000.00 1,672,360.40 1,595,207.00 103.58 77,153.40-

PRUDENTIAL HLDGS LLC SERIES 144A 7.245% DTD 12/18/2001 MAT 12/18/2023

INVESTMENT ACTIVITY 1,470,000.00 1,485,060.90 101.02 260,000.00 260,000.00 272,824.60 104.93 12,824.60

PRUDENTIAL HOLDINGS LLC SERIES 144A 8.695% DTD 18DEC2002 DUE 18DEC2023

INVESTMENT ACTIVITY 770,000.00 782,325.00 101.60 100,000.00 100,000.00 110,655.00 110.66 10,655.00

SWP DEPOSITOR SERIES 1993-1 5.54% DTD 22DEC1993 DTD 15DEC2002

PAYMENT/MATURITY .00 .00 4,551.99 4,529.77 4,551.99 100.00 22.22

BAE SYSTEMS ASSET TR SYS 2001 ASSET TR SER 144 STEP UP DTD 19JUN2001 DUE 15SEP2013

PAYMENT/MATURITY .00 .00 168,013.73 169,024.23 168,013.73 100.00 1,010.50-

WORLD FINL PTYS TOWER B FIN CORP SER 1996 WFP-B PASS THRU CTF 144A 6.910% 09/01/2013

PAYMENT/MATURITY .00 .00 59,932.51 59,722.93 59,932.51 100.00 209.58

**TOTAL FINANCIAL OBLIGATIONS

INVESTMENT ACTIVITY 6,680,935.90 6,680,935.90 *****

PAYMENT/MATURITY .00 .00 *****

TOTALS FOR CATEGORY ***

24,056,806.46 24,293,844.64 *****

25,275,754.31 25,512,013.79 *****

1,218,947.85 1,218,169.15 *****

CGNY - MSDW - FI

Amended Return

-----A C Q U I R E D-----
 NUMBER OF UNIT COST
 -----D I S P O S E D-----
 NUMBER OF BOOK VALUE
 SHRS OR \$ PAR
 SHRS OR \$ PAR
 UNIT PROCEEDS
 GAIN OR LOSS-

MISCELLANEOUS OBLIGATIONS

AMERICAN HOME PROD 6.700% DTD 30MAR2001 DUE 15MAR2011

INVESTMENT ACTIVITY 00 .00 485,000.00 484,151.25 506,686.85 104.47 22,535.60

WALT DISNEY 5.500% DTD 28JUN2001 DUE 29DEC2006

INVESTMENT ACTIVITY 00 .00 90,000.00 89,793.00 90,531.90 100.59 738.90

TOTAL MISCELLANEOUS OBLIGATIONS ***

FOREIGN CORPORATE OBLIGATIONS

DEUTSCHE TELEKOM INT FIN 8.000% DTD 06JUL2000 DUE 15JUN2010

INVESTMENT ACTIVITY 00 .00 60,000.00 59,794.20 66,038.40 110.06 6,244.20

DEUTSCHE TELEKOM INT FIN 8.25% DTD 06JUL00 DUE 15JUN2030

INVESTMENT ACTIVITY 00 .00 980,000.00 1,034,802.30 1,083,531.90 110.56 48,729.60

POOLED CORPORATE OBLIGATIONS

MAS FUNDS ADVISORY MTG PORTFOLIO 617440763

FREE RECEIPTS/DELIVERIES 00 .00 8,907,944.60 90,755,117.76 90,000.00 00 .00

TOTAL CORPORATE OBLIGATIONS ***

INVESTMENT ACTIVITY 85 *****

11,002,882.85 *****

11,002,882.85 *****

FREE RECEIPTS/DELIVERIES *****

11,002,882.85 *****

INVESTMENT ACTIVITY *****

135,267,351.47 *****

1,986,773.91 *****

FREE RECEIPTS/DELIVERIES *****

134,784,490.38 *****

134,784,490.38 *****

INVESTMENT ACTIVITY *****

31,494,792.82 *****

31,494,014.12 *****

FREE RECEIPTS/DELIVERIES *****

136,393,556.74 *****

1,609,066.36 *****

1,290,956.31 *****

Amended Return

=====A C Q U I R E D=====

NUMBER OF UNIT	COST	SHRS OR \$ PAR	BOOK VALUE	UNIT	PROCEEDS	PRDC	LOSS- GAIN OR
137,254,125.38	*****	*****	259,021,174.87	167,887,570.86	*****	1,608,287.66	

*****TOTALS FOR CATEGORY *****

EQUITIES
 COMMON STOCK
 DOMESTIC COMMON STOCK
 INVESTMENT COMPANIES

MORGAN STANLEY INSTITUTIONAL FUND TRUST
 ADVISORY MORTGAGE PORTFOLIO

INVESTMENT ACTIVITY	2,119,597.89	22,506,000.00	10.62	647,548.56	6,838,112.86	7,000,000.00	10.81	161,887.14
FREE RECEIPTS/DELIVERIES	8,907,944.60	90,755,117.76	10.19	647,548.56	6,838,112.86	7,000,000.00	10.81	161,887.14
SECURITY TOTAL*	11,027,542.49	113,261,117.76	***	647,548.56	6,838,112.86	7,000,000.00	*****	161,887.14

MISCELLANEOUS
 OTHER INVESTMENTS

US 5 YR NOTE CBT MAR 2002
 EXPIRES 19MAR2002
 CHICAGO BOARD OF TRADE

MARGIN ACTIVITY	11,900,000.00	0.00	0.00	11,900,000.00	0.00	193,695.35	0.00	193,695.35
FREE RECEIPTS/DELIVERIES	11,900,000.00	0.00	0.00	11,900,000.00	0.00	193,695.35	0.00	193,695.35
**SECURITY TOTAL*	11,900,000.00	0.00	0.00	11,900,000.00	0.00	193,695.35	0.00	193,695.35

US 5YR NOTE SEP02
 EXPIRES 19SEP2002
 CHICAGO BOARD OF TRADE

MARGIN ACTIVITY	4,500,000.00	0.00	0.00	4,500,000.00	0.00	57,196.69	0.00	57,196.69
FREE RECEIPTS/DELIVERIES	4,500,000.00	0.00	0.00	4,500,000.00	0.00	57,196.69	0.00	57,196.69
**SECURITY TOTAL*	4,500,000.00	0.00	0.00	4,500,000.00	0.00	57,196.69	0.00	57,196.69

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

ACCOUNT 101362

CNRY - MSDW - FI
Amended Return

FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 20

-----A C Q U I R E D-----
 NUMBER OF UNIT COST SHRS OR \$ PAR COST
 -----D I S P O S E D-----
 BOOK VALUE PROCEEDS UNIT GAIN OR LOSS-

MARGIN ACTIVITY
 FREE RECEIPTS/DELIVERIES 22,800,000.00 .00 .00
 **SECURITY TOTAL* 22,800,000.00 .00 .00
 US 10YR NOTE FUT JUN02 22,800,000.00 .00 .00
 CHICAGO BRD OF TRADE

US 10YR NOTE FUT JUN02
 EXPIRES 19JUN2002
 CHICAGO BRD OF TRADE

MARGIN ACTIVITY
 FREE RECEIPTS/DELIVERIES 15,100,000.00 .00 .00
 **SECURITY TOTAL* 15,100,000.00 .00 .00
 US 5YR NOTE DEC02 FUTURE 15,100,000.00 .00 .00
 CHICAGO BOARD OF TRADE

US 5YR NOTE DEC02 FUTURE
 EXPIRES 19SEP2002
 CHICAGO BOARD OF TRADE

MARGIN ACTIVITY .00 .00 .00

MARGIN ACTIVITY
 FREE RECEIPTS/DELIVERIES 14,600,000.00 .00 .00
 **SECURITY TOTAL* 14,600,000.00 .00 .00
 US 1 BOND DEC02 FUTURE 14,600,000.00 .00 .00
 CHICAGO BOARD OF TRADE

US 1 BOND DEC02 FUTURE
 EXPIRES 19DEC2002
 CHICAGO BOARD OF TRADE

MARGIN ACTIVITY
 FREE RECEIPTS/DELIVERIES 25,200,000.00 .00 .00
 **SECURITY TOTAL* 25,200,000.00 .00 .00
 US 1 BOND DEC02 FUTURE 25,200,000.00 .00 .00
 CHICAGO BOARD OF TRADE

US 1 BOND DEC02 FUTURE
 EXPIRES 19DEC2002
 CHICAGO BOARD OF TRADE

MARGIN ACTIVITY .00 .00 .00

MARGIN ACTIVITY
 FREE RECEIPTS/DELIVERIES 1,156,211.02 .00 .00
 SECURITY TOTAL* 1,156,211.02 ***
 US 1 BOND DEC02 FUTURE 1,156,211.02 .00 .00
 CHICAGO BOARD OF TRADE

US 1 BOND DEC02 FUTURE
 EXPIRES 19DEC2002
 CHICAGO BOARD OF TRADE

MARGIN ACTIVITY .00 .00 .00

MARGIN ACTIVITY
 FREE RECEIPTS/DELIVERIES 917,766.51 .00 .00
 SECURITY TOTAL* 917,766.51 ***
 US 1 BOND DEC02 FUTURE 917,766.51 .00 .00
 CHICAGO BOARD OF TRADE

US 1 BOND DEC02 FUTURE
 EXPIRES 19DEC2002
 CHICAGO BOARD OF TRADE

MARGIN ACTIVITY .00 .00 .00

MARGIN ACTIVITY
 FREE RECEIPTS/DELIVERIES 1,156,211.02 .00 .00
 SECURITY TOTAL* 1,156,211.02 ***
 US 1 BOND DEC02 FUTURE 1,156,211.02 .00 .00
 CHICAGO BOARD OF TRADE

US 1 BOND DEC02 FUTURE
 EXPIRES 19DEC2002
 CHICAGO BOARD OF TRADE

CGNY - MSDW - FI

Amended Return

-----A C Q U I R E D-----
 NUMBER OF UNIT COST SHRS OR \$ PAR
 BOOK VALUE PROCEEDS UNIT GAIN OR LOSS-
 -----D I S P O S E D-----

MARGIN ACTIVITY .00 .00 .00 .00 .00 .00
 US 5YR NOTE FUTURE DEC 01
 CHICAGO BRD OF TRADE
 EXPIRES 31DEC2001

US LONG BOND FUTURE DEC01 10,700,000.00 .00 .00 .00 .00 .00

MARGIN ACTIVITY 10,700,000.00 .00 .00 .00 .00 .00
 FREE RECEIPTS/DELIVERIES 10,700,000.00 .00 .00 .00 .00 .00
 **SECURITY TOTAL* 10,700,000.00 .00 .00 .00 .00 .00

US 10YR 1 NOTE FUTURE DEC01
 CHICAGO BRD OF TRADE
 EXPIRES 19DEC2001

US LONG BOND (CBT) JUN02 14,600,000.00 .00 .00 .00 .00 .00

MARGIN ACTIVITY 14,600,000.00 .00 .00 .00 .00 .00
 FREE RECEIPTS/DELIVERIES 14,600,000.00 .00 .00 .00 .00 .00
 **SECURITY TOTAL* 14,600,000.00 .00 .00 .00 .00 .00

US 5YR NOTE (CBT) JUN02
 CHICAGO BRD OF TRADE
 EXPIRES 19JUN2002

US LONG BOND (CBT) JUN02 207,805,24-.00 .00 .00 .00 .00 .00

MARGIN ACTIVITY 207,805,24-.00 .00 .00 .00 .00 .00
 FREE RECEIPTS/DELIVERIES 207,805,24-.00 .00 .00 .00 .00 .00
 **SECURITY TOTAL* 207,805,24-.00 .00 .00 .00 .00 .00

US 5YR NOTE (CBT) JUN02
 CHICAGO BRD OF TRADE
 EXPIRES 19JUN2002

US LONG BOND (CBT) JUN02 11,900,000.00 .00 .00 .00 .00 .00

MARGIN ACTIVITY 11,900,000.00 .00 .00 .00 .00 .00
 FREE RECEIPTS/DELIVERIES 11,900,000.00 .00 .00 .00 .00 .00
 **SECURITY TOTAL* 11,900,000.00 .00 .00 .00 .00 .00

US 5YR NOTE (CBT) JUN02
 CHICAGO BRD OF TRADE
 EXPIRES 19JUN2002

US LONG BOND (CBT) JUN02 3,875,51-.00 .00 .00 .00 .00 .00

MARGIN ACTIVITY 3,875,51-.00 .00 .00 .00 .00 .00
 FREE RECEIPTS/DELIVERIES 3,875,51-.00 .00 .00 .00 .00 .00
 **SECURITY TOTAL* 3,875,51-.00 .00 .00 .00 .00 .00

US 5YR NOTE (CBT) JUN02
 CHICAGO BRD OF TRADE
 EXPIRES 19JUN2002

US LONG BOND (CBT) JUN02 207,805,24-.00 .00 .00 .00 .00 .00

MARGIN ACTIVITY 207,805,24-.00 .00 .00 .00 .00 .00
 FREE RECEIPTS/DELIVERIES 207,805,24-.00 .00 .00 .00 .00 .00
 **SECURITY TOTAL* 207,805,24-.00 .00 .00 .00 .00 .00

US 5YR NOTE (CBT) JUN02
 CHICAGO BRD OF TRADE
 EXPIRES 19JUN2002

US LONG BOND (CBT) JUN02 3,875,51-.00 .00 .00 .00 .00 .00

MARGIN ACTIVITY 3,875,51-.00 .00 .00 .00 .00 .00
 FREE RECEIPTS/DELIVERIES 3,875,51-.00 .00 .00 .00 .00 .00
 **SECURITY TOTAL* 3,875,51-.00 .00 .00 .00 .00 .00

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

ACCOUNT 10136Z

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 22

CGNY - MSDW - FIMended Return

=====ACQURIED=====

NUMBER OF	UNIT	COST	SHRS OR \$ PAR	BOOK	VALUE	PROCEEDS	UNIT	GAIN OR	LOSS-
-----------	------	------	----------------	------	-------	----------	------	---------	-------

US 10YR NOTE FUTURE MAR02	EXPIRES 28MAR2002	CHICAGO BRD OF TRADE	MARGIN ACTIVITY	27,100,000.00	.00	.00	27,100,000.00	.00	.00
FREE RECEIPTS/DELIVERIES	27,100,000.00			.00	.00	27,100,000.00	.00	.00	.00
**SECURITY TOTAL*	27,100,000.00			.00	.00	27,100,000.00	.00	.00	.00

US 10YR NOTE FUT DEC02	EXPIRES 19DEC2002	CHICAGO BRD OF TRADE	MARGIN ACTIVITY	27,100,000.00	.00	.00	27,100,000.00	.00	.00
FREE RECEIPTS/DELIVERIES	27,100,000.00			.00	.00	27,100,000.00	.00	.00	.00
**SECURITY TOTAL*	27,100,000.00			.00	.00	27,100,000.00	.00	.00	.00

US LONG BOND MAR 02	EXPIRES 19MAR2002	CHICAGO BOARD OF TRADE	MARGIN ACTIVITY	24,300,000.00	.00	.00	24,300,000.00	.00	.00
FREE RECEIPTS/DELIVERIES	24,300,000.00			.00	.00	24,300,000.00	.00	.00	.00
**SECURITY TOTAL*	24,300,000.00			.00	.00	24,300,000.00	.00	.00	.00

US LONG BOND MAR 02	EXPIRES 19MAR2002	CHICAGO BOARD OF TRADE	MARGIN ACTIVITY	9,900,000.00	.00	.00	9,900,000.00	.00	.00
FREE RECEIPTS/DELIVERIES	9,900,000.00			.00	.00	9,900,000.00	.00	.00	.00
**SECURITY TOTAL*	9,900,000.00			.00	.00	9,900,000.00	.00	.00	.00

TOTAL OTHER MISCELLANEOUS	MARGIN ACTIVITY	3,158,042.52	***	3,158,042.52	.00	.00	3,158,042.52	.00	.00
FREE RECEIPTS/DELIVERIES	3,158,042.52			.00	.00	3,158,042.52	.00	.00	.00
**TOTALS FOR CATEGORY	3,158,042.52			.00	.00	3,158,042.52	.00	.00	.00

TOTAL MISCELLANEOUS	MARGIN ACTIVITY	3,158,042.52	***	3,158,042.52	.00	.00	3,158,042.52	.00	.00
FREE RECEIPTS/DELIVERIES	3,158,042.52			.00	.00	3,158,042.52	.00	.00	.00
**TOTALS FOR CATEGORY	3,158,042.52			.00	.00	3,158,042.52	.00	.00	.00

LIBILITIES	OPTIONS OUTSTANDING	MISCELLANEOUS LIABILITIES	*****	3,158,042.52	.00	.00	3,158,042.52	.00	.00
FREE RECEIPTS/DELIVERIES	3,158,042.52			.00	.00	3,158,042.52	.00	.00	.00
**TOTALS FOR CATEGORY	3,158,042.52			.00	.00	3,158,042.52	.00	.00	.00

Amended Returns

ACQUISITION		DISPOSAL		TOTAL	
NUMBER OF UNIT	COST	NUMBER OF UNIT	COST	NUMBER OF UNIT	COST
8,300,000.00-	8,300,000.00-	8,300,000.00-	8,300,000.00-	8,300,000.00-	8,300,000.00-
MARGIN ACTIVITY					
FREE RECEIPTS/DELIVERIES					
8,300,000.00-				8,300,000.00-	
US 5YR NOTE (CBT) DEC 02					
EXPIRES 19DEC2002					
CHICAGO BRD OF TRADE					
6,700,000.00-		6,700,000.00-		6,700,000.00-	
MARGIN ACTIVITY					
FREE RECEIPTS/DELIVERIES					
6,700,000.00-				6,700,000.00-	
US 2YR NOTE (CBT) FUTURES DEC 01					
EXPIRES 27DEC2001					
CHICAGO BRD OF TRADE					
12,354.00-		12,354.00-		12,354.00-	
MARGIN ACTIVITY					
FREE RECEIPTS/DELIVERIES					
12,354.00-				12,354.00-	
US 5YR NOTE FUTURE DEC 01					
EXPIRES 24NOV2001					
CHICAGO BRD OF TRADE					
4,000,000.00-		4,000,000.00-		4,000,000.00-	
MARGIN ACTIVITY					
FREE RECEIPTS/DELIVERIES					
4,000,000.00-				4,000,000.00-	
**SECURITY TOTAL*					
62,496.00		62,496.00		62,496.00	
**SECURITY TOTAL*					
62,496.00		62,496.00		62,496.00	
GAIN OR LOSS-		PROCEEDS		GAIN OR LOSS-	
UNIT		UNIT		UNIT	
BOOK VALUE		BOOK VALUE		BOOK VALUE	

CGNY - MSDW - FI

Amended Return

=====ACQUIRED=====
 NUMBER OF UNIT COST SHRS OR \$ PAR COST
 NUMBER OF UNIT COST SHRS OR \$ PAR COST
 BOOK VALUE PROCEEDS UNIT GAIN OR LOSS-
 =====D I S P O S E D=====

US 5 YR NOTE CBT MAR 2002
 EXPIRES 19MAR2002
 CHICAGO BOARD OF TRADE
 MARGIN ACTIVITY
 FREE RECEIPTS/DELIVERIES 200,000.00
 **SECURITY TOTAL* 200,000.00

US LONG BOND MAR 02
 EXPIRES 19MAR2002
 CHICAGO BOARD OF TRADE
 MARGIN ACTIVITY
 FREE RECEIPTS/DELIVERIES 200,000.00
 **SECURITY TOTAL* 200,000.00

US LONG BOND FUTURE DEC01
 EXPIRES 31DEC2001
 CHICAGO BRD OF TRADE
 MARGIN ACTIVITY
 FREE RECEIPTS/DELIVERIES 5,400,000.00
 **SECURITY TOTAL* 5,400,000.00

US LONG BOND FUTURE DEC01
 EXPIRES 31DEC2001
 CHICAGO BRD OF TRADE
 MARGIN ACTIVITY
 FREE RECEIPTS/DELIVERIES 5,400,000.00
 **SECURITY TOTAL* 5,400,000.00

MARGIN ACTIVITY
 FREE RECEIPTS/DELIVERIES 42,470.45
 **SECURITY TOTAL* 42,470.45

MARGIN ACTIVITY
 FREE RECEIPTS/DELIVERIES 42,470.45
 **SECURITY TOTAL* 42,470.45

MARGIN ACTIVITY
 FREE RECEIPTS/DELIVERIES 189,539.87
 **TOTAL OPTIONS OUTSTANDING

MARGIN ACTIVITY
 FREE RECEIPTS/DELIVERIES 189,539.87
 **TOTAL LIABILITIES

MARGIN ACTIVITY
 FREE RECEIPTS/DELIVERIES 189,539.87
 **TOTALS FOR CATEGORY

MARGIN ACTIVITY
 FREE RECEIPTS/DELIVERIES 189,539.87
 **TOTALS FOR CATEGORY

ACCOUNT PERIOD TOTALS

**TOTAL INVESTMENT ACTIVITY 157,773,351.47
 MARGIN ACTIVITY 141,622,603.24
 PAYMENT/MATURITY 31,494,792.82
 **TOTAL 1,770,953.50

1,770,953.50
 2,968,502.65
 778.70-

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

ACCOUNT 101362

CCNY - MSDW - FI

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 25

Amended Return

=====
A C Q U I R E D-----
NUMBER OF UNIT
SHRS OR \$ PAR COST
FREE RECEIPTS/DELIVERIES***** 92,741,891.67 *****
ACCOUNT GRAND TOTAL ***** 250,515,243.14 *****
=====

UNIT	BOOK	VALUE	PROCEEDS	UNIT
		92,741,891.67		00
		265,859,287.73		51
		177,856,073.51		*****
			4,738,677.45	*****
				00

				00

				00

=====
D I S P O S E D-----
UNIT
GAIN OR
LOSS-
PROCEEDS

=====
ACCOUNT GRAND TOTAL ***** 250,515,243.14 *****
=====

Schedule G
 Fed ID # 13-1628151

SCHEDULE A

GLOBAL ASSETS - EB		T15A AP		PREPARED 10/18/02		PAGE 2	
ASSETS		MARKET		VALUE		%	
=====							
SECURITIES							
NO SECURITIES HELD IN THIS ACCOUNT							
CASH	.00	.00	.00	.00	.00	.00	.00
RECEIVABLES	.00	.00	.00	.00	.00	.00	.00
**TOTAL ASSETS	.00	.00	.00	.00	.00	.00	.00
=====							
LIABILITIES							
PAYABLES	.00	.00	.00	.00	.00	.00	.00
*TOTAL LIABILITIES	.00	.00	.00	.00	.00	.00	.00
NET ASSETS	.00	.00	.00	.00	.00	.00	.00

Amended Return

SUMMARY OF ASSETS AND LIABILITIES
 AS OF SEPTEMBER 30, 2002
 CARNegie CORPORATION OF NEW YORK
 MILLER ANDERSON INT'L EQUITY

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 173843

CARNEGIE CORPORATION OF NEW YORK
 MILLER ANDERSON INTL EQUITY PORTFOLIO CL A

Amended Return

=====A C Q U I R E D=====

NUMBER OF	SHRS OR \$ PAR	COST	UNIT	COST	NUMBER OF	SHRS OR \$ PAR	BOOK	VALUE	PROCEEDS	UNIT	GAIN OR	LOSS-

EQUITIES
 COMMON STOCK
 DOMESTIC COMMON STOCK
 INVESTMENT COMPANIES

 MORGAN STANLEY INSTL FD INC
 INTL EQUITY PORTFOLIO CL A (INST: INTL (61744J400))

INVESTMENT ACTIVITY	121,827.84	1,861,529.53	15.28	3,589,008.93	63,278,667.31	58,343,166.55	692,742.78	16.26	4,935,500.76	16.26	4,935,500.76	
PAYMENT/MATURITY	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	
**SECURITY TOTAL*	121,827.84	1,861,529.53	15.28	3,589,008.93	63,278,667.31	59,035,909.33	692,742.78	16.26	4,935,500.76	16.26	4,242,757.98-	

MORGAN STANLEY INSTL FD INC												
INTL SMALL CAP PORTFOLIO CL A												
INVESTMENT ACTIVITY	56,794.66	838,991.08	14.77	2,006,167.61	35,298,330.49	33,356,333.74	392,603.71	16.63	1,941,996.75-	16.63	1,941,996.75-	
PAYMENT/MATURITY	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	
**SECURITY TOTAL*	56,794.66	838,991.08	14.77	2,006,167.61	35,298,330.49	33,356,333.74	392,603.71	16.63	1,941,996.75-	16.63	1,549,393.04-	

TOTAL INVESTMENT COMPANIES	***	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****
INVESTMENT ACTIVITY	2,700,520.61	2,700,520.61	*****	98,576,997.80	91,699,500.29	91,699,500.29	1,085,346.49	*****	6,877,497.51-	*****	6,877,497.51-	
PAYMENT/MATURITY	.00	.00	*****	.00	.00	.00	.00	*****	.00	*****	.00	
TOTAL COMMON STOCK	***	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****
INVESTMENT ACTIVITY	2,700,520.61	2,700,520.61	*****	98,576,997.80	91,699,500.29	91,699,500.29	1,085,346.49	*****	6,877,497.51-	*****	6,877,497.51-	
PAYMENT/MATURITY	.00	.00	*****	.00	.00	.00	.00	*****	.00	*****	.00	
TOTALS FOR CATEGORY	2,700,520.61	2,700,520.61	***	98,576,997.80	92,784,846.78	92,784,846.78	1,085,346.49	*****	5,792,151.02-	*****	5,792,151.02-	

TOTAL EQUITIES	***	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****
INVESTMENT ACTIVITY	2,700,520.61	2,700,520.61	*****	98,576,997.80	91,699,500.29	91,699,500.29	1,085,346.49	*****	6,877,497.51-	*****	6,877,497.51-	
PAYMENT/MATURITY	.00	.00	*****	.00	.00	.00	.00	*****	.00	*****	.00	
TOTALS FOR CATEGORY	2,700,520.61	2,700,520.61	***	98,576,997.80	92,784,846.78	92,784,846.78	1,085,346.49	*****	5,792,151.02-	*****	5,792,151.02-	

ACCOUNT PERIOD TOTALS

**TOTAL

Amended Return

GLOBAL ASSETS - EB

T15A AP PREPARED 10/18/02 PAGE 2

-----MARKET VALUE-----
%

SUMMARY OF ASSETS AND LIABILITIES
AS OF SEPTEMBER 30, 2002
CARNegie CORP OF NEW YORK
CASH RESERVE/MISCELLANEOUS

-----BOOK VALUE-----
%

ASSETS		LIABILITIES	
SECURITIES	29,314,302.65	88.30	29,314,302.65
FIXED INCOME	29,314,302.65	14.89	29,314,302.65
EQUITIES	29,314,302.65		29,314,302.65
<i>Reported Elsewhere</i>			
TOTAL SECURITIES	34,256,814.93	103.18	34,256,814.93
CASH	.00	.00	.00
RECEIVABLES	167,150.29	.50	167,150.29
**TOTAL ASSETS	34,423,965.22	103.69	34,423,965.22
=====			
PAYABLES	1,223,935.82	3.69	1,223,935.82
*TOTAL LIABILITIES	1,223,935.82	3.69	1,223,935.82
NET ASSETS	33,200,029.40	100.00	33,200,029.40
=====			
GLOBAL ASSETS - EB	35,233,262.12		35,233,262.12
	100.00		100.00

SCHEDULE A

Schedule G

Fed ID # 13-1628151

SCHEDULE 6
 Fed ID # 13-1628151

SCHEDULE A

DEUTSCHE BANK TRUST COMPANY AMERICAS		SUMMARY OF ASSETS AND LIABILITIES		GLOBAL ASSETS - EB	
NEW YORK		AS OF SEPTEMBER 30, 2002		T15A	
ACCOUNT 176866		CARNegie CORP OF NEW YORK		AP	
		STATE STREET BANK		PREPARED 10/18/02	
				PAGE 2	
=====					
SECURITIES		ASSETS		MARKET	
NO SECURITIES HELD IN THIS ACCOUNT		VALUE		VALUE	
		%		%	
CASH	.00	.00	.00	.00	.00
RECEIVABLES	.00	.00	.00	.00	.00
**TOTAL ASSETS	.00	.00	.00	.00	.00
=====					
LIABILITIES					
PAYABLES	.00	.00	.00	.00	.00
*TOTAL LIABILITIES	.00	.00	.00	.00	.00
NET ASSETS	.00	.00	.00	.00	.00

Amended Return

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB
PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 1

ACCOUNT 176866

CARNEGIE CORP OF NEW YORK
STATE STREET BANK

=====ACQURIED=====
NUMBER OF UNIT COST SHRS OR \$ PAR
BOOK VALUE PROCEEDS UNIT GAIN OR LOSS-
D I S P O S E D=====

EQUITIES		COMMON STOCK		POOLED COMMON STOCK		STATE STREET S & P 500 INDEX CTF		MISCELLANEOUS		OTHER INVESTMENTS		STATE STREET CTF		SAFE UMBRELLA	
INVESTMENT ACTIVITY	3,919.03	195,309.31	49.84	1,166,390.28	64,850,355.21	57,903,931.47	49.64	6,946,423.74-	INVESTMENT ACTIVITY	2,138.75	21,342.65	9.98	2,476,380.25	32,383,999.87	23,726,421.85
OTHER MISCELLANEOUS									OTHER INVESTMENTS						
STATE STREET CTF									STATE STREET CTF						
SAFE UMBRELLA									SAFE UMBRELLA						
INVESTMENT ACTIVITY	2,138.75	21,342.65	9.98	2,476,380.25	32,383,999.87	23,726,421.85	9.58	8,657,578.02-	INVESTMENT ACTIVITY	216,651.96	*****	97,234,355.08	81,630,353.32	*****	15,604,001.76-
**TOTAL									**TOTAL						

ACCOUNT PERIOD TOTALS

Amended Return

GLOBAL ASSETS - EB

SUMMARY OF ASSETS AND LIABILITIES

DEUTSCHE BANK TRUST COMPANY AMERICAS

NEW YORK

AS OF SEPTEMBER 30, 2002

CARNEGIE CORPORATION OF NEW YORK

EII REALTY - TAX LOT

ACCOUNT 172240

T15A AP PREPARED 10/18/02

PAGE

2

-----MARKET-----
VALUE %

-----BOOK-----
VALUE %

-----ASSETS-----

SECURITIES	BOOK VALUE	MARKET VALUE	SECURITIES	BOOK VALUE	MARKET VALUE
FIXED INCOME	3.55	1,776,044.82	SECURITIES	3.55	1,776,044.82
EQUITIES	81.04	40,569,987.74	RECEIVABLES	.75	375,730.43
MISCELLANEOUS	16.98	8,500,000.00	CASH	.00	.00
*TOTAL SECURITIES	101.57	50,846,032.56	*TOTAL ASSETS	102.32	51,221,762.99
PAYABLES	2.32	1,162,478.70			
*TOTAL LIABILITIES	2.32	1,162,478.70			
NET ASSETS	100.00	50,059,284.29			

ⓐ = 42,346,032.56
 ⓑ = 43,526,020.86

SCHEDULE A

STANDARD
 Fed ID # 13-1628151

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

SECURITY TRANSACTION REPORT
YEARLY

GLOBAL ASSETS - EB

ACCOUNT 172240

CARNEGIE CORPORATION OF NEW YORK

EIT REALTY - TAX LOT

FROM 10/01/2001 TO 09/30/2002

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 1

=====A C Q U I R E D=====
 NUMBER OF UNIT COST
 SHRS OR \$ PAR COST
 BOOK VALUE
 PROCEEDS
 UNIT PROC
 GAIN OR LOSS-
 =====D I S P O S E D=====

FIXED INCOME
 TEMPORARY INVESTMENTS
 INSTITUTIONAL LIQUID ASSETS FUND
 INSTITUTIONAL LIQUID ASSETS FUND
 DB INSTITUTIONAL LIQUID ASSETS FUND

INVESTMENT ACTIVITY 28,554,466.08 28,554,466.08 100.00 27,967,213.94 27,967,213.94 27,967,213.94 100.00

EQUITIES
 PREFERRED STOCK
 DOMESTIC CONVERTIBLE PREFERRED STOCK
 VORNADO RLTY TR
 PFD CONV SER A %

INVESTMENT ACTIVITY 100.00 6,342.00 63.42 2,000.00 121,710.91 124,801.33 62.40

FREE RECEIPTS/DELIVERIES 7,300.00 364,416.00 49.92 7,300.00 364,416.00 .00

INVESTMENT ACTIVITY 7,400.00 370,758.00 ***** 9,300.00 486,126.91 124,801.33 *****

COMMON STOCK
 DOMESTIC COMMON STOCK
 CONTAINER
 STORAGE USA
 COM STK USDO.01

INVESTMENT ACTIVITY 3,000.00 123,630.60 41.21 9,800.00 324,094.85 419,330.94 42.79

FINANCE
 INVESTMENT ACTIVITY 95,236.09

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK
ACCOUNT 172240

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002
CNV-EII REALTY - TAX LOT

GLOBAL ASSETS - EB
PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 2

=====ACQUIRE D=====
 NUMBER OF UNIT COST
 SHRS OR \$ PAR COST
 BOOK VALUE
 PROCEEDS
 UNIT
 GAIN OR LOSS-
 ARCHSTONE SMITH TR COM

INVESTMENT ACTIVITY	NUMBER OF UNIT	COST	SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT	GAIN OR LOSS-
INVESTMENT ACTIVITY	47,600.00	1,272,358.80	39,400.50	649,646.15	1,016,239.33	25.79	366,593.18
CAPITAL CHANGE	72,727.50	1,465,528.80	20.15	.00	.00	.00	.00
SECURITY TOTAL*	120,327.50	2,737,887.60	39,400.50	649,646.15	1,016,239.33	***	366,593.18
LIBERTY PPTY TR SH BEN INT							
INVESTMENT ACTIVITY	5,100.00	141,619.90	27.77	431,422.77	647,775.73	31.91	216,352.96
TOTAL FINANCE	***	1,413,978.70	*****	1,081,068.92	1,664,015.06	*****	582,946.14
INVESTMENT ACTIVITY	*****	1,465,528.80	*****	.00	.00	*****	.00
CAPITAL CHANGE	*****	2,879,507.50	*****	1,081,068.92	1,664,015.06	*****	582,946.14
TOTALS FOR CATEGORY	***	2,879,507.50	*****	1,081,068.92	1,664,015.06	*****	582,946.14
HOTEL - MOTEL							
HOST MARRIOTT CORP NEW COM							
INVESTMENT ACTIVITY	111,100.00	1,216,961.25	10.95	.00	.00	.00	.00
HOTEL - RESTAURANT							
FELCOR LODGING TR INC COM							
INVESTMENT ACTIVITY	19,400.00	300,320.89	15.48	714,726.17	591,403.81	18.60	123,322.36-
MERISTAR HOSPITALITY CORP COM							
INVESTMENT ACTIVITY	63,300.00	860,187.20	13.59	56,900.00	794,563.65	13.96	45,264.24-

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB

ACCOUNT 172240

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 3

CGNY-EII REALTY - TAX LOT

===== A C Q U I R E D =====
 NUMBER OF UNIT COST
 STARWOOD HOTELS & RESORTS WORLDWIDE INC
 SHRS OR \$ PAR COST
 NUMBER OF BOOK VALUE
 D I S P O S E D I S P O S E D
 UNIT PROCEEDS
 GAIN OR LOSS-

INVESTMENT ACTIVITY 53,200.00 1,451,671.41 27.29 91,400.00 2,438,636.54 2,444,281.60 26.74 5,645.06
 TOTAL HOTEL - RESTAURANT INVESTMENT ACTIVITY *** 2,612,179.50 ***** 3,993,190.60 3,830,249.06 ***** 162,941.54-

INVESTMENT COMPANIES
 APARTMENT INVT & MGMT CO
 CL A
 INVESTMENT ACTIVITY 8,700.00 406,902.26 46.77 12,800.00 460,586.75 634,511.91 49.57 173,925.16

LEISURE AND TOURISM
 LA QUINTA CORP
 COM NEW
 PAIRED CTF 1 COM B LA QUINTA PTYS
 INVESTMENT ACTIVITY 137,800.00 803,302.66 5.83 37,400.00 154,162.59 289,874.86 7.75 135,712.27
 CAPITAL CHANGE 146,200.00 709,864.31 4.86 37,400.00 154,162.59 289,874.86 7.75 135,712.27
 SECURITY TOTAL* 284,000.00 1,513,166.97 *** 37,400.00 154,162.59 289,874.86 ***** 135,712.27

MEDICAL SUPPLY AND SERVICE
 NATIONALWIDE HEALTH PTYS INC
 COM
 INVESTMENT ACTIVITY 3,900.00 67,548.14 17.32 23,900.00 306,146.07 462,210.24 19.34 156,064.17

REGENCY CENTERS CORP
 (FORMERLY REGENCY REALTY CORP)
 COMMON STOCK
 INVESTMENT ACTIVITY 31,200.00 886,506.20 28.41 1,900.00 52,628.69 55,080.17 28.99 2,451.48
 TOTAL MEDICAL SUPPLY AND SERVICE *** 954,054.34 ***** 358,774.76 517,290.41 ***** 158,515.65

INVESTMENT ACTIVITY 3,900.00 67,548.14 17.32 23,900.00 306,146.07 462,210.24 19.34 156,064.17

INVESTMENT ACTIVITY 31,200.00 886,506.20 28.41 1,900.00 52,628.69 55,080.17 28.99 2,451.48

INVESTMENT ACTIVITY 3,900.00 67,548.14 17.32 23,900.00 306,146.07 462,210.24 19.34 156,064.17

INVESTMENT ACTIVITY 31,200.00 886,506.20 28.41 1,900.00 52,628.69 55,080.17 28.99 2,451.48

INVESTMENT ACTIVITY 3,900.00 67,548.14 17.32 23,900.00 306,146.07 462,210.24 19.34 156,064.17

INVESTMENT ACTIVITY 31,200.00 886,506.20 28.41 1,900.00 52,628.69 55,080.17 28.99 2,451.48

INVESTMENT ACTIVITY 3,900.00 67,548.14 17.32 23,900.00 306,146.07 462,210.24 19.34 156,064.17

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 172240

SECURITY TRANSACTION REPORT
 YEARLY
 FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 AP 10/18/02
 PAGE 4

CGNY-EII REALTY - TAX LOT

=====ACQUIRE D=====
 NUMBER OF UNIT COST
 SHRS OR \$ PAR
 =====DISPOSE D=====
 UNIT PROCEEDS
 GAIN OR LOSS-

REAL ESTATE	NUMBER OF UNIT	COST	SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT	GAIN OR LOSS-
AMB PROPERTIES CORP COM STK NPV	19,800.00	574,042.40	28.99	1,337,080.92	1,397,359.74	25.18	60,278.82
AVALONBAY CMNTS INC COM	47,800.00	2,377,807.98	49.74	296,096.80	372,104.03	45.38	76,007.23
BRE PPTYS INC CL A	32,400.00	1,051,900.58	32.47	401,445.62	526,818.10	31.74	125,372.48
BRANDYWINE REALTY TRUST SHS BEN INT US\$0.01 (FORMERLY LINPRO SPECIFIED PROPERTIES)	200.00	5,102.00	25.51	255,590.82	329,486.05	22.57	73,895.23
CAMDEN PPTY TR SH BEN INT COM	20,300.00	726,528.46	35.79	1,076,043.66	1,131,842.19	37.85	55,798.53
CARRAMERICA RLTY CORP COM	19,800.00	590,539.90	29.83	839,447.58	1,181,469.32	30.69	342,021.74
CENTERPOINT PPTYS TR COM	7,400.00	369,651.47	49.95	137,668.46	146,146.96	50.40	8,478.50

Amended Return

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 SECURITY TRANSACTION REPORT
 YEARLY
 FROM 10/01/2001 TO 09/30/2002
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 GLOBAL ASSETS - EB
 10/18/02 AP PAGE 5
 CNY-EII REALTY - TAX LOT

=====ACQUIRE D=====		=====DISPOSE D=====		=====	
NUMBER OF UNIT	COST	NUMBER OF BOOK	VALUE	UNIT	GAIN OR LOSS-
CHATEAU CMNTYS INC	SHRS OR \$ PAR	PROCEEDS	PRDC		
INVESTMENT ACTIVITY	.00	29,900.00	819,287.26	866,403.93	47,116.67
CRESCENT REAL ESTATE EQUITIES INC					
INVESTMENT ACTIVITY	53,500.00	53,500.00	946,726.56	991,941.91	45,215.35
EQUITY OFFICE PROPERTIES TRUST COMMON STOCK USDO.01					
INVESTMENT ACTIVITY	32,300.00	113,000.00	2,796,854.11	3,322,735.21	525,881.10
EQUITY RESIDENTIAL COM SHS OF BENEFICIAL					
INVESTMENT ACTIVITY	8,300.00	64,800.00	1,328,271.25	1,835,508.64	507,237.39
CAPITAL CHANGE	41,100.00	.00	.00	28.33	.00
**SECURITY TOTAL*	49,400.00	64,800.00	1,328,271.25	1,835,508.64	507,237.39
GABLES RESIDENTIAL TR SH BEN INT					
INVESTMENT ACTIVITY	.00	3,100.00	91,648.33	87,519.46	4,128.87-
GENERAL GROWTH PROPERTIES COM STK USDO.10					
INVESTMENT ACTIVITY	35,900.00	16,100.00	557,737.42	747,813.45	190,076.03
HEALTH CARE PTY INVS INC COM					
INVESTMENT ACTIVITY	6,000.00	256,871.72	42.81	.00	.00

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 172240

SECURITY TRANSACTION REPORT
 YEARLY
 FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 10/18/02 AP PAGE 6

=====A C Q U I R E D=====
 NUMBER OF UNIT COST SHRS OR \$ PAR
 =====D I S P O S E D=====
 BOOK VALUE PROCEEDS UNIT GAIN OR LOSS-

DESCRIPTION	NUMBER OF UNIT	COST	SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT	GAIN OR LOSS-
HIGHWOODS PTYS INC							
INVESTMENT ACTIVITY	26,200.00	656,928.48	25.07	758,135.92	835,486.40	26.95	77,350.48
KILROY REALTY CORP							
INVESTMENT ACTIVITY	2,500.00	60,950.00	24.38	474,232.84	574,317.60	25.19	100,084.76
KIMCO RLTY CORP							
INVESTMENT ACTIVITY	8,100.00	248,362.62	30.66	1,750,024.91	2,260,122.31	33.24	510,097.40
CAPITAL CHANGE	18,600.00						.00
SECURITY TOTAL*	26,700.00	248,362.62	***	1,750,024.91	2,260,122.31	*****	510,097.40
LA QUINTA PTYS INC							
INVESTMENT ACTIVITY	82,700.00	447,313.92	5.41	709,864.31	709,864.31	.00	.00
CAPITAL CHANGE	.00						.00
SECURITY TOTAL*	82,700.00	447,313.92	***	709,864.31	709,864.31	*****	.00
MACK CALI RLTY CORP							
INVESTMENT ACTIVITY	5,900.00	183,710.25	31.14	34,400.00	1,039,707.60	32.46	77,080.26
MANUFACTURED HOME CMNTYS INC							
INVESTMENT ACTIVITY	.00	.00	.00	16,100.00	397,542.90	33.10	135,328.94

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK
ACCOUNT 172240

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB
PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 7

=====A C Q U I R E D=====		=====D I S P O S E D=====		=====	
NUMBER OF UNIT	COST	NUMBER OF UNIT	COST	PROCEEDS	UNIT
SHRS OR \$ PAR	SHRS OR \$ PAR	SHRS OR \$ PAR	SHRS OR \$ PAR	PROCEEDS	GAIN OR LOSS-
18,500.00	507,624.40	27.44	18,600.00	500,209.85	76,765.55
INVESTMENT ACTIVITY					
RECKSON ASSOCS RLY CORP					
COM					
44,800.00	1,018,062.17	22.72	20,600.00	468,848.10	53,968.90
INVESTMENT ACTIVITY					
ROUSE COM USD 0.01					
17,400.00	548,332.71	31.51	28,200.00	824,800.29	174,508.29
INVESTMENT ACTIVITY					
SL GREEN REALTY CORP					
COM STK USD0.01					
200.00	7,012.00	35.06	12,900.00	233,367.75	180,153.34
INVESTMENT ACTIVITY					
SIMON PPTY GROUP INC NEW					
COM					
56,200.00	1,752,221.24	31.18	30,200.00	835,705.30	93,810.91
INVESTMENT ACTIVITY					
SMITH(CHARLES.E)RESIDENTIAL REALTY					
COM USD0.01					
(REFER FROM 3817434)					
CAPITAL CHANGE					
SUMMIT PPTY INC					
COM					
18,200.00	458,194.16	25.18	18,200.00	458,194.16	73,520.67-
INVESTMENT ACTIVITY					

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

SECURITY TRANSACTION REPORT
YEARLY

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 9

ACCOUNT 172240

CENV-EII REALTY - TAX LOT

-----A C Q U I R E D-----		-----D I S P O S E D-----							
NUMBER OF	UNIT	NUMBER OF	UNIT	BOOK	VALUE	PROCEEDS	PROC	GAIN OR	LOSS-
SHRS OR \$ PAR	COST	SHRS OR \$ PAR	COST						
22,900.00	1,162,404.94	3,000.00	146,076.94		160,886.55		53.63	14,809.61	
MACRICH CO COM STK USDO.01									
44,600.00	1,274,780.64	14,500.00	302,301.18		439,962.57		30.34	137,661.39	
POST PPTYS INC COM									
13,600.00	459,967.52	40,600.00	1,487,750.09		1,412,150.50		34.78	75,599.59-	
PUBLIC STORAGE INC COM									
5,200.00	167,494.24	29,700.00	771,692.90		1,063,053.02		35.79	291,360.12	
SUN COMMUNITIES INC COM									
.00	.00	27,600.00	888,181.19		1,085,801.67		39.34	197,620.48	
INVESTMENT ACTIVITY									
**TOTAL RETAILING									
*****	4,591,285.57	*****	4,008,677.72		4,624,624.10	*****	*****	615,946.38	
CAPITAL CHANGE									
*****	.00	*****	868,089.29		868,089.29	*****	*****	.00	
**TOTALS FOR CATEGORY									
SERVICE									

ARDEN REALTY GROUP INC COM STK USDO.01									
.00	.00	41,700.00	981,097.37		1,073,942.26		25.75	92,844.89	
INVESTMENT ACTIVITY									

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

ACCOUNT 172240

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002
CNV-EII REALTY - TAX LOT

GLOBAL ASSETS - EB
PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 10

=====ACQUIRE D=====
NUMBER OF UNIT COST SHRS OR \$ PAR
NUMBER OF UNIT COST SHRS OR \$ PAR
BOOK VALUE PROCEEDS UNIT GAIN OR LOSS-

PROLOGIS SH BEN INT

INVESTMENT ACTIVITY

41,300.00 957,786.87 23.19 20,600.00 401,215.20 497,304.25 24.14 96,089.05

**TOTAL SERVICE

INVESTMENT ACTIVITY

***** 957,786.87 ***** 1,382,312.57 1,571,246.51 ***** 188,933.94

TV AND RADIO BROADCASTERS

ENTERTAINMENT PTYS TR
COM SH BEN INT

INVESTMENT ACTIVITY

39,000.00 905,930.91 23.23 .00 .00 .00 .00 .00

FOREIGN COMMON STOCK

MISCELLANEOUS

CANADIAN TAX REFUND (USD\$)
ACCRUAL FOR TAX RECLAIMS

FREE RECEIPTS/DELIVERIES

.00 .00 .00 1,339.00 .00 .00 .00 .00

REAL ESTATE

BROOKFIELD PTYS CORP

COM

INVESTMENT ACTIVITY

68,100.00 1,301,434.94 19.11 11,800.00 171,100.00 233,251.13 19.77 62,151.13

PAN PAC RETAIL PTYS INC

COM

INVESTMENT ACTIVITY

200.00 6,556.00 33.28 7,200.00 171,072.00 217,924.27 30.27 46,852.27

**TOTAL REAL ESTATE

INVESTMENT ACTIVITY

***** 1,308,090.94 ***** 342,172.00 451,175.40 ***** 109,003.40

**TOTAL COMMON STOCK

INVESTMENT ACTIVITY

***** 33,744,071.36 ***** 32,470,304.27 38,154,326.60 ***** 5,684,022.33

FREE RECEIPTS/DELIVERIES
CAPITAL CHANGE

**TOTALS FOR CATEGORY

***** 35,919,464.47 ***** 34,645,697.38 40,329,719.71 ***** 5,684,022.33

***** 2,175,393.11 ***** 2,175,393.11 ***** 0.00

***** 33,744,071.36 ***** 32,470,304.27 38,154,326.60 ***** 5,684,022.33

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 172240

SECURITY TRANSACTION REPORT
 YEARLY
 FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 10/18/02 AP PAGE 11

CNV-EII REALTY - TAX LOT

A C C O U N T P E R I O D T O T A L S		D I S P O S E D	
INVESTMENT ACTIVITY	FREE RECEIPTS/DELIVERIES	INVESTMENT ACTIVITY	FREE RECEIPTS/DELIVERIES
33,750,413.36	364,416.00	32,592,015.18	38,279,127.93
*****	*****	*****	*****
COST	COST	BOOK VALUE	PROCEEDS
36,290,222.47	2,175,393.11	35,131,824.29	40,454,521.04
*****	*****	*****	*****
CAPITAL CHANGE			
62,304,879.44	364,416.00		
*****	*****		
FREE RECEIPTS/DELIVERIES			
64,844,688.55	2,175,393.11		
*****	*****		
ACCOUNT GRAND TOTAL			
62,304,879.44	364,416.00	60,559,229.12	66,246,341.87
*****	*****	*****	*****
CAPITAL CHANGE			
63,099,038.23	2,175,393.11		
*****	*****		
ACCOUNT GRAND TOTAL			
63,099,038.23	2,175,393.11		
*****	*****		
CAPITAL CHANGE			
5,687,112.75	364,416.00		
*****	*****		
ACCOUNT GRAND TOTAL			
5,687,112.75	364,416.00		
*****	*****		

**TOTAL EQUITIES

A C C O U N T P E R I O D T O T A L S

Amended Return

GLOBAL ASSETS - EB

SUMMARY OF ASSETS AND LIABILITIES

AS OF SEPTEMBER 30, 2002

CARNIGIE CORP. OF NEW YORK

WESTPORT ASSET MANAGEMENT

ACCOUNT 174741

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

PREPARED 10/18/02

T15A AP

PAGE

2

-----MARKET-----
VALUE %

-----BOOK-----
VALUE %

SECURITIES	915,840.81	2.03	915,840.81	1.82
FIXED INCOME	44,221,934.43	97.95	49,305,461.36	98.16
EQUITIES			915,840.81	
*TOTAL SECURITIES	45,137,775.24	99.98	50,221,302.17	99.98
CASH	.00	.00	.00	.00
RECEIVABLES	9,600.76	.02	9,600.76	.02
**TOTAL ASSETS	45,147,376.00	100.00	50,230,902.93	100.00
=====				
L I A B I L I T I E S				
=====				
PAYABLES	.00	.00	.00	.00
*TOTAL LIABILITIES	.00	.00	.00	.00
NET ASSETS	45,147,376.00	100.00	50,230,902.93	100.00

SCHEDULE A

SCHEDULE C

FES ID # 13-1628151

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 174741
 FROM 10/01/2001 TO 09/30/2002
 CARNIGIE CORP. OF NEW YORK
 WESTPORT ASSET MANAGEMENT
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 10/18/02 AP PAGE 1
 GLOBAL ASSETS - EB

=====A C Q U I R E D=====
 NUMBER OF SHRS OR \$ PAR COST UNIT COST SHRS OR \$ PAR VALUE PROCEEDS UNIT GAIN OR LOSS-
 =====D I S P O S E D=====

FIXED INCOME	TEMPORARY INVESTMENTS	INSTIUTIONAL LIQUID ASSETS FUND	DB INSTIUTIONAL LIQUID ASSETS FUND	INVESTMENT ACTIVITY	EQUITIES	COMMON STOCK	COMMON STOCK	BANKS	HUDSON UNITED BANCORP	COM STK USD	FRMLY(HUBCO INC)	INVESTMENT ACTIVITY	COAL	PITSTON COM USD1	COMMON STOCK	(FORMELY PITSON SERVICES GRP)	INVESTMENT ACTIVITY	ELECTRONICS	C & D TECHNOLOGIES INC	COM	INVESTMENT ACTIVITY									
12,311,448.38	12,311,448.38	12,311,448.38	100.00	13,669,490.23	13,669,490.23	13,669,490.23	100.00	100.00	314,530.51	31.45	52,346.93	262,183.58	10,000.00	262,183.58	314,530.51	31.45	314,530.51	31.45	646,586.49	26.94	100,613.49-	747,199.98	24,000.00	747,199.98	24,000.00	30,000.00	336,298.50	601,761.83	20.06	265,463.33

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

ACCOUNT 174741

CNY - WESTPORT ASSET MGMT.

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 2

GLOBAL ASSETS - EB

=====ACQUIRE=====
 NUMBER OF UNIT COST SHRS OR \$ PAR COST
 BOOK VALUE PROCEEDS UNIT GAIN OR LOSS-
 =====DISPOSE=====

INVESTMENT ACTIVITY	NUMBER OF UNIT	COST	SHRS OR \$ PAR	VALUE	PROCEEDS	UNIT	GAIN OR LOSS-
CONEXANT SYSTEMS INC COM STK USD0.01	150,000.00	1,575,000.00	10.50	.00	.00	.00	.00
INVESTMENT ACTIVITY							
ADJUSTMENT	.00	.00	50,100.00	161,439.73	80,453.15	1.61	80,986.58-
SKYWORKS SOLUTIONS INC (FORMERLY ALPHA INDUSTRIES, INC)	.00	.00	50,100.00	264,410.27	80,453.15	.00	80,986.58-
INVESTMENT ACTIVITY							
ADJUSTMENT	.00	.00	50,100.00	425,850.00	80,453.15	.00	80,986.58-
SECURITY TOTAL*	.00	.00	50,100.00	425,850.00	80,453.15	.00	80,986.58-
ULTRAK INC COMMON STOCK NPV	77,585.10	586,680.77	7.56	1.50	.55	5.50	.95-
INVESTMENT ACTIVITY							
CAPITAL CHANGE	.00	.00	.00	1.50	.55	5.50	.95-
SECURITY TOTAL*	77,585.10	586,680.77	7.56	1.50	.55	5.50	.95-
ULTRAK INC COMMON STOCK NPV	.00	.00	.00	641,452.50	88,114.96	1.15	553,337.54-
INVESTMENT ACTIVITY							
TOTAL ELECTRONICS	1,575,000.00	1,575,000.00	1,139,192.23	770,330.49	770,330.49	.00	368,861.74-
INVESTMENT ACTIVITY							
CAPITAL CHANGE	.00	.00	.00	264,410.27	.00	.00	.00
ADJUSTMENT	.00	.00	.00	264,410.27	.00	.00	.00
TOTALS FOR CATEGORY	2,161,680.77	2,161,680.77	1,403,602.50	1,403,602.50	770,330.49	.00	368,861.74-
HOTEL - RESTAURANT							

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 174741
 FROM 10/01/2001 TO 09/30/2002
 SECURITY TRANSACTION REPORT
 YEARLY
 GLOBAL ASSETS - EB
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 10/18/02 AP PAGE 3
 CNRY - WESTPORT ASSET MGMT.

=====A C Q U I R E D=====
 UNIT COST
 NUMBER OF SHRS OR \$ PAR
 BOOK VALUE
 PROCEEDS
 UNIT GAIN OR LOSS-
 =====D I S P O S E D=====

INSURANCE
 BROWN & BROWN INC
 CAPITAL CHANGE 41,250.00
 .00 .00 .00 .00 .00 .00

COM HILB, ROGAL & HAMILTON
 INVESTMENT ACTIVITY 49,900.00
 1,412,425.54 28.31 .00 .00 .00 .00

COM STK NPV
 INVESTMENT ACTIVITY 32,000.00
 1,212,148.00 37.88 .00 .00 .00 .00

 TOTAL INSURANCE INVESTMENT ACTIVITY ***
 2,624,573.54 *****
 .00 .00 .00 .00 .00 .00

 INVESTMENT COMPANIES
 ISHARES TR
 RUSSELL2000INX
 INVESTMENT ACTIVITY .00
 .00 .00 .00 .00 .00 .00

STERLING FINANCIAL CORP(WASH)
 COM STK USD1
 INVESTMENT ACTIVITY .00
 .00 .00 .00 .00 .00 .00

INVESTMENT ACTIVITY 4,966.50
 CAPITAL CHANGE 4,966.50
 **SECURITY TOTAL* 4,966.50
 .00 .00 .00 .00 .00 .00

**TOTAL INVESTMENT COMPANIES
 INVESTMENT ACTIVITY *****
 CAPITAL CHANGE *****
 TOTALS FOR CATEGORY ***

.00 .00 .00 .00 .00 .00

 .00 .00 .00 .00 .00 .00

 1,435,686.58 1,435,686.58
 1,230,844.33 1,230,844.33
 .00 .00 .00 .00 .00 .00

 204,842.25- 204,842.25-
 .00 .00 .00 .00 .00 .00

 204,842.25- 204,842.25-

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 FROM 10/01/2001 TO 09/30/2002
 SECURITY TRANSACTION REPORT
 YEARLY
 GLOBAL ASSETS - EB
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 10/18/02 AP PAGE 5
 ACCT 174741
 CNRY - WESTPORT ASSET MGMT.

=====ACQUIRE=====	=====DISPOSE=====	=====	=====	=====	=====	=====	=====	=====	=====	
NUMBER OF	NUMBER OF	BOOK	SHRS OR \$	COST	UNIT	SHRS OR \$	COST	UNIT	PROCEEDS	
OR \$ PAR	OR \$ PAR	VALUE	PAR			PAR			UNIT	
									PRCG	
									GAIN OR	
									LOSS-	
-----GENERAL COMMUNICATIONS INC-----										
124,500.00				782,796.95	6.29				.00	
INVESTMENT ACTIVITY										
UTILITY - ELECTRIC										
-----DEVON ENERGY CORPORATION NEW COM-----										
.00				.00		10,000.00			49.61	184,542.39
INVESTMENT ACTIVITY										
**TOTAL COMMON STOCK										
6,057,260.49				586,680.77		6,227,883.03			7,572,757.89	1,344,874.86
INVESTMENT ACTIVITY										
CAPITAL CHANGE										
ADJUSTMENT										
**TOTALS FOR CATEGORY										
6,057,260.49				586,680.77		6,227,883.03			7,572,757.89	1,344,874.86
INVESTMENT ACTIVITY										
CAPITAL CHANGE										
ADJUSTMENT										
**TOTALS FOR CATEGORY										
6,057,260.49				586,680.77		6,227,883.03			7,572,757.89	1,344,874.86
INVESTMENT ACTIVITY										
CAPITAL CHANGE										
ADJUSTMENT										
**TOTALS FOR CATEGORY										
6,057,260.49				586,680.77		6,227,883.03			7,572,757.89	1,344,874.86
INVESTMENT ACTIVITY										
CAPITAL CHANGE										
ADJUSTMENT										
**TOTALS FOR CATEGORY										
6,057,260.49				586,680.77		6,227,883.03			7,572,757.89	1,344,874.86
INVESTMENT ACTIVITY										
CAPITAL CHANGE										
ADJUSTMENT										
**TOTALS FOR CATEGORY										
18,368,708.87				586,680.77		19,897,373.26			21,242,248.12	1,344,874.86
INVESTMENT ACTIVITY										
CAPITAL CHANGE										
ADJUSTMENT										
ACCOUNT GRAND TOTAL										
18,955,389.64				586,680.77		20,484,054.03			21,564,518.62	1,344,874.86
INVESTMENT ACTIVITY										
CAPITAL CHANGE										
ADJUSTMENT										
ACCOUNT GRAND TOTAL										

ACCOUNT PERIOD TOTALS

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 175662
 SUMMARY OF ASSETS AND LIABILITIES
 AS OF SEPTEMBER 30, 2002
 CARNEGIE CORP. OF NEW YORK
 IRIDIAN ASSET MANAGEMENT
 T15A AP
 PREPARED 10/18/02
 PAGE 2

-----B O O K----- VALUE % -----M A R K E T----- VALUE %

=====			
A S S E T S			
=====			
SECURITIES			
NO SECURITIES HELD IN THIS ACCOUNT			
CASH	.00	.00	.00
RECEIVABLES	.00	.00	.00
**TOTAL ASSETS	.00	.00	.00
=====			
L I A B I L I T I E S			
=====			
PAYABLES	.00	.00	.00
*TOTAL LIABILITIES	.00	.00	.00
NET ASSETS	.00	.00	100.00

SCHEDULE A

Schedule G
 Fed ID # 13-1628151

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 175662
 SECURITY TRANSACTION REPORT
 GLOBAL ASSETS - EB
 YEARLY
 FROM 10/01/2001 TO 09/30/2002
 CARNEGIE CORP. OF NEW YORK
 IRIDIAN ASSET MANAGEMENT
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 10/18/02 AP PAGE 1

=====A C Q U I R E D=====									
FIXED INCOME	TEMPORARY INVESTMENTS	INSTITUTIONAL LIQUID ASSETS FUND	DB INSTITUTIONAL LIQUID ASSETS FUND	EQUITIES	COMMON STOCK	DOMESTIC COMMON STOCK	AEROSPACE	GENERAL DYNAMICS CORP COM USD1	INVESTMENT ACTIVITY
ROCKWELL COLLINS INC	COM STK USD0.01	INVESTMENT ACTIVITY	INVESTMENT ACTIVITY	**TOTAL AEROSPACE	INVESTMENT ACTIVITY	INVESTMENT ACTIVITY	INVESTMENT ACTIVITY	BANKS	MELLON FINL CORP
COM	BEVERAGE								
NUMBER OF	SHRS OR \$ PAR	COST	UNIT	NUMBER OF	BOOK	VALUE	PROCEEDS	UNIT	GAIN OR
LOSS-									
3,966,347.98	3,966,347.98	1.00	7,071,528.73	7,071,528.73	7,071,528.73	7,071,528.73	1.00	.00	
1,800.00	147,799.45	82.11	17,800.00	1,046,004.04	1,514,899.39	85.11	468,895.35		
.00	.00	.00	4,400.00	86,753.89	67,339.53	15.30	19,414.36-		
*****	147,799.45	*****	*****	1,132,757.93	1,582,238.92	*****	449,480.99		
800.00	25,264.64	31.58	29,800.00	1,032,350.33	1,123,895.33	37.71	91,545.00		

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 175662
 SECURITY TRANSACTION REPORT
 GLOBAL ASSETS - EB
 YEARLY
 FROM 10/01/2001 TO 09/30/2002
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 AP 10/18/02
 PAGE 2
 CQNY-IRIDIAN

=====A C Q U I R E D=====		=====D I S P O S E D=====							
NUMBER OF	UNIT	NUMBER OF	UNIT	BOOK	PROCEEDS	UNIT	GAIN OR		
SHRS OR \$ PAR	COST	SHRS OR \$ PAR	COST	VALUE		PROC	LOSS-		
COORS ADOLPH CO CL B									
INVESTMENT ACTIVITY	6,300.00	329,869.87	52.36	6,300.00	329,869.87	335,907.44	53.32	6,037.57	
BUILDING - FOREST PRODUCTS									
POTLATCH CORP									
INVESTMENT ACTIVITY	2,900.00	75,304.52	25.97	17,400.00	524,575.42	503,279.40	28.92	21,296.02-	
CHEMICAL									
CABOT COM USD1									
INVESTMENT ACTIVITY	.00	.00	.00	11,800.00	220,879.48	396,329.63	33.59	175,450.15	
CELGENE CORP									
INVESTMENT ACTIVITY	.00	.00	.00	20,600.00	571,927.62	579,717.36	28.14	7,789.74	
EASTMAN CHEMICAL CO									
INVESTMENT ACTIVITY	9,300.00	361,152.64	38.83	9,300.00	361,152.64	368,674.36	39.64	7,521.72	
MONSANTO CO NEW									
INVESTMENT ACTIVITY	19,000.00	622,507.33	32.76	19,000.00	622,507.33	630,277.54	33.17	7,770.21	
COMMON STOCK									
**TOTAL CHEMICAL									
INVESTMENT ACTIVITY	983,659.97	*****	*****	1,776,467.07	*****	1,974,998.89	*****	198,531.82	
CONTAINER									

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 175662
 SECURITY TRANSACTION REPORT
 GLOBAL ASSETS - EB
 FROM 10/01/2001 TO 09/30/2002
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 10/18/02 AP PAGE 3
 CQNY-IRIDIAN

=====A C Q U I R E D=====

UNIT COST NUMBER OF SHRS OR \$ PAR BOOK VALUE PROCEEDS UNIT GAIN OR LOSS-

BALL CORP COMMON STOCK

INVESTMENT ACTIVITY .00 .00 11,600.00 539,214.29 874,160.20 75.36 334,945.91

DRUG

GILEAD SCIENCES INC

COM STK USDO.001

INVESTMENT ACTIVITY 2,000.00 132,945.39 66.47 2,000.00 132,945.39 66.99 1,038.60

ICN PHARMACEUTICAL INC

NEW COM

INVESTMENT ACTIVITY 2,300.00 62,049.11 26.98 28,600.00 879,298.48 893,458.62 31.24 14,160.14

**TOTAL DRUG

INVESTMENT ACTIVITY

***** 194,994.50 ***** 1,012,243.87 1,027,442.61 ***** 15,198.74

ELECTRONICS

AMERICAN STANDARD COMPANIES INC
 COM STK USDO.01

INVESTMENT ACTIVITY .00 .00 20,700.00 919,080.73 1,355,261.13 65.47 436,180.40

HARRIS CORP DEL COM

INVESTMENT ACTIVITY .00 .00 22,400.00 620,104.46 753,475.33 33.64 133,370.87

MOTOROLA INC M
 COM USDO.00

INVESTMENT ACTIVITY 18,600.00 253,860.24 13.65 18,600.00 253,860.24 13.39 4,754.18-

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 175662
 SECURITY TRANSACTION REPORT
 GLOBAL ASSETS - EB
 FROM 10/01/2001 TO 09/30/2002
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 AP PAGE 4
 10/18/02

=====A C Q U I R E D=====

NUMBER OF UNIT COST SHRS OR \$ PAR COST

NCR CORP NEW COM INVESTMENT ACTIVITY

42,914.77- .00 .00 5,200.00 193,302.29 150,387.52 28.92

RADIO SHACK INVESTMENT ACTIVITY

44,118.90 14,700.00 415,146.30 28.24 14,700.00 415,146.30

TEKTRONIX INC COM INVESTMENT ACTIVITY

4,964.67 900.00 15,363.66 17.07 23,700.00 572,600.62

TOTAL ELECTRONICS INVESTMENT ACTIVITY ***

570,965.89 684,370.20 ***** 2,974,094.64

FINANCE

DUN & BRADSTREET CORP DEL NEW COMMON STOCK

509,676.12 INVESTMENT ACTIVITY

34.28 36,550.00 743,076.00 1,252,752.12

METRIS COS INC INVESTMENT ACTIVITY

509,676.12 31,900.00 861,019.59 525,092.37

COM USA EDUCATION INC INVESTMENT ACTIVITY

335,927.22- 3,100.00 254,486.80 82.09 13,400.00 957,412.15

COM (REREF FROM 2857118) INVESTMENT ACTIVITY

245,874.73 3,100.00 254,486.80 82.09 13,400.00 957,412.15

TOTAL FINANCE INVESTMENT ACTIVITY ***

419,623.63 2,981,131.37 ***** 2,561,507.74

FOOD

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 175662
 SECURITY TRANSACTION REPORT
 GLOBAL ASSETS - EB
 FROM 10/01/2001 TO 09/30/2002
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 10/18/02 AP PAGE 5
 CQNY-IRIDIAN

-----ACQURE D-----		UNIT COST	SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT	-----D I S P O S E D-----	
		UNIT COST	SHRS OR \$ PAR	BOOK VALUE	PROCEEDS	UNIT	GAIN OR LOSS-	
TRICON GLOBAL RESTAURANTS INC	COM							
INVESTMENT ACTIVITY		15,262.68	38.16	821,686.86	1,408,679.32	53.77	586,992.46	

HOTEL - RESTAURANT								
DARDEN RESTAURANTS INC	COM							
INVESTMENT ACTIVITY		28,218.60	25.65	1,013,475.49	1,449,445.37	38.14	435,969.88	

PARK PL ENMT CORP	COM							
INVESTMENT ACTIVITY		.00	.00	71,500.00	585,885.27	8.19	195,048.56-	

**TOTAL HOTEL - RESTAURANT								
INVESTMENT ACTIVITY		28,218.60	*****	*****	1,794,409.32	*****	240,921.32	

HEALTH AND PERSONAL CARE								
VIASYS HEALTHCARE INC	COM USDO.01							
INVESTMENT ACTIVITY		166,435.50	18.09	319,324.73	430,941.30	22.30	111,816.44	
CAPITAL CHANGE		152,689.36	15.08	.00	.00	.00	.00	
SECURITY TOTAL*		319,124.86	***	19,324.73	319,124.86	*****	111,816.44	

INSURANCE								
AON CORP COM								
INVESTMENT ACTIVITY		311,386.35	36.21	43,700.00	1,471,029.26	34.34	29,482.27	

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 175662
 FROM 10/01/2001 TO 09/30/2002
 SECURITY TRANSACTION REPORT
 YEARLY
 GLOBAL ASSETS - EB
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 10/18/02 AP PAGE 6
 CCNY-IRIDIAN

=====A C Q U I R E D=====		=====D I S P O S E D=====		GAIN OR LOSS-	
NUMBER OF UNIT	COST	NUMBER OF BOOK	VALUE	UNIT	PROCEEDS
SHRS OR \$ PAR	COST	SHRS OR \$ PAR	VALUE	PROCEEDS	LOSS-
CIGNA CORP COM	700.00	17,100.00	1,722,196.66	1,528,383.17	89.38
INVESTMENT ACTIVITY	57,342.15	17,100.00	1,722,196.66	1,528,383.17	193,813.49-
CHUBB CORP COM	900.00	12,700.00	916,069.58	844,224.61	66.47
INVESTMENT ACTIVITY	59,850.00	12,700.00	916,069.58	844,224.61	71,844.97-
FRANKLIN RESOURCES INC COM	3,400.00	23,500.00	984,299.30	857,853.82	36.50
INVESTMENT ACTIVITY	110,276.48	23,500.00	984,299.30	857,853.82	126,445.48-
HARTFORD FINANCIAL SERVICES GRP INC COMMON STOCK USD0.01 FORMERLY IIT HARTFORD GROUP INC)	500.00	22,400.00	1,363,837.97	1,403,426.86	62.65
INVESTMENT ACTIVITY	28,892.75	22,400.00	1,363,837.97	1,403,426.86	39,588.89
LINCOLN NATIONAL CORP COM USD1.25	8,300.00	30,700.00	1,468,873.08	1,525,978.14	49.71
INVESTMENT ACTIVITY	354,306.49	30,700.00	1,468,873.08	1,525,978.14	57,105.06
PRINCIPAL FINANCIAL GROUP COM STK USD0.01	23,500.00	23,500.00	523,070.15	599,076.51	25.49
INVESTMENT ACTIVITY	523,070.15	23,500.00	523,070.15	599,076.51	76,006.36
ST PAUL COS INC COM	15,700.00	15,700.00	718,893.50	685,800.93	43.68
INVESTMENT ACTIVITY	718,893.50	15,700.00	718,893.50	685,800.93	33,092.57-
TOTAL INSURANCE INVESTMENT ACTIVITY	2,164,017.87	***	*****	8,945,255.57	*****
LEISURE AND RECREATION	223,013.93-	9,168,269.50	9,168,269.50	8,945,255.57	223,013.93-

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 ACCOUNT 175662
 FROM 10/01/2001 TO 09/30/2002
 SECURITY TRANSACTION REPORT
 YEARLY
 GLOBAL ASSETS - EB
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 10/18/02 AP PAGE 7
 CCNY-IRIDIAN

=====A C Q U I R E D=====

NUMBER OF UNIT COST SHRS OR \$ PAR COST
 NUMBER OF BOOK VALUE SHRS OR \$ PAR COST
 UNIT PROC PROCEEDS UNIT GAIN OR LOSS-

HARRAH'S ENTERTAINMENT INC (FORMERLY PROMUS COS INC COM)

INVESTMENT ACTIVITY 19,700.00 540,023.62 27.41 19,700.00 540,023.62 703,001.56 35.69 162,977.94

INTL GAME TECH COM USD0.01

INVESTMENT ACTIVITY 400.00 17,040.76 42.60 15,600.00 818,527.14 1,031,890.44 66.15 213,363.30

MATTEL COM USD1

INVESTMENT ACTIVITY 2,500.00 43,218.13 17.29 34,000.00 404,210.51 633,142.31 18.62 228,931.80

TOTAL LEISURE AND RECREATION INVESTMENT ACTIVITY ***

600,282.51 ***** 1,762,761.27 2,368,034.31 ***** 605,273.04

MACHINERY - TOOLS

KADANT INC

INVESTMENT ACTIVITY .00 .00 .00 4,002.00 54,479.16 54,259.08 13.56 220.08-

MACHINERY - OTHER

MILLIPORE CORP COM

INVESTMENT ACTIVITY .00 .00 .00 15,600.00 756,131.38 845,437.66 54.19 89,306.28

THERMO ELECTRON CORP COM

INVESTMENT ACTIVITY 3,900.00 70,080.21 17.97 69,300.00 1,294,603.19 1,508,268.07 21.76 213,664.88

ADJUSTMENT

SECURITY TOTAL* 3,900.00 70,080.21 *** 69,300.00 1,447,292.55 1,508,268.07 ***** 213,664.88

TOTAL MACHINERY - OTHER ADJUSTMENT ***

70,080.21 ***** 2,050,734.57 2,353,705.73 ***** 302,971.16

TOTALS FOR CATEGORY ***

70,080.21 ***** 2,050,734.57 2,353,705.73 ***** 302,971.16

ADJUSTMENT *****

70,080.21 ***** 2,050,734.57 2,353,705.73 ***** 302,971.16

ADJUSTMENT *****

70,080.21 ***** 2,050,734.57 2,353,705.73 ***** 302,971.16

ADJUSTMENT *****

70,080.21 ***** 2,050,734.57 2,353,705.73 ***** 302,971.16

ADJUSTMENT *****

70,080.21 ***** 2,050,734.57 2,353,705.73 ***** 302,971.16

ADJUSTMENT *****

70,080.21 ***** 2,050,734.57 2,353,705.73 ***** 302,971.16

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
AP 10/18/02
PAGE 9

CGNY-IRIDIAN

=====A C Q U I R E D=====		=====D I S P O S E D=====					
NUMBER OF UNIT	COST	NUMBER OF BOOK	VALUE	PROCEEDS	UNIT	GAIN OR LOSS	
8,100.00	476,589.18	914,305.41	1,461,250.21	62.45	546,944.80		TENET HEALTHCARE CORP COM
							INVESTMENT ACTIVITY
TOTAL MEDICAL SUPPLY AND SERVICE INVESTMENT ACTIVITY	1,527,724.19	***	5,940,769.81	6,847,282.00	*****	906,512.19	
							OFFICE EQUIPMENT

							CADENCE DESIGN SYSTEMS INC COM STK USD0.01
4,400.00	74,614.22	37,900.00	717,063.97	23.58	176,557.58		INVESTMENT ACTIVITY
							COMPUTER SCIENCES COM \$US1
							INVESTMENT ACTIVITY
14,700.00	688,456.27	14,700.00	688,456.27	42.10	69,551.46-		IMAGISTICS INTERNATIONAL INC COM
							INVESTMENT ACTIVITY
1,408.00	16,841.61	1,408.00	16,841.61	11.98	32.39		INVESTMENT ACTIVITY
							CAPITAL CHANGE
1,408.00	16,841.61	1,408.00	16,841.61	*****	32.39		INVESTMENT ACTIVITY TOTAL*
							PITNEY BOWES INC COM
1,100.00	39,781.06	17,600.00	731,674.28	40.12	25,530.61-		INVESTMENT ACTIVITY
							ADJUSTMENT
1,100.00	39,781.06	17,600.00	748,515.89	706,143.67	*****	25,530.61-	**SECURITY TOTAL*

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
 NEW YORK
 FROM 10/01/2001 TO 09/30/2002
 SECURITY TRANSACTION REPORT
 GLOBAL ASSETS - EB
 YEARLY
 PREPARED ON ACCRUAL BASIS (TRADE-DATED)
 AP 10/18/02
 PAGE 10
 ACCT 175662

DCNY-IRIDIAN

=====ACQURIED=====
 NUMBER OF SHRS OR \$ PAR COST UNIT COST
 STORAGE TECHNOLOGY COM USD .10
 INVESTMENT ACTIVITY .00 .00 .00
 SYNOPSIS INC
 COM STK USD0.01
 INVESTMENT ACTIVITY 2,800.00 124,741.56 44.55 2,800.00
 124,741.56
 **TOTAL OFFICE EQUIPMENT INVESTMENT ACTIVITY
 927,593.11

 CAPITAL CHANGE 16,841.61

 ADJUSTMENT .00

 **TOTALS FOR CATEGORY 944,434.72

 OIL - DOMESTIC
 PHILIPS PETROLEUM COM \$US1.25
 INVESTMENT ACTIVITY 800.00 44,554.64 55.69 11,400.00
 641,275.63
 WILLIAMS COS COM
 INVESTMENT ACTIVITY 900.00 23,472.18 26.08 31,500.00
 950,349.45
 **TOTAL OIL - DOMESTIC INVESTMENT ACTIVITY
 68,026.82

 PAPER
 BOWATER INC COM
 INVESTMENT ACTIVITY .00 .00 .00
 13,600.00
 688,910.00
 PUBLISHING

-----DISPOSED-----
 PROCEEDS UNIT GAIN OR LOSS
 INVESTMENT ACTIVITY 22.96 69,418.08
 SYNOPSIS INC
 COM STK USD0.01
 INVESTMENT ACTIVITY .00 .00 .00
 46,700.00
 1,141,652.77
 INVESTMENT ACTIVITY 2,800.00 124,741.56
 143,995.86
 51.43
 19,254.30
 **TOTAL OFFICE EQUIPMENT INVESTMENT ACTIVITY
 927,593.11

 CAPITAL CHANGE 16,841.61

 ADJUSTMENT .00

 **TOTALS FOR CATEGORY 944,434.72

 OIL - DOMESTIC
 PHILIPS PETROLEUM COM \$US1.25
 INVESTMENT ACTIVITY 800.00 44,554.64 55.69 11,400.00
 641,275.63
 WILLIAMS COS COM
 INVESTMENT ACTIVITY 900.00 23,472.18 26.08 31,500.00
 950,349.45
 **TOTAL OIL - DOMESTIC INVESTMENT ACTIVITY
 68,026.82

 PAPER
 BOWATER INC COM
 INVESTMENT ACTIVITY .00 .00 .00
 13,600.00
 688,910.00
 PUBLISHING

-----DISPOSED-----
 PROCEEDS UNIT GAIN OR LOSS
 INVESTMENT ACTIVITY 47.35 44,990.78
 BOWATER INC COM
 INVESTMENT ACTIVITY .00 .00 .00
 13,600.00
 688,910.00
 PUBLISHING

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB

ACCOUNT 175662

CQNY-IRIDIAN

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 11

=====A C Q U I R E D=====		=====D I S P O S E D=====		=====	
NUMBER OF SHRS OR \$ PAR	COST	UNIT COST	NUMBER OF SHRS OR \$ PAR	BOOK VALUE	PROCEEDS
9,700.00			51,500.00	739,395.75	840,943.49
INVESTMENT ACTIVITY					
CENDANT CORPORATION COMMON STOCK (REFER TO 2214638)					

9,700.00			51,500.00	739,395.75	840,943.49
INVESTMENT ACTIVITY					

350,540.94			2,135,059.70	2,323,168.75	2,323,168.75
**TOTAL RETAILING INVESTMENT ACTIVITY					
SERVICE					

9,700.00			51,500.00	739,395.75	840,943.49
INVESTMENT ACTIVITY					
16,700.00			79,200.00	559,902.28	236,523.86
INVESTMENT ACTIVITY					
RITE AID CORP COM					
16,700.00			79,200.00	559,902.28	236,523.86
INVESTMENT ACTIVITY					
TJX COS INC NEW COM					
10,800.00			10,800.00	257,242.18	218,207.64
INVESTMENT ACTIVITY					
FORTUNE BRANDS INC COM					
10,800.00			10,800.00	257,242.18	218,207.64
INVESTMENT ACTIVITY					
FLEWING COS INC COM					

10,800.00			10,800.00	257,242.18	218,207.64
INVESTMENT ACTIVITY					
RETAILING					
484,142.86			37,300.00	1,177,636.35	693,493.49
INVESTMENT ACTIVITY					
READERS DIGEST ASSN INC CLASS"A" NV COMMON					
484,142.86			37,300.00	1,177,636.35	693,493.49
INVESTMENT ACTIVITY					
391,726.61			24,700.00	589,372.38	981,098.99
INVESTMENT ACTIVITY					
391,726.61			24,700.00	589,372.38	981,098.99
INVESTMENT ACTIVITY					
188,109.05					
INVESTMENT ACTIVITY					
188,109.05					
INVESTMENT ACTIVITY					

GAIN OR
LOSS-

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK
ACCOUNT 175662

SECURITY TRANSACTION REPORT
GLOBAL ASSETS - EB
FROM 10/01/2001 TO 09/30/2002

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 13

CCNY-IRIDIAN

=====ACQUIRE D=====		=====DISPOSE D=====		=====		=====		=====	
NUMBER OF	SHRS OR \$ PAR	COST	UNIT	NUMBER OF	BOOK	VALUE	PROCEEDS	UNIT	GAIN OR
YEARLY				SHRS OR \$ PAR				PROC	LOSS-
4,800.00	129,879.89	27.06	37,100.00	820,252.98	1,075,949.60	29.00	255,696.62		
TOTAL SERVICE INVESTMENT ACTIVITY ***									
554,208.94	*****	*****	5,353,174.21	6,598,174.69	*****	1,245,000.48	TRANSPORTATION - OTHER		

1,300.00	27,681.64	21.29	1,300.00	27,681.64	31,749.42	24.42	4,067.78	RYDER SYS INC COM	

18,800.00	472,932.06	25.16	18,800.00	472,932.06	509,904.74	27.12	36,972.68	CONSTELLATION ENERGY GROUP NPV FRMLY(BALTIMORE GAS & ELECTRIC COM)	

12,500.00	691,883.16	55.35	12,500.00	691,883.16	597,320.92	47.79	94,562.24-	ANADARKO PETE CORP COM	

12,500.00	691,883.16	55.35	12,500.00	691,883.16	597,320.92	47.79	94,562.24-	UTILITY - TELEPHONE	

.00	.00	.00	81,300.00	1,047,779.74	131,729.62	1.62	916,050.12-	LORAL SPACE & COMMUNICATIONS CORP COM STK USDO.01	

.00	.00	.00	81,300.00	1,047,779.74	131,729.62	1.62	916,050.12-	INVESTMENT ACTIVITY	

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB
PREPARED ON ACCRUAL BASIS (TRADE-DATED)

ACCOUNT 175662

CQNY-IRIDIAN

10/18/02 AP PAGE 14

=====ACQUR D=====
 NUMBER OF UNIT COST
 SHRS OR \$ PAR COST
 =====D I S P O S E D=====
 BOOK VALUE
 NUMBER OF SHRS OR \$ PAR
 UNIT COST
 GAIN OR LOSS-
 PROC PROCEEDS
 UNIT PROC

INVESTMENT ACTIVITY	11,200.00	664,449.96	59.33	11,200.00	664,449.96	55.27	45,408.26-
TOTAL UTILITY - TELEPHONE INVESTMENT ACTIVITY	***	664,449.96	*****	1,712,229.70	750,771.32	*****	961,458.38-

TOTAL COMMON STOCK INVESTMENT ACTIVITY	***	11,025,088.14	*****	51,066,878.87	55,367,014.78	*****	4,300,135.91
CAPITAL CHANGE	*****	169,530.97	*****	169,530.97	.00	*****	.00
ADJUSTMENT	*****	.00	*****	169,530.97	.00	*****	.00
TOTALS FOR CATEGORY	***	11,194,619.11	*****	51,236,409.84	55,367,014.78	*****	4,300,135.91

TOTAL EQUITIES INVESTMENT ACTIVITY	***	11,025,088.14	*****	51,066,878.87	55,367,014.78	*****	4,300,135.91
CAPITAL CHANGE	*****	169,530.97	*****	169,530.97	.00	*****	.00
ADJUSTMENT	*****	.00	*****	169,530.97	.00	*****	.00
TOTALS FOR CATEGORY	***	11,194,619.11	*****	51,236,409.84	55,367,014.78	*****	4,300,135.91

ACCOUNT PERIOD TOTALS

TOTAL INVESTMENT ACTIVITY	***	14,991,436.12	*****	58,138,407.60	62,438,543.51	*****	4,300,135.91
CAPITAL CHANGE	*****	169,530.97	*****	169,530.97	.00	*****	.00
ADJUSTMENT	*****	.00	*****	169,530.97	.00	*****	.00
ACCOUNT GRAND TOTAL	*****	15,160,967.09	*****	58,307,938.57	62,438,543.51	*****	4,300,135.91

Amended Return

GLOBAL ASSETS - EB

SUMMARY OF ASSETS AND LIABILITIES

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

AS OF SEPTEMBER 30, 2002

ACCOUNT 175656

CARNEGIE CORP. OF NEW YORK
SOUTHEASTERN ASSET MANAGEMENT

T15A AP
PREPARED 10/18/02

PAGE 2

-----M A R K E T-----
VALUE %

-----B O O K-----
VALUE %

===== A S S E T S =====

SECURITIES	38,807.07	.06	38,807.07	100.10
FIXED INCOME	57,368.22	99.90	57,368.22	100.10
EQUITIES	57,407.15	99.96	57,407.15	100.17
CASH	.00	.00	.00	.00
RECEIVABLES	1,229,326.76	1.91	1,229,326.76	2.15
**TOTAL ASSETS	65,532,757.67	101.87	58,636,482.05	102.31

===== L I A B I L I T I E S =====

OPTIONS OUTSTANDING	1,201,843.50	1.87	1,325,467.00	2.31
PAYABLES	.00	.00	.00	.00
*TOTAL LIABILITIES	1,201,843.50	1.87	1,325,467.00	2.31
NET ASSETS	64,330,914.17	100.00	57,311,015.05	100.00

SCHEDULE A

Schedule C
Fed ID # 13-1628151

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

SECURITY TRANSACTION REPORT
YEARLY

GLOBAL ASSETS - EB

ACCOUNT 175656

FROM 10/01/2001 TO 09/30/2002

PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 1

CARNEGIE CORP. OF NEW YORK
SOUTHEASTERN ASSET MANAGEMENT

=====A C Q U I R E D=====
 NUMBER OF UNIT COST SHRS OR \$ PAR COST
 -----D I S P O S E D-----
 GAIN OR LOSS- UNIT PROCEEDS

FIXED INCOME
 TEMPORARY INVESTMENTS
 INSTITUTIONAL LIQUID ASSETS FUND
 DB INSTITUTIONAL LIQUID ASSETS FUND
 INVESTMENT ACTIVITY 23,668,510.24 23,668,510.24 100.00 24,013,583.19 24,013,583.19 24,013,583.19 100.00
 EQUITIES
 COMMON STOCK
 DOMESTIC COMMON STOCK
 BEVERAGE
 PEPSI BOTTLING GROUP INC
 COM

INVESTMENT ACTIVITY .00 .00 .00 .00 .00 .00 .00
 BUILDING - FOREST PRODUCTS
 GEORGIA PAC CORP
 COM-TIMBER GROUP
 CAPITAL CHANGE .00 .00 .00 .00 .00 .00 .00
 ELECTRONICS
 GENERAL MTRS CORP
 CL H NEW

INVESTMENT ACTIVITY 104,000.00 1,195,572.40 11.50 .00 .00 .00 .00
 INVESTMENT ACTIVITY .00 .00 .00 .00 .00 .00 .00

INVESTMENT ACTIVITY .00 .00 .00 .00 .00 .00 .00

INVESTMENT ACTIVITY .00 .00 .00 .00 .00 .00 .00

INVESTMENT ACTIVITY .00 .00 .00 .00 .00 .00 .00

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK
ACCOUNT 175656

SECURITY TRANSACTION REPORT
YEARLY
FROM 10/01/2001 TO 09/30/2002

GLOBAL ASSETS - EB
PREPARED ON ACCRUAL BASIS (TRADE-DATED)
10/18/02 AP PAGE 2

CNY-SOUTHEASTERN

=====A C Q U I R E D=====
 NUMBER OF UNIT COST SHRS OR \$ PAR
 =====D I S P O S E D=====
 UNIT PROCEEDS GAIN OR LOSS-

103,000.00	2,675,342.60	25.97	.00	.00	.00	.00	.00
INVESTMENT ACTIVITY							
**TOTAL ELECTRONICS							
INVESTMENT ACTIVITY	3,870,915.00	*****	.00	.00	.00	.00	.00
**TOTAL ELECTRONICS							
INVESTMENT ACTIVITY							
**TOTAL ELECTRONICS							

FOOD							
TRICON GLOBAL RESTAURANTS INC							
COM							
INVESTMENT ACTIVITY							
CAPITAL CHANGE							
INVESTMENT ACTIVITY							
HILTON HOTELS CORP							
COM USD2.50							
INVESTMENT ACTIVITY							
HOST MARRIOTT CORP NEW							
COM							
INVESTMENT ACTIVITY							
INVESTMENT ACTIVITY							
**TOTAL HOTEL - MOTEL							
INVESTMENT ACTIVITY							
**TOTAL HOTEL - MOTEL							
INVESTMENT ACTIVITY							
HOTEL - RESTAURANT							

779,868.33	1,009,960.00	28,000.00	1,009,960.00	1,789,828.33	63.92	779,868.33	.00
INVESTMENT ACTIVITY							
CAPITAL CHANGE							
INVESTMENT ACTIVITY							
**SECURITY TOTAL*							
HOTEL - MOTEL							
HILTON HOTELS CORP							
COM USD2.50							
INVESTMENT ACTIVITY							
HOST MARRIOTT CORP NEW							
COM							
INVESTMENT ACTIVITY							
INVESTMENT ACTIVITY							
**TOTAL HOTEL - MOTEL							
INVESTMENT ACTIVITY							
**TOTAL HOTEL - MOTEL							
INVESTMENT ACTIVITY							
HOTEL - RESTAURANT							

77,698.66-	2,204,531.20	157,000.00	2,204,531.20	2,126,832.54	13.55	77,698.66-	.00
INVESTMENT ACTIVITY							
CAPITAL CHANGE							
INVESTMENT ACTIVITY							
**TOTAL HOTEL - MOTEL							
INVESTMENT ACTIVITY							
**TOTAL HOTEL - MOTEL							
INVESTMENT ACTIVITY							
HOTEL - RESTAURANT							

166,517.54-	2,336,184.79	215,100.00	2,336,184.79	2,169,667.25	10.09	166,517.54-	.00
INVESTMENT ACTIVITY							
CAPITAL CHANGE							
INVESTMENT ACTIVITY							
**TOTAL HOTEL - MOTEL							
INVESTMENT ACTIVITY							
**TOTAL HOTEL - MOTEL							
INVESTMENT ACTIVITY							
HOTEL - RESTAURANT							

244,216.20-	4,540,715.99	4,296,499.79	4,540,715.99	4,296,499.79	*****	244,216.20-	.00
INVESTMENT ACTIVITY							
CAPITAL CHANGE							
INVESTMENT ACTIVITY							
**TOTAL HOTEL - MOTEL							
INVESTMENT ACTIVITY							
**TOTAL HOTEL - MOTEL							
INVESTMENT ACTIVITY							
HOTEL - RESTAURANT							

Amended Return

DEUTSCHE BANK TRUST COMPANY AMERICAS
NEW YORK

SECURITY TRANSACTION REPORT
YEARLY

GLOBAL ASSETS - EB

FROM 10/01/2001 TO 09/30/2002
PREPARED ON ACCRUAL BASIS (TRADE-DATED)
AP 10/18/02
PAGE 6

CNY-SOUTHEASTERN

=====A C Q U I R E D=====
 NUMBER OF SHRS OR \$ PAR COST UNIT COST
 NUMBER OF SHRS OR \$ PAR COST UNIT COST
 BOOK VALUE PROCEEDS UNIT GAIN OR LOSS-
 =====D I S P O S E D=====

FIAT S P A
SPONSORED ADR REPSTG ORD NEW

INVESTMENT ACTIVITY 93,000.00 1,045,102.44 11.24 .00 .00 .00 .00 .00

UTILITY - TELEPHONE

VIVENDI UNIVERSAL
SPON ADR NEW

INVESTMENT ACTIVITY 278,000.00 4,031,956.40 14.50 .00 .00 .00 .00 .00

**TOTAL COMMON STOCK

INVESTMENT ACTIVITY 21,228,671.19 21,228,671.19 *****
 FREE RECEIPTS/DELIVERIES *****
 CAPITAL CHANGE *****
 TOTALS FOR CATEGORY 28,528,228.12 28,528,228.12 ***

**TOTAL EQUITIES

INVESTMENT ACTIVITY 21,228,671.19 21,228,671.19 *****
 FREE RECEIPTS/DELIVERIES *****
 CAPITAL CHANGE *****
 TOTALS FOR CATEGORY 28,528,228.12 28,528,228.12 ***

LIABILITIES

OPTIONS OUTSTANDING
MISCELLANEOUS LIABILITIES

SELL NZD BUY USD

AT 1.00
SETTLE DATE 28DEC2001

INVESTMENT ACTIVITY .00 .00 .00 7,000,000.00- 2,852,850.93- 2,908,200.00- .42 55,350.00-

Amended Return

GLOBAL ASSETS - EB

SECURITY TRANSACTION REPORT YEARLY

FROM 10/01/2001 TO 09/30/2002

PREPARED ON ACCRUAL BASIS (TRADE-DATED)

PAGE 7

DEUTSCHE BANK TRUST COMPANY AMERICAS

NEW YORK

ACCOUNT 175656

CGNY-SOUTHEASTERN

=====ACQU I R E D=====		=====D I S P O S E D=====		=====GAIN OR LOSS=====	
NUMBER OF	SHRS OR \$ PAR	NUMBER OF	SHRS OR \$ PAR	UNIT	PROCEEDS
COST		BOOK	VALUE		
845,000.00-	1,201,843.50-	1.42	.00	.00	.00
INVESTMENT ACTIVITY					
**TOTAL OPTIONS OUTSTANDING	1,201,843.50-	**TOTAL	2,852,850.00-	2,908,200.00-	55,350.00-
INVESTMENT ACTIVITY		INVESTMENT ACTIVITY			
**TOTAL LIABILITIES	1,201,843.50-	**TOTAL	2,852,850.00-	2,908,200.00-	55,350.00-
INVESTMENT ACTIVITY		INVESTMENT ACTIVITY			
A C C O U N T P E R I O D T O T A L S					
**TOTAL	43,695,337.93	**TOTAL	36,592,645.19	41,162,195.75	4,569,550.56
INVESTMENT ACTIVITY		INVESTMENT ACTIVITY			
FREE RECEIPTS/DELIVERIES	.00	FREE RECEIPTS/DELIVERIES	.00	.00	.00
CAPITAL CHANGE	7,299,556.93	CAPITAL CHANGE	7,299,556.93	7,299,556.93	48,461,752.68
ACCOUNT GRAND TOTAL	50,994,894.86	ACCOUNT GRAND TOTAL	43,892,202.12	48,461,752.68	4,569,550.56

ASSETS AND LIABILITIES SUMMARY

IN US DOLLAR

Amended Return

	COST	% OF COST	MARKET VALUE	% OF MARKET
ASSETS				
CASH AND EQUIVALENTS	2,438,307.34	4.04%	2,438,307.34	5.11%
SECURITIES	57,743,598.33	95.66%	45,136,107.88	94.52%
INCOME RECEIVABLES	180,243.29	0.30%	180,522.78	0.38%
TOTAL ASSETS	60,362,148.96	100.00%	47,754,938.00	100.00%
LIABILITIES				
SECURITIES	0.00	0.00%	0.00	0.00%
TOTAL LIABILITIES	0.00	0.00%	0.00	0.00%
NET ASSETS	60,362,148.96	100.00%	47,754,938.00	100.00%

Ⓟ = 60,181,905.67

Ⓟ = 47,574,415.22

Sotheby's
 Fed ID # 13-1628151

CCNY-SOUTHEASTERN INTERNATIONAL 234462
 AS OF 30-SEP-02
 ASSETS AND LIABILITIES SUMMARY
 UPDATES THROUGH 30-SEP-02
 PREPARED DATE: 17-OCT-02

REALIZED GAIN-LOSS SUMMARY

IN US DOLLAR

Amended Return

DESCRIPTION	TOTAL GAIN/LOSS	GAIN/LOSS ON INVESTMENTS SECURITY COMPONENT	CURRENCY COMPONENT	PAYABLE/RECEIVABLE	CASH
BRITISH POUNDS					
PURCHASES	32,200.78			32,200.78	
SETTLEMENT CONTRACTS	(43,018.07)			(43,588.79)	570.72
DIVIDEND INCOME COLLECTED	(682.03)			(682.03)	
TOTAL BRITISH POUNDS	(11,499.32)	0.00	0.00	(12,070.04)	570.72
EURO					
EMU IN-COUNTRIES					
SETTLEMENT CONTRACTS	37,980.67			37,980.67	
CASH AND EQUIVALENTS INCOME COLLECTED	0.42			0.42	
TOTAL ECONOMIC AND MONETARY UNION (EMU)	37,981.09	0.00	0.00	37,981.09	0.00
FRANCE					
PURCHASES	(27,373.05)			(27,373.05)	
TOTAL FRANCE	(27,373.05)	0.00	0.00	(27,373.05)	0.00
ITALY					
PURCHASES	(19,053.51)			(15,746.80)	(3,306.71)
DIVIDEND INCOME COLLECTED	323.85			323.85	
TAX RECLAIMS COLLECTED	73.87			73.87	
TOTAL ITALY	(18,655.79)	0.00	0.00	(15,349.08)	(3,306.71)
TOTAL EMU IN-COUNTRIES	(8,047.75)	0.00	0.00	(4,741.04)	(3,306.71)

CNNY-SOUTHEASTERN INTERNATIONAL 234462
 REALIZED GAIN-LOSS SUMMARY
 FROM 01-OCT-01 TO 30-SEP-02
 UPDATES THROUGH 30-SEP-02
 PREPARED DATE:17-OCT-02

Deutsche Bank



REALIZED GAIN-LOSS SUMMARY

IN US DOLLAR

Amended Return

DESCRIPTION	TOTAL GAIN/LOSS	SECURITY COMPONENT	CURRENCY COMPONENT	PAYABLE/RECEIVABLE	CASH
	GAIN/LOSS ON INVESTMENTS	GAIN/LOSS ON INVESTMENTS	GAIN/LOSS ON INVESTMENTS	GAIN/LOSS ON CURRENCY CONVERSION	
EMU OUT-COUNTRIES					
UNITED STATES					
SETTLEMENT CONTRACTS	(1,026.61)			(1,034.44)	7.83
TOTAL UNITED STATES	(1,026.61)	0.00	0.00	(1,034.44)	7.83
TOTAL EMU OUT-COUNTRIES	(1,026.61)	0.00	0.00	(1,034.44)	7.83
TOTAL EURO	(9,074.36)	0.00	0.00	(5,775.48)	(3,298.88)
JAPANESE YEN					
PURCHASES	32,034.65			32,034.65	
SETTLEMENT CONTRACTS	(34,518.56)			(34,518.56)	
TOTAL JAPANESE YEN	(2,483.91)	0.00	0.00	(2,483.91)	0.00
ACCOUNT TOTALS					
PURCHASES	17,808.87			21,115.58	(3,306.71)
SETTLEMENT CONTRACTS	(40,582.57)			(41,161.12)	578.55
CASH AND EQUIVALENTS INCOME	0.42			0.42	
COLLECTED					
DIVIDEND INCOME COLLECTED	(358.18)			(358.18)	
TAX RECLAIMS COLLECTED	73.87			73.87	
ACCOUNT TOTALS	(23,057.59)	0.00	0.00	(20,329.43)	(2,728.16)

CCNY-SOUTHEASTERN INTERNATIONAL 234462
 FROM 01-OCT-01 TO 30-SEP-02
 REALIZED GAIN-LOSS SUMMARY
 UPDATES THROUGH 30-SEP-02
 PREPARED DATE:17-OCT-02

ACTIVITY REPORT SUMMARY

Amended Return

	COST	PROCEEDS	RECEIPTS AND DISBURSEMENTS	INCOME TRANSACTIONS	REALIZED GAIN/LOSS ON INVESTMENTS
BRITISH POUNDS					
PURCHASES	GBP 4,345,101.48	USD 6,547,391.83			
SETTLEMENT CONTRACTS	GBP 4,303,801.47	USD 6,494,188.02			
DIVIDEND INCOME COLLECTED	GBP 41,300.01	USD 64,021.10		41,300.01	64,021.10
TOTAL BRITISH POUNDS	GBP 8,648,902.95	USD 13,041,579.85		41,300.01	64,021.10
CANADIAN DOLLARS					
PURCHASES	CAD 12,760,794.96	USD 8,215,996.71			
TOTAL CANADIAN DOLLARS	CAD 12,760,794.96	USD 8,215,996.71			
EURO					
EMU IN-COUNTRIES					
ECONOMIC AND MONETARY UNION (EMU)					
SETTLEMENT CONTRACTS	EUR 7,084,108.67	USD 6,740,457.78			
CASH AND EQUIVALENTS INCOME	EUR 46.37	USD 43.85		46.37	43.85
COLLECTED	EUR 46.37	USD 43.85		46.37	43.85
TOTAL ECONOMIC AND MONETARY UNION (EMU)	EUR 7,084,108.67	USD 6,740,457.78		46.37	43.85

CCNY-SOUTHEASTERN INTERNATIONAL 234462
 FROM 01-OCT-01 TO 30-SEP-02
 ACTIVITY REPORT SUMMARY
 UPDATES THROUGH 30-SEP-02
 PREPARED DATE:17-OCT-02



ACTIVITY REPORT SUMMARY

Amended Return

	COST	PROCEEDS	RECEIPTS AND DISBURSEMENTS	INCOME TRANSACTIONS	REALIZED GAIN/LOSS ON INVESTMENTS
FRANCE					
PURCHASES	EUR 3,666,431.18				
TOTAL FRANCE	EUR 3,666,431.18				
	USD 3,594,826.64				
ITALY					
PURCHASES	EUR 3,417,677.49				
	USD 3,137,185.25				
DIVIDEND INCOME COLLECTED	EUR 54,312.00				
	USD 53,425.14				
TAX RECLAIMS COLLECTED	EUR 8,902.18				
	USD 8,777.54				
TOTAL ITALY	EUR 3,417,677.49				
	USD 3,137,185.25				
TOTAL EMU IN-COUNTRIES	EUR 14,168,217.34				
	USD 13,472,469.67				
EMU OUT-COUNTRIES					
UNITED STATES	EUR (63,260.55)				
	USD (61,219.92)				
SETTLEMENT CONTRACTS	EUR (63,260.55)				
	USD (61,219.92)				
TOTAL UNITED STATES	EUR (63,260.55)				
	USD (61,219.92)				
TOTAL EMU OUT-COUNTRIES	EUR (63,260.55)				
	USD (61,219.92)				
TOTAL EURO	EUR 14,104,956.79				
	USD 13,411,249.75				
	EUR 63,260.55				
	USD 62,246.53				

CCNY-SOUTHEASTERN INTERNATIONAL 234462
 FROM 01-OCT-01 TO 30-SEP-02
 ACTIVITY REPORT SUMMARY
 UPDATES THROUGH 30-SEP-02
 PREPARED DATE:17-OCT-02

ACTIVITY REPORT SUMMARY

Amended Return

COST PROCEEDS RECEIPTS AND DISBURSEMENTS INCOME TRANSACTIONS REALIZED GAIN/LOSS ON INVESTMENTS

JAPANESE YEN

	JPY	USD	JPY	USD
PURCHASES	2,266,694,899	18,228,491.92	2,266,694,899	18,230,975.83
SETTLEMENT CONTRACTS			2,266,694,899	
TOTAL JAPANESE YEN	4,533,389,798	36,458,467.75		

SWISS FRANCS

	CHF	USD	CHF	USD
FORWARD CONTRACTS				
TOTAL SWISS FRANCS				

US DOLLARS

	USD	USD	USD	USD
TRANSFERS FROM PARTICIPATING ACCOUNTS	60,000,000.00			
OPENINGS				
PURCHASES	79,328,357.04			
SALES	(58,870,343.72)	58,870,343.72		
SETTLEMENT CONTRACTS	(31,404,401.71)			
FORWARD CONTRACTS				
CASH AND EQUIVALENTS INCOME COLLECTED				773.12
DIVIDEND INCOME COLLECTED				77,370.14

CCNY-SOUTHEASTERN INTERNATIONAL 234462
 FROM 01-OCT-01 TO 30-SEP-02
 ACTIVITY REPORT SUMMARY
 UPDATES THROUGH 30-SEP-02
 PREPARED DATE:17-OCT-02



ACTIVITY REPORT SUMMARY

	COST	PROCEEDS	RECEIPTS AND DISBURSEMENTS	INCOME TRANSACTIONS	REALIZED GAIN/LOSS ON INVESTMENTS
INTEREST INCOME COLLECTED	USD			268.48	
TOTAL US DOLLARS	USD	(10,946,388.38)	58,870,343.72	60,000,000.00	78,411.74
<hr/>					
ACTIVITY TOTALS					
TRANSFERS FROM PARTICIPATING ACCOUNTS	USD		60,000,000.00		
OPENINGS	USD				
PURCHASES	USD	119,052,249.39			
SALES	USD	(58,870,343.72)	58,870,343.72		
SETTLEMENT CONTRACTS	USD				
FORWARD CONTRACTS	USD				
CASH AND EQUIVALENTS INCOME	USD			816.97	
COLLECTED	USD				
DIVIDEND INCOME COLLECTED	USD			194,816.38	
TAX RECLAIMS COLLECTED	USD			8,777.54	
INTEREST INCOME COLLECTED	USD			268.48	
NET ACTIVITY	USD	60,181,805.67	58,870,343.72	60,000,000.00	204,679.37

CCNY-SOUTHEASTERN INTERNATIONAL 234462
 FROM 01-OCT-01 TO 30-SEP-02
ACTIVITY REPORT SUMMARY
 UPDATES THROUGH 30-SEP-02
 PREPARED DATE: 17-OCT-02

Amended Return

GLOBAL ASSETS - EB

SUMMARY OF ASSETS AND LIABILITIES

DEUTSCHE BANK TRUST COMPANY AMERICAS

NEW YORK

AS OF SEPTEMBER 30, 2002

CARNEGIE CORP. OF NEW YORK

T. ROWE PRICE VENTURE DISTRIBUTIONS

ACCOUNT 177789

T15A AP PREPARED 10/18/02

PAGE

2

-----MARKET-----
 VALUE %

-----BOOK-----
 VALUE %

=====ASSETS=====

SECURITIES	7.46	.00	7.46	.00
FIXED INCOME				
EQUITIES				
*TOTAL SECURITIES	9,916,804.12	99.99	9,916,811.58	99.99
CASH				
RECEIVABLES	1,089.84	.01	1,089.84	.06
**TOTAL ASSETS	9,917,901.42	100.00	9,917,901.42	100.00
=====LIABILITIES=====				
PAYABLES				
*TOTAL LIABILITIES	.00	.00	.00	.00
NET ASSETS	9,917,901.42	100.00	9,917,901.42	100.00

SCHEDULE A

SCHEDULE C
 Fed ID # 13-1628151

=====ACQUIRE D=====
 NUMBER OF UNIT COST
 SHRS OR \$ PAR COST
 BOOK VALUE
 PROCEEDS
 UNIT
 GAIN OR
 LOSS-

FIXED INCOME	TEMPORARY INVESTMENTS	INSTITUTIONAL LIQUID ASSETS FUND	DB INSTITUTIONAL LIQUID ASSETS FUND	INVESTMENT ACTIVITY	EQUITIES	COMMON STOCK	DOMESTIC COMMON STOCK	ELECTRICAL EQUIPMENT	TRANSMETA CORP DEL	COM
821,886.01	821,886.01	1.00	821,878.55	821,878.55	821,878.55	821,878.55	1.00	1.00	821,878.55	.00

FREE RECEIPTS/DELIVERIES	ELECTRONICS	MOTOROLA INC	COM USD3.00	INVESTMENT ACTIVITY	FREE RECEIPTS/DELIVERIES	**SECURITY TOTAL*	MEDICAL SUPPLY AND SERVICE	SOLA INTL INC	COM
130,000.00	130,000.00	14.57	1,894,100.45	1,894,100.45	130,000.00	1,894,100.45	.00	.00	.00
54,492.00	54,492.00	17.24	27,246.00	27,246.00	469,721.04	469,721.04	.00	.00	.00
54,492.00	54,492.00	*****	27,246.00	27,246.00	469,721.04	469,721.04	*****	.00	.00

OFFICE EQUIPMENT	INVESTMENT ACTIVITY	COM
.00	.00	.00
70,000.00	638,483.06	1,272,738.94

GLOBAL ASSETS - EB
634,255.88

-----A C Q U I R E D-----
UNIT COST SHRS OR \$ PAR COST NUMBER OF SHRS OR \$ PAR BOOK VALUE PROCEEDS UNIT GAIN OR LOSS-----

CISCO SYSTEMS COMMON STOCK NPV
FREE RECEIPTS/DELIVERIES 47,588.00 664,328.48 13.96 23,794.00 332,164.24 .00 .00 .00

ALLIED RISER COMMUNICATIONS CORP SERVICE
CAPITAL CHANGE .00 .00 .00 4,518.00 3,704.76 .82 .00 .00 .00

FOUNDRY NETWORKS INC COMMON STOCK
FREE RECEIPTS/DELIVERIES 110,000.00 2,250,429.06 20.46 110,000.00 2,250,429.06 .00 .00 .00

INTEGRATED CIRCUIT SYSTEMS COMMON STOCK
INVESTMENT ACTIVITY 39,800.00 868,983.04 .00 8,292.00 160,718.62 23.67 35,544.92 .00

FREE RECEIPTS/DELIVERIES 39,800.00 868,983.04 21.83 17,614.00 374,825.73 .00 .00 .00

SECURITY TOTAL* 39,800.00 868,983.04 *** 25,906.00 535,544.35 196,263.54 ***** 35,544.92 .00

TOTAL SERVICE INVESTMENT ACTIVITY *** .00 ***** 160,718.62 196,263.54 ***** 35,544.92 .00

FREE RECEIPTS/DELIVERIES ***** 3,119,412.10 ***** 2,625,254.79 ***** 199,968.30 ***** 35,544.92 .00

CAPITAL CHANGE ***** .00 ***** 3,704.76 3,704.76 ***** 3,704.76 .00 .00 .00

**TOTALS FOR CATEGORY TV AND RADIO BROADCASTERS
SYMYX TECHNOLOGIES INC
FREE RECEIPTS/DELIVERIES 42,354.00 956,354.00 22.58 21,177.00 478,177.00 .00 .00 .00

=====A C Q U I R E D===== UNIT COST NUMBER OF SHRS OR \$ PAR COST NUMBER OF SHRS OR \$ PAR BOOK VALUE PROCEEDS UNIT GAIN OR LOSS-

FREE RECEIPTS/DELIVERIES 25,000.00 227,249.62 9.09 25,000.00 227,249.62 .00
 TOTAL TV AND RADIO BROADCASTERS 1,183,603.62 *** 705,426.62 .00 ***** .00
 UTILITY - TELEPHONE
 COGENT COMM GROUP INC

CAPITAL CHANGE 146.00 3,704.76 25.38 .00 .00 .00

**TOTAL COMMON STOCK
 INVESTMENT ACTIVITY 7,800,886.73 *****
 FREE RECEIPTS/DELIVERIES 3,704.76 *****
 CAPITAL CHANGE 7,804,591.49 *****
 TOTALS FOR CATEGORY 7,804,591.49 ***

**TOTAL EQUITIES
 INVESTMENT ACTIVITY .00 *****
 FREE RECEIPTS/DELIVERIES 7,800,886.73 *****
 CAPITAL CHANGE 3,704.76 *****
 TOTALS FOR CATEGORY 7,804,591.49 ***

ACCOUNT PERIOD TOTALS

**TOTAL
 INVESTMENT ACTIVITY 821,886.01 *****
 FREE RECEIPTS/DELIVERIES 7,800,886.73 *****
 CAPITAL CHANGE 3,704.76 *****
 ACCOUNT GRAND TOTAL 8,626,477.50 *****

 1,621,080.23 *****
 6,026,667.14 *****
 3,704.76 *****
 7,651,452.13 *****

 2,290,881.03 *****
 .00 *****
 3,704.76 *****
 2,294,585.79 *****
 669,800.80 *****

REALIZED GAIN-LOSS SUMMARY

Amended Return

IN US DOLLAR

DESCRIPTION	TOTAL GAIN/LOSS	SECURITY COMPONENT	CURRENCY COMPONENT	PAYABLE/RECEIVABLE	CASH
-------------	-----------------	--------------------	--------------------	--------------------	------

EURO					
EURO IN-COUNTRIES					
ECONOMIC AND MONETARY UNION (EMU)					
CASH AND EQUIVALENTS INCOME	0.03			0.03	
COLLECTED					
TOTAL ECONOMIC AND MONETARY UNION (EMU)	0.03	0.00	0.00	0.03	0.00

FRANCE					
TAX RECLAIMS COLLECTED	(606.63)			(606.63)	
TOTAL FRANCE	(606.63)	0.00	0.00	(606.63)	0.00
TOTAL EMU IN-COUNTRIES	(606.60)	0.00	0.00	(606.60)	0.00

UNITED STATES					
SETTLEMENT CONTRACTS	(0.02)			0.01	(0.03)
FORWARD CONTRACTS	(31.91)			47.28	(79.19)
TOTAL UNITED STATES	(31.93)	0.00	0.00	47.29	(79.22)
TOTAL EMU OUT-COUNTRIES	(31.93)	0.00	0.00	47.29	(79.22)

TOTAL EURO	(638.53)	0.00	0.00	(559.31)	(79.22)
ACCOUNT TOTALS	(638.53)	0.00	0.00	(559.31)	(79.22)

EURO IN-COUNTRIES					
SETTLEMENT CONTRACTS	(0.02)			0.01	(0.03)
FORWARD CONTRACTS	(31.91)			47.28	(79.19)
CASH AND EQUIVALENTS INCOME	0.03			0.03	
TAX RECLAIMS COLLECTED	(606.63)			(606.63)	
TOTAL EURO IN-COUNTRIES	(606.60)	0.00	0.00	(606.60)	0.00

EURO OUT-COUNTRIES					
SETTLEMENT CONTRACTS	(0.02)			0.01	(0.03)
FORWARD CONTRACTS	(31.91)			47.28	(79.19)
CASH AND EQUIVALENTS INCOME	0.03			0.03	
TAX RECLAIMS COLLECTED	(606.63)			(606.63)	
TOTAL EURO OUT-COUNTRIES	(606.60)	0.00	0.00	(606.60)	0.00

EURO					
SETTLEMENT CONTRACTS	(0.02)			0.01	(0.03)
FORWARD CONTRACTS	(31.91)			47.28	(79.19)
CASH AND EQUIVALENTS INCOME	0.03			0.03	
TAX RECLAIMS COLLECTED	(606.63)			(606.63)	
TOTAL EURO	(638.53)	0.00	0.00	(559.31)	(79.22)

ACCOUNT TOTALS	(638.53)	0.00	0.00	(559.31)	(79.22)
----------------	----------	------	------	----------	---------

EURO					
SETTLEMENT CONTRACTS	(0.02)			0.01	(0.03)
FORWARD CONTRACTS	(31.91)			47.28	(79.19)
CASH AND EQUIVALENTS INCOME	0.03			0.03	
TAX RECLAIMS COLLECTED	(606.63)			(606.63)	
TOTAL EURO	(638.53)	0.00	0.00	(559.31)	(79.22)

CARNEGIE CORP - CAP GUARDIAN 201603
 FROM 01-OCT-01 TO 30-SEP-02
 REALIZED GAIN-LOSS SUMMARY
 UPDATES THROUGH 30-SEP-02
 PREPARED DATE:16-OCT-02

SCHEDULE 6
 FED ID # 13-162815



REALIZED GAIN-LOSS SUMMARY

Amended Return

IN US DOLLAR

DESCRIPTION	TOTAL GAIN/LOSS	SECURITY COMPONENT	CURRENCY COMPONENT	PAYABLE/RECEIVABLE	CASH
-------------	-----------------	--------------------	--------------------	--------------------	------

AUSTRALIAN DOLLARS

PURCHASES

TOTAL AUSTRALIAN DOLLARS

0.00	0.00	0.00	0.00	0.00	0.00
------	------	------	------	------	------

DANISH KRONES

SETTLEMENT CONTRACTS

(1.15)

TAX RECLAIMS COLLECTED

(65.74)

TOTAL DANISH KRONES

(66.89)

(1.06)	(66.89)	0.00	0.00	(65.83)	(1.06)
--------	---------	------	------	---------	--------

DEUTSCHE MARKS

MARGIN PAYMENTS

211.75

PURCHASES

1,480.21

SALES

169.80

SETTLEMENT CONTRACTS

5.50

FORWARD CONTRACTS

216.87

CASH BALANCE CONVERSIONS

(2,045.45)

TRADE SETTLEMENT CONVERSIONS

(3.38)

TOTAL DEUTSCHE MARKS

35.30

(440.70)	35.30	(72.18)	(271.76)	819.84	(440.70)
----------	-------	---------	----------	--------	----------

EURO

EMU IN-COUNTRIES

ECONOMIC AND MONETARY UNION (EMU)

CASH AND EQUIVALENTS INCOME

0.49

COLLECTED

CASH BALANCE CONVERSIONS

2,045.45

2,045.45	2,045.45			0.49	2,045.45
----------	----------	--	--	------	----------

CARNEGIE CORP - JP MORGAN 201605
 FROM 01-OCT-01 TO 30-SEP-02
 REALIZED GAIN-LOSS SUMMARY
 UPDATES THROUGH 30-SEP-02
 PREPARED DATE:16-OCT-02



Seite 6
 Fed ID # 13-1628151

REALIZED GAIN-LOSS SUMMARY

IN US DOLLAR

Amended Return

DESCRIPTION	TOTAL GAIN/LOSS	SECURITY COMPONENT	CURRENCY COMPONENT	PAYABLE/RECEIVABLE	CASH
TRADE SETTLEMENT CONVERSIONS	3.38				3.38
TOTAL ECONOMIC AND MONETARY UNION (EMU)	2,049.32	0.00	0.00	0.49	2,048.83
FRANCE					
TAX RECLAIMS COLLECTED	(6,772.30)			(6,772.30)	
TOTAL FRANCE	(6,772.30)	0.00	0.00	(6,772.30)	0.00
GERMANY					
SALES	(35.30)	(0.01)	(35.29)		
TOTAL GERMANY	(35.30)	(0.01)	(35.29)	0.00	0.00
ITALY					
TAX RECLAIMS COLLECTED	(1,025.77)				(1,025.77)
TOTAL ITALY	(1,025.77)	0.00	0.00	0.00	(1,025.77)
NETHERLANDS					
TAX RECLAIMS COLLECTED	(2,510.96)			(2,510.96)	
TOTAL NETHERLANDS	(2,510.96)	0.00	0.00	(2,510.96)	0.00
TOTAL EMU IN-COUNTRIES	(8,295.01)	(0.01)	(35.29)	(8,282.77)	1,023.06
EMU OUT-COUNTRIES					
UNITED STATES					
SETTLEMENT CONTRACTS	(1,078.34)			38.33	(1,116.67)

CARNEGIE CORP - JP MORGAN 201605
 FROM 01-OCT-01 TO 30-SEP-02
 REALIZED GAIN-LOSS SUMMARY
 UPDATES THROUGH 30-SEP-02
 PREPARED DATE:16-OCT-02

Deutsche Bank



REALIZED GAIN-LOSS SUMMARY

Amended Return

IN US DOLLAR

DESCRIPTION	TOTAL GAIN/LOSS	SECURITY COMPONENT	CURRENCY COMPONENT	PAYABLE/RECEIVABLE	CASH
FORWARD CONTRACTS	(525.70)			875.97	(1,401.67)
TOTAL UNITED STATES	(1,604.04)	0.00	0.00	814.30	(2,518.34)
TOTAL EMU OUT-COUNTRIES	(1,604.04)	0.00	0.00	814.30	(2,518.34)
TOTAL EURO	(8,889.05)	(0.01)	(35.29)	(8,368.47)	(1,485.28)
ACCOUNT TOTALS	211.75	1,480.21	134.50	61.14	211.75
MARGIN PAYMENTS					
PURCHASES					
SALES					
SETTLEMENT CONTRACTS					
FORWARD CONTRACTS	(1,073.99)			758.80	(245.06)
CASH AND EQUIVALENTS INCOME	(308.83)			38.24	(1,112.23)
COLLECTED	0.49			875.97	(1,184.80)
TAX RECLAIMS COLLECTED					
CASH BALANCE CONVERSIONS					
TRADE SETTLEMENT CONVERSIONS					
ACCOUNT TOTALS	(8,930.64)	(72.19)	(307.05)	(7,614.36)	(1,837.04)

CARNEGIE CORP - JP MORGAN 201605
 FROM 01-OCT-01 TO 30-SEP-02
 REALIZED GAIN-LOSS SUMMARY
 UPDATES THROUGH 30-SEP-02
 PREPARED DATE:16-OCT-02

Deutsche Bank



Amended Return

<u>Summary of Assets</u>	<u>Book Value 2001</u>	<u>% of Total</u>	<u>Book Value 2002</u>	<u>% of Total</u>	<u>Market Value 2002</u>	<u>% of Total</u>
Total US Government Obligations - Line 10A	\$ 89,050,562	8.23%	\$ 71,983,548	9.11%	\$ 79,392,605	11.43%
Total Corporate Stock Obligations - Line 10B	784,183,693	72.48%	542,815,756	68.73%	431,911,622	62.21%
Total Corporate Bond Obligations - Line 10C	208,768,701	19.29%	175,026,562	22.16%	183,028,465	26.36%
Total Assets - Line 10A, Line 10B, Line 10C	\$ 1,082,002,956	100.00%	\$ 789,825,866	100.00%	\$ 694,332,691	100.00%

Amended Return

<u>Face Amount or Shares</u>	<u>Security Title</u>	<u>Account Manager</u>	<u>Country</u>	<u>Unit Value- Cost</u>	<u>Book Value</u>	<u>Unit Value- Market</u>	<u>Market Value</u>
US GOVERNMENT OBLIGATIONS							
350,000.000	U.S. GOVERNMENT TREASURY BILL DUE 17OCT2002	Morgan Stanley	UNITED STATES	\$ 99.05	\$ 346,673.44	\$ 99.05	\$ 346,673.44
550,000.000	U.S. GOVERNMENT TREASURY BILL DUE 16JAN2003	Morgan Stanley	UNITED STATES	99.309	546,200.20	99.309	546,200.20
2,750,000.000	UNITED STATES TREAS BDS DUE 08/15/2019	Morgan Stanley	UNITED STATES	130.771	3,596,210.94	141.313	3,886,093.75
					<u>4,489,084.58</u>		<u>4,778,967.39</u>
6,000,000.000	FEDERAL HOME LN MTG CORP DEB DUE 01/15/2005	Internally Managed Fixed Income	UNITED STATES	98.508	5,910,480.00	110.344	6,620,640.00
4,500,000.000	FEDERAL HOME LN MTG CORP DUE03/15/2009	Internally Managed Fixed Income	UNITED STATES	99.703	4,486,640.63	111.781	5,030,145.00
6,000,000.000	FEDERAL NATL MTG ASSN DUE 05/14/2004	Internally Managed Fixed Income	UNITED STATES	94.430	5,665,780.00	105.969	6,358,140.00
10,000,000.000	UNITED STATES TREAS BDS	Internally Managed Fixed Income	UNITED STATES	115.397	11,539,687.50	138.844	13,884,400.00
5,500,000.000	UNITED STATES TREAS NOTES	Internally Managed Fixed Income	UNITED STATES	110.047	6,052,578.13	113.125	6,221,875.00
9,000,000.000	UNITED STATES TREAS NTS DUE 02/15/2003	Internally Managed Fixed Income	UNITED STATES	98.789	8,891,015.58	101.750	9,157,500.00
4,000,000.000	UNITED STATES TREAS NTS	Internally Managed Fixed Income	UNITED STATES	97.266	3,890,625.00	105.875	4,235,000.00
5,000,000.000	UNITED STATES TREAS NTS SER DUE 08/15/2004	Internally Managed Fixed Income	UNITED STATES	98.469	4,923,437.50	108.000	5,400,000.00
6,000,000.000	UNITED STATES TREASURY BOND DUE 15AUG2023	Internally Managed Fixed Income	UNITED STATES	97.359	5,841,562.50	119.250	7,155,000.00
9,500,000.000	UNITED STATES TREASURY NOTE DUE 15NOV2005	Internally Managed Fixed Income	UNITED STATES	108.344	10,292,656.25	111.063	10,550,937.50
					<u>67,494,463.09</u>		<u>74,613,637.50</u>
TOTAL US GOVERNMENT OBLIGATIONS					<u>71,983,547.67</u>		<u>79,392,604.89</u>
CORPORATE STOCK							
1,909,799.853	EMERGING MARKETS GROWTH FUND	Capital Guardian Trust	UNITED STATES	50.549	96,539,308.00	37.330	71,292,828.51
5,009,886.533	CAPITAL GUARDIAN NON US EQUITY FUND	Capital Guardian Trust	UNITED STATES	21.258	106,499,702.00	15.580	78,054,032.18
					<u>203,039,010.00</u>		<u>149,346,860.69</u>
19,800.000	AMB PROPERTIES CORP COM STK NPV	European Investors Inc.	UNITED STATES	28.992	574,042.40	28.900	572,220.00
46,823.000	APARTMENT INVT & MGMT CO CL A	European Investors Inc.	UNITED STATES	40.831	1,911,821.51	38.850	1,819,073.55
80,927.000	ARCHSTONE SMITH TR COM	European Investors Inc.	UNITED STATES	25.804	2,088,241.45	23.880	1,932,536.76
50,668.000	AVALONBAY CMNTYS INC COM	European Investors Inc.	UNITED STATES	49.029	2,484,204.41	41.800	2,117,922.40
59,600.000	BOSTON PROPERTIES COMMON STOCK	European Investors Inc.	UNITED STATES	38.014	2,265,634.90	37.200	2,217,120.00
16,000.000	BRANDYWINE REALTY TRUST SHS BEN INT US\$0.01	European Investors Inc.	UNITED STATES	16.494	263,901.84	22.550	360,800.00
39,900.000	BRE PPTYS INC CL A	European Investors Inc.	UNITED STATES	31.490	1,256,451.55	30.750	1,226,925.00
87,000.000	BROOKFIELD PPTYS CORP COM	European Investors Inc.	CANADA	18.205	1,583,836.40	19.250	1,674,750.00
20,000.000	CARRAMERICA RLTY CORP COM	European Investors Inc.	UNITED STATES	29.739	594,789.40	25.170	503,400.00

Amended Return

<u>Face Amount or Shares</u>	<u>Security Title</u>	<u>Account Manager</u>	<u>Country</u>	<u>Unit Value- Cost</u>	<u>Book Value</u>	<u>Unit Value- Market</u>	<u>Market Value</u>
13,800.000	CENTERPOINT PPTYS TR COM	European Investors Inc.	UNITED STATES	48.613	670,858.23	55.500	765,900.00
39,000.000	ENTERTAINMENT PPTYS TR COM SH BEN INT	European Investors Inc.	UNITED STATES	23.229	905,930.91	22.100	861,900.00
60,609.000	EQUITY OFFICE PROPERTIES TRUST COMMON	European Investors Inc.	UNITED STATES	29.430	1,783,730.61	25.820	1,564,924.38
25,700.000	EQUITY RESIDENTIAL COM SHS OF BENEFICIAL	European Investors Inc.	UNITED STATES	23.991	616,569.88	23.940	615,258.00
19,900.000	ESSEX PPTY TR INC COM	European Investors Inc.	UNITED STATES	51.072	1,016,328.00	49.440	983,856.00
28,800.000	FELCOR LODGING TR INC COM	European Investors Inc.	UNITED STATES	17.274	497,485.29	12.830	369,504.00
37,000.000	GENERAL GROWTH PROPERTIES COM STK USD0.10	European Investors Inc.	UNITED STATES	38.776	1,434,724.65	51.500	1,905,500.00
6,000.000	HEALTH CARE PPTY INVS INC COM	European Investors Inc.	UNITED STATES	42.812	256,871.72	42.600	255,600.00
22,200.000	HIGHWOODS PPTYS INC COM	European Investors Inc.	UNITED STATES	25.058	556,277.83	23.400	519,480.00
111,100.000	HOST MARRIOT CORP NEW COM	European Investors Inc.	UNITED STATES	10.954	1,216,961.25	9.280	1,031,008.00
246,600.000	LA QUINTA CORP COM NEW	European Investors Inc.	UNITED STATES	5.511	1,359,004.38	4.800	1,183,680.00
38,900.000	LIBERTY PPTY TR SH BEN INT	European Investors Inc.	UNITED STATES	25.879	1,006,682.82	31.000	1,205,900.00
68,800.000	MACERICH CO COM STK USD0.01	European Investors Inc.	UNITED STATES	25.780	1,773,656.55	30.980	2,131,424.00
26,600.000	MERISTAR HOSPITALITY CORP COM	European Investors Inc.	UNITED STATES	15.613	415,292.54	8.590	228,494.00
37,600.000	NATIONWIDE HEALTH PPTYS INC COM	European Investors Inc.	UNITED STATES	14.131	531,311.60	17.050	641,080.00
11,700.000	PAN PAC RETAIL PPTYS INC COM	European Investors Inc.	CANADA	23.923	279,896.00	34.480	403,416.00
15,600.000	PRENTISS PPTYS TR SH BEN INT	European Investors Inc.	UNITED STATES	27.397	427,390.40	28.940	451,464.00
118,245.000	PROLOGIS SH BEN INT	European Investors Inc.	UNITED STATES	21.901	2,589,700.83	24.910	2,945,482.95
31,840.000	PUBLIC STORAGE INC COM	European Investors Inc.	UNITED STATES	26.332	838,410.88	31.900	1,015,696.00
53,500.000	RECKSON ASSOCS RLTY CORP COM	European Investors Inc.	UNITED STATES	22.761	1,217,727.17	22.770	1,218,195.00
29,300.000	REGENCEY CENTERS CORP COMMON STOCK	European Investors Inc.	UNITED STATES	28.460	833,877.51	31.000	908,300.00
29,900.000	ROUSE COM USD 0.01	European Investors Inc.	UNITED STATES	28.025	837,932.68	31.950	955,305.00
73,200.000	SIMON PPTY GROUP INC NEW COM	European Investors Inc.	UNITED STATES	30.023	2,197,660.42	35.730	2,615,436.00
9,900.000	SL GREEN REALTY CORP COM STK USD0.01	European Investors Inc.	UNITED STATES	20.713	205,058.92	30.740	304,326.00
31,080.000	STARWOOD HOTELS & RESORTS WORLDWIDE INC	European Investors Inc.	UNITED STATES	24.550	762,999.57	22.300	693,084.00
48,200.000	TAUBMAN CENTRES INC COM STK USD0.01	European Investors Inc.	UNITED STATES	14.184	683,654.82	14.230	685,886.00
7,900.000	VENTAS INC	European Investors Inc.	UNITED STATES	13.289	104,985.63	13.410	105,939.00
6,800.000	VORNADO RLTY TR PFD CONV SER A %	European Investors Inc.	UNITED STATES	49.358	335,631.50	54.950	373,660.00
55,400.000	VORNADO RLTY TR COM	European Investors Inc.	UNITED STATES	39.539	2,190,451.29	39.450	2,185,530.00
					40,569,987.74		41,549,976.04
20,000.000	AAR CORP COM	Westport Asset Management	UNITED STATES	22.175	443,500.00	4.750	95,000.00
50,000.000	ADVANCEPCS COM STK	Westport Asset Management	UNITED STATES	21.498	1,074,890.00	22.530	1,126,500.00
30,000.000	AIRBORNE INC COMMON STOCK US\$1	Westport Asset Management	UNITED STATES	26.879	806,364.00	11.340	340,200.00
76,100.000	AMERICAN MANAGEMENT SYSTEMS,INC COM STK	Westport Asset Management	UNITED STATES	17.702	1,347,125.73	12.730	968,753.00

<u>Face Amount or Shares</u>	<u>Security Title</u>	<u>Account Manager</u>	<u>Country</u>	Amended Return		<u>Unit Value- Market</u>	<u>Market Value</u>
				<u>Unit Value- Cost</u>	<u>Book Value</u>		
123,750.000	APPLEBEE'S INTERNATIONAL INC COM STK	Westport Asset Management	UNITED STATES	10.580	1,309,307.50	21.920	2,712,600.00
50,000.000	BARRETT BUSINESS SERVICES COM STK USD0.01	Westport Asset Management	UNITED STATES	9.625	481,250.00	3.450	172,500.00
155,000.000	BEASLEY BROADCAST GROUP INC COMMON STOCK	Westport Asset Management	UNITED STATES	10.125	1,569,375.00	12.560	1,946,800.00
49,900.000	BROWN & BROWN INC COM	Westport Asset Management	UNITED STATES	28.305	1,412,425.54	30.000	1,497,000.00
150,000.000	CHECKPOINT SYS INC COM	Westport Asset Management	UNITED STATES	10.500	1,575,000.00	12.350	1,852,500.00
101,200.000	COX RADIO INC COM	Westport Asset Management	UNITED STATES	13.211	1,336,921.16	26.160	2,647,392.00
34,347.000	DEVON ENERGY CORPORATION NEW COM	Westport Asset Management	UNITED STATES	33.496	1,150,475.29	48.250	1,657,242.75
30,000.000	DOWNEY FINL CORP COM	Westport Asset Management	UNITED STATES	32.760	982,800.00	34.250	1,027,500.00
50,000.000	DUANE READE INC	Westport Asset Management	UNITED STATES	31.569	1,578,471.50	16.000	800,000.00
34,700.000	EGL INC COMMON STOCK USD0.001	Westport Asset Management	UNITED STATES	24.993	867,239.82	11.010	382,047.00
200,000.000	EL PASO ELEC CO COM NEW	Westport Asset Management	UNITED STATES	8.561	1,712,125.00	11.880	2,376,000.00
72,000.000	EMMIS BROADCASTING CORP CL A COM	Westport Asset Management	UNITED STATES	19.189	1,381,636.60	19.000	1,368,000.00
124,500.000	GENERAL COMMUNICATIONS INC CL A	Westport Asset Management	UNITED STATES	6.288	782,796.95	3.760	468,120.00
69,000.000	HARBOR FLA BANCSHARES INC COM	Westport Asset Management	UNITED STATES	11.446	789,750.00	20.450	1,411,050.00
200,000.000	HEALTHSOUTH CORP COM	Westport Asset Management	UNITED STATES	6.371	1,274,120.00	4.150	830,000.00
32,000.000	HILB.ROGAL & HAMILTON COM STK NPV	Westport Asset Management	UNITED STATES	37.880	1,212,148.00	41.250	1,320,000.00
84,655.000	HUDSON UNITED BANCORP COM STK USD	Westport Asset Management	UNITED STATES	24.017	2,033,131.92	26.600	2,251,823.00
117,800.000	INSIGHT COMMUNICATIONS INC CL A	Westport Asset Management	UNITED STATES	25.814	3,040,895.00	9.230	1,087,294.00
200,000.000	ITT EDL SVCS INC COM	Westport Asset Management	UNITED STATES	6.524	1,304,795.00	18.770	3,754,000.00
41,500.000	JLG INDS INC COM	Westport Asset Management	UNITED STATES	18.848	782,206.41	8.050	334,075.00
67,678.000	PITTSTON COM USD1 COMMON STOCK	Westport Asset Management	UNITED STATES	27.494	1,860,744.40	22.400	1,515,987.20
47,500.000	POGO PRODUCING CO COM STK USD1	Westport Asset Management	UNITED STATES	26.974	1,281,281.25	34.060	1,617,850.00
35,000.000	REYNOLDS & REYNOLDS CO COM STK CLASS'A'	Westport Asset Management	UNITED STATES	17.526	613,412.50	22.440	785,400.00
65,000.000	RIVERVIEW BANCORP INC COM	Westport Asset Management	UNITED STATES	16.635	1,081,275.00	15.150	984,750.00
42,400.000	ROGERS CORP CAP STK USD1	Westport Asset Management	UNITED STATES	14.488	614,285.28	23.350	990,040.00
215,800.000	SAKS INCORPORATED COMMON STOCK	Westport Asset Management	UNITED STATES	9.540	2,058,669.50	10.530	2,272,374.00
77,585.000	SKYWORKS SOLUTIONS INC COM STK USD0.25	Westport Asset Management	UNITED STATES	7.562	586,679.27	4.530	351,460.05
28,616.000	STERLING FINANCIAL CORP(WASH) COM STK USD1	Westport Asset Management	UNITED STATES	15.791	451,879.42	18.130	518,808.08
45,654.000	TEXAS INSTRS INC COM	Westport Asset Management	UNITED STATES	16.566	756,293.23	14.770	674,309.58
43,246.000	TRIAD HOSPS INC COMMON STOCK	Westport Asset Management	UNITED STATES	20.035	866,443.91	37.950	1,641,185.70
60,000.000	UNIVERSAL HEALTH SERVICES,INC. CLASS'B'COM	Westport Asset Management	UNITED STATES	27.582	1,654,939.00	51.150	3,069,000.00
45,000.000	WEBSTER FINL CORP WATERBURY CONN COM	Westport Asset Management	UNITED STATES	27.384	1,232,281.25	33.580	1,511,100.00
90,000.000	WHITEHALL JEWELLERS INC COM	Westport Asset Management	UNITED STATES	10.167	915,000.00	10.520	946,800.00
					<u>44,221,934.43</u>		<u>49,305,461.36</u>

Amended Return

<u>Face Amount or</u> <u>Shares</u>	<u>Security Title</u>	<u>Account Manager</u>	<u>Country</u>	<u>Unit Value-</u> <u>Cost</u>	<u>Book Value</u>	<u>Unit Value-</u> <u>Market</u>	<u>Market Value</u>
175,500.000	AES CORP COM STK USD0.01	Capital Guardian Trust	UNITED STATES	16.423	2,882,318.16	2.510	440,505.00
55,651.000	AGILENT TECHNOLOGIES INC COMMON STOCK	Capital Guardian Trust	UNITED STATES	39.772	2,213,358.28	13.060	726,802.06
19,100.000	AIR PRODS & CHEMS INC COM	Capital Guardian Trust	UNITED STATES	46.156	881,587.97	42.010	802,391.00
30,900.000	ALLERGAN INC COM USD0.01	Capital Guardian Trust	UNITED STATES	61.851	1,911,202.64	54.400	1,680,960.00
83,200.000	ALTERA COM NPV	Capital Guardian Trust	UNITED STATES	17.922	1,491,077.94	8.670	721,344.00
20,000.000	AMDOCS LTD COM STOCK	Capital Guardian Trust	UNITED STATES	9.214	184,274.00	6.400	128,000.00
9,200.000	AMERICAN STANDARD COMPANIES INC COM STK	Capital Guardian Trust	UNITED STATES	70.842	651,742.18	63.620	585,304.00
22,000.000	AMERICREDIT CORP COM	Capital Guardian Trust	UNITED STATES	37.486	824,702.31	8.070	177,540.00
9,800.000	ANHEUSER BUSCH COS INC COM	Capital Guardian Trust	UNITED STATES	43.448	425,785.83	50.600	495,880.00
101,850.000	AOL TIME WARNER COMMON STOCK	Capital Guardian Trust	UNITED STATES	29.931	3,048,458.47	11.700	1,191,645.00
83,200.000	APPLERA CORP-APPLIED BIOSYST COM	Capital Guardian Trust	UNITED STATES	24.334	2,024,600.09	18.300	1,522,560.00
206,200.000	APPLIED MATLS INC COM	Capital Guardian Trust	UNITED STATES	17.356	3,578,739.59	11.550	2,381,610.00
72,300.000	APPLIED MICRO CIRCUITS CORP CDT-COM	Capital Guardian Trust	UNITED STATES	8.514	615,588.26	2.860	206,778.00
9,300.000	ASHLAND INC COM	Capital Guardian Trust	UNITED STATES	38.870	361,488.66	26.790	249,147.00
28,500.000	ASML HOLDING N.V. ISIN #USN070591110	Capital Guardian Trust	NETHERLANDS	13.931	397,030.08	6.190	176,415.00
97,837.000	ASTRAZENECA PLC SPONSORED ADR	Capital Guardian Trust	UNITED KINGDOM	40.421	3,954,708.21	30.570	2,990,877.09
67,285.000	AT & T CORP COM	Capital Guardian Trust	UNITED STATES	12.373	832,526.49	12.010	808,092.85
6,700.000	AVON PRODS INC COM	Capital Guardian Trust	UNITED STATES	52.488	351,667.51	46.100	308,870.00
11,400.000	B J SERVICES	Capital Guardian Trust	UNITED STATES	24.683	281,384.23	26.000	296,400.00
44,200.000	BAKER HUGHES COM	Capital Guardian Trust	UNITED STATES	19.380	856,583.32	29.030	1,283,126.00
72,400.000	BANC ONE CORP COM NPV	Capital Guardian Trust	UNITED STATES	35.478	2,568,600.85	37.400	2,707,760.00
4,000.000	BANK OF AMERICA CORP COM STK USD0.01	Capital Guardian Trust	UNITED STATES	45.464	181,854.00	63.800	255,200.00
20,900.000	BECTON DICKINSON & CO COM	Capital Guardian Trust	UNITED STATES	27.150	567,439.84	28.400	593,560.00
17.000	BERKSHIRE HATHAWAY INC DEL COM	Capital Guardian Trust	UNITED STATES	57495.970	977,431.49	73900.000	1,256,300.00
18,000.000	BROADCOM CORP CL A	Capital Guardian Trust	UNITED STATES	32.046	576,836.77	10.680	192,240.00
75,994.000	CABLEVISION NY GROUP CLASS 'A' COMMON STOCK	Capital Guardian Trust	UNITED STATES	28.628	2,175,565.57	9.060	688,505.64
26,600.000	CADENCE DESIGN SYSTEMS INC COM STK USD0.01	Capital Guardian Trust	UNITED STATES	19.545	519,899.66	10.170	270,522.00
30,900.000	CAMPBELL SOUP CO COM	Capital Guardian Trust	UNITED STATES	29.179	901,640.27	22.080	682,272.00
20,200.000	CARNIVAL CORP CL A COM	Capital Guardian Trust	UNITED STATES	30.723	620,604.13	25.100	507,020.00
28,000.000	CHARTER COMMUNICATIONS INC CL A COM	Capital Guardian Trust	UNITED STATES	19.576	548,134.28	1.860	52,080.00
36,000.000	CHECKFREE CORP COM STK USD0.01	Capital Guardian Trust	UNITED STATES	42.551	1,531,836.34	11.380	409,680.00
7,600.000	CINCINNATI FIN CORP COM USD2	Capital Guardian Trust	UNITED STATES	37.330	283,710.95	35.580	270,408.00
136,100.000	CISCO SYSTEMS COMMON STOCK NPV	Capital Guardian Trust	UNITED STATES	16.215	2,206,851.51	10.480	1,426,328.00
10,354.000	CITIGROUP INC COM	Capital Guardian Trust	UNITED STATES	27.449	284,211.58	29.650	306,996.10
33,200.000	CONCORD EFS COM STK USD0.33 1/3	Capital Guardian Trust	UNITED STATES	16.856	559,619.11	15.880	527,216.00

Amended Return

<u>Face Amount or</u> <u>Shares</u>	<u>Security Title</u>	<u>Account Manager</u>	<u>Country</u>	<u>Unit Value-</u> <u>Cost</u>	<u>Book Value</u>	<u>Unit Value-</u> <u>Market</u>	<u>Market Value</u>
51,800.000	CORNING INC COM	Capital Guardian Trust	UNITED STATES	13.351	691,602.48	1.600	82,880.00
25,500.000	COX COMMUNICATIONS INC CLASS'A'COM STK	Capital Guardian Trust	UNITED STATES	41.023	1,046,094.54	24.590	627,045.00
18,500.000	CREDENCE SYSTEMS CORP COM STK NPV	Capital Guardian Trust	UNITED STATES	42.715	790,232.85	8.660	160,210.00
30,700.000	DISNEY WALT CO DISNEY COM	Capital Guardian Trust	UNITED STATES	18.240	559,979.58	15.140	464,798.00
10,100.000	DOVER CORP COM	Capital Guardian Trust	UNITED STATES	35.229	355,808.86	25.380	256,338.00
9,000.000	DU- PONT DE NEMOURS COM USD0.60	Capital Guardian Trust	UNITED STATES	44.519	400,671.18	36.070	324,630.00
14,200.000	EBAY INC COM STK USD0.01	Capital Guardian Trust	UNITED STATES	55.247	784,511.26	52.810	749,902.00
31,600.000	EL PASO CORPORATION COMMON STOCK USD3	Capital Guardian Trust	UNITED STATES	18.280	577,654.93	8.270	261,332.00
11,900.000	EMERSON ELEC CO COM	Capital Guardian Trust	UNITED STATES	62.956	749,174.32	43.940	522,886.00
4,300.000	ENTERCOM COMMUNICATIONS CORP CL A	Capital Guardian Trust	UNITED STATES	51.101	219,732.84	47.370	203,691.00
9,700.000	EQUITY SECS TR I EQ TR SECS CVC	Capital Guardian Trust	UNITED STATES	36.248	351,602.31	13.450	130,465.00
10,200.000	ESTEE LAUDER CO COM	Capital Guardian Trust	UNITED STATES	32.416	330,644.31	28.740	293,148.00
59,118.000	EXXON MOBIL CORP COMMON STOCK	Capital Guardian Trust	UNITED STATES	35.424	2,094,204.70	31.900	1,885,864.20
8,200.000	FEDERAL NATIONAL MORTGAGE ASSOC COM NPV	Capital Guardian Trust	UNITED STATES	74.547	611,286.57	59.540	488,228.00
35,500.000	FLUOR CORP COM	Capital Guardian Trust	UNITED STATES	29.379	1,042,941.24	24.440	867,620.00
13,900.000	FORD CAPITAL TRUST 6.500% CNV PREFERRED STOCK	Capital Guardian Trust	UNITED STATES	51.241	712,255.07	40.290	560,031.00
28,800.000	FOREST LABS INC COM	Capital Guardian Trust	UNITED STATES	30.535	879,420.19	82.010	2,361,888.00
67,100.000	GENERAL ELECTRIC CO (U.S.)	Capital Guardian Trust	UNITED STATES	37.588	2,522,149.79	24.650	1,654,015.00
20,100.000	GENERAL MTRS CORP CL H NEW	Capital Guardian Trust	UNITED STATES	19.163	385,174.75	9.150	183,915.00
54,329.000	GUIDANT CORP COM	Capital Guardian Trust	UNITED STATES	42.160	2,290,537.74	32.310	1,755,369.99
8,500.000	HARTFORD FINANCIAL SERVICES GRP INC COMMON	Capital Guardian Trust	UNITED STATES	30.951	263,081.53	41.000	348,500.00
19,150.000	HASBRO INC COM	Capital Guardian Trust	UNITED STATES	23.892	457,532.13	11.130	213,139.50
29,300.000	HEINZ H J CO COM	Capital Guardian Trust	UNITED STATES	37.535	1,099,780.39	33.370	977,741.00
20,261.000	HEWLETT PACKARD CO COM	Capital Guardian Trust	UNITED STATES	12.703	257,377.17	11.670	236,445.87
18,300.000	HOUSEHOLD INTL CORP COM	Capital Guardian Trust	UNITED STATES	39.134	716,150.10	28.310	518,073.00
8,100.000	ILLINOIS TOOL WKS INC COM	Capital Guardian Trust	UNITED STATES	57.217	463,461.70	58.330	472,473.00
60,400.000	INDYMAC BANCORP INC	Capital Guardian Trust	UNITED STATES	9.636	582,002.32	19.270	1,163,908.00
9,600.000	INGERSOLL RAND CO CL 'A' COM STK USD2	Capital Guardian Trust	UNITED STATES	37.801	362,887.22	34.440	330,624.00
26,700.000	INTEL CORP COMMON STOCK USD0.001	Capital Guardian Trust	UNITED STATES	27.296	728,806.56	13.890	370,863.00
6,700.000	INTERNATIONAL BUSINESS MACHS CORP COM	Capital Guardian Trust	UNITED STATES	86.748	581,210.42	58.310	390,677.00
12,700.000	INTERPUBLIC COM USD0.10	Capital Guardian Trust	UNITED STATES	33.310	423,042.08	15.850	201,295.00
141,500.000	JDS UNIPHASE CORP COM	Capital Guardian Trust	UNITED STATES	5.565	787,469.88	1.948	275,642.00
68,500.000	JP MORGAN CHASE & CO COMMON STOCK	Capital Guardian Trust	UNITED STATES	34.789	2,383,019.32	18.990	1,300,815.00
52,300.000	KLA-TENCOR CORPORATION COM STK USD0.001	Capital Guardian Trust	UNITED STATES	37.584	1,965,625.85	27.940	1,461,262.00
117,132.000	LIBERTY MEDIA CORP COMMON SERIES A	Capital Guardian Trust	UNITED STATES	10.762	1,260,609.72	7.180	841,007.76

Amended Return

<u>Face Amount or Shares</u>	<u>Security Title</u>	<u>Account Manager</u>	<u>Country</u>	<u>Unit Value- Cost</u>	<u>Book Value</u>	<u>Unit Value- Market</u>	<u>Market Value</u>
10,900.000	LILLY ELI & CO COM	Capital Guardian Trust	UNITED STATES	78.899	860,000.22	55.340	603,206.00
12,000.000	LINCARE HOLDINGS INC COM	Capital Guardian Trust	UNITED STATES	31.700	380,398.71	31.040	372,480.00
13,400.000	LINEAR TECHNOLOGY CORP COM	Capital Guardian Trust	UNITED STATES	32.863	440,368.44	20.720	277,648.00
48,700.000	LOWES COS INC COM	Capital Guardian Trust	UNITED STATES	20.302	988,713.98	41.400	2,016,180.00
28,000.000	MACROMEDIA INC COM STK USD0.001	Capital Guardian Trust	UNITED STATES	30.482	853,495.07	7.730	216,440.00
12,100.000	MCDONALDS CORP COM	Capital Guardian Trust	UNITED STATES	30.735	371,887.92	17.660	213,686.00
24,700.000	MEDTRONIC INC COM	Capital Guardian Trust	UNITED STATES	34.363	848,772.25	42.120	1,040,364.00
30,600.000	MICROSOFT CORP COMMON STOCK	Capital Guardian Trust	UNITED STATES	57.550	1,761,040.25	43.740	1,338,444.00
21,500.000	MILLENNIUM PHARMACEUTICALS INC COM	Capital Guardian Trust	UNITED STATES	33.290	715,743.85	9.320	200,380.00
16,500.000	NAVISTAR INTL CORP NEW COM	Capital Guardian Trust	UNITED STATES	28.840	475,856.47	21.680	357,720.00
14,000.000	NETWORKS ASSOCS INC COM	Capital Guardian Trust	UNITED STATES	28.415	397,805.79	10.630	148,820.00
20,100.000	NISOURCE INC COM	Capital Guardian Trust	UNITED STATES	21.115	424,417.06	17.230	346,323.00
30,600.000	NOKIA CORP ADR	Capital Guardian Trust	FINLAND	17.590	538,257.98	13.250	405,450.00
19,300.000	NOVA CHEMICALS CORP COM	Capital Guardian Trust	CANADA	24.130	465,703.35	20.750	400,475.00
15,200.000	NOVELLUS SYS INC COM	Capital Guardian Trust	UNITED STATES	27.421	416,799.37	20.810	316,312.00
17,200.000	PEPSICO INC COM	Capital Guardian Trust	UNITED STATES	39.550	680,260.00	36.950	635,540.00
124,000.000	PFIZER INC COM	Capital Guardian Trust	UNITED STATES	36.612	4,539,867.17	29.020	3,598,480.00
52,900.000	PMC-SIERRA INC COM STK NPV	Capital Guardian Trust	UNITED STATES	15.966	844,593.60	3.880	205,252.00
23,400.000	PMI GROUP INC COM STK NPV	Capital Guardian Trust	UNITED STATES	18.026	421,800.01	27.210	636,714.00
37,400.000	QUALCOMM INC COM STK USD0.0001	Capital Guardian Trust	UNITED STATES	34.021	1,272,385.73	27.620	1,032,988.00
28,700.000	QUINTILES TRANSNATIONAL CORP COM	Capital Guardian Trust	UNITED STATES	19.596	562,394.84	9.510	272,937.00
5,000.000	RADIO ONE INC CL D NON VTG COMMON STOCK	Capital Guardian Trust	UNITED STATES	20.354	101,771.86	16.490	82,450.00
18,500.000	RADIO SHACK	Capital Guardian Trust	UNITED STATES	27.662	511,746.86	20.060	371,110.00
29,900.000	ROBERT HALF INTL INC COM	Capital Guardian Trust	UNITED STATES	24.862	743,385.06	15.870	474,513.00
26,900.000	ROYAL DUTCH PETE CO N Y REGISTRY SH PAR	Capital Guardian Trust	NETHERLANDS	48.739	1,311,088.28	40.170	1,080,573.00
13,600.000	SABRE HLDGS CORP CL A	Capital Guardian Trust	UNITED STATES	29.644	403,157.38	19.350	263,160.00
9,200.000	SBC COMMUNICATIONS INC COM	Capital Guardian Trust	UNITED STATES	42.340	389,523.80	20.100	184,920.00
10,600.000	SCHLUMBERGER LTD COM	Capital Guardian Trust	UNITED STATES	45.980	487,384.19	38.460	407,676.00
30,600.000	SLM CORP COM STK	Capital Guardian Trust	UNITED STATES	44.729	1,368,706.44	93.140	2,850,084.00
4,700.000	SONY CORP ADR NEW 50 YEN SH	Capital Guardian Trust	JAPAN	43.887	206,267.43	41.100	193,170.00
159,500.000	SPRINT CORP COM	Capital Guardian Trust	UNITED STATES	13.084	2,086,854.80	9.120	1,454,640.00
260,600.000	SPRINT CORP PCS COM SER 1	Capital Guardian Trust	UNITED STATES	13.091	3,411,404.60	1.960	510,776.00
11,500.000	STARWOOD HOTELS & RESORTS WORLDWIDE INC	Capital Guardian Trust	UNITED STATES	31.690	364,430.18	22.300	256,450.00
58,100.000	TERADYNE,INC COM STK USD0.125	Capital Guardian Trust	UNITED STATES	30.900	1,795,285.65	9.600	557,760.00
7,000.000	THOMSON CORP COM	Capital Guardian Trust	CANADA	31.200	218,400.00	24.870	174,090.00

Amended Return

<u>Face Amount or Shares</u>	<u>Security Title</u>	<u>Account Manager</u>	<u>Country</u>	<u>Unit Value- Cost</u>	<u>Book Value</u>	<u>Unit Value- Market</u>	<u>Market Value</u>
40,700.000	TMP WORLDWIDE INC COM	Capital Guardian Trust	UNITED STATES	33.026	1,344,143.00	9.000	366,300.00
14,587.000	TRANSOCEAN INC COM USD0.01	Capital Guardian Trust	UNITED STATES	33.923	494,838.42	20.800	303,409.60
49,300.000	TYCO INTL LTD NEW COM	Capital Guardian Trust	UNITED STATES	24.016	1,183,965.90	14.100	695,130.00
19,000.000	UNITED TECHNOLOGIES CORP COM	Capital Guardian Trust	UNITED STATES	49.301	936,713.47	56.490	1,073,310.00
45,200.000	UNOCAL CORP COM	Capital Guardian Trust	UNITED STATES	33.158	1,498,762.97	31.390	1,418,828.00
50,900.000	USA INTERACTIVE COM	Capital Guardian Trust	UNITED STATES	21.149	1,076,458.94	19.380	986,442.00
61,800.000	VERISIGN INC COM	Capital Guardian Trust	UNITED STATES	30.513	1,885,694.73	5.050	312,090.00
20,000.000	VERITAS SOFTWARE CO COM	Capital Guardian Trust	UNITED STATES	19.195	383,899.89	14.670	293,400.00
300.000	VF CORP COM NPV	Capital Guardian Trust	UNITED STATES	22.975	6,892.47	35.980	10,794.00
9,200.000	VIACOM INC CLASS B COMMON	Capital Guardian Trust	UNITED STATES	42.013	386,519.65	40.550	373,060.00
4,500.000	VIVENDI UNIVERSAL SPON ADR NEW	Capital Guardian Trust	UNITED STATES	44.699	201,145.20	11.390	51,255.00
13,500.000	WAL MART STORES INC COM	Capital Guardian Trust	UNITED STATES	50.503	681,790.23	49.240	664,740.00
94,050.000	WASHINGTON MUT INC COM	Capital Guardian Trust	UNITED STATES	27.427	2,579,535.27	31.470	2,959,753.50
19,100.000	WEATHERFORD INTL LTD COM USDI	Capital Guardian Trust	UNITED STATES	17.978	343,374.87	37.140	709,374.00
6,800.000	WELLS FARGO & CO NEW COM	Capital Guardian Trust	UNITED STATES	43.738	297,418.16	48.160	327,488.00
93,200.000	WILLIAMS COS COM	Capital Guardian Trust	UNITED STATES	25.223	2,350,752.34	2.260	210,632.00
8,800.000	WILLIAMS-SONOMA INC COM STK NPV	Capital Guardian Trust	UNITED STATES	22.014	193,724.30	23.630	207,944.00
45,200.000	XILINX INC COMMON STOCK	Capital Guardian Trust	UNITED STATES	28.378	1,282,674.71	15.838	715,877.60
18,785.000	XL CAPITAL LTD COM STK ORD VTG	Capital Guardian Trust	BERMUDA	59.303	1,114,016.19	73.500	1,380,697.50
25,500.000	YAHOO INC COM STK USD0.01	Capital Guardian Trust	UNITED STATES	29.420	750,204.23	9.570	244,035.00
					<u>122,653,290.00</u>		<u>87,058,828.26</u>
140,000.000	AON CORP COM	Southeastern Asset Management	UNITED STATES	22.967	3,215,412.40	20.490	2,868,600.00
320,700.000	AT & T CORP COM	Southeastern Asset Management	UNITED STATES	14.086	4,517,488.07	12.010	3,851,607.00
9,980,000.000	BRIERLEY INVESTMENTS LTD COMMON STOCK	Southeastern Asset Management	UNITED STATES	0.342	3,415,211.76	0.249	2,484,431.18
98,000.000	COMCAST CORP CL A SPL	Southeastern Asset Management	UNITED STATES	26.189	2,566,482.60	20.860	2,044,280.00
206,000.000	DISNEY WALT CO DISNEY COM	Southeastern Asset Management	UNITED STATES	17.244	3,552,182.40	15.140	3,118,840.00
86,000.000	FEDEX CORPORATION COM STK USD0.10	Southeastern Asset Management	UNITED STATES	41.015	3,527,303.00	50.070	4,306,020.00
272,000.000	FIAT S P A SPONSORED ADR REPSTG ORD NEW	Southeastern Asset Management	UNITED STATES	17.722	4,820,487.34	9.790	2,662,880.00
71,000.000	GENERAL MOTORS CORP COM	Southeastern Asset Management	UNITED STATES	58.491	4,152,841.20	38.900	2,761,900.00
330,000.000	GENERAL MTRS CORP CL H NEW	Southeastern Asset Management	UNITED STATES	15.957	5,265,739.60	9.150	3,019,500.00
289,558.000	HILTON HOTELS CORP COM USD2.50	Southeastern Asset Management	UNITED STATES	8.158	2,362,107.12	11.380	3,295,170.04
93,000.000	MARRIOTT INTERNATIONAL INC COMMON STOCK	Southeastern Asset Management	UNITED STATES	30.121	2,801,215.50	28.990	2,696,070.00
103,000.000	NCR CORP NEW COM	Southeastern Asset Management	UNITED STATES	25.974	2,675,342.60	19.800	2,039,400.00
230,000.000	PIONEER NATURAL RESOURCES CO COM STK	Southeastern Asset Management	UNITED STATES	9.560	2,198,800.00	24.250	5,577,500.00

Amended Return

<u>Face Amount or Shares</u>	<u>Security Title</u>	<u>Account Manager</u>	<u>Country</u>	<u>Unit Value-Cost</u>	<u>Book Value</u>	<u>Unit Value-Market</u>	<u>Market Value</u>
191,000.000	SAKS INCORPORATED COMMON STOCK	Southeastern Asset Management	UNITED STATES	14.736	2,814,538.85	10.530	2,011,230.00
56,000.000	TELEPHONE & DATA SYSTEMS INC COMMON	Southeastern Asset Management	UNITED STATES	79.539	4,454,189.19	50.450	2,825,200.00
142,000.000	TRIZEC PROPERTIES INC COM NPV	Southeastern Asset Management	UNITED STATES	18.448	2,619,654.03	11.350	1,611,700.00
278,000.000	VIVENDI UNIVERSAL SPON ADR NEW	Southeastern Asset Management	UNITED STATES	14.503	4,031,956.40	11.390	3,166,420.00
135,000.000	WASTE MGMT INC DEL COM	Southeastern Asset Management	UNITED STATES	22.127	2,987,084.28	23.320	3,148,200.00
140,000.000	YUM BRANDS INC COMMON STOCK	Southeastern Asset Management	UNITED STATES	16.333	2,286,587.50	27.710	3,879,400.00
					64,264,623.84		57,368,348.22
368,000.000	AMDOCS COMMON STOCK	Southeastern International	GUERNSEY, C.I.	13.228	4,868,017.20	6.400	2,355,200.00
1,180,000.000	CABLE & WIRELESS ORD 25P	Southeastern International	UNITED KINGDOM	2.795	3,298,182.20	1.816	2,143,261.51
260,400.000	CHECKPOINT SYSTEMS INC COM STK USD0.10	Southeastern International	UNITED STATES	11.584	3,016,395.48	12.350	3,215,940.00
510,000.000	EZAKI GLICO CO Y50	Southeastern International	JAPAN	5.535	2,823,015.37	4.978	2,538,680.65
33,400.000	FAIRFAX FINANCIAL HLDGS SUB VTG COM NPV	Southeastern International	CANADA	116.234	3,882,201.33	74.707	2,495,208.58
255,000.000	FIAT SPA EUR5	Southeastern International	ITALY	12.303	3,137,185.25	9.686	2,469,855.66
181,000.000	KONINKLIJKE (ROYAL) PHILIPS ELECTRONICS NY REG	Southeastern International	NETHERLANDS	17.288	3,129,057.90	14.530	2,629,930.00
139,000.000	NEWS CORPORATION LIMITED ADR EACH REPR 4 ORD	Southeastern International	AUSTRALIA	21.773	3,026,491.70	19.250	2,675,750.00
87,000.000	NIPPON BROADCASTING SYSTEM COMMON STOCK	Southeastern International	JAPAN	36.015	3,133,342.16	29.571	2,572,687.44
776.000	NIPPON TEL & TEL ORD JPY 50000	Southeastern International	JAPAN	3869.622	3,002,826.73	3326.751	2,581,558.78
770,000.000	NIPPONKOA INSURANCE JPY50	Southeastern International	JAPAN	3.910	3,010,762.72	3.655	2,814,595.63
920,000.000	SAFEWAY PLC ORD 25P (FORMERLY ARGYLL GROUP)	Southeastern International	UNITED KINGDOM	3.532	3,249,209.63	3.279	3,016,512.02
313,000.000	SHAW COMMUNICATIONS CLASS'B'CNV NPV	Southeastern International	CANADA	13.846	4,333,795.38	8.215	2,571,170.00
3,127.000	SKY PERFECT COMMUNICATIONS COMMON JPY	Southeastern International	JAPAN	963.923	3,014,187.38	903.562	2,825,438.37
515,000.000	SOMPO JAPAN INSURANCE INC. JPY50	Southeastern International	JAPAN	6.300	3,244,357.56	5.898	3,037,364.73
222,000.000	TRIZEC CDA INC SUB VTG SHS	Southeastern International	CANADA	15.745	3,495,492.50	10.284	2,283,048.00
205,000.000	VIVENDI UNIVERSAL SA COMMON STOCK EUR5.5	Southeastern International	FRANCE	17.536	3,594,826.64	11.218	2,299,614.51
46,000.000	VIVENDI UNIVERSAL SA REPRS I ORDINARY SHARE	Southeastern International	UNITED STATES	10.527	484,251.20	11.390	523,940.00
					57,743,598.33		45,049,755.88
23,794.000	CISCO SYSTEMS COMMON STOCK NPV	T. Rowe Price	UNITED STATES	13.960	332,164.24	10.480	249,361.12
146.000	COGENT COMM GROUP INC COM	T. Rowe Price	UNITED STATES	25.375	3,704.76	0.950	138.70
110,000.000	FOUNDRY NETWORKS INC COM	T. Rowe Price	UNITED STATES	20.458	2,250,429.06	5.480	602,800.00
100,000.000	HEARME COMMON STOCK	T. Rowe Price	UNITED STATES	37.500	3,750,000.00	0.010	1,000.00
23,041.000	INTERGRATED CIRCUIT SYSTEMS COMMON STOCK	T. Rowe Price	UNITED STATES	22.189	511,257.95	15.700	361,743.70
27,246.000	MOTOROLA INC M COM USD3.00	T. Rowe Price	UNITED STATES	17.240	469,721.04	10.180	277,364.28
21,177.000	SYMYX TECHNOLOGIES INC COM	T. Rowe Price	UNITED STATES	22.580	478,177.00	10.470	221,723.19

<u>Face Amount or Shares</u>	<u>Security Title</u>	<u>Account Manager</u>	<u>Country</u>	<u>Unit Value- Cost</u>	<u>Book Value</u>	<u>Unit Value- Market</u>	<u>Market Value</u>
25,000.000	TIVO INC COM	T. Rowe Price	UNITED STATES	9.090	227,249.62	3.600	90,000.00
130,000.000	TRANSMETA CORP DEL COM	T. Rowe Price	UNITED STATES	14.570	1,894,100.45	0.970	126,100.00
					<u>9,916,804.12</u>		<u>1,930,230.99</u>
<u>Other Corporate Stock</u>							
8.000	TYCO INTL LTD NEW COM		UNITED STATES	30.050	240.40	14.100	112.80
	TIAA CREF MUTUAL FUNDS		UNITED STATES		406,267.24		302,047.35
NETAL CORPORATE STOCK					<u>542,815,756.10</u>		<u>431,911,621.59</u>
<u>CORPORATE BOND OBLIGATIONS</u>							
1,407,000.000	AMAZON COM INC 4.750% DTD 03FEB1999 DUE 01FEB2009	Capital Guardian Trust	UNITED STATES	70.976	998,635.27	66.250	932,137.50
212,000.000	FREEMPORT-MCMORAN COPPER & GOLD INC CONV BOND	Capital Guardian Trust	UNITED STATES	102.282	216,837.15	119.190	252,682.80
451,000.000	NEXTEL COMMUNICATIONS INC 4.75% DUE 01JUL2007	Capital Guardian Trust	UNITED STATES	60.671	273,626.00	73.630	332,071.30
					<u>1,489,098.42</u>		<u>1,516,891.60</u>
1,595,000.000	AIG SUNAMERICA GLOBAL FING VI SERIES 144A	Morgan Stanley	UNITED STATES	99.853	1,592,655.35	111.661	1,780,992.95
420,000.000	AMERICAN GENERAL FINANCE 5.875% DUE 14JUL2006	Morgan Stanley	UNITED STATES	99.805	419,181.00	107.139	449,983.80
1,411,679.840	BAE SYSTEMS ASSET TR SYS 2001 ASSET TR SER 144	Morgan Stanley	UNITED STATES	100.601	1,420,170.09	108.384	1,530,035.08
650,000.000	BANK ONE CORP MEDIUM TERM NTS TRANCHE	Morgan Stanley	UNITED STATES	88.226	573,469.00	107.897	701,330.50
155,000.000	BOEING CAP CORP 6.100% DUE 01MAR2011	Morgan Stanley	UNITED STATES	102.683	159,158.55	104.521	162,007.55
725,000.000	CHASE MANHATTAN CORP NEW SUB NT DTD	Morgan Stanley	UNITED STATES	94.699	686,570.35	103.695	751,788.75
530,000.000	CIGNA CORP NOTES	Morgan Stanley	UNITED STATES	102.071	540,977.35	113.702	602,620.60
1,955,000.000	CITIBANK CREDIT CARD ISSUANCE TRUST SERIES 2000	Morgan Stanley	UNITED STATES	102.477	2,003,416.80	115.781	2,263,518.55
860,000.000	CITICORP MED TERM NT TRANCHE# SB 00195	Morgan Stanley	UNITED STATES	91.411	786,134.60	110.367	949,156.20
85,000.000	CITIGROUP INC 5.625% DTD 26AUG2002 DUE 27AUG2012	Morgan Stanley	UNITED STATES	101.237	86,051.45	103.587	88,048.95
270,000.000	CONOCO INC NT DTD 04/20/1999 6.950% 04/15/2029	Morgan Stanley	UNITED STATES	112.998	305,094.60	113.622	306,779.40
340,000.000	COOPER INDUSTRIES LTD 5.250% MAT 07/01/2007	Morgan Stanley	UNITED STATES	99.890	339,626.00	105.463	358,574.20
815,000.000	CREDIT SUISSE FIRST BOSTON 5.875% DUE 01AUG2006	Morgan Stanley	UNITED STATES	99.828	813,598.20	106.478	867,795.70
800,000.000	CVS CORP NT DTD 08/15/1999 5.500% 02/15/2004	Morgan Stanley	UNITED STATES	102.726	821,808.00	103.714	829,712.00
440,000.000	EQUITABLE COS INC SR NT 6.500% 04/01/2008	Morgan Stanley	UNITED STATES	102.041	448,979.30	108.060	475,464.00
620,000.000	FLORIDA WINDSTORM UNDERWRITING ASSN	Morgan Stanley	UNITED STATES	96.412	597,755.95	113.227	702,007.40
850,000.000	GE GLOBAL INS HLDG CORP 7.000% 02/15/2026	Morgan Stanley	UNITED STATES	100.458	853,893.00	103.326	878,271.00
975,000.000	GENERAL ELEC CAP CORP MEDIUM TERM NTS	Morgan Stanley	UNITED STATES	98.392	959,322.35	105.877	1,032,300.75
680,000.000	GOLDMAN SACHS GROUP 6.875% DUE 15JAN2011	Morgan Stanley	UNITED STATES	100.917	686,236.00	111.039	755,065.20

<u>Face Amount or Shares</u>	<u>Security Title</u>	<u>Account Manager</u>	<u>Country</u>	<u>Unit Value- Cost</u>	<u>Book Value</u>	<u>Unit Value- Market</u>	<u>Market Value</u>
330,000.000	GOLDMAN SACHS GROUP INC 6.600% DUE 15JAN2012	Morgan Stanley	UNITED STATES	100.475	331,567.50	109.538	361,475.40
750,000.000	GTE CORP DEB 6.940% 04/15/2028	Morgan Stanley	UNITED STATES	92.496	693,720.00	92.447	693,352.50
745,000.000	HANCOCK JOHN MUT LIFE INS CO SURPLUS NT 02/15/2024	Morgan Stanley	UNITED STATES	94.637	705,047.20	109.675	817,078.75
745,000.000	HARTFORD LIFE 7.375% DTD 01MAR2001 DUE 01MAR2031	Morgan Stanley	UNITED STATES	103.966	774,546.00	106.984	797,030.80
620,000.000	HONEYWELL INTERNATIONAL 6.125% DUE 01NOV2011	Morgan Stanley	UNITED STATES	99.888	619,305.00	109.641	679,774.20
915,000.000	HOUSEHOLD FIN CORP DTD 02/05/1999 5.875% 02/01/2009	Morgan Stanley	UNITED STATES	90.027	823,748.05	91.885	840,747.75
240,000.000	JOHN HANCOCK GLOB FDG II SERIES 144A	Morgan Stanley	UNITED STATES	108.409	260,181.60	117.015	280,836.00
405,000.000	JP MORGAN CHASE 5.350% DUE 01MAR2007	Morgan Stanley	UNITED STATES	99.880	404,514.00	105.613	427,732.65
405,000.000	LOWES COS INC DEB DTD 02/09/1998	Morgan Stanley	UNITED STATES	88.199	357,203.95	112.521	455,710.05
640,000.000	LOWES COS INC DEB DTD 02/23/1999 6.500% 03/15/2029	Morgan Stanley	UNITED STATES	91.911	588,233.30	107.769	689,721.60
10,379,993.933	MORGAN STANLEY INSTIT FND ADVISORY MTGE PORTFOLIO	Morgan Stanley	UNITED STATES	10.253	106,423,004.90	10.740	111,481,134.84
460,000.000	MAY DEPARTMENT STORES CO 7.875% DUE 01MAR2030	Morgan Stanley	UNITED STATES	99.485	457,632.35	120.547	554,516.20
300,000.000	MAY DEPT STORES CO DEB 6.700% 09/15/2028	Morgan Stanley	UNITED STATES	85.867	257,599.70	104.481	313,443.00
315,000.000	MAY DEPT STORES CO NT DTD 10/30/1998 5.950% 11/01/2008	Morgan Stanley	UNITED STATES	90.812	286,057.80	108.521	341,841.15
900,000.000	MBNA MASTER CREDIT CARD TRUST SERIES 2000-E	Morgan Stanley	UNITED STATES	113.141	1,018,265.63	123.687	1,113,183.00
980,000.000	METROPOLITAN LIFE INS CO SURPLUS NT 144A	Morgan Stanley	UNITED STATES	97.915	959,569.10	112.084	1,098,423.20
570,000.000	NATIONWIDE FINANCIAL SVCS 6.250% DUE 15NOV2011	Morgan Stanley	UNITED STATES	99.525	567,292.50	107.112	610,538.40
100,000.000	NATIONWIDE MUTUAL INS CO 8.250% DUE 01DEC2031	Morgan Stanley	UNITED STATES	100.432	100,432.00	108.087	108,087.00
750,000.000	PRIME PPTY FDG II INC NT 144A	Morgan Stanley	UNITED STATES	99.780	748,350.00	106.235	796,762.50
1,210,000.000	PRUDENTIAL HLDGS LLC SERIES 144A	Morgan Stanley	UNITED STATES	101.245	1,225,060.90	114.569	1,386,284.90
670,000.000	PRUDENTIAL HOLDINGS LLC SERIES 144A	Morgan Stanley	UNITED STATES	101.840	682,325.00	114.043	764,088.10
160,000.000	SOUTHWEST AIRLINES CO 5.496% DUE 01NOV2006	Morgan Stanley	UNITED STATES	100.000	160,000.00	104.312	166,899.20
535,000.000	UNITED TECHNOLOGIES CORP 6.100% DUE 15MAY2012	Morgan Stanley	UNITED STATES	100.115	535,614.75	112.068	599,563.80
645,000.000	VERIZON NEW ENGLAND INC 6.500% DUE 15SEP2011	Morgan Stanley	UNITED STATES	99.774	643,542.30	104.426	673,547.70
1,140,000.000	VODAFONE GROUP PLC NOTES	Morgan Stanley	UNITED KINGDOM	100.561	1,146,395.40	112.457	1,282,009.80
1,232,184.600	WORLD FINL PPTYS TOWER B FIN CORP SER 1996	Morgan Stanley	UNITED STATES	99.650	1,227,875.85	104.125	1,283,012.21
					<u>136,091,182.72</u>		<u>144,102,247.28</u>
29,314,302.650	DB INSTITUTIONAL LIQUID ASSETS FUND	Deutsche Bank Reserve	UNITED STATES		29,314,302.65	100.000	29,314,302.65
254.220	DB INSTITUTIONAL LIQUID ASSETS FUND	Capital Guardian Trust	UNITED STATES		254.22	100.000	254.22
2,517,482.200	DB INSTITUTIONAL LIQUID ASSETS FUND	Morgan Stanley	UNITED STATES		2,517,482.20	100.000	2,517,482.20
1,776,044.820	DB INSTITUTIONAL LIQUID ASSETS FUND	European Investors, Inc.	UNITED STATES		1,776,044.82	100.000	1,776,044.82

2001 990PF
Tax ID #13-1628151

Carnegie Corporation of New York
Summary of Assets as of September 30, 2002

Schedule 7

Amended Return

<u>Face Amount or Shares</u>	<u>Security Title</u>	<u>Account Manager</u>	<u>Country</u>	<u>Unit Value- Cost</u>	<u>Book Value</u>	<u>Unit Value- Market</u>	<u>Market Value</u>
915,840.810	DB INSTITUTIONAL LIQUID ASSETS FUND	Westport Asset Management	UNITED STATES		915,840.81	100.000	915,840.81
866,821.090	DB INSTITUTIONAL LIQUID ASSETS FUND	Capital Guardian Trust	UNITED STATES		866,821.09	100.000	866,821.09
38,807.070	DB INSTITUTIONAL LIQUID ASSETS FUND	Southeastern	UNITED STATES		38,807.07	100.000	38,807.07
2,438,307.340	DEUTSCHE BANK INSTITUTIONAL LIQUID ASSET FUND	Southeastern International	UNITED STATES		2,438,307.34	1.000	2,524,659.34
0.010	DB INSTITUTIONAL LIQUID ASSETS FUND	Internally Managed Fixed Income	UNITED STATES		0.01	100.000	0.01
7.460	DB INSTITUTIONAL LIQUID ASSETS FUND	T. Rowe Price	UNITED STATES		7.46	100.000	7.46
5,825.960	CASH ON DEPOSIT				5,825.96		5,825.96
DUE TO BROKER ON REPURCHASE OF INVESTMENTS					(427,412.68)		(550,719.80)
TOTAL CORPORATE BOND OBLIGATIONS					<u>175,026,562.09</u>		<u>183,028,464.71</u>
TOTAL ASSETS					<u>\$ 789,825,865.86</u>		<u>\$ 694,332,691.19</u>

Amended Return

<u>OTHER INVESTMENTS</u>	<u>Column a</u>	<u>Column b</u>	<u>Column c</u>
<u>OTHER EQUITY FUNDS</u>			
ADAGE CAPITAL PARTNERS	0	61,709,269	53,879,984
ARISAIG ASIA FUND	0	20,000,000	27,926,825
BLAKENEY	12,000,000	12,000,000	12,744,000
SILCHESTER INTL VALUE EQUITY TRUST	0	57,093,869	54,554,542
K CAPITAL EUROPEAN VALUE FUND	0	10,000,000	8,315,842
INSTITUTIONAL PARTNERS	682,337	682,337	658,251
CUMBERLAND BENCHMARKED PARTNERSHIPS	51,940,316	48,881,900	45,389,800
BROOKSIDE	55,902,830	59,283,278	57,754,696
TOTAL OTHER EQUITY FUNDS	120,525,483	269,650,653	261,223,940
<u>PRIVATE EQUITY</u>			
<u>VENTURE CAPITAL</u>			
AUGUST CAPITAL III	4,223,796	4,347,753	4,335,433
BAIN CAPITAL VENTURE FUND	653,222	1,118,632	1,012,169
CHARLES RIVER X	2,905,157	2,548,541	1,944,140
CHARLES RIVER XI	722,557	1,005,709	874,548
GOLDA THOMA	22,397	0	0
HALPERN DENNY FUND III	2,401,980	2,591,218	2,520,913
IVP IV	259,599	158,170	552,841
IVP V	277,433	267,292	80,822
IVP VI	3,692,802	2,270,796	1,898,817
IVP VII	10,111,227	7,737,511	9,978,182
IVP VIII	14,701,570	14,383,011	11,973,538
RCS II	52,966	52,118	13,433
VERSANT VENTURES I	4,566,875	7,347,457	7,722,840
VERSANT VENTURES II	0	1,200,000	1,024,321
WESTON PRESIDIO III	5,310,229	5,583,984	7,390,137
WESTON PRESIDIO IV	806,552	1,655,418	1,629,323
REDPOINT VENTURES I	6,215,883	5,525,674	3,257,892
REDPOINT VENTURES II	1,065,658	1,045,592	834,596
WHITNEY V	773,543	3,320,260	3,293,307
SCF IV PARTNERS	2,820,791	2,724,365	3,722,943
J H WHITNEY IV	3,652,320	3,137,889	1,626,883
TOTAL VENTURE CAPITAL	65,236,557	68,021,390	65,687,078
<u>LEVERAGED BUYOUTS</u>			
<u>AEA INVESTORS, INC.</u>			
AEA INVESTORS	1,339,412	1,339,412	1,532,600
BDS INVESTORS	1,096,003	1,096,003	0
NATS INVESTORS	1,012,247	1,012,247	0
NBX INVESTORS	0	328,125	328,125
NORTH AMERICAN TRAINING	941,900	941,900	0
LEINER HEALTH/PLI INVESTORS	351,245	351,245	313,800
PMD INVESTORS	2,725,995	2,725,995	4,672,800
VNI INVESTORS	0	234,018	234,018
TRN INVESTORS II	0	92,007	92,007
ION INVESTORS	800,957	800,957	200,225
TPH INVESTORS	521,940	938,272	938,272
TTC INVESTORS	293,615	293,615	44,294
RMC INVESTORS	3,667,354	3,667,354	0
LFD INVESTORS	408,627	276,168	375,077
SPG INVESTORS	983,067	983,067	294,900
MBI INVESTORS	1,071,574	1,071,574	1,707,972
SSCI INVESTORS	3,154,020	3,154,020	3,154,020
BDC INVESTORS	142,311	142,311	0
RDC INVESTORS	185,614	185,614	0
VDC INVESTORS	223,001	209,216	58,475
XM INVESTORS	1,132,881	1,132,881	849,600
TOTAL AEA INVESTORS	20,051,763	20,976,001	14,796,186
<u>AEA SCANDINAVIA, LP</u>			
AEA SCANDINAVIA	63,486	63,486	63,486
AEA SCAND-STENQVIST HOLDING AB	454,067	454,067	655,322
AEA SCAND-EKCG GROUP	538,203	123,787	275,105
AEA SCAND-SCIENTIC PRODUCTS/STRUERS	876,043	175,208	331,675
AEC SCAND-TAC HOLDING AB	438,447	438,447	2,305,189
AEA SCAND-DUNI AB	2,252,058	2,252,058	2,035,526
AEA SCAND-FLEXLINK SYSTEMS	709,013	709,013	999,962
TOTAL AEA SCANDINAVIA	5,331,317	4,216,066	6,666,265

Amended Return

<u>OTHER INVESTMENTS</u>	<u>Column a</u>	<u>Column b</u>	<u>Column c</u>
MADISON-DEARBORN			
MADISON-DEARBORN II	4,206,904	2,674,568	3,783,993
MADISON-DEARBORN III	8,968,068	9,882,326	9,198,273
MADISON-DEARBORN IV	635,443	1,979,589	1,614,447
TOTAL MADISON DEARBORN	13,810,416	14,536,482	14,596,713
OTHER LEVERAGE BUYOUTS			
GTCR FUND VI	14,969,890	14,702,481	9,190,831
BAIN CAPITAL VI	3,041,426	3,216,371	2,541,253
AMERICAN SECURITIES II	14,686,953	12,929,756	12,929,756
DIMELING SCHREIBER & PARK	10,918,885	10,818,597	5,100,848
VESTAR CAPITAL PARTNERS IV	972,957	1,631,647	1,611,049
GTCR FUND VII	6,571,900	9,314,162	8,364,176
CCG INVESTMENT FUND	1,122,812	3,142,053	3,192,882
BAIN CAPITAL VII	1,190,299	1,183,896	1,016,251
ABRY PARTNERS IV	664,709	2,165,994	2,165,994
WILLIS STEIN III	1,509,759	3,672,906	2,824,026
AMERICAN SECURITIES III	90,943	286,348	286,348
DUKE STREET CAPITAL V	0	2,247,045	2,282,646
BAIN CAPITAL FUND VII-E	0	292,906	220,530
CANDOVER 2001 FUND	0	723,011	721,994
BERKSHIRE FUND VI	0	563,723	510,724
TOTAL OTHER LEVERAGED BUYOUTS	55,740,533	66,890,896	52,959,308
TOTAL LEVERAGED BUYOUTS	94,934,029	106,619,446	89,018,472
TOTAL PRIVATE EQUITY	160,170,586	174,640,837	154,705,550
<u>REAL ESTATE</u>			
JMB II	2,331	2,542	2,542
JMB III	995,030	593,721	488,039
DB SHORENSTEIN SWAP COLLATERAL	5,083,256	7,431,855	7,830,812
DB M&H SWAP COLLATERAL	2,030,904	4,953,187	4,953,187
DB DOUG EMMETT SWAP COLLATERAL	632,193	3,987,600	3,987,600
BROOKDALE INVESTORS II	3,209,658	3,640,760	3,874,715
BROOKDALE INVESTORS III	3,750,683	3,981,419	4,693,775
BROOKDALE INVESTORS IV	4,766,411	4,317,000	4,458,920
CMD REALTY INVESTORS III	7,788,315	7,845,788	8,496,973
CMD REALTY INVESTORS IV	6,838,809	6,858,837	8,044,156
DOUGLAS EMMETT REALTY 1996	12,081,000	12,711,868	14,009,000
DOUGLAS EMMETT REALTY 1997	10,690,000	10,948,480	13,147,000
DOUGLAS EMMETT REALTY 1998	10,004,000	10,126,786	11,748,000
TALCOTT REALTY III	0	80,906	14,491
OAKTREE - OCM REAL ESTATE OPP FUND LP	10,495,310	10,042,389	10,082,200
(TA) REALTY ASSOCIATES FUND IV	20,812,731	17,638,086	21,796,905
REALTY FINANCIAL PARTNERS IIA	2,114,698	1,904,351	1,727,953
REALTY FINANCIAL PARTNERS IV	12,471,820	10,726,209	10,146,980
SHORENSTEIN REALTY THREE	6,759,788	4,015,196	7,516,007
SHORENSTEIN REALTY FOUR	6,940,192	6,940,192	9,889,854
SHORENSTEIN REALTY VI (REIT)	0	4,351,759	4,282,441
ASLAN REALTY II (TRANSWESTERN)	0	1,208,404	1,208,404
(M & H IV)/CHR INVESTORS	9,891,863	9,207,303	13,563,079
LBA INDUSTRIAL FUND	0	115,050	78,586
AVANTI INVESTORS III	6,647,079	6,603,422	6,603,422
AVANTI INVESTORS IV	178,480	1,571,469	1,571,469
ACADIA REALTY TRUST (RD)	4,942,272	4,942,272	6,975,632
ACADIA INVESTMENTS INC	55,556	1,364,244	1,364,244
SC EUROPEAN REALTY	8,500,000	8,500,000	7,964,500
TOTAL REAL ESTATE (does not include EII)	157,682,378	166,611,095	190,520,887

Amended Return

OTHER INVESTMENTS	Column a	Column b	Column c
ABSOLUTE RETURN			
MILLER ANDERSON PARTNERSHIP	13,888,378	0	0
LANDSDOWNE EUROPEAN EQUITY FUND	0	26,220,973	25,709,578
OCM EMERGING MKTS FUND	0	15,000,000	16,089,025
REALTY FINANCIAL PARTNERS V	2,938,358	7,164,609	7,726,617
BRAHMAN INSTITUTIONAL PARTNERS	19,555,427	21,104,179	22,459,771
BAUPOST VALUE PARTNERS	25,000,000	27,566,435	29,043,195
CATALYST PARTNERS	15,000,000	13,601,539	11,487,171
FIR TREE	31,088,455	24,088,455	25,944,358
HARVEST CAPITAL	30,826,718	0	0
K CAPITAL PARTNERS	15,000,000	15,000,000	15,544,624
LONE PINON (LONE KAURI)	0	9,975,536	10,716,400
TYNDALL	21,036,338	26,149,571	31,886,196
WATERSHED CAPITAL	0	15,000,000	14,996,269
SATELLITE ASSET MANAGEMENT	25,000,000	25,000,000	26,576,767
STANDARD PACIFIC (SKIBO)	0	30,000,000	29,425,345
VARDE	39,450,837	45,718,555	40,418,526
TOTAL ABSOLUTE RETURN	238,784,511	301,589,852	308,023,841
	677,162,958	912,492,437	914,474,217
Reclass to Equities (Line 10b)			
DTI INVESTORS	0		
DB SHORENSTEIN SWAP COLLATERAL	(5,083,256)		
DB M&H SWAP COLLATERAL	(2,030,904)		
DB DOUG EMMETT SWAP COLLATERAL	(632,193)		
TTC INVESTORS	(293,615)		
TOTAL PARTNERSHIPS	669,122,992		

Amended Return

CARNEGIE CORPORATION OF NEW YORK - ID#13-1628151				
ATTACHMENTS TO 2001 990-PF, PART VIII - LINE 1				
FOUNDATION MANAGERS AND THEIR COMPENSATION				
OFFICERS, DIRECTORS, AND TRUSTEES				
FISCAL YEAR ENDED 9/30/2002				
			Contributions to Employee Benefits Plans and Deferred Compensation Column d	Other Benefits and Allowances Column e
<u>Foundation Managers</u>	<u>Title and Time Devoted to Position</u>	<u>Compensation Column c</u>		
Vartan Gregorian Carnegie Corporation of New York 437 Madison Avenue New York, NY 10022	President Full Time	\$527,500	\$68,451	50,000* 67,375**
D. Ellen Shuman Carnegie Corporation of New York 437 Madison Avenue New York, NY 10022	Vice President & Chief Investment Officer Full Time	401,750	59,133	26,000**
Neil Grabois Carnegie Corporation of New York 437 Madison Avenue New York, NY 10022	Vice President & Director for Strategic Planning and Program Coordination Full Time	213,125	56,921	18,750* 6,875**
Edward Sermier Carnegie Corporation of New York 437 Madison Avenue New York, NY 10022	Vice President & Chief Administrative Officer and Corporate Secretary Full Time	211,000	54,814	6,550**
Susan King Carnegie Corporation of New York 437 Madison Avenue New York, NY 10022	Vice President, Public Affairs Full Time	190,000	57,504	25,000* 1,150**
Armanda Famiglietti Carnegie Corporation of New York 437 Madison Avenue New York, NY 10022	Associate Corporate Secretary Full Time	77,000	27,386	
Total - Foundation Managers		\$1,620,375	\$324,209	\$201,700
Trustees - Total Honoraria (details on pp 2-4)		44,400		
Total - Foundation Managers and Trustees		\$1,664,775	\$324,209	\$201,700
*Housing allowance received.				
**Represents the value of options granted under the Corporation's Flex-Op plan.				

CARNEGIE CORPORATION OF NEW YORK - ID#13-1628151

2001 990-PF
FISCAL YEAR ENDED SEPTEMBER 30, 2002
PART VIII LINE 1

Amended Return

BOARD OF TRUSTEES

<u>Name and Address</u>	<u>Honoraria</u>
Vartan Gregorian Carnegie Corporation of New York 437 Madison Avenue New York, NY 10022	None
Bruce M. Alberts National Academy of Sciences 2101 Constitution Avenue, NW Washington, DC 20418	\$11,100
Geoffrey T. Boisi J.P. Morgan Chase 1211 Avenue of the Americas New York, NY 10036	Declines
James B. Hunt, Jr. Womble, Carlyle Sandridge & Rice 150 Fayetteville Street Mall Raleigh, NC 27602	9,900
Helene Kaplan Skadden, Arps, Slate, Meagher & Flom Four Times Square New York, NY 10036	Declines
Thomas H. Kean* Drew University Madison, NJ 07940	Declines
Martin L. Leibowitz TIAA-CREF 730 Third Avenue New York, NY 10017	Declines
Vincent A. Mai* AEA Investors Inc. 65 East 55 Street New York, NY 10022	Declines

CARNEGIE CORPORATION OF NEW YORK - ID#13-1628151

2001 990-PF
FISCAL YEAR ENDED SEPTEMBER 30, 2002
PART VIII LINE 1

Amended Return

BOARD OF TRUSTEES

<u>Name and Address</u>	<u>Honoraria</u>
William J. McDonough** Federal Reserve Bank of New York 33 Liberty Street New York, NY 10045	Declines
Sam Nunn King & Spalding 1730 Pennsylvania Avenue, NW Washington, DC 20006	Declines
Olara Otunnu United Nations 1 United Nations Plaza New York, NY 10017	Declines
William A. Owens Teledesic LLC 3740 Carillon Point Kirkland, WA 98033	11,100
Ruth J. Simmons Brown University 1 Prospect Street Providence, RI 02912	Declines
Raymond Smith Arlington Capital Partners 600 New Hampshire Avenue Washington, DC 20037	Declines
Marta Tienda Princeton University 21 Prospect Road Princeton, NJ 08544	12,300

CARNEGIE CORPORATION OF NEW YORK - ID#13-1628151

2001 990-PF
FISCAL YEAR ENDED SEPTEMBER 30, 2002
PART VIII LINE 1

Amended Return

BOARD OF TRUSTEES

Name and Address

Judy Woodruff
Cable News Network
820 First Street, NE
Washington, DC 20036

Honoraria

Declines

Total - Trustees

\$44,400

* Thomas H. Kean and Vincent A. Mai completed their terms of service at the February 2002 board meeting.

** William J. McDonough began his term of service at the February 2002 board meeting.

CARNEGIE CORPORATION OF NEW YORK

2001 990-PF 13-1628151

Amended Return

ATTACHMENT PART IX-A

Carnegie Corporation of New York (CC) was founded in 1911 for the advancement and diffusion of knowledge and understanding. CC fulfills its charter primarily by giving grants to organizations in the program areas selected by its President and Board of Trustees. (See Schedule 11 for identification of the current areas of concentration.) In addition to making grants, CC encourages staff members to give freely of their time to assist other non-profit organizations and governmental agencies involved in public service. This is accomplished through service on boards and advisory committees of other institutions, technical assistance, research, publication and dissemination of educational materials and the conduct of educational conferences, meetings and seminars.

Technical Assistance to Grantees

and Other Charitable Organizations:

\$436,751

This amount represents salary and overhead allocations of program staff for time spent advising grantees and other charitable organizations on a variety of subjects including, but not limited to, obtaining additional funding from other sources, reducing operating expenses, complying with government regulation, and maintaining accurate financial records.

Conducting Conferences and Meetings:

\$22,242

CC conducts several meetings per year in each of its program areas that bring together participants from various academic disciplines, and the public and private sectors, to exchange ideas and formulate solutions or methods of arriving at solutions to problems in their area of expertise. The meetings benefit these individuals and ultimately the communities they serve.

Publication and Dissemination of

Educational Materials:

\$1,755,601

Articles, books and other reading material, generated from the meetings mentioned above, from Carnegie-supported grantees and Carnegie staff, are regularly produced and distributed by CC to the general public and to those individuals most directly interested in their contents. The Corporation maintains a file of names and addresses to facilitate the dissemination program. Also included in this category is staff time and allocation of expenses for the preparation of the annual report.

CARNEGIE CORPORATION OF NEW YORK
2001 990-PF
13-1628151
ATTACHMENT PART IX-A

Schedule 10
Page 2 of 2

Amended Return

Other: \$85,935

The majority of this category represents the allocation of direct salaries, benefits, and overhead for staff involved on a full time basis, and for staff contributing portions of their time to the administration of four Corporation-sponsored activities: Technical assistance associated with the Teachers for a New Era Initiative and technical assistance and management services for the Schools for a New Society Initiative.

Service on Boards or Advisory Committees: \$226,971

The amount represents the cost to support the service of the Corporation's staff on boards or advisory committees of other charitable organizations.

TOTAL Direct Charitable Activities: \$2,527,500

CARNEGIE CORPORATION OF NEW YORK

2001 990-PF #13-1628151

PART XVI - Supplementary Information, Lines 2a, b, d

The charter of the Corporation provides that all funds are to be used to promote "the advancement and diffusion of knowledge and understanding." Grants must be broadly educational in nature, but they need not be limited to the formal educational system or to educational institutions. The foundation makes it a policy to select a few areas in which to concentrate its grants over a period of years.

The Corporation currently operates grant programs in four broad areas. The four programs are called the Education Division; International Peace and Security, International Development, and Strengthening U.S. Democracy.

There are no application forms. Grantseekers are asked to present a statement containing a description of the project's aims, amount of support required, duration, methods, personnel, and budget. The statement should be sent to Edward Sermier, Vice President and Chief Administrative Officer and Corporate Secretary, Carnegie Corporation of New York, 437 Madison Avenue, New York, NY 10022. His telephone number is (212) 371-3200.

Officers will review the proposal in light of their knowledge of the field and in relation to the current program priorities. If they wish to pursue matters further, they may request a more developed proposal. Additional materials may be required, including a formal request from the head of the organization and a more precise budget.

There are no deadlines. The Corporation reviews requests at all times of the year. The staff tries to respond within four months of the receipt of a proposal.

The Corporation does not make grants for basic operating expenses, endowments, or facilities of individual schools or school districts, colleges, universities, or human service organizations. It does not make program-related investments.

The Corporation does not generally make grants to individuals. On occasion, it will make a grant to a highly qualified individual for a project that is central to its stated program interests. It does not have a program of scholarships or travel grants.

The Corporation does not, as a matter of policy, provide to prospective grantseekers copies of successful proposals.

(ID # 3-1628151)

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
<p>B7005.00 Africa Leadership Foundation Inc. 1255 Fifth Avenue Apartment 7K New York, NY 10029 Support of the Africa Leadership Forum</p>						
10/14/99	410000.00	0.00	145000.00	0.00	0.00	145000.00
<p>B7217.00 African Women's Development Fund c/o Akina Mama wa Afrika 334-336 Goswell Road London EC1V 7LQ, United Kingdom Establishment and general support</p>						
10/12/00	500000.00	0.00	225000.00	142000.00	0.00	83000.00
<p>B2002.86 Ahmadu Bello University PMB 1045 Zaria, Kaduna State Nigeria Planning institutional development projects</p>						
07/16/02	0.00	50000.00	0.00	50000.00	0.00	0.00
<p>B2002.69 Association of Canadian Universities for Northern Studies 405-17 York Street, Suite 405 Ottawa, ON K1N 9J6 Canada International conference on arctic and circumpolar issues</p>						
06/04/02	0.00	40000.00	0.00	40000.00	0.00	0.00
<p>B7427.00 Botswana National Library Service Private Bag 0036 Gaborone Botswana Strengthening institutional capacity and the development of four model libraries</p>						
04/11/02	0.00	2032300.00	0.00	695913.00	0.00	1336387.00
<p>B2002.04 Centre for Higher Education Transformation Trust The Chet Trust P.O. Box 29204 Sunnyside 0132 South Africa Study of higher education in the EasternCape, South Africa</p>						
10/03/01	0.00	50000.00	0.00	50000.00	0.00	0.00
<p>B7315.00 City of Johannesburg Library and Information Services PO Box 78001 Sandton, 2146 South Africa Activities to increase literacy and reading enrichment programs for children and adolescents</p>						
06/14/01	500400.00	0.00	331833.00	171000.00	0.00	160833.00

Amended Return

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
B7316.00 Council on Higher Education 123 Schoeman Street Pretoria 0001 South Africa Design and implement a national quality assurance system for South African universities						
06/14/01	400000.00	0.00	400000.00	343463.00	0.00	56537.00
B7318.00 Department of Education, Republic of South Africa 123 Schoeman Street Pretoria 0001 South Africa Study to develop a national higher education information and application service						
06/14/01	283400.00	0.00	283400.00	283400.00	0.00	0.00
B7470.00 Department of Education, Republic of South Africa Sol Plaatje House 123 Schoesman Street Pretoria 0001, South Africa National scholarship program for undergraduate women						
06/13/02	0.00	3146900.00	0.00	81355.00	0.00	3065545.00
B7319.00 Durban Metropolitan Library Services PO Box 917 Durban, 4000 South Africa Activities to increase literacy and access to a community information database						
06/14/01	750000.00	0.00	442516.00	0.00	0.00	442516.00
B7320.00 Free State Provincial Library and Information Services Private Bag X20606 Bloemfontein, 9300 South Africa Activities to expand services, enhance collections for children and adults, and develop an electronic infrastructure						
06/14/01	650000.00	0.00	374088.00	0.00	0.00	374088.00
B7472.00 Human Sciences Research Council 134 Pretorius Street Private Bag X41 Pretoria 0001, South Africa Research and studies on higher education partnerships with industry						
06/13/02	0.00	150000.00	0.00	150000.00	0.00	0.00
B7393.00 Institute of Education, University of London 20 Bedford Way London WC1H 0AL United Kingdom Research and dissemination on the sustainability of interventions to improve gender equity at Ugandan and Tanzanian univ						
02/07/02	0.00	197700.00	0.00	0.00	0.00	197700.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-----	-----	-----	-----	-----	-----	-----

B7428.00 Kenya National Library Service
 Ngong Road, Community
 PO Box 30573
 Nairobi, Kenya
 Strengthening institutional capacity and the development of four model libraries

Amended Return

04/11/02	0.00	2074400.00	0.00	0.00	0.00	2074400.00
----------	------	------------	------	------	------	------------

B7159.00 Library and Information Association of South Africa
 Private Bag XI
 WITS 2050
 SOUTH AFRICA
 Institutional support

06/08/00	249400.00	0.00	154000.00	83167.00	0.00	70833.00
----------	-----------	------	-----------	----------	------	----------

B7321.00 Makerere University
 P.O. Box 7062
 Kampala
 Uganda
 Institutional development

06/14/01	2015000.00	0.00	2015000.00	840667.00	0.00	1174333.00
----------	------------	------	------------	-----------	------	------------

B7322.00 Makerere University
 P.O. Box 7062
 Kampala
 Uganda
 Scholarship program for undergraduate women

06/14/01	1000000.00	0.00	765000.00	348000.00	0.00	417000.00
----------	------------	------	-----------	-----------	------	-----------

B7429.00 Makerere University
 PO Box 7062
 Kampala
 Uganda
 Institutional development

04/11/02	0.00	1065100.00	0.00	490211.00	0.00	574889.00
----------	------	------------	------	-----------	------	-----------

B2002.89 Makerere University
 P.O. Box 7062
 Kampala
 Uganda
 Purchase and installation of blackboards

07/17/02	0.00	10000.00	0.00	10000.00	0.00	0.00
----------	------	----------	------	----------	------	------

B7323.00 Ministry of Education, Republic of South Africa
 Sol Plaatje House, 123 Schoeman Street
 Private Bag X603
 Pretoria 0001, South Africa
 Project to strengthen history pedagogy and enhance the status of history within the education system

06/14/01	500000.00	0.00	275196.00	155278.00	0.00	119918.00
----------	-----------	------	-----------	-----------	------	-----------

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
87324.00 Mpumalanga Provincial Library and Information Services PD Box 1243 Nelspruit 1200 South Africa Activities to provide electronic networking and internet access to public libraries and bookmobiles						
06/14/01	493900.00	0.00	342717.00	0.00	0.00	342717.00
82002.18 National Council for Tertiary Education P.O. Box MB, 28 Accra Ghana Case study on change and transformation in Ghana's universities						
12/27/01	0.00	40000.00	0.00	40000.00	0.00	0.00
87328.00 Nelson Mandela Metropolitan Municipal Library Services 116 Port Elizabeth, 600 South Africa Activities to increase services for children, expand services in underserved areas, and train staff						
06/14/01	750000.00	0.00	347650.00	0.00	0.00	347650.00
87395.00 New York University Elmer Holmes Bobst Library 70 Washington Square South New York, NY 10003 Position at the Steinhardt School of Education to facilitate the work of foundation partners to strengthen African univ						
02/07/02	0.00	89900.00	0.00	52442.00	0.00	37458.00
82002.94 New York University 82 Washington Square East, 6th Floor New York, NY 10003-6680 Publication of monograph series and website						
08/01/02	0.00	17900.00	0.00	0.00	0.00	17900.00
87329.00 Northern Cape Provincial Library and Information Services P.O. Box 532 Kimberly, 8300 South Africa Activities to increase literacy, preserve indigenous languages, enhance technology and train staff						
06/14/01	666500.00	0.00	399662.00	0.00	0.00	399662.00
82002.85 Obafemi Awolowo University Ile-Ife Nigeria Planning institutional development projects						
07/16/02	0.00	49800.00	0.00	49800.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-----	-----	-----	-----	-----	-----	-----

Amended Return

B7430.00						
Rand Afrikaans University P.O. Box 524 Auckland Park 2006 Republic of South Africa Establishment of a four-year degree in accounting at the University of Fort Hare, in partnership with Rand Afrikaans Uni						
04/11/02	0.00	1000000.00	0.00	259250.00	0.00	740750.00
B7271.00						
Rhodes University Grahamstown 6140 South Africa Project to train teachers and strengthen a research center for science, mathematics and technology						
04/12/01	817500.00	0.00	817500.00	215128.00	0.00	602372.00
B7330.00						
South African Universities Vice Chancellors Association P.O. Box 27392 Sunnyside, Pretoria 0132 South Africa Develop the capacity of a quality assurance system for South African universities						
06/14/01	129000.00	0.00	129000.00	129000.00	0.00	0.00
B7327.00						
The NBI Foundation, Inc. 205 East 42nd Street Suite 1900 New York, NY 10017-5706 Project to improve the quality of SouthAfrican schools by training schools leaders and teachers in evaluation, managemen						
06/14/01	499700.00	0.00	499700.00	499700.00	0.00	0.00
B7474.00						
University of Stellenbosch Private Bag X1 Matieland 7602 South Africa Research and dissemination on the transformative factors that affect the production and utilization of scientific knowle						
06/13/02	0.00	150000.00	0.00	93000.00	0.00	57000.00
B7314.00						
University of Cape Town Private Bag, Rondebosch 7701 South Africa Development of science and engineering capacity in selected African universities						
06/14/01	705600.00	0.00	705600.00	276738.00	0.00	428862.00
B7431.00						
University of Cape Town Private Bag Rondebosch 7701 South Africa Establishment of a merster degree program in structural biology, in partnershipwith the university of the Western Cape						
04/11/02	0.00	1093900.00	0.00	762867.00	0.00	331033.00

Appropriation Date/Number	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
87214.00 University of Dar es Salaam PO Box 35091 Dar es Salaam Tanzania Implementation of institutional transformation						
10/12/00	3491000.00	0.00	1726583.00	974916.00	0.00	751667.00
87317.00 University of Dar es Salaam P.O. Box 35091 Dar es Salaam Tanzania Scholarship program for undergraduate women						
06/14/01	1000000.00	0.00	751090.00	354348.00	0.00	396742.00
87469.00 University of Dar Es Salaam P.O. Box 35091 Dar Es Salaam Tanzania Activities to promote the incorporation of gender concerns into the university's curriculum, research and administration						
06/13/02	0.00	199100.00	0.00	78250.00	0.00	120850.00
87471.00 University of Ghana P.O. Box LG25 Legon, Accra Ghana Enhancement and consolidation of quality teaching and research						
06/13/02	0.00	677000.00	0.00	554244.00	0.00	122756.00
82002.87 University of Jos PMB 2084 Bauchi Road, Jos, Plateau State Nigeria Planning institutional development projects						
07/16/02	0.00	50000.00	0.00	50000.00	0.00	0.00
87325.00 University of Natal-Durban 232 King George V Avenue Durban 4041 South Africa Establish the KwaZulu Natal Centre for HIV/AIDS Networking						
06/14/01	1120700.00	0.00	816333.00	330733.00	0.00	485600.00
87326.00 University of Natal-Durban 232 King George V Avenue Durban 4041 South Africa Science and Mathematics teacher development project in the province of KwaZulu Natal						
06/14/01	467100.00	0.00	467100.00	184717.00	0.00	282383.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
87473.00						
University of Pretoria						
Pretoria 0002						
South Africa						
Develop and implement advanced training programs in international trade and investment						
06/13/02	0.00	1000000.00	0.00	325375.00	0.00	674625.00
87273.00						
University of South Africa						
P.O. Box 392, Unisa 0003						
South Africa						
Establishment of the Centre for the Improvement of Mathematics, Science, and Technology Education						
04/12/01	1086100.00	0.00	796500.00	302358.00	0.00	494142.00
87432.00						
University of Stellenbosch						
Private Bag XI						
Matieland 7602						
South Africa						
Collaborative program with the Medical University of Southern Africa on the Management of HIV/AIDS in the Workplace						
04/11/02	0.00	1000000.00	0.00	446004.00	0.00	553996.00
87475.00						
University of the Western Cape						
Private Bag X17						
Bellville 7535						
South Africa						
Partnership with universities and technical colleges to research and disseminate the application of information and comm						
06/13/02	0.00	1000000.00	0.00	415800.00	0.00	584200.00
87476.00						
University of Western Cape						
Private Bag X17						
Bellville 7535						
South Africa						
Study of the reconfiguration of South Africa's higher education institutions						
06/13/02	0.00	151700.00	0.00	84725.00	0.00	66975.00
87331.00						
University of Witwatersrand						
Private Bag 3						
Wits 2050						
South Africa						
Establish the University of Witwatersrand AIDS Research Institute						
06/14/01	500000.00	0.00	500000.00	367500.00	0.00	132500.00
87046.00						
University of Zimbabwe						
P. O. Box MP167						
Harare,						
Zimbabwe						
Science and technology policy dialogue in Zimbabwe						
02/01/00	150000.00	0.00	30000.00	30000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-----	-----	-----	-----	-----	-----	-----
GRANT TOTALS FOR IP		15335700.00	13740468.00	10811349.00	0.00	18264819.00

Amended Return

Appropriation Date/Gnumber -----	Prior Appropriations -----	Current Appropriations -----	Prior Balance -----	Current Paid -----	Current Cancel/Return -----	New Balance -----
B6978.02 Carnegie Corporation of New York						
437 Madison Avenue						
New York, NY 10022						
Amended Return						
Expenses related to research and writing by David Hamburg in the areas of conflict resolution and education						
10/12/00	177805.26	0.00	0.00	0.00	0.00	0.00
B7187.00 Carnegie Corporation of New York						
437 Madison Avenue						
New York, NY 10022						
Technical assistance and management services for the Schools for a New Society Initiative						
10/12/00	380000.00	0.00	0.00	0.00	0.00	0.00
B7188.00 Carnegie Corporation of New York						
437 Madison Avenue						
New York, NY 10022						
Program dissemination of the Corporation's work						
10/12/00	1011144.08	0.00	0.00	0.00	0.00	0.00
B7299.00 Carnegie Corporation of New York						
Start-up costs and technical assistance associated with the Teachers for a New Era initiative						
06/14/01	104812.18	0.00	0.00	0.00	0.00	0.00
B7342.00 Carnegie Corporation of New York						
Technical assistance and management services for the Schools for a New Society Initiative						
06/14/01	163966.79	0.00	0.00	0.00	0.00	0.00
B7351.00 Carnegie Corporation of New York						
Program dissemination of the Corporation's work						
10/11/01	0.00	1456276.53	0.00	1456276.53	0.00	0.00
B7349.00 Carnegie Corporation of New York						
Technical assistance, evaluation, and management services for the Schools for a New Society Initiative						
10/11/01	0.00	1245288.90	0.00	1245288.90	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-----	-----	-----	-----	-----	-----	-----

87350.00 Carnegie Corporation of New York

Amended Return

Start-up costs and technical assistance associated with the Teachers for a New Era initiative

10/11/01	0.00	400000.00	0.00	400000.00	0.00	0.00
----------	------	-----------	------	-----------	------	------

86978.03 Carnegie Corporation of New York

Expenses related to research and writing by David Hamburg, in the areas of conflict resolution and education

10/11/01	0.00	98616.16	0.00	98616.16	0.00	0.00
----------	------	----------	------	----------	------	------

86978.00 Carnegie Corporation of New York

Expenses related to research and writing by David Hamburg, in the areas of conflict resolution and education

10/11/01	0.00	0.00	0.00	0.00	0.00	0.00
----------	------	------	------	------	------	------

87457.00 Carnegie Corporation of New York

Start-up costs and technical assistance associated with the Teachers for a New Era initiative

06/13/02	0.00	96746.61	0.00	96746.61	0.00	0.00
----------	------	----------	------	----------	------	------

GRANT TOTALS FOR UA

		3296928.20	0.00	3296928.20	0.00	0.00
--	--	------------	------	------------	------	------

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-----	-----	-----	-----	-----	-----	-----

82002.00

Amended Return

10/01/01	0.00	0.00	0.00	0.00	0.00	0.00
80001.00						
52nd Street Project, Inc. 500 West 52nd Street, #2B 2nd Floor New York, NY 10019 Support						
02/07/02	0.00	50000.00	0.00	50000.00	0.00	0.00
80002.00						
Aaron Davis Hall, Inc. West 135th St and Convent Avenue New York, NY 10031 Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
87458.00						
Academy for Educational Development, Inc 1875 Connecticut Avenue, NW Washington, DC 20009-1202 Teachers for a New Era: a Corporation initiative to reform and improve the education of teachers						
06/13/02	0.00	6553800.00	0.00	0.00	0.00	6553800.00
87404.00						
Action Without Borders, Inc. 350 Fifth Avenue, Suite 6614 New York, NY 10118 Expansion of Idealist.org, a career service website for nonprofits and nonprofit job-seekers						
02/07/02	0.00	200000.00	0.00	116667.00	0.00	83333.00
87453.00						
Adolph Reed 20 Livingston Street New Haven, CT 06511 Race in American Life: What It Is, What It Isn't/How It Works, How It Doesn't						
04/11/02	0.00	96442.00	0.00	53000.00	0.00	43442.00
80003.00						
Alliance for the Arts, Inc. 330 West 42nd Street, #1701 New York, NY 100361 Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-----	-----	-----	-----	-----	-----	-----
80004.00 Alliance of Resident Theatres/New York 575 Eighth Avenue Suite 17 South New York, NY 10018-3011 Support						
				Amended Return		
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
87076.00 American Academy of Arts and Sciences Norton's Woods 136 Irving Street Cambridge, MA 02138-1996 Project on stability in the post-Sovietterritory						
04/13/00	548400.00	0.00	296951.00	0.00	0.00	296951.00
82002.27 American Academy of Arts and Sciences Norton's Woods 136 Irving Street Cambridge, MA 02138-1996 Publication and dissemination of a study on the causes and consequences of grade inflation at universities						
01/15/02	0.00	25000.00	0.00	25000.00	0.00	0.00
87396.00 American Academy of Arts and Sciences Norton's Woods 136 Irving Street Cambridge, MA 02138-1996 Research and dissemination on the military and commercial use of space						
02/07/02	0.00	497000.00	0.00	156440.00	0.00	340560.00
87189.00 American Association of Colleges for Teacher Education 1307 New York Avenue, NW Suite 300 Washington, DC 20007 Accreditation assistance to teacher preparation institutions and strengthening teacher candidate assessment						
10/12/00	520200.00	0.00	234917.00	234917.00	0.00	0.00
87172.00 American Civil Liberties Union Foundation, Inc. 125 Broad Street New York, NY 10004-2400 Support of its voting rights project						
06/08/00	300000.00	0.00	125000.00	125000.00	0.00	0.00
87198.00 American Council of Learned Societies 228 East 45th Street New York, NY 10017 Fellowships in the humanities for scholars in the former Soviet Union						
10/12/00	1065000.00	0.00	832067.00	444650.00	0.00	387417.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
82002.B3 American Enterprise Institute for Public Policy Research 1150 17th Street, NW Washington, DC 20036						
Amended Return						
Form a bipartisan commission to consider ways to ensure the continuity of government after a terrorist attack						
09/12/02	0.00	50000.00	0.00	0.00	0.00	50000.00
80074.00 American Folk Art Museum 1414 Avenue of the Americas 7th Floor New York, NY 10019 Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
82001.85 American Historical Association 400 A Street, SE Washington, DC 20003						
Feasibility study to develop a national history center						
09/14/01	50000.00	0.00	50000.00	50000.00	0.00	0.00
87111.00 American Historical Association 400 A Street, SE Washington, DC 20003						
Study of graduate training in history						
06/08/00	346300.00	0.00	214900.00	160200.00	0.00	54700.00
87441.00 American Humanics, Inc. 4601 Madison Avenue Kansas City, MO 64112						
Strengthening a national network of higher education institutions and nonprofit organizations to prepare and certify und						
04/11/02	0.00	85000.00	0.00	0.00	0.00	85000.00
87259.00 American International Health Alliance, Inc. 1212 New York Avenue, NW Suite 750 Washington, DC 20005						
Study of social cohesion in Russia						
04/12/01	249500.00	0.00	132545.00	132545.00	0.00	0.00
87477.00 American Library Association 50 East Huron Street Chicago, IL 60611-2795						
Model project to strengthen academic libraries in the South Caucasus						
06/13/02	0.00	125000.00	0.00	90250.00	0.00	34750.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-----	-----	-----	-----	-----	-----	-----
80005.00 American Museum of the Moving Image 36-01 35th Avenue Astoria, NY 11106						
Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
80006.00 American Music Center, Inc. 30 West 26th Street Suite 1001 New York, NY 10010-2011						
Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
82002.71 American Physical Society One Physics Ellipse College Park, MD 20740						
Production and dissemination of a study of the science and technology of boost-phase systems for national missile defense						
06/04/02	0.00	25000.00	0.00	25000.00	0.00	0.00
80007.00 American Place Theatre, Inc. 111 West 46th Street New York, NY 10036						
Support						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
87190.00 American Youth Policy Forum 1836 Jefferson Place, NW Washington, DC 200036						
Public education activities to improve policies in urban secondary education						
10/12/00	400000.00	0.00	238200.00	132076.00	0.00	106124.00
80008.00 Amigos Del Museo Del Barrio 1230 Fifth Avenue New York, NY 10029						
Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
87295.00 Amy Stuart Wells 1034 17th Street, No. 111 Santa Monica, CA 90403						
Research project titled, In Search of Uncommon Schools: Charter School Reform in Historical Perspective						
04/12/01	56967.00	0.00	28967.00	28967.00	0.00	0.00

Amended Return

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-----	-----	-----	-----	-----	-----	-----
80009.00 Anthology Film Archives 32 Second Avenue New York, NY 10003 Support						
	0.00	25000.00	0.00	25000.00	0.00	0.00
87164.00 Appleseed Foundation, Inc. 910 17th Street, NW Suite 315 Washington, DC Appleseed Electoral Reform Projects						
	150000.00	0.00	41667.00	0.00	0.00	41667.00
80011.00 Artists Space, Inc. 38 Greene Street 3rd Floor New York, NY 10013 Support						
	0.00	25000.00	0.00	25000.00	0.00	0.00
80012.00 Arts Connection, Inc. 120 West 46th Street New York, NY 10036 Support						
	0.00	100000.00	0.00	100000.00	0.00	0.00
80010.00 Arts in General, Inc. 79 Walker Street New York, NY 10013-3523 Support						
	0.00	50000.00	0.00	50000.00	0.00	0.00
80013.00 Asian American Arts Alliance 74 Varick Street Suite 302 New York, NY 10013 Support						
	0.00	25000.00	0.00	25000.00	0.00	0.00
87152.00 Aspen Institute Berlin Inselstrasse 10 Berlin D-141 29 GERMANY German-American-Russian policy dialogue						
	400700.00	0.00	170670.00	170670.00	0.00	0.00

Amended Return

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-----	-----	-----	-----	-----	-----	-----
87139.00 Aspen Institute, Inc. One Dupont Circle, NW Suite 700 Washington, DC 20036 Aspen Strategy Group						
				Amended Return		
06/08/00	300000.00	0.00	125000.00	125000.00	0.00	0.00
87224.00 Aspen Institute, Inc. One Dupont Circle Suite 700 Washington, DC 20036-1193 Support of the urban high school reformproject						
02/01/01	466500.00	0.00	200059.00	0.00	0.00	200059.00
87352.00 Association of American College and Universities 1818 R Street, NW Washington, DC 20009-1604 Development, dissemination, and institutionalization of practices designed to strengthen liberal arts education						
10/11/01	0.00	726900.00	0.00	726900.00	0.00	0.00
82002.90 Association of American Colleges and Universities 1818 R Street, NW Washington, DC 20009-1604 Campaign to engage the public in discussion of the goals and practices of liberal education						
07/17/02	0.00	50000.00	0.00	50000.00	0.00	0.00
87416.00 Atlanta-Fulton Library System One Margaret Mitchell Square Athens, GA 30303-1089 One-time funding to expand the international book collection						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
82002.07 Atlantic Council of the United States, Inc. 910 17th Street, NW Suite 1000 Washington, DC 20006 Convening leaders from the US Congress, German Bundestag, and the British Parliament to examine issues related to changi						
10/26/01	0.00	24500.00	0.00	24500.00	0.00	0.00
82002.09 Atlantic Council of the United States, Inc. 910 17 Street, NW Suite 1000 Washington, DC 20006 Project on Russia's integration with the West						
11/07/01	0.00	49900.00	0.00	49900.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
80014.00 Atlantic Theater Company 336 West 20th Street New York, NY 10011						
Amended Return						
Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
82002.39 Australian National University Canberra ACT 2601 Australia						
Research and writing on internal conflict and conflict management in the Asian-Pacific region						
03/08/02	0.00	24900.00	0.00	24900.00	0.00	0.00
80015.00 Ballet Hispanico of New York 167 West 89th Street New York, NY 10024						
Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
80016.00 Ballet Tech Foundation, Inc. 890 Broadway New York, NY 10003						
Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
87235.00 Bard College Annadale Road P.O. Box 5000 Annadale-on-Hudson, NY 12504 Faculty and curriculum development at Smolny College in Russia						
02/01/01	300000.00	0.00	124583.00	124583.00	0.00	0.00
87459.00 Bard College P.O. Box 5000 Annadale-on-Hudson, NY 12504						
Support of the Bard High School Early College model for urban public education in the liberal arts						
06/13/02	0.00	300000.00	0.00	187500.00	0.00	112500.00
80017.00 Bargemusic Ltd. Fulton Ferry Landing Brooklyn, NY 11201						
Support						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00

Appropriation Date/Gnumber -----	Prior Appropriations -----	Current Appropriations -----	Prior Balance -----	Current Paid -----	Current Cancel/Return -----	New Balance -----
--	----------------------------------	------------------------------------	---------------------------	--------------------------	-----------------------------------	-------------------------

87285.00 Benjamin Highton
One Shields Avenue
Davis, CA 95616-8682

Amended Return

Research project titled, African American Representation in the US Congress

04/12/01	100000.00	0.00	60000.00	60000.00	0.00	0.00
----------	-----------	------	----------	----------	------	------

87104.00 Beverly Mack
University of Kansas
104 Lippincott
Lawrence, KS 66045
Research project titled Pre-Eighteenth Century Muslim Women's Scholarship and Social Activism in West and North Africa

04/13/00	98600.00	0.00	98600.00	24650.00	0.00	73950.00
----------	----------	------	----------	----------	------	----------

87298.00 Board of Control for Southern Regional Education
592 Tenth Street, NW
Atlanta, GA 30318-5790

Strengthening the implementation of a comprehensive school reform design for high schools in urban districts

06/14/01	900000.00	0.00	621118.00	316451.00	0.00	304667.00
----------	-----------	------	-----------	-----------	------	-----------

80018.00 Borough of Manhattan Community College Performing Arts Center, Inc.
199 Chambers Street
#S110C
New York, NY 10007
Support

02/07/02	0.00	50000.00	0.00	50000.00	0.00	0.00
----------	------	----------	------	----------	------	------

82001.58 Boston University
145 Bay State Road
Boston, MA 02215

Conference on the challenges to the existing political structure of the world

06/27/01	25000.00	0.00	25000.00	25000.00	0.00	0.00
----------	----------	------	----------	----------	------	------

82002.37 Boston University
Boston, MA 02215

WBUR Group radio stations to support programming on Islam and foreign policy

03/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
----------	------	-----------	------	-----------	------	------

82002.42 Boston University
Boston, MA 02215

International conference on education in the twenty-first century

03/14/02	0.00	25000.00	0.00	25000.00	0.00	0.00
----------	------	----------	------	----------	------	------

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B2002.A9 Botswana Institute for Development Policy Analysis Private Bag BR-29 Gaborone Botswana Memoir on the contributions of Sir Ketumile Masire to nation-building in Africa						
09/12/02	0.00	50000.00	0.00	0.00	0.00	50000.00
B0019.00 Boys Choir of Harlem, Inc. 2005 Madison Avenue New York, NY 10035 Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
B2002.70 Brandeis University Waltham, MA 02454 Andrei Sakharov Archives and Human Rights Center						
06/04/02	0.00	25000.00	0.00	25000.00	0.00	0.00
B7293.00 Brian Taylor 455 W. Lindsey Room 205 Norman, OK 73019-2001 Research project titled, State Power and Russia's Regions						
04/12/01	100000.00	0.00	100000.00	50000.00	0.00	50000.00
B7147.00 British American Security Information Council 1900 L Street, NW Suite 401-3 Washington, DC 20036 Advancing the research agenda of the organization						
06/08/00	150000.00	0.00	62500.00	62500.00	0.00	0.00
B0020.00 Bronx Council on the Arts, Inc. 1738 Hone Avenue Bronx, NY 10461-1486 Support						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
B0021.00 Bronx County Historical Society 3309 Bainbridge Avenue Bronx, NY 10467 Support						
02/07/02	0.00	50000.00	0.00	50000.00	0.00	0.00

Amended Return

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
<p>B0022.00 Museum of the Arts 1040 Grand Concourse Bronx, NY 10456-3999</p> <p style="text-align: center;">Amended Return</p> <p>Support</p>						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
<p>B7140.00 Brookings Institution 1775 Massachusetts Avenue, NW Washington, DC 20036-2188</p> <p>Research and dissemination on U.S. intervention policy in self-determination disputes</p>						
06/08/00	155000.00	0.00	90800.00	0.00	90800.00	0.00
<p>B7478.00 Brookings Institution 1775 Massachusetts Avenue, NW Washington, DC 20036</p> <p>Foreign Policy Studies program</p>						
06/13/02	0.00	800000.00	0.00	498875.00	0.00	301125.00
<p>B0023.00 Brooklyn Academy of Music, Inc. 30 Lafayette Avenue Brooklyn, NY 11217</p> <p>Support</p>						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
<p>B0024.00 Brooklyn Arts Council, Inc. 195 Cadman Plaza West Brooklyn, NY 11201</p> <p>Support</p>						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
<p>B0025.00 Brooklyn Botanic Garden Corp 1000 Washington Avenue Brooklyn, NY 11225-1099</p> <p>Support</p>						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
<p>B0026.00 Brooklyn Children's Museum 145 Brooklyn Avenue Brooklyn, NY 11213</p> <p>Support</p>						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
80027.00						
Brooklyn Conservatory of Music						
58 Seventh Avenue						
Brooklyn, NY 11217-3608						
Support						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
80028.00						
Brooklyn Historical Society						
45 Main Street						
Room 617						
Brooklyn, NY 11201						
Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
87417.00						
Brooklyn Library Foundation, Inc.						
Grand Army Plaza						
Brooklyn, NY 11238-5619						
One time funding to expand the book collections for children and young adults						
02/07/02	0.00	300000.00	0.00	300000.00	0.00	0.00
80029.00						
Brooklyn Philharmonic Symphony Orchestra, Inc.						
One Hanson Place						
Suite 1806						
Brooklyn, NY 11243						
Support						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
80030.00						
Brooklyn Youth Chorus, Inc.						
138A Court Street						
Brooklyn, NY 11201-6209						
Support						
02/07/02	0.00	50000.00	0.00	50000.00	0.00	0.00
82002.12						
Brown University						
Office of the President						
1 Prospect Street, Campus Box 1860						
Providence, RI 02912						
Development and distribution of curriculum resources about the issues surrounding the September 11 attacks for high scho						
11/21/01	0.00	48800.00	0.00	30883.00	0.00	17917.00
87399.00						
Brown University						
Providence, RI 02912						
Joint project with the Russian Institute of Ethnology and Anthropology on Russia's first census						
02/07/02	0.00	343900.00	0.00	205993.00	0.00	137907.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-------------------------------	-------------------------	---------------------------	------------------	-----------------	--------------------------	----------------

87442.00 Brown University
Providence, RI 02912

Amended Return

Campus Compact's national initiative to encourage higher education institutions to integrate civic engagement education

04/11/02	0.00	900000.00	0.00	350000.00	0.00	550000.00
----------	------	-----------	------	-----------	------	-----------

87254.00 Campaign for Fiscal Equity, Inc.
6 East 43rd Street
19th Floor
New York, NY 10017

Project to research and promote strategies that link educational litigation, civic engagement, and high academic standar

04/12/01	300000.00	0.00	125000.00	125000.00	0.00	0.00
----------	-----------	------	-----------	-----------	------	------

86875.02 Carnegie Corporation of New York
437 Madison Avenue
New York, NY 10022

Matching gift program

03/01/02	0.00	312782.00	0.00	312782.00	0.00	0.00
----------	------	-----------	------	-----------	------	------

87237.00 Carnegie Endowment for International Peace
1779 Massachusetts Avenue, NW
Washington, DC 20036

Project on nonproliferation

02/01/01	500000.00	0.00	208333.00	208333.00	0.00	0.00
----------	-----------	------	-----------	-----------	------	------

87238.00 Carnegie Endowment for International Peace
1779 Massachusetts Avenue, NW
Washington, DC 20036

Carnegie Moscow Center

02/01/01	900000.00	0.00	375000.00	375000.00	0.00	0.00
----------	-----------	------	-----------	-----------	------	------

82002.83 Carnegie Endowment for International Peace
1779 Massachusetts Avenue, NW
Washington, DC 20036

Project to promote positive political change in regions of conflict

07/12/02	0.00	50000.00	0.00	50000.00	0.00	0.00
----------	------	----------	------	----------	------	------

87406.00 Carnegie Foundation for the Advancement of Teaching
555 Middlefield Road
Menlo Park, CA 94025

Project to identify, assess, and distribute exemplary college-level courses and programs that educate undergraduate stud

02/07/02	0.00	300000.00	0.00	115833.00	0.00	184167.00
----------	------	-----------	------	-----------	------	-----------

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
<p>87448.00 Carol Lancaster Georgetown University School of Foreign Service Washington, DC 20057 Fifty Years of Foreign Aid: An Analytical History</p> <p style="text-align: center;">Amended Return</p>						
04/11/02	0.00	75750.00	0.00	18937.50	0.00	56812.50
<p>87094.00 Caroline Hoxby Cambridge, MA 02138</p> <p>Research project titled Ideal Vouchers, Ideal Charter School Tuition: Price Mechanisms That Solve Problems Potentially C</p>						
04/13/00	49300.00	0.00	12325.00	12325.00	0.00	0.00
<p>80031.00 Castillo Cultural Center, Inc. 500 Greenwich Street, Suite 201 New York, NY 10013</p> <p>Support</p>						
02/07/02	0.00	50000.00	0.00	50000.00	0.00	0.00
<p>82002.81 Catholic Legal Immigration Network, Inc. McCormick Pavilion 415 Michigan Avenue, NE Washington, DC 20017</p> <p>Pilot project to improve naturalizationservices and civic integration in immigrant communities on the border between US</p>						
07/12/02	0.00	25000.00	0.00	25000.00	0.00	0.00
<p>82002.A8 CEC International Partners, Inc. 12 West 31st Street Suite 400 New York, NY 10001-4415</p> <p>Research and a publication on Russia</p>						
09/11/02	0.00	25000.00	0.00	0.00	0.00	25000.00
<p>80032.00 Center for Arts Education, Inc. 225 West 34th Street Suite 701 New York, NY 10122</p> <p>Support</p>						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
<p>87300.00 Center for Collaborative Education, Metro Boston, Inc. One Renaissance Park 1135 Tremont Street, Suite 940 Boston, MA 02120</p> <p>Enhance the effectiveness and sustainability of the "Turning Points" model for comprehensive middle school reform</p>						
06/14/01	900000.00	0.00	550000.00	300000.00	0.00	250000.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-----	-----	-----	-----	-----	-----	-----
B7433.00 Center for Cultural and Technical Interchange Between East and West, Inc.						
East-West Center						
1601 East-West Road						
Honolulu, HI 96848-1601						
Research and dissemination on national identity, Islam and internal conflicts in Asia						
04/11/02	0.00	350000.00	0.00	200750.00	0.00	149250.00
B7239.00 Center for Defense Information, Inc.						
1779 Massachusetts Avenue, NW						
Suite 615						
Washington, DC 20036						
Organization's institutional and program development						
02/01/01	500000.00	0.00	208333.00	208333.00	0.00	0.00
B7368.00 Center for Defense Information, Inc.						
1779 Massachusetts Avenue, NW						
Suite 615						
Washington, DC 20036						
Electronic news services on contemporary Russia						
10/11/01	0.00	301900.00	0.00	187567.00	0.00	114333.00
B2002.82 Center for Defense Information, Inc.						
1779 Massachusetts Avenue, NW						
Suite 615						
Washington, DC 20036						
Electronic news services on contemporary Russia						
07/12/02	0.00	25000.00	0.00	0.00	0.00	25000.00
B7407.00 Center for Governmental Studies, Inc.						
10951 West Pico Boulevard						
Suite 120						
Los Angeles, CA 90064						
Campaign finance and media reform activities						
02/07/02	0.00	400000.00	0.00	233333.00	0.00	166667.00
B2002.A0 Center for International Policy						
1717 Massachusetts Avenue, NW						
Suite 801						
Washington, DC 20036						
Joint project with the University of Chicago on U.S.-Korea policy						
08/29/02	0.00	12000.00	0.00	12000.00	0.00	0.00
B7240.00 Center for Media and Security, LTD.						
358 Saw Mill River Road						
Millwood, NY 10546						
Forums for journalists on defense and international security						
02/01/01	102200.00	0.00	43125.00	43125.00	0.00	0.00

Amended Return

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7009.00 Center for National Independence in Politics (Project Vote Smart)						
One Common Ground						
Philipsburg, MT 59858						
Amended Return						
Support						
10/14/99	300000.00	0.00	50000.00	50000.00	0.00	0.00
B7375.00 Center for National Independence in Politics (Project Vote Smart)						
One Common Ground						
Philipsburg, MT 59858						
Updating its web site and fundraising technologies						
10/11/01	0.00	150000.00	0.00	150000.00	0.00	0.00
B2001.74 Center for National Policy						
One Massachusetts Avenue, NW						
Suite 333						
Washington, DC 20001						
Dialogue between North Korean ministry officials and researchers and US policy makers on arms control and US-Korean rela						
08/17/01	25000.00	0.00	25000.00	0.00	0.00	25000.00
B7434.00 Center for Policy Studies in Russia						
177 Webster Street						
Suite 263						
Monterey, CA 93940						
Research, training and dissemination to reduce the threat of nuclear proliferation in Russia						
04/11/02	0.00	220000.00	0.00	128333.00	0.00	91667.00
B7408.00 Center for Public Integrity						
910 17th Street, NW						
7th Floor						
Washington, DC 20006						
Government accountability activities						
02/07/02	0.00	400000.00	0.00	233333.00	0.00	166667.00
B7047.00 Center for Responsive Politics						
1320 Nineteenth Street, NW						
Washington, DC 20036						
Support						
02/01/00	450000.00	0.00	125000.00	125000.00	0.00	0.00
B2001.72 Center for Strategic and International Studies						
1800 K Street, NW						
Washington, DC 20006						
US-Korean project to assess the applicability of new arms control measures to the Korean peninsula						
08/10/01	25000.00	0.00	25000.00	25000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-----	-----	-----	-----	-----	-----	-----
87220.00 Center for Strategic and International Studies Inc. 1800 K Street, NW Suite 400 Washington, DC 20006 Research and dissemination on the positive example of Moorish Spain to assist the development of Israeli-Palestinian com						
				Amended Return		
10/12/00	239000.00	0.00	65832.00	65832.00	0.00	0.00
87307.00 Center for Strategic and International Studies, Inc. 1800 K Street, NW Washington, DC 20006 Research and dissemination on motives for nuclear weapons acquisitions						
06/14/01	350000.00	0.00	152333.00	152333.00	0.00	0.00
87308.00 Center for Strategic and International Studies 1800 K St., NW Washington, DC 20006 (grant transferred from CFR) Program on new approaches to Russian security						
06/14/01	680000.00	0.00	270067.00	270067.00	0.00	0.00
82002.46 Center for Strategic and International Studies 1800 K Street, NW Washington, DC 2006 Study of US-North Korean relations in the 1990s						
03/27/02	0.00	50000.00	0.00	50000.00	0.00	0.00
87480.00 Center for Strategic and International Studies, Inc. 1800 K Street, NW Washington, DC 20006 Russia and Eurasia Program						
06/13/02	0.00	225000.00	0.00	225000.00	0.00	0.00
82002.A7 Center for the Study of the Presidency 1020 Nineteenth Street, NW Suite 250 Washington, DC 20036 Project to improve global communications about American culture, values, and system of governance						
09/10/02	0.00	50000.00	0.00	0.00	0.00	50000.00
80033.00 Center for traditional Music and Dance, Inc. 200 Church Street Room 303 New York, NY 10113 Support						
02/07/02	0.00	50000.00	0.00	50000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
80034.00						
Chamber Music America, Inc. 305 Seventh Avenue New York, NY 10001-6008						
Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
87357.00						
Child-Centered Schools Initiative of Houston Houston Annenberg Challenge First City Tower, 1001 Fannin Street Houston, TX 77002-6709 Implementation of district-wide reform in secondary school education						
10/11/01	0.00	12000000.00	0.00	3387000.00	0.00	8613000.00
80035.00						
Children's Museum of Manhattan The Tisch Building 212 West 83rd Street New York, NY 10024 Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
82002.A4						
Chronicles Group, Inc. 1874 S. Pacific Coast Highway #725 Redondo Beach, CA 90277 Educational video on the global water crisis						
09/06/02	0.00	25000.00	0.00	0.00	0.00	25000.00
80036.00						
Circle in the Square Theatre School, Inc 1633 Broadway New York, NY 10019-6795 Support						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
80037.00						
City Center 130 West 56th Street New York, NY 10019-3803 Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
80038.00						
City Lore, Inc. 72 East 1st Street New York, NY 10003 Support						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
<p>B2002.36 City University of New York Graduate School and University Center 30 West Broadway, 11th Floor New York, NY 10007 Planning public education and outreach activities commemorating the accomplishments of Ralph Binche on the 100th anniver</p> <p style="text-align: center;">Amended Return</p>						
03/06/02	0.00	40000.00	0.00	40000.00	0.00	0.00
<p>B7481.00 Civic Education Project, Inc. 1717 Massachusetts Avenue, NW Suite 506 Washington, DC 20036-2001 Support of the Local Faculty Fellowship Program in Russia and Ukraine</p>						
06/13/02	0.00	400000.00	0.00	247656.00	0.00	152344.00
<p>B7358.00 Clark University 950 Main Street Worcester, MA 01610-1477 Implementation of district-wide reform in secondary school education</p>						
10/11/01	0.00	8000000.00	0.00	1867000.00	0.00	6133000.00
<p>B7060.00 Classroom, Inc. 245 Fifth Avenue 20th Floor New York, NY 10016 Comprehensive approach to improve teaching and learning in eight urban school districts</p>						
04/13/00	650000.00	0.00	200000.00	0.00	0.00	200000.00
<p>B7274.00 Colby College 5307 Mayflower Hill Waterville, ME 04901 Study on the effects of highly competitive congressional races on voter engagement</p>						
04/12/01	250000.00	0.00	106563.00	85000.00	0.00	21563.00
<p>B7142.00 Columbia University 202 Low Library Mail Code 4309, MS 4-2825 New York, NY 10027 Research and dissemination on the effectiveness of peacekeeping interventions in self-determination disputes</p>						
06/08/00	171300.00	0.00	104800.00	104800.00	0.00	0.00
<p>B7260.00 Columbia University 116th Street and Broadway New York, NY 10027 Research and writing on Russian foreign policy</p>						
04/12/01	94300.00	0.00	94300.00	18500.00	0.00	75800.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
<p>B2002.35 Columbia University 116th Street and Broadway New York, NY 10027</p> <p style="text-align: center;">Amended Return</p> <p>Study of the role of the United Nations in counterterrorism</p>						
03/06/02	0.00	49300.00	0.00	49300.00	0.00	0.00
<p>B7482.00 Columbia University 116th Street and Broadway New York, NY 10027</p> <p>Comparative research and analysis and a conference on ethnic regional autonomy</p>						
06/13/02	0.00	350000.00	0.00	263081.00	0.00	86919.00
<p>B7107.00 Committee for Economic Development 477 Madison Avenue New York, NY 10022</p> <p>Research and publications on early childhood education financing</p>						
06/08/00	228000.00	0.00	95000.00	95000.00	0.00	0.00
<p>B7376.00 Committee for Economic Development 477 Madison Avenue New York, NY 10022</p> <p>Outreach and public education within the business community on campaign finance reform, including judicial elections</p>						
10/11/01	0.00	350000.00	0.00	204167.00	0.00	145833.00
<p>B2001.78 Committee for the Study of the American Electorate 421 New Jersey Avenue, SE Washington, DC 20003</p> <p>Study of the potential Constitutional barriers to regulating political advertising</p>						
08/29/01	13000.00	0.00	13000.00	13000.00	0.00	0.00
<p>B7275.00 Common Cause Education Fund 1250 Connecticut Avenue, NW Washington, DC 20036</p> <p>Support</p>						
04/12/01	300000.00	0.00	125000.00	125000.00	0.00	0.00
<p>B2002.40 Communitarian Network 2130 H Street, NW, Suite 703 Washington, DC 20052</p> <p>Project to convene international scholars and policy leaders to discuss how democratic states maintain their identity</p>						
03/08/02	0.00	25000.00	0.00	25000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-----	-----	-----	-----	-----	-----	-----
82002.93 Community Resource Exchange, Inc. 39 Broadway, 10th Floor New York, NY 10006						
Amended Return						
Longitudinal study to assess the effectiveness of capacity-building nonprofit organizations						
08/01/02	0.00	25000.00	0.00	25000.00	0.00	0.00
80039.00 Concert Artists Guild, Inc. 850 Seventh Avenue Suite 1205 New York, NY 10019 Support						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
87201.00 Conflict Management Group 9 Waterhouse Street Cambridge, MA 02138						
Project on strengthening human capital in the former Soviet Union						
10/12/00	500000.00	0.00	208000.00	208000.00	0.00	0.00
87483.00 Conflict Management Group The Roger Fisher House 9 Waterhouse Street Cambridge, MA 02138 Project on strengthening human capital in the former Soviet Union						
06/13/02	0.00	500000.00	0.00	0.00	0.00	500000.00
87202.00 Council for a Livable World Education Fund 110 Maryland Avenue, NE Suite 201 Washington, DC 20002 Research and writing on nonproliferation issues in South and East Asia						
10/12/00	250000.00	0.00	115000.00	115000.00	0.00	0.00
87301.00 Council for Aid to Education 342 Madison Avenue Suite 1532 New York, NY 10173 Feasibility study on the development of an assessment system to measure the "value added" of a liberal arts education						
06/14/01	350000.00	0.00	116667.00	116667.00	0.00	0.00
87066.00 Council for Basic Education 1319 F Street Suite 900 Washington, DC 20004-1152 Support of the Standards-based Teacher Education Project						
04/13/00	450000.00	0.00	129220.00	129220.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
B7377.00 Council for Excellence in Government 1301 K Street, NW Suite 450 West Washington, DC 20005 Develop a communication strategy to engage citizens, especially young Americans, in government and democracy						
10/11/01	0.00	300000.00	0.00	300000.00	0.00	0.00
B7359.00 Council of Chief State School Officers, Inc. One Massachusetts Avenue, NW Suite 700 Washington, DC 20001-1431 Strengthening the capacity of state education agencies and districts to improve educational services for English-language						
10/11/01	0.00	398700.00	0.00	289150.00	0.00	109550.00
B7435.00 Council on Foreign Relations, Inc. 58 East 68th Street New York, NY 10021 Support of the international security studies program						
04/11/02	0.00	900000.00	0.00	525000.00	0.00	375000.00
B7495.00 Council on Foundation, Inc. 1828 L Street, NW Washington, DC 20036-5168 Membership support in 2002, 2003 and 2004						
06/13/02	0.00	133800.00	0.00	52033.00	0.00	81767.00
B0040.00 Council on the Arts & Humanities for Staten Island 1000 Richmond Terrace Staten Island, NY 10301 Support						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
B0041.00 Creative Time, Inc. 307 Seventh Avenue Suite 1904 New York, NY 10001 Support						
02/07/02	0.00	50000.00	0.00	50000.00	0.00	0.00
B7121.00 Cross City Campaign for Urban School Reform 407 Dearborn Street Suite 1500 Chicago, IL 60605 Preparation and dissemination of case studies on school district restructuring in three cities						
06/08/00	375000.00	0.00	104200.00	104200.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B0043.00 Dance Theater Workshop, Inc. 219 West 19th Street New York, NY 10011-4079						
Amended Return						
Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
B0042.00 Dance Theatre of Harlem, Inc. 466 West 152nd Street New York, NY 10031-1896						
Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
B0044.00 Dancing in the Streets, Inc. 55 Avenue of the Americas Suite 310 New York, NY 10013						
Support						
02/07/02	0.00	50000.00	0.00	50000.00	0.00	0.00
B7290.00 Dani Rodrik 79 John F. Kennedy Street Cambridge, MA 02138						
Research project titled, Institutions, Integration, and Geography: The Analytics and Empirics of Int'l Development						
04/12/01	100000.00	0.00	75000.00	25000.00	0.00	50000.00
B7446.00 David Edwards Williams College Williamstown, MA 01267						
Civil Society and Terrorism in Afghanistan						
04/11/02	0.00	98035.00	0.00	0.00	0.00	98035.00
B7249.00 Democracy 21 Education Fund 1825 I Street, NW Suite 400 Washington, DC 20006						
Support						
02/01/01	150000.00	0.00	62500.00	62500.00	0.00	0.00
B7444.00 Democracy 21 Education Fund 1825 I Street, NW Suite 400 Washington, DC 20006						
Public outreach and dissemination of its study on strengthening the Federal Election Commission						
04/11/02	0.00	150000.00	0.00	150000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
B7409.00						
Democracy South 105 West Main Street Carrboro, NC 27510						
Campaign finance and voting reform activities						
02/07/02	0.00	300000.00	0.00	175000.00	0.00	125000.00
B0045.00						
Dia Center for the Arts, Inc. 542 West 22nd Street New York, NY 10011						
Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
B7281.00						
Diane Davis 166 Arborway Jamaica Plain, MA 02130						
Research project titled, Public vs. Private Security Forces and the The Rule ofLaw: The Transformation of Policing in S						
04/12/01	99100.00	0.00	49550.00	49550.00	0.00	0.00
B2002.47						
Dillard University 2601 Gentilly Boulevard New Orleans, LA 70122						
Enhancing liberal arts education acrossdiverse institutions						
03/28/02	0.00	12500.00	0.00	12500.00	0.00	0.00
B7425.00						
Dilliard University 2601 Gentilly Boulevard New Orleans, LA 70122						
Enhancing liberal arts education acrossdiverse institutions						
04/11/02	0.00	537800.00	0.00	537800.00	0.00	0.00
B7286.00						
Donald Horowitz Box 90360 Durham, NC 27705-5829						
Research project titled, ConstitutionalDesign for Severely Divided Societies						
04/12/01	100000.00	0.00	50000.00	50000.00	0.00	0.00
B2002.67						
Duke University 207 Allen Building Box 90001 Durham, NC 27708-0001						
Research and dissemination on managing the policy challenges of U.S. interventions in foreign countries						
05/31/02	0.00	25000.00	0.00	25000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
82002.80	Education Commission of the States 700 Broadway, Suite 1200 Denver, CO 80203-3460					
Development of an organizational and strategic plan to promote comprehensive approaches to civic education						
07/01/02	0.00	46700.00	0.00	46700.00	0.00	0.00
87390.00	Education Development Center, Inc. 55 Chapel Street Newton, MA 02158-1060					
Evaluation of the uses of student assessment and accountability data to improve student learning						
02/07/02	0.00	493500.00	0.00	283907.00	0.00	209593.00
87225.00	Education Trust, Inc. 1725 K Street, NW Suite 200 Washington, DC 20006 Research and dissemination on the achievement and opportunity gaps in American education					
02/01/01	1000000.00	0.00	556161.00	376989.00	0.00	179172.00
82002.43	Educational Broadcasting Corporation Thirteen/WNET New York 450 West 33rd Street New York, NY 10001 Channel Thirteen/WNET New York's expenses related to restoration of transmission and broadcast capabilities, website enh					
03/14/02	0.00	500000.00	0.00	500000.00	0.00	0.00
82002.59	Educational Leadership Program, Inc. 1060 Park Avenue Suite 1C New York, NY 10128 Support for including community college leaders in the Educational Leadership Program seminars					
04/12/02	0.00	49700.00	0.00	49700.00	0.00	0.00
87373.00	Educational Netcasting Foundation, Inc. Barker Center 12 Quincy Center Cambridge, MA 02138-3879 Dissemination of the Encarta Africana Encyclopedia to selected rural, public, and university libraries in the US, Botswa					
10/11/01	0.00	165000.00	0.00	165000.00	0.00	0.00
87378.00	eGrants.org P.O. Box 29256 San Francisco, CA 94129-0256					
Development of an online donation processing and training service for nonprofit organizations						
10/11/01	0.00	175000.00	0.00	175000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
87261.00 Emory University 1784 N. Decatur Road Suite 510 Atlanta, GA 30322 Research and dissemination on the role and influence of Russia's Federation Council						
04/12/01	200300.00	0.00	90350.00	90350.00	0.00	0.00
80046.00 Ensemble Studio Theatre, Inc. 549 West 52nd Street New York, NY 10019 Support						
02/07/02	0.00	50000.00	0.00	50000.00	0.00	0.00
87447.00 Erin Jenne Central European University Nador u. 9, H-1051 Budapest, Hungary Europe's Long Struggle with Ethnic Conflict: From the League of Nations to the European Union						
04/11/02	0.00	81893.00	0.00	10000.00	0.00	71893.00
87241.00 Eurasia Foundation Inc. 1350 Connecticut Avenue, NW Washington, DC 20036 Support for the Economics Education and Research Consortium						
02/01/01	500000.00	0.00	208333.00	208333.00	0.00	0.00
87309.00 Eurasia Foundation, Inc. 1350 Connecticut Avenue, NW Suite 1000 Washington, DC 20036 Interdisciplinary research and training centers in the Caucasus						
06/14/01	560000.00	0.00	560000.00	335709.00	0.00	224291.00
82001.87 Federation of American Scientists Fund 1717 K Street, NW Suite 209 Washington, DC 20036 Dissemination and promotion of a report on public interest needs in the age of digital communications						
09/14/01	50000.00	0.00	50000.00	50000.00	0.00	0.00
87400.00 Federation of American Scientists Fund 1717 K Street, NW Suite 209 Washington, DC 20036 Working Group on Biological Weapons Verification treaty negotiation work and Bioscientists Education Project						
02/07/02	0.00	212000.00	0.00	124842.00	0.00	87158.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
B2002.66	Federation of American Scientists Fund 1717 K Street, Suite 209 Washington, DC 20036					
Public-private consortium to advance research and development in learning and technology at the post-secondary level						
05/31/02	0.00	25000.00	0.00	25000.00	0.00	0.00
B2002.97	Federation of American Scientists Fund 1717 K Street, NW, Suite 209 Washington, DC 20036 Dissemination and public education related to a report on public interest obligations in the digital age					
08/20/02	0.00	50000.00	0.00	50000.00	0.00	0.00
B2002.20	Film Arts Foundation 346 Ninth Street Second Floor San Francisco, CA 94103 Outreach and promotion of the documentary film, Accidental Hero: Room 408, about an inspiring public school teacher					
01/08/02	0.00	25000.00	0.00	25000.00	0.00	0.00
B0047.00	Film/Video Arts, Inc. 462 Broadway Suite 520 New York, NY 10013 Support					
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
B7191.00	Finance Project 1000 Vermont Avenue, NW Suite 600 Washington, DC 20005 Publications and technical assistance materials for policymakers to promote young children's school readiness					
10/12/00	311400.00	0.00	71140.00	0.00	0.00	71140.00
B7262.00	Financial Services Volunteer Corps Inc. 10 East 53rd Street 24th Floor New York, NY 10022 Research and dissemination on the international integration of Russia's security, economic and banking institutions					
04/12/01	301400.00	0.00	125580.00	125580.00	0.00	0.00
B7379.00	First Nations Development Institute The Stores Building 11917 Main Street Fredricksburg, VA 22408 Organizational capacity building programs and training that will strengthen thenonprofit sector in rural Native America					
10/11/01	0.00	500000.00	0.00	291667.00	0.00	208333.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
80048.00						
Flushing Council on Culture and the Arts, Inc. 137-35 Northern Blvd. Flushing, NY 11354						
Support						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
87391.00						
Forum for Higher Education Futures 238 Main Street, Suite 201 Cambridge, MA 02142						
One-time funding of research and dissemination on technology and liberal learning						
02/07/02	0.00	160200.00	0.00	45433.00	0.00	114767.00
87181.00						
Foundation Center 79 Fifth Avenue New York, NY 10003-3076						
Support						
06/08/00	225000.00	0.00	62500.00	62500.00	0.00	0.00
82002.15						
Foundation of America Youth in Action 43-D Malaga Cove Plaza Palos Verdes Estates, CA 90274						
Distribution of a how-to guide for youth-led community improvement projects						
12/11/01	0.00	25000.00	0.00	25000.00	0.00	0.00
80049.00						
Four Way Books PO Box 535 Village Station New York, NY 10014						
Support						
02/07/02	0.00	25000.00	0.00	25000.00	0.00	0.00
87418.00						
Free Library of Philadelphia Foundation 1901 Vine Street Suite 400 Philadelphia, PA 19103-1189						
One time funding to expand the fiction and non-fiction book collections for teens						
02/07/02	0.00	200000.00	0.00	116667.00	0.00	83333.00
82002.76						
Friends of the District of Columbia Youth Orchestra Program, Inc. P.O. Box 52698 Brighton Station Washington, DC 20011						
Educational and cultural tour of South Africa						
06/17/02	0.00	50000.00	0.00	50000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-------------------------------	-------------------------	---------------------------	------------------	-----------------	--------------------------	----------------

B7203.00 Fund for PEace, Inc.
 1701 K Street, NW
 Washington, DC 20006

Amended Return

Project to examine the feasibility of regional military responses to self-determination disputes from a comparative pers

10/12/00	440100.00	0.00	188200.00	188200.00	0.00	0.00
----------	-----------	------	-----------	-----------	------	------

B2002.91 Fund for Peace, Inc.
 1701 K Street, NW
 Washington, DC 20006

Convening of Corporation grantees to discuss projects related to self-determination disputes and internal wars

07/30/02	0.00	8000.00	0.00	0.00	0.00	8000.00
----------	------	---------	------	------	------	---------

B7344.01 Gates Foundation Funds for Technical Assistance and Evaluation

To support technical assistance and evaluation for the Schools for a New Society Initiative

01/01/02	0.00	5000000.00	0.00	710988.06	0.00	4289011.94
----------	------	------------	------	-----------	------	------------

B7374.00 George C. Marshall Research Foundation
 P.O. Box 1600
 VMI Parade
 Lexington, VA 24450
 Publication of George C. Marshall's paper

10/11/01	0.00	250000.00	0.00	58333.00	0.00	191667.00
----------	------	-----------	------	----------	------	-----------

B7263.00 George Washington University
 2001 I Street, NW
 Washington, DC 20052

Joint study with the EastWest Institute on state building in Russia

04/12/01	185000.00	0.00	124008.00	124008.00	0.00	0.00
----------	-----------	------	-----------	-----------	------	------

B2002.11 Georgetown University
 204 Healy Hall, Box 571789
 Washington, DC 20057-1789

For use by its Constitution Project, toward a conference on election reform

11/21/01	0.00	25000.00	0.00	25000.00	0.00	0.00
----------	------	----------	------	----------	------	------

B7208.00 Georgetown University(was University of Maryland Foundation)
 Washington, DC 20057

Symposia in international affairs - Cost Center RX2730672

10/12/00	225000.00	0.00	138111.00	74954.00	0.00	63157.00
----------	-----------	------	-----------	----------	------	----------

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-----	-----	-----	-----	-----	-----	-----
B7282.00 Georgi Derluguan 1810 Chicago Avenue Evanston, IL 60208-1330						
Amended Return						
Research project titled, The Globalization of Mafia Enterprise: From Diagnosis to Civil Society Counteraction						
04/12/01	89991.00	0.00	69991.00	40000.00	0.00	29991.00
B2002.A2 Georgia Tech Foundation Inc. Atlanta, GA 30332-0182						
Meeting on security issues in NortheastAsia						
08/30/02	0.00	25000.00	0.00	0.00	0.00	25000.00
B2001.61 Georgia Tech Foundation, Inc. Atlanta, Georgia 30332						
Forum to strengthen ties between U.S. and Russian private and public organizations in support of the nonproliferation of						
07/18/01	25000.00	0.00	25000.00	25000.00	0.00	0.00
B7449.00 Glenn Loury Boston University 704 Commonwealth Avenue Boston, MA 02215 Color-Blind Affirmative Action: Assessing the Trade-off between Efficiency and Representativeness in College Admissions						
04/11/02	0.00	100000.00	0.00	25000.00	0.00	75000.00
B0056.00 H.T. Dance Company, Inc. 70 Mulberry Street 2nd Floor New York, NY 10013 Support						
02/07/02	0.00	25000.00	0.00	25000.00	0.00	0.00
B0050.00 Harlem School of the Arts 645 St. Nicholas Avenue New York, NY 10030 Support						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
B0051.00 Harlem Textile Works 6 Edgecombe Avenue Room 106 New York, NY 10030 Support						
02/07/02	0.00	25000.00	0.00	25000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-------------------------------	-------------------------	---------------------------	------------------	-----------------	--------------------------	----------------

87108.00 Harvard University
 Cambridge, MA 02138

Amended Return

Professional development initiative to strengthen the teaching of reading in preschools and elementary schools

06/08/00	900000.00	0.00	448665.00	448665.00	0.00	0.00
----------	-----------	------	-----------	-----------	------	------

87148.00 Harvard University
 Cambridge, MA 02138

Research and writing on international security by William J. Perry and Ashton B. Carter

06/08/00	200000.00	0.00	83000.00	83000.00	0.00	0.00
----------	-----------	------	----------	----------	------	------

87154.00 Harvard University
 Cambridge, MA 02138

Black Sea Security Program

06/08/00	464900.00	0.00	197545.00	197545.00	0.00	0.00
----------	-----------	------	-----------	-----------	------	------

87155.00 Harvard University
 Cambridge, MA 02138

Program with Russian military personnel and policymakers on foreign and security policies

06/08/00	1160000.00	0.00	483000.00	0.00	0.00	483000.00
----------	------------	------	-----------	------	------	-----------

87205.00 Harvard University
 Cambridge, MA 02138

Project to promote dialogue between the military and human rights communities about the means of external intervention i

10/12/00	334700.00	0.00	175035.00	175035.00	0.00	0.00
----------	-----------	------	-----------	-----------	------	------

87264.00 Harvard University
 Cambridge, MA 02138

Fellowships at the Belfer Center for Science and International Affairs on International Security

04/12/01	500000.00	0.00	208333.00	208333.00	0.00	0.00
----------	-----------	------	-----------	-----------	------	------

87401.00 Harvard University
 Cambridge, MA 02138

Harvard/Sussex program to develop a new international treaty that would criminalize the development, production, or use

02/07/02	0.00	134000.00	0.00	77333.00	0.00	56667.00
----------	------	-----------	------	----------	------	----------

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-----	-----	-----	-----	-----	-----	-----

B2002.32 Harvard University
Cambridge, MA 02138

Amended Return

Dissemination of a paper on women's participation in post-Taliban Afghanistan based on Afghan culture and the teachings

03/05/02	0.00	20000.00	0.00	20000.00	0.00	0.00
----------	------	----------	------	----------	------	------

B7426.00 Harvard University
Cambridge, MA 02138

Joint project with the National Governors' Association to improve the education of teachers of reading at the state level

04/11/02	0.00	567600.00	0.00	389624.00	0.00	177976.00
----------	------	-----------	------	-----------	------	-----------

B7487.00 Harvard University
Holyoke Center, Suite 727
1350 Massachusetts Avenue
Cambridge, MA 02138

Executive programs for Russian military officers and policymakers from Russia and the Black Sea region

06/13/02	0.00	1030000.00	0.00	451471.00	0.00	578529.00
----------	------	------------	------	-----------	------	-----------

B7461.00 Harvard University
Cambridge, MA 02138

Research and dissemination on the interrelatedness of dropout rates, increased accountability and the demographics of urban areas

06/13/02	0.00	421300.00	0.00	255784.00	0.00	165516.00
----------	------	-----------	------	-----------	------	-----------

B7486.00 Harvard University
Holyoke Center, Suite 727
1350 Massachusetts Avenue
Cambridge, MA 02138

Research and writing on international security by William J. Perry and Ashton B. Carter

06/13/02	0.00	250000.00	0.00	0.00	0.00	250000.00
----------	------	-----------	------	------	------	-----------

B7288.00 Heinrich Mintrop
3335 Moore Hall
Los Angeles, CA 90095

Research project titled, School Accountability in the US and Germany: Learning from Common Challenges and Different Paths

04/12/01	99845.00	0.00	99845.00	50000.00	0.00	49845.00
----------	----------	------	----------	----------	------	----------

B2002.28 Henry L. Stimson Center
11 Dupont Circle, NW
9th Floor
Washington, DC 20036

Project to educate policy makers on security issues in the 21st century

01/24/02	0.00	50000.00	0.00	50000.00	0.00	0.00
----------	------	----------	------	----------	------	------

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
87402.00						
Henry L. Stimson Center 11 Dupont Circle, NW 9th Floor Washington, DC 20036 Research, analysis and dissemination on chemical and biological weapons proliferation, regional security in South Asia						
02/07/02	0.00	700000.00	0.00	407490.00	0.00	292510.00
80052.00						
High 5 Tickets to the Arts 1 East 53rd Street 5th Floor New York, NY 10022 Support						
02/07/02	0.00	50000.00	0.00	50000.00	0.00	0.00
80053.00						
Historic House Trust of New York City, Inc. The Arsenal, Room 203 Central Park New York, NY 10021 Support						
02/07/02	0.00	25000.00	0.00	25000.00	0.00	0.00
80054.00						
Home for Contemporary Theatre and Art DBA HERE 145 Avenue of the Americas New York, NY 10013-1548 Support						
02/07/02	0.00	50000.00	0.00	50000.00	0.00	0.00
80055.00						
Hospital Audiences, Inc. 548 Broadway 3rd Floor New York, NY 10012-3950 Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
82002.75						
Human Rights Watch, Inc. 350 Fifth Avenue, 34th Floor New York, NY 10118-3299 Project to monitor human rights issues related to post-September 11 antiterrorism campaigns and the war in Afghanistan						
06/12/02	0.00	100000.00	0.00	100000.00	0.00	0.00
87455.00						
Ian Roxborough State University of New York, Stony Brook k Stony Brook, NY 11794-4356 Diagnosing New Dangers: A Sociology of Military Strategy and Threat Assessment						
04/11/02	0.00	99318.00	0.00	0.00	0.00	99318.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
87101.00 Ian Shapiro P.O. Box 208301 New Haven, CT 06520-8301						
Research project titled Democracy and Distribution in the United States						
04/13/00	99900.00	0.00	35000.00	35000.00	0.00	0.00
87410.00 Independent Production Fund, Inc. 45 West 45th Street, Suite 808 New York, NY 10036						
Outreach and public education for a public television documentary on Muslims worldwide						
02/07/02	0.00	250000.00	0.00	250000.00	0.00	0.00
82001.36 Independent Sector 1200 18th Street, NW Suite 200 Washington, DC 20036 Membership support in 2001 and 2002						
03/16/01	20400.00	0.00	10200.00	10200.00	0.00	0.00
87277.00 Independent Sector 1200 18th Street, NW Suite 200 Washington, DC 20036 Support for the Charity Lobbying in the Public interest project						
04/12/01	150000.00	0.00	62348.00	0.00	0.00	62348.00
87496.00 Independent Sector 1200 18th Street, Suite 200 Washington, DC 20036						
Project to build the public policy capacity of state nonprofit associations						
06/13/02	0.00	250000.00	0.00	250000.00	0.00	0.00
87156.00 Institute for EastWest Studies, (Inc.)(EastWest Institute) 700 Broadway New York, NY 10003						
Project on the security of the Black Sea region						
06/08/00	332400.00	0.00	118833.00	118833.00	0.00	0.00
87065.00 Institute for Educational Inquiry 124 East Edgar Street Seattle, WA 98102						
Project to increase membership in the National Network for Educational Renewal						
04/13/00	486900.00	0.00	236438.00	194772.00	0.00	41666.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
87125.00						
Institute for Educational Leadership, Inc. 1001 Connecticut Avenue, NW Suite 310 Washington, DC 20036 Support of the Coalition for Community Schools						
			Amended Return			
06/08/00	300000.00	0.00	66668.00	66668.00	0.00	0.00
87206.00						
Institute for Foreign Policy Analysis, Inc. 675 Massachusetts Avenue 10th Floor Cambridge, MA 02139 Research and dialogue on weapons on mass destruction on the Korean peninsula						
10/12/00	476000.00	0.00	294654.00	141784.00	0.00	152870.00
82002.19						
Institute for Responsive Education 21 Lake Hall Northeastern University Boston, MA 02115 Documentation of best practices on the role of high school family centers in assisting family members and school staff t						
01/08/02	0.00	25000.00	0.00	25000.00	0.00	0.00
82002.92						
Institute for Science and International Security 236 Massachusetts Avenue, NE Suite 500 Washington, DC 20002 Nuclear nonproliferation in Russia						
07/30/02	0.00	50000.00	0.00	50000.00	0.00	0.00
87411.00						
Institute of Government Foundation, Inc. University of North Carolina-Chapel Hill CB#3330 Knapp Building Chapel Hill, NC 27599-3330 North Carolina Civic Education Consortium						
02/07/02	0.00	400000.00	0.00	233333.00	0.00	166667.00
82002.A5						
Institute of International Education, Inc. 809 United Nations Plaza New York, NY 10017-3580 Participation costs of international scholars and professionals in a program on sectarian, ethnic and cultural conflict						
09/10/02	0.00	25000.00	0.00	0.00	0.00	25000.00
82002.65						
Institute of Semitic Studies Inc. 9 Grover Avenue Princeton, NJ 08540 Symposium on Jewish, Muslim, and Christian relations in Yemen						
05/30/02	0.00	23600.00	0.00	23600.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
87144.00						
Interhemispheric Resource Center Box 2178 Silver City, NM 88062-2178						
Web-based research and analysis on ethnic self-determination						
06/08/00	200000.00	0.00	19744.00	0.00	0.00	19744.00
82002.57						
International Center for Innovation in Civic Participation 1776 Massachusetts Avenue Suite 201 Washington, DC 20036 National forum to stimulate public debate about the purpose and future of national and community service in the US						
04/09/02	0.00	25000.00	0.00	25000.00	0.00	0.00
87216.00						
International Council of Scientific Unions 51, Boulevard de Montmorency 75016 Paris France Public library projects conducted by the International Network for the Availability of Scientific Publications						
10/12/00	1062200.00	0.00	757978.00	403978.00	0.00	354000.00
87369.00						
International Crisis Group 149 Avenue Louise, Level 16 B-1050 Brussels Belgium Field-based research and analysis of select self-determination disputes, policy recommendations, and dissemination						
10/11/01	0.00	400000.00	0.00	233333.00	0.00	166667.00
87394.00						
International Institute for Educational Planning 7-9 rue Eugene-Delacroix Paris 75116 France Support of the Association for the Development of Education in Africa						
02/07/02	0.00	100000.00	0.00	58333.00	0.00	41667.00
87265.00						
International Peace Academy, Inc. 777 United Nations Plaza 4th Floor New York, NY 10017-3521 Research and dissemination on the United Nation' involvement with transitional governments and its impact on the evoluti						
04/12/01	271000.00	0.00	176310.00	176310.00	0.00	0.00
80058.00						
International Print Center New York 526 West 26th Street Room 824 New York, NY 10001 Support						
02/07/02	0.00	25000.00	0.00	25000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-----	-----	-----	-----	-----	-----	-----
87370.00						
International Research and Exchanges, Inc. 1616 H Street, NW 6th Floor Washington, DC 20006 Improving university administration in Russia and other post-Soviet states						
				Amended Return		
10/11/01	0.00	700000.00	0.00	326500.00	0.00	373500.00
87250.00						
Investigative Reporters and Editors, Inc. 138 Neff Annex UMC Journalism School Columbia, MO 62511 Training state and local news media on campaign financing issues						
02/01/01	250000.00	0.00	83333.00	83333.00	0.00	0.00
80059.00						
Irish Repertory Theatre Company, Inc. 132 West 22nd Street New York, NY 10011 Support						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
80060.00						
Isamu Noguchi Foundation, Inc. 32-37 Vernon Blvd. Long Island City, NY 11106 Support						
02/07/02	0.00	50000.00	0.00	50000.00	0.00	0.00
80061.00						
Jamaica Center for Arts and Learning, Inc. 161-04 Jamaica Avenue Jamaica, NY 11432 Support						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
87287.00						
James Jonah 68-10 108th Street Forest Hills, NY 11375 Research project titled, The UN in Conflict Resolution: The Role of the International Civil Servant						
04/12/01	78689.00	0.00	63689.00	63689.00	0.00	0.00
87454.00						
James Robinson 2331 Mastlands Drive Oakland, CA 94611 Understanding the Institutional Determinants of Comparative Development						
04/11/02	0.00	100000.00	0.00	25000.00	0.00	75000.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
B7289.00 Jamie Monson Northfield, MN 55057						
Research project titled, Developing a Database and Mapping Program to Evaluate the Role Played by the Tazara Railroad in						
04/12/01	93220.00	0.00	93220.00	93220.00	0.00	0.00
B7093.00 Jay Heubert Hastings on Husdon, NY 10706						
Research project titled Promotion and Graduation Tests: How Do They Affect Student Learning and Progress and How Can Pro						
04/13/00	100000.00	0.00	50000.00	50000.00	0.00	0.00
B7284.00 Jeff Faux 1660 L Street, NW Suite 1200 Washington, DC 20036 Research project titled, Toward a NorthAmerican Social Contract						
04/12/01	100000.00	0.00	100000.00	50000.00	0.00	50000.00
B7462.00 Jobs for the Future Inc. 88 Broad Street, 8th Floor Boston, MA 02110						
Assessment and scale-up of new models of high school learning						
06/13/02	0.00	500000.00	0.00	340925.00	0.00	159075.00
B7463.00 Jobs for the Future Inc. 88 Broad Street, 8th Floor Boston, MA 02110						
Research, advocacy and coordination of the Early College national network						
06/13/02	0.00	500000.00	0.00	375000.00	0.00	125000.00
B0062.00 John A. Noble Collection 1000 Richmond Terrace Staten Island, NY 10301						
Support						
02/07/02	0.00	25000.00	0.00	25000.00	0.00	0.00
B2002.10 John Fitzgerald Kennedy Library Foundation, Incorporated Columbia Point Boston, MA 02125						
Series of education forums called "Responding to Terrorism"						
11/21/01	0.00	50000.00	0.00	50000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
<p>87083.00 Johns Hopkins University 250 Garland Hall 3400 North Charles Street Baltimore, MD 21218 Project with the Global Environment and Energy in the 21st Century on water and security in South Asia</p> <p style="text-align: center;">Amended Return</p>						
04/13/00	400000.00	0.00	202500.00	202500.00	0.00	0.00
<p>87335.00 Johns Hopkins University 242 Garland Hall 3400 North Charles Street Baltimore, MD 21218 National research project that links nonprofit practitioners and scholars to improve nonprofit practice</p>						
06/14/01	300000.00	0.00	300000.00	300000.00	0.00	0.00
<p>80063.00 Jose Limon Dance Foundation 611 Broadway Suite 905 New York, NY 10012 Support</p>						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
<p>87255.00 Jumpstart for Young Children Inc. 93 Summer Street 2nd Floor Boston, MA 02110 Development of training and curriculum materials for and evaluation of a program that trains college students to work wi</p>						
04/12/01	600000.00	0.00	366667.00	200000.00	0.00	166667.00
<p>80064.00 King Manor Association of Long Island, Inc. 90-04 161st Street Suite 704 Jamaica, NY 11432 Support</p>						
02/07/02	0.00	25000.00	0.00	25000.00	0.00	0.00
<p>80065.00 La Mama Experimental Theatre Club, Inc. 74A East 4th Street New York, NY 10003 Support</p>						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
<p>87464.00 LaGuardia Education Fund, Incorporated 31-10 Thomson Avenue Long Island City, NY 11101 Redesign and startup of twenty new Middle College High Schools as early collegeschools</p>						
06/13/02	0.00	500000.00	0.00	375000.00	0.00	125000.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-----	-----	-----	-----	-----	-----	-----
Amended Return						
B7283.00 Laura Donohue 30 George Street Medford, MA 02155						
Research project titled, Security and Freedom in the Face of Terrorism						
04/12/01	99440.00	0.00	99440.00	77220.00	0.00	22220.00
B2002.78 Lawyers Alliance for World Security, Inc 1901 Pennsylvania Avenue, NW Suite 201 Washington, DC 20006 Conference to analyze issues related to space weaponization						
07/01/02	0.00	25000.00	0.00	25000.00	0.00	0.00
B2002.95 Lawyers Alliance for World Security, Inc. 1901 Pennsylvania Avenue, NW Suite 201 Washington, DC 20006 Dissemination of a report on constitutionalism in Russia						
08/06/02	0.00	15000.00	0.00	15000.00	0.00	0.00
B7174.00 Lawyers Committee for Civil Rights Under Law 1401 New York Avenue, NW Suite 400 Washington, DC 20005-0400 Support						
06/08/00	500000.00	0.00	125000.00	125000.00	0.00	0.00
B2002.01 Lawyers' Committee for Civil Rights of the San Francisco Bay Area 301 Mission Street Suite 400 San Francisco, CA 94105 Focus group research on campaign finance reform among African American, Latino, and Asian American voters						
10/01/01	0.00	25000.00	0.00	25000.00	0.00	0.00
B7015.00 Leadership Education for Asian Pacifics, Inc. 327 East Second Street Suite 226 Los Angeles, CA 90012-4210 Support of the programs of the Asian Pacific American Public Policy Institute						
10/14/99	450000.00	0.00	75000.00	75000.00	0.00	0.00
B2002.53 Link Services PO Box 42-4459 San Francisco, CA 94142-4459						
Strengthening a project that connects nonprofits organizations in need of research resources with university-based research						
04/03/02	0.00	25000.00	0.00	25000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
<p>87360.00 Linking Education and Economic Development in Sacramento 2710 Gateway Oaks Drive South Building, Suite 200 Sacramento, CA 95833 Implementation of district-wide reform in secondary school education</p> <p style="text-align: right; font-size: 1.2em;">Amended Return</p>						
10/11/01	0.00	8000000.00	0.00	1918000.00	0.00	6082000.00
<p>87057.00 Local Initiatives Support Corporation 733 Third Avenue 8th Floor New York, NY 10017-3204 Early childhood education financing and facilities initiative</p>						
04/13/00	500000.00	0.00	233393.00	233393.00	0.00	0.00
<p>80067.00 Lower East Side Printshop, Inc. 59-61 East 4th Street 6th Floor New York, NY 10003-8963 Support</p>						
02/07/02	0.00	25000.00	0.00	25000.00	0.00	0.00
<p>80068.00 Lower East Side Tenement Museum 66 Allen Street New York, NY 10002 Support</p>						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
<p>80069.00 Lower Manhattan Cultural Council, Inc. 145 Hudson Street Suite 801 New York, NY 10013 Support</p>						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
<p>80070.00 Mabou Mines Development Foundation, Inc. 150 First Avenue New York, NY 10009 Support</p>						
02/07/02	0.00	50000.00	0.00	50000.00	0.00	0.00
<p>82002.14 Makerere University P.O. Box 7062 Kampala UGANDA Incorporating a focus on women and higher education into an international conference on women and gender</p>						
12/11/01	0.00	50000.00	0.00	50000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
82002.55 Manhattanville College 2900 Purchase Street Purchase, NY 10577						
Amended Return						
Participation by female undergraduate students from Makerere University and theUniversity of Dar es Salaam in a global						
04/04/02	0.00	39500.00	0.00	39500.00	0.00	0.00
80071.00 Martha Graham Center of Contemporary Dance, Inc. 153 East 53rd Street Suite 5101 New York, NY 10022 Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
87278.00 Maryland Association of Nonprofit Organizations 190 West Ostend Street Suite 201 Baltimore, MD 21230 National replication of its Standards for Excellence program						
04/12/01	900000.00	0.00	266667.00	266667.00	0.00	0.00
87242.00 Massachusetts Institute of Technology Room 3-208 77 Massachusetts Avenue Cambridge, MA 02139-4307 Support of the Security Studies Program						
02/01/01	900000.00	0.00	375000.00	375000.00	0.00	0.00
87380.00 Massachusetts Institute of Technology MIT 3-208 77 Massachusetts Avenue Cambridge, MA 02139 Dissemination of the results of a jointproject with the California Institute of Technology to develop model voting syst						
10/11/01	0.00	200500.00	0.00	200500.00	0.00	0.00
87302.00 Mathematica Policy Research, Inc. P.O. Box 2393 Princeton, NJ 08543-2393 Evaluation of an alternative teacher certification program						
06/14/01	477800.00	0.00	235193.00	0.00	0.00	235193.00
82002.77 Mathematica Policy Research, Inc. 600 Alexander Park P.O. Box 2393 Princeton, NJ 08543-2393 Support for the evaluation of an alternative teacher certification program						
07/01/02	0.00	34900.00	0.00	27646.00	0.00	7254.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
B7096.00 Matthew Evangelista McGraw Hall Ithaca, NY 14853						
Research project titled Will Russia Go the Way of the Soviet Union? Lessons of the Chechen Wars						
04/13/00	97700.00	0.00	48850.00	48850.00	0.00	0.00
B2002.51 Media Kidz Research and Consulting, Inc. 78 Grayson Place Teaneck, NJ 07666						
Research and writing on the impact of educational television						
03/28/02	0.00	23000.00	0.00	23000.00	0.00	0.00
B7256.00 Middlebury College Old Chapel Middlebury, VT 05753						
Establishment of an urban education network to promote best practices in teaching literature and writing						
04/12/01	1206100.00	0.00	845583.00	0.00	0.00	845583.00
B7412.00 Midwest States Center PO Box 104 Prairie Farm, WI 54762						
Campaign Finance and voting reform activities						
02/07/02	0.00	100000.00	0.00	58333.00	0.00	41667.00
B7381.00 Migration Policy Institute 1400 16th Street, NW Washington, DC 20036						
Public policy research and dissemination about US immigrant civic integration, in cooperation with the National Immigrat						
10/11/01	0.00	250000.00	0.00	166667.00	0.00	83333.00
B0072.00 Mind-Builders Creative Arts Co., Inc. 3415 Olinville Avenue Bronx, NY 10467						
Support						
02/07/02	0.00	25000.00	0.00	25000.00	0.00	0.00
B7267.00 Monterey Institute of International Studies 425 Van Buren Street Monterey, CA 93040						
Research and education on the proliferation of weapons of mass destruction in the former Soviet Union						
04/12/01	400000.00	0.00	166667.00	166667.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
87243.00						
Moscow School of Political Studies						
Bolshaya Nikitskaya ul. 44/2						
Office 22, 1-GSP						
121069 Moscow, Russia						
Project ot strengthen democractic institutions and civil society in Russia						
02/01/01	230000.00	0.00	92500.00	92500.00	0.00	0.00
B0073.00						
Museum for African Art						
593 Broadway						
New York, NY 10012						
Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
B0066.00						
Museum of Jewish Heritage - Living Memorial to the Holocaust						
One Battery Park Plaza						
New York, NY 10004-1484						
Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
B0075.00						
Museum of the City of New York						
1220 Fifth Avenue						
New York, NY 10029						
Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
B7128.00						
National Academy of Sciences						
2101 Constitution Avenue, NW						
Washington, DC 20418						
Forum on Adolescence						
06/08/00	708000.00	0.00	77679.00	0.00	0.00	77679.00
B2002.22						
National Academy of Sciences						
2101 Constitution Avenue, NW						
Washington, DC 20418						
Planning a trilateral meeting of the Committee on International Security and Arms Control						
01/07/02	0.00	50000.00	0.00	50000.00	0.00	0.00
B7415.00						
National Academy of Sciences						
2101 Constitution Avenue, NW						
Washington, DC 20418						
Research and dissemination on concerns about privacy in the information age andon tools and strategies for responding						
02/07/02	0.00	250000.00	0.00	145833.00	0.00	104167.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
<p>B7436.00 National Academy of Sciences 2101 Constitution Avenue, NW Washington, DC 20418</p> <p style="text-align: center;">Amended Return</p> <p>Trilateral and bilateral meetings of the Committee on International Security and Arms Control with its Russian and Chinese</p>						
04/11/02	0.00	150000.00	0.00	100000.00	0.00	50000.00
<p>B7437.00 National Academy of Sciences 2101 Constitution Avenue, NW Washington, DC 20418</p> <p>Project on Russian-American cooperation in countering terrorism</p>						
04/11/02	0.00	200000.00	0.00	200000.00	0.00	0.00
<p>B7382.00 National Alliance for Nonprofit Management 1899 L Street, NW 6th Floor Washington, DC 20036 Development of a research and learning institute for nonprofit capacity builders</p>						
10/11/01	0.00	300000.00	0.00	175000.00	0.00	125000.00
<p>B2002.52 National Association of State Boards of Education 277 South Washington Street Suite 100 Alexandria, VA 22314 Study group on public policy to support high school reform</p>						
04/03/02	0.00	45500.00	0.00	45500.00	0.00	0.00
<p>B7336.00 National Center for Nonprofit Boards 1828 L Street, NW Suite 900 Washington, DC 20036-5104 Capacity-building programs and tools that will help small- and mid-sized nonprofits strengthen their board of directors</p>						
06/14/01	300000.00	0.00	125000.00	125000.00	0.00	0.00
<p>B2002.A1 National Center on Addiction and Substance Abuse at Columbia University 633 Third Avenue New York, NY 10017-6706</p> <p>Support of a panel discussion on children, the schools, and substance abuse at a conference on substance abuse in the 21st century</p>						
08/29/02	0.00	45000.00	0.00	45000.00	0.00	0.00
<p>B0076.00 National Choral Council, Inc. 1650 Broadway New York, NY 10019</p> <p>Support</p>						
02/07/02	0.00	50000.00	0.00	50000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7182.00 National Committee for Responsive Philanthropy 2001 S Street, NW Suite 620 Washington, DC 20009 Support						
				Amended Return		
06/08/00	80000.00	0.00	33333.00	33333.00	0.00	0.00
B7497.00 National Committee for Responsive Philanthropy 2001 S Street, NW Suite 620 Washington, DC 20009 Support						
06/13/02	0.00	100000.00	0.00	62500.00	0.00	37500.00
B2001.82 National Conference of State Legislatures 1560 Broadway, Suite 700 Denver, CO 80202 Identifying, documenting and disseminating best practices for improving civic participation among immigrants						
09/13/01	25000.00	0.00	25000.00	25000.00	0.00	0.00
B7257.00 National Council for Accreditation of Teacher Education 2010 Massachusetts Avenue, NW Suite 500 Washington, DC 20036-1023 Project to develop and implement a performance-based system of accreditation for teacher education institutions						
04/12/01	600000.00	0.00	250500.00	0.00	0.00	250500.00
B7244.00 National Council for Eurasian and East European Research 910 17th Street, NW Suite 300 Washington, DC 20006 Academic fellowships for Russian scholars						
02/01/01	550000.00	0.00	236979.00	236979.00	0.00	0.00
B2001.86 National Council for Research on Women, Inc. 11 Hanover Square 20th Floor New York, NY 10005 Support of the magazine We/Myi, The Women's Dialogue						
09/14/01	25000.00	0.00	25000.00	12500.00	0.00	12500.00
B7465.00 National Council of La Raza 1111 19th Street, NW Suite 1000 Washington, DC 20036 Research and dissemination on effective system-wide assessment and accountability programs at the secondary level for En						
06/13/02	0.00	400000.00	0.00	331919.00	0.00	68081.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
<p>B2002.99 National Council of La Raza 1111 19th Street, Suite 1000 Washington, DC 20036</p> <p style="text-align: center;">Amended Return</p> <p>Research and dissemination on early literacy and language development in Latinochildren</p>						
08/29/02	0.00	30000.00	0.00	0.00	0.00	30000.00
<p>B0077.00 National Dance Institute, Inc. 594 Broadway Room 805 New York, NY 10012 Support</p>						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
<p>B7193.00 National Governors' Association Center for Best Practices Hall of the States 444 North Capital Street, Suite 267 Washington, DC 20001-1512 Assistance to states in implementing policies that promote young children's healthy development and school readiness</p>						
10/12/00	299900.00	0.00	35233.00	0.00	0.00	35233.00
<p>B7303.00 National Governors' Association for Best Practices 444 North Capitol Street Suite 267 Washington, DC 20001 Provide assistance to state leaders in strengthening teacher education</p>						
06/14/01	505400.00	0.00	505400.00	291230.00	0.00	214170.00
<p>B7304.00 National Head Start Association 1651 Prince Street Alexandria, VA 22314 Early literacy training project utilizing distance learning technology</p>						
06/14/01	500000.00	0.00	208333.00	208333.00	0.00	0.00
<p>B7014.00 National Immigration Forum, Inc. 220 I Street, NE Suite 220 Washington, DC 20002-4362 Balanced media coverage of immigration issues</p>						
10/14/99	225000.00	0.00	37500.00	37500.00	0.00	0.00
<p>B7383.00 National Immigration Forum, Inc. 220 I Street, NE Suite 220 Washington, DC 20002 Work of its Center for the New AmericanCommunity on integrating immigrants into US civic life</p>						
10/11/01	0.00	750000.00	0.00	437500.00	0.00	312500.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
87337.00						
National Institute on Money in State Politics 648 North Jackson, No. 1 Helena, MT 59601						
Amended Return						
Evaluation of the institute's work, expanded marketing efforts, and general support						
06/14/01	700000.00	0.00	379167.00	229167.00	0.00	150000.00
87194.00						
National League of Cities Institute Inc. 1301 Pennsylvania Avenue, NW Washington, DC 20004-1763						
Municipal Leadership in Education						
10/12/00	511100.00	0.00	316466.00	170383.00	0.00	146083.00
82002.38						
National Public Radio, Inc. 635 Massachusetts Avenue, NW Washington, DC 20001-3753						
Programming on the aftermath of September 11 and the war on terrorism						
03/07/02	0.00	250000.00	0.00	250000.00	0.00	0.00
82002.03						
National Security Archive Fund, Inc. The George Washington University Gelman Library, Suite 701, 2130 H St., NW Washington, DC 20037						
Declassification and dissemination of government documents related to nuclear weaponry						
10/01/01	0.00	50000.00	0.00	50000.00	0.00	0.00
87438.00						
National Security Archive Fund, Inc. Gelman Library, Suite 701 George Washington Univ., 2130 H St., NW Washington, DC 20037						
Support for the Russian and Former Soviet Initiative						
04/11/02	0.00	575000.00	0.00	335417.00	0.00	239583.00
87052.00						
National Urban League Inc. 120 Wall Street New York, NY 10005						
Support						
02/01/00	750000.00	0.00	75000.00	75000.00	0.00	0.00
87252.00						
National Voting Rights Institute One Bromfield Street 3rd Floor Boston, MA 02108						
Support						
02/01/01	400000.00	0.00	166667.00	166667.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
87053.00 Native American Rights Fund 1506 Broadway Boulder, CO 80302-6296						
Support						
02/01/00	450000.00	0.00	75000.00	75000.00	0.00	0.00
87209.00 Nautilus of America Inc. 125 University Avenue Berkeley, CA 94710-1616						
Project on South Asia nuclear policy futures						
10/12/00	385000.00	0.00	238667.00	128667.00	0.00	110000.00
80078.00 New 42nd Street, Inc./New Victory Theater 229 West 42nd Street 10th Floor New York, NY 10036-7299						
Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
87361.00 New American Schools 1560 Wilson Boulevard Suite 901 Arlington, VA 22209						
Development of assessment tools for districts to improve accountability in comprehensive school reform						
10/11/01	0.00	301100.00	0.00	301100.00	0.00	0.00
80079.00 New Museum of Contemporary Art 583 Broadway New York, NY 10012						
Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
87488.00 New School University 66 West 12th Street New York, NY 10011						
Journal Donation Project						
06/13/02	0.00	300000.00	0.00	174833.00	0.00	125167.00
87494.00 New School University 66 West 12th Street New York, NY 10011						
Project to establish meaningful dialogues between Muslims and Westerners at all levels of society						
06/13/02	0.00	250000.00	0.00	250000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-----	-----	-----	-----	-----	-----	-----
<p>B7227.00 New Visions for Public Schools, Inc. 96 Morton Street New York, NY 10014</p> <p style="text-align: center;">Amended Return</p> <p>Support of the New Century High Schools Consortium for New York City</p>						
02/01/01	10000000.00	0.00	7328900.00	2321700.00	0.00	5007200.00
<p>B7228.00 New York City Partnership Foundation, Inc. One Battery Park Plaza New York, NY 10004-1479</p> <p>Evaluation of an initiative to improve underperforming urban school districts</p>						
02/01/01	900000.00	0.00	550000.00	0.00	550000.00	0.00
<p>B2002.72 New York Fair Elections Project, Inc. 250 West 26th Street, 4th Floor New York, NY 10001</p> <p>Conference on campaign reform in New York State</p>						
06/04/02	0.00	10000.00	0.00	10000.00	0.00	0.00
<p>B0080.00 New York Foundation for the Arts, Inc. 155 Avenue of the Americas 14th Floor New York, NY 10013-1507 Support</p>						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
<p>B0081.00 New York Hall of Science 47-01 111th Street Corona, NY 11368-2950 Support</p>						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
<p>B0082.00 New York Historical Society 2 West 77th Street New York, NY 10024-5194 Support</p>						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
<p>B7419.00 New York Public Library Fifth Avenue and 42nd Street New York, NY 10018-2788 One time funding to acquire books for branch libraries and research libraries</p>						
02/07/02	0.00	900000.00	0.00	900000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
82002.34						
New York Regional Association of Grantmakers, Inc. 505 Eighth Avenue, Suite 1805 New York, NY 10018-6505						
Amended Return						
Membership support in 2002 and 2003						
03/06/02	0.00	28200.00	0.00	12700.00	0.00	15500.00
80083.00						
New York Shakespeare Festival 425 Lafayette Street New York, NY 10003						
Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
80084.00						
New York Studio School of Drawing Painting & Sculpture, Inc. 8 West 8th Street New York, NY 10011						
Support						
02/07/02	0.00	50000.00	0.00	50000.00	0.00	0.00
87292.00						
Nina Tannenwald 2 Stimson Avenue, Box 1970 Brown University Providence, RI 02906 Research project titled, The Sociology of Danger: Weapons Stigmatization in International Politics						
04/12/01	99600.00	0.00	74700.00	49800.00	0.00	24900.00
82002.79						
Nonprofit Coordinating Committee of NewYork, Inc. 1350 Broadway, Suite 1801 New York, NY 10018						
Membership support in 2002, 2003, 2004,2005 and 2006						
07/01/02	0.00	4875.00	0.00	975.00	0.00	3900.00
87195.00						
North Carolina Partnership for ChildrenInc. 1100 Wake Forest Road Suite 300 Raleigh, NC 27604 Dissemination of a model state comprehensive early childhood education initiative						
10/12/00	521200.00	0.00	250654.00	0.00	0.00	250654.00
87413.00						
Northeast Action, Inc. 30 Germania Street Boston, MA 02130						
Capaign finance and voting reform activities						
02/07/02	0.00	200000.00	0.00	116667.00	0.00	83333.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
80085.00 Nuyorican Poets Cafe, Inc. 236 East 3rd Street PO Box 20794 New York, NY 10009 Support						
			Amended Return			
02/07/02	0.00	25000.00	0.00	25000.00	0.00	0.00
80086.00 Ontological-Hysteric Theater, Inc. 260 West Broadway New York, NY 10013-2259 Support						
02/07/02	0.00	25000.00	0.00	25000.00	0.00	0.00
80087.00 Open Channels NY. Inc.dba Dixon Place 309 East 26th Street New York, NY 10010 Support						
02/07/02	0.00	25000.00	0.00	25000.00	0.00	0.00
87268.00 Oregon State University 600 Kerr Administration Building Corvallis, OR 97331 Project on preventing water conflicts						
04/12/01	389600.00	0.00	102667.00	102667.00	0.00	0.00
82002.98 Organisation for Economic and Co-operation and Development 2, rue Andre Pascal Cedex 16 Paris 75775 France Symposium on business and economic reform in Russia						
08/23/02	0.00	25000.00	0.00	0.00	0.00	25000.00
80088.00 Orpheon Inc./The Little Orchestra Society 330 West 42nd Street 12th Floor New York, NY 10036 Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
80089.00 Orpheus Chamber Orchestra, Inc. 490 Riverside Drive New York, NY 10027-5788 Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B0057.00 P.S.1 Contemporary Art Center, Inc. 22-25 Jackson Ave at 46th Ave Long Island City, NY 11101						
Amended Return						
Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
B6964.00 Pacific Council on International Policy University of Southern California, Los Angeles Los Angeles, CA 90089-0035 Support						
06/10/99	300000.00	0.00	50000.00	50000.00	0.00	0.00
B2002.06 Pacific Council on International Policy University of Southern California Los Angeles, CA 90089-0035 Publication and dissemination of an international study on government use of the Internet to deliver information, provid						
10/11/01	0.00	15000.00	0.00	15000.00	0.00	0.00
B0090.00 Pan Asian Repertory Theatre, Inc. 47 Great Jones Street New York, NY 10012-1114 Support						
02/07/02	0.00	50000.00	0.00	50000.00	0.00	0.00
B0091.00 Paper Bag Players, Inc. 50 Riverside Drive New York, NY 10024 Support						
02/07/02	0.00	50000.00	0.00	50000.00	0.00	0.00
B0092.00 Paul Taylor Dance Foundation 552 Broadway 2nd Floor New York, NY 10012 Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
B2002.24 Pennsylvania State University 201 Old Main University Park, PA 16802 Strengthen the linkages between Russianacademia and the private sector						
01/08/02	0.00	25000.00	0.00	25000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
87498.00						
People for the American Way Foundation 2000 M Street, NW Suite 400 Washington, DC 20036 Expansion of a project to promote civic participation, educate voters about their rights and assist them on Election Day						
06/13/02	0.00	250000.00	0.00	250000.00	0.00	0.00
80093.00						
Performance space 122, Inc. 150 First Avenue at E. 9th St. New York, NY 10009 Support						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
82002.B1						
Philanthropy Roundtable 1150 17th Street, NW Suite 503 Washington, DC 20036 Membership support in 2002						
09/12/02	0.00	5000.00	0.00	0.00	0.00	5000.00
80094.00						
Playwrights Horizons, Inc. 630 9th Avenue Suite 708 New York, NY 10036 Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
82002.21						
Ploughshares Fund Fort Mason Center Building B Suite 330 San Francisco, CA 94123 Peace and Security Funders Group						
01/08/02	0.00	50000.00	0.00	29167.00	0.00	20833.00
82002.58						
Ploughshares Fund Fort Mason Center Building B Suite 330 San Francisco, CA 94123 Creation and implementation of an international network to collect information on biological activities						
04/12/02	0.00	25000.00	0.00	25000.00	0.00	0.00
80095.00						
Poets & Writers, Inc. 72 Spring Street New York, NY 10012 Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
B0096.00 Poets House, Inc. 72 Spring Street 2nd Floor New York, NY 10012 Support						
02/07/02	0.00	50000.00	0.00	50000.00	0.00	0.00
B0097.00 Pregones Touring Puerto Rican Theatre Collection, Inc. 571-575 Walton Avenue Bronx, NY 10451 Support						
02/07/02	0.00	25000.00	0.00	25000.00	0.00	0.00
B7229.00 Prichard Committee for Academic Excellence P.O. Box 1658 Lexington, KY 40588-1658 Public engagement campaign to improve teacher quality						
02/01/01	439200.00	0.00	155917.00	155917.00	0.00	0.00
B7146.00 Princeton University 1 Nassau Hall Princeton, NJ 08544-0036 Interdisciplinary examination of the multidimensional causes of self-determination crises						
06/08/00	308000.00	0.00	128000.00	128000.00	0.00	0.00
B7372.00 Princeton University 1 Nassau Hall Princeton, NJ 08544-0036 Support of South Asian Scholars in research and training on new policy initiatives						
10/11/01	0.00	170000.00	0.00	170000.00	0.00	0.00
B2002.A6 Princeton University 1 Nassau Hall Princeton, NJ 08544-0036 Convene Corporation grantees to discuss self-determination issues						
09/10/02	0.00	12000.00	0.00	0.00	0.00	12000.00
B7445.00 Project Pericles, Inc. 535 Fifth Avenue Suite 906 New York, NY 10017 Pilot project to support ten institutions of higher education to integrate civic engagement education and practices into						
04/11/02	0.00	250000.00	0.00	250000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-----	-----	-----	-----	-----	-----	-----

B2002.05 Proteus Fund, Inc.
 264 North Pleasant Street
 2nd Floor
 Amherst, MA 01002
 Research and dissemination of the StateStrategies Fund's civic engagement assessment and learning projects

Amended Return

10/11/01	0.00	25000.00	0.00	25000.00	0.00	0.00
----------	------	----------	------	----------	------	------

B7499.00 Proteus Fund, Inc.
 264 North Pleasant Street
 2nd Floor
 Amherst, MA 01002
 State-based campaign finance activitiesand strengthening the institutional capacity of the Piper Fund

06/13/02	0.00	375000.00	0.00	250000.00	0.00	125000.00
----------	------	-----------	------	-----------	------	-----------

B0099.00 Pubicolor, Inc.
 114 East 32nd Street
 Suite 900
 New York, NY 10016
 Support

02/07/02	0.00	25000.00	0.00	25000.00	0.00	0.00
----------	------	----------	------	----------	------	------

B2002.A3 Public Allies, Inc.
 633 West Wisconsin Avenue
 Suite 610
 Milwaukee, WI 53203
 Dissemination of the results of a national poll on young adults' views about community service, civic engagement and rac

09/05/02	0.00	10000.00	0.00	0.00	0.00	10000.00
----------	------	----------	------	------	------	----------

B0098.00 Public Art Fund, Inc.
 1 East 53rd Street
 New York, NY 10022
 Support

02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
----------	------	-----------	------	-----------	------	------

B7385.00 Public Campaign
 1320 19th Street, NW
 Suite M-1
 Washington, DC 20036
 Outreach and public education on campaign finance reform

10/11/01	0.00	250000.00	0.00	145833.00	0.00	104167.00
----------	------	-----------	------	-----------	------	-----------

B7362.00 Public Education Network
 601 13th Street, NW
 Suite 900 North
 Washington, DC 20005
 Public engagement campaign to improve teacher quality

10/11/01	0.00	650000.00	0.00	232456.00	0.00	417544.00
----------	------	-----------	------	-----------	------	-----------

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-----	-----	-----	-----	-----	-----	-----
<p>B7211.00 Public International Law and Policy Group 3600 North Vernon Street Arlington, VA 22208</p> <p style="text-align: center;">Amended Return</p> <p>Project to evaluate the suitability of the concept of intermediate sovereignty as a means of resolving self-determinati</p>						
10/12/00	338000.00	0.00	142750.00	142750.00	0.00	0.00
<p>B0100.00 Queens Botanical Garden Society, Inc. 43-50 Main Street Flushing, NY 11355</p> <p>Support</p>						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
<p>B0101.00 Queens College Foundation, Inc. 65-30 Kissena Blvd. Flushing, NY 11367</p> <p>Support</p>						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
<p>B0102.00 Queens Council on the Arts One Forest Park at Oak Ridge Woodhaven, NY 11421</p> <p>Support</p>						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
<p>B7420.00 Queens Library Foundation, Inc. 89-11 Merrick Boulevard Jamaica, NY 11432</p> <p>One time funding to expand the book collection of the International Resource Center</p>						
02/07/02	0.00	300000.00	0.00	300000.00	0.00	0.00
<p>B0103.00 Queens Museum of Art New York City Building Flushing Meadows Corona Park Queens, NY 11368-3398</p> <p>Support</p>						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
<p>B0104.00 Queens Symphony Orchestra, Inc. 80-00 Cooper Avenue Building 22 Glendale, NY 11385</p> <p>Support</p>						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
80105.00 Queens Theatre in the Park, Inc. PO Box 520069 Flushing, NY 11352						
Amended Return						
Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
87451.00 Rajan Menon Lehigh University Maginnes Hall, 9 Bethlehem, PA 18015 Islam and the Politics, Foreign Policy, and the National Security of the Russian Federation						
04/11/02	0.00	100000.00	0.00	0.00	0.00	100000.00
87230.00 Rand Corporation 1700 Main Street P.O. Box 2138 Santa Monica, CA 90407-2138 Research and dissemination on the practice and potential of measuring student learning as an indicator of teacher effect						
02/01/01	476500.00	0.00	154587.00	154587.00	0.00	0.00
B2002.54 RAND Corporation 1700 Main Street P.O. Box 2138 Santa Monica, CA 90407-2138 Support of a joint project with the Institute of USA and Canada Studies in Moscow to form a US-Russian working group on						
04/03/02	0.00	50000.00	0.00	50000.00	0.00	0.00
B7363.00 Rhode Island Children's Crusade for Higher Education The 134 Center, Suite 111 134 Thurbers Avenue Providence, RI 02905 Implementation of district-wide reform in secondary education						
10/11/01	0.00	8000000.00	0.00	2267000.00	0.00	5733000.00
B7102.00 Richard Jolly 531 Main Street New York, NY 10044 Research project titled Widening Global Income Gaps: Causes, Remedies, and Policy Proposals						
04/13/00	99800.00	0.00	19800.00	19800.00	0.00	0.00
B7103.00 Richard Langhorne Rutgers University Suite 510 Newark, NJ 07102 Research project titled New Emissaries and No Emissaries: The Representation of New Voices in Global Politics						
04/13/00	100000.00	0.00	30000.00	30000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
<p>B7456.00 Richard Shweder University of Chicago 5730 South Woodlawn Avenue Chicago, IL 60637 When Cultures Collide: The Multicultural Challenge in Liberal Democracies</p> <p style="text-align: center;">Amended Return</p>						
04/11/02	0.00	100000.00	0.00	30000.00	0.00	70000.00
<p>B7280.00 Robert Bates Littauer Center, M-29 Cambridge, MA 02138</p> <p>Research project titled A Study of the Political and Economic Determinants of Africa's Development Experience</p>						
04/12/01	95237.00	0.00	47237.00	47237.00	0.00	0.00
<p>B7291.00 Rogers Smith P.O. Box 208301 New Haven, CT 06520-8301</p> <p>Research project titled, Civic Horizons: Achieving Democratic Citizenship in Modern America</p>						
04/12/01	99340.00	0.00	74505.00	49670.00	0.00	24835.00
<p>B7439.00 Russian American Nuclear Security Advisory Council 1730 Rhode Island Avenue Suite 307 Washington, DC 20036 Project to develop and implement U.S.-Russian initiatives to address proliferation threats in Russia's nuclear cities</p>						
04/11/02	0.00	363200.00	0.00	208617.00	0.00	154583.00
<p>B7110.00 Rutgers University 83 Somerset Street New Brunswick, NJ 08901-1281</p> <p>Study on the financing and impact of universal preschool education</p>						
06/08/00	600000.00	0.00	375100.00	375100.00	0.00	0.00
<p>B7270.00 Rutgers University 83 Somerset Street New Brunswick, NJ 08901</p> <p>Research and dissemination on the problems, prospects, and policies relating to Russia's intergration into Europe</p>						
04/12/01	399400.00	0.00	167920.00	167920.00	0.00	0.00
<p>B2002.60 Rutgers, The State University of New Jersey 3 Rutgers Plaza New Brunswick, NJ 08901-8559</p> <p>Summer institute to promote quality in early mathematics education</p>						
04/30/02	0.00	43300.00	0.00	43300.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-----	-----	-----	-----	-----	-----	-----

82002.84 Saint Anselm College
 100 Saint Anselm Drive
 Manchester, NH 03102-1310

Amended Return

Two convenings of leaders from national nonprofit organizations engaged in innovative efforts to improve civic education

07/15/02	0.00	25000.00	0.00	25000.00	0.00	0.00
----------	------	----------	------	----------	------	------

80106.00 Saratoga International Theatre Institute, Inc.
 1922 Old Chelsea Station
 New York, NY 10011

Support

02/07/02	0.00	25000.00	0.00	25000.00	0.00	0.00
----------	------	----------	------	----------	------	------

87421.00 Seattle Public Library Foundation
 800 Pike Street
 Seattle, WA 98101

One-time funding to purchase books in Korean, Chinese, Vietnamese, Japanese and Russian

02/07/02	0.00	200000.00	0.00	116667.00	0.00	83333.00
----------	------	-----------	------	-----------	------	----------

87294.00 Sharon Weiner
 von Neumann Building, Room H-210
 Engineering Quadrangle
 Princeton, NJ 08544-5263

Research project titled, Our Own Worst Enemy? Bureaucratic Organizational, and Political Constraints on US Efforts to Co

04/12/01	100000.00	0.00	82867.00	52600.00	0.00	30267.00
----------	-----------	------	----------	----------	------	----------

87452.00 Sharyn O'Halloran
 Columbia University
 School of Int'l and Public Affairs
 New York, NY 10027

More than the License Plates: Majority-Minority Voting Districts and Representative Democracy

04/11/02	0.00	100000.00	0.00	50000.00	0.00	50000.00
----------	------	-----------	------	----------	------	----------

80107.00 Signature Theatre Company
 534 West 42nd Street
 New York, NY 10036

Support

02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
----------	------	----------	------	----------	------	------

80108.00 Snug Harbor Cultural Center, Inc.
 1000 Richmond Terrace
 Staten Island, NY 10301

Support

02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
----------	------	-----------	------	-----------	------	------

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
87042.00						
Social Science Research Council 810 Seventh Avenue New York, NY 10019						
Amended Return						
Project on cooperative security in Northeast Asia						
02/01/00	300000.00	0.00	100000.00	100000.00	0.00	0.00
80109.00						
Society for the Preservation of Weeksville and Bedford-Stuyvesant History P.O. Box 130120 St. John's Station Brooklyn, NY 11213-0002 Support						
02/07/02	0.00	25000.00	0.00	25000.00	0.00	0.00
80110.00						
Socrates Sculpture Park, Inc. PO Box 6259 Long Island City, NY 11106 Support						
02/07/02	0.00	25000.00	0.00	25000.00	0.00	0.00
80111.00						
Soho Repertory Theatre, Inc. 46 Walker Street New York, NY 10013 Support						
02/07/02	0.00	50000.00	0.00	50000.00	0.00	0.00
82002.88						
Southern Rural Development Initiative, Inc. 128 E. Hargett Street, Suite 202 Raleigh, NC 27601 Planning a regional project to meet the capacity-building needs of small or under-capitalized nonprofit organization in						
07/17/02	0.00	25000.00	0.00	25000.00	0.00	0.00
80112.00						
Spanish Theatre Repertory LTD 138 East 27th Street New York, NY 10016 Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
87306.00						
SRI International 333 Ravenswood Avenue Menlo Park, CA 94025-3493 National study to identify quality standards for alternative teacher certification programs						
06/14/01	1168800.00	0.00	930483.00	0.00	0.00	930483.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-----	-----	-----	-----	-----	-----	-----

Amended Return

B7392.00 SRI International
333 Ravenswood Avenue
Menlo Park, CA 94025-3493

Build the capacity of urban schools districts to improve mathematics teaching and increase mathematical competence

02/07/02	0.00	194900.00	0.00	194900.00	0.00	0.00
----------	------	-----------	------	-----------	------	------

B7212.00 Stanford University
651 Serra Street
Suite 260
Stanford, CA 94305-4125
Research and training in international security

10/12/00	1890000.00	0.00	806655.00	806655.00	0.00	0.00
----------	------------	------	-----------	-----------	------	------

B7364.00 Stanford University
Stanford, CA 94305

Joint project with Teachscape to produce web-based professional development materials for teachers of English-language l

10/11/01	0.00	448500.00	0.00	399433.00	0.00	49067.00
----------	------	-----------	------	-----------	------	----------

B7440.00 Stanford University
Stanford, CA 94305

Initiative to reinvigorate the study of social sciences through distance learning at Russian universities

04/11/02	0.00	300000.00	0.00	175000.00	0.00	125000.00
----------	------	-----------	------	-----------	------	-----------

B7466.00 Stanford University
Stanford, CA 94305

Research, analysis and dissemination of practices to improve reading comprehension across disciplines in grades four and

06/13/02	0.00	510900.00	0.00	0.00	0.00	510900.00
----------	------	-----------	------	------	------	-----------

B7490.00 Stanford University
Stanford, CA 94305

Research and writing on international security by William J. Perry and Ashton B. Carter

06/13/02	0.00	250300.00	0.00	156550.00	0.00	93750.00
----------	------	-----------	------	-----------	------	----------

B7365.00 State Higher Education Executives Officers Association
707 seventeenth Street
Suite 2700
Denver, Co 80202-3427
Teacher quality initiative

10/11/01	0.00	663200.00	0.00	381367.00	0.00	281833.00
----------	------	-----------	------	-----------	------	-----------

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
80113.00 Staten Island Botanical Garden, Inc. 1000 Richmond Terrace Building H Staten Island, NY 10301 Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
80114.00 Staten Island Children's Museum 1000 Richmond Terrace Building M Staten Island, NY 10301 Support						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
80115.00 Staten Island Historical Society, Inc. 441 Clarke Avenue Staten Island, NY 10306-1198 Support						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
80116.00 Staten Island Institute of Arts and Sciences 75 Stuyvesant Place Staten Island, NY 10301-1998 Support						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
80117.00 Staten Island Zoological Society, Inc. 614 Broadway Staten Island, NY 10310-2896 Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
87099.00 Stephen Ansolabehere 334 Franklin Street Newton, MA 02458 Research project titled The Rise of Money in American Elections						
04/13/00	93100.00	0.00	26000.00	26000.00	0.00	0.00
87097.00 Steven Rosefielde Chapel Hill, NC 27514 Research project titled Forgotten Superpower: The Economic Case for Arms Control and the Russian Federation						
04/13/00	100000.00	0.00	30334.00	30334.00	0.00	0.00

Amended Return

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
B0118.00 Studio in a School Association 410 West 59th Street New York, NY 10019						
Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
B0119.00 Studio Museum in Harlem, Inc. 144 West 125th Street New York, NY 10027						
Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
B0120.00 Symphony Space, Inc. 2537 Broadway New York, NY 10025						
Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
B0121.00 TADA! Theater and Dance Alliance 120 West 28th Street New York, NY 10001						
Support						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
B0122.00 Target Margin Theater, Inc. 138 South Oxford Street, #5 Brooklyn, NY 11217						
Support						
02/07/02	0.00	25000.00	0.00	25000.00	0.00	0.00
B7196.00 Teach for America, Inc. 315 West 36th Street 6th Floor New York, NY 10018 Support of expansion activities						
10/12/00	500000.00	0.00	305167.00	166833.00	0.00	138334.00
B0123.00 Teachers and Writers Collaborative 5 Union Square West New York, NY 10003						
Support						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
B7114.00						
Teachers College, Columbia University 525 West 120th Street Box 163 New York, NY 10027 Follow up work of a commission on teaching						
06/08/00	628800.00	0.00	242900.00	242900.00	0.00	0.00
B7460.00						
Teachers College, Columbia University 525 West 120th Street New York, NY 10027-6696 To develop and refine a financing model for universal early care and education for American children						
06/13/02	0.00	500000.00	0.00	312500.00	0.00	187500.00
B0124.00						
Thalia Spanish Theatre, Inc. 41-17 Greenpoint Avenue PO Box 4368 Sunnyside, NY 11104 Support						
02/07/02	0.00	25000.00	0.00	25000.00	0.00	0.00
B7297.00						
The After-School Corporation 925 Ninth Avenue New York, NY 10019 Evaluation of the New York City in-school after-school initiative by Policy Studies Associates						
06/14/01	500000.00	0.00	208333.00	208333.00	0.00	0.00
B7367.00						
The Aspen Institute, Inc. One Dupont Circle, NW Suite 700 Washington, DC 20036 Discussion between US and Russian policymakers by the Aspen Strategy Group						
10/11/01	0.00	360000.00	0.00	360000.00	0.00	0.00
B7353.00						
The Aspen Institute, Inc. One Dupont Circle, NW Suite 700 Washington, DC 20036 Congressional Program on Education						
10/11/01	0.00	446000.00	0.00	446000.00	0.00	0.00
B7397.00						
The Aspen Institute, Inc. One Dupont Circle, NW Suite 700 Washington, DC 20036 Support of the international activities of the Congressional Program						
02/07/02	0.00	631000.00	0.00	631000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
<p>87354.00 The Boston Plan for Excellence in the Public Schools 6 Beacon Street, #615 Boston, MA 02108</p> <p style="text-align: center;">Amended Return</p> <p>Implementation of district-wide reform in secondary school education</p>						
10/11/01	0.00	8000000.00	0.00	1907000.00	0.00	6093000.00
<p>87356.00 The Chattanooga-Hamilton County Public Education Fund 100 East Tenth Street, Suite 500 Chattanooga, TN 37402</p> <p>Implementation of district-wide reform in secondary school education</p>						
10/11/01	0.00	8000000.00	0.00	2100000.00	0.00	5900000.00
<p>B2002.26 The Community Foundation for Greater New Haven 70 Audubon Street New Haven, CT 06510</p> <p>Assess the effectiveness of deliberate polling on civic engagement and public policy</p>						
01/10/02	0.00	25000.00	0.00	25000.00	0.00	0.00
<p>B7443.00 The Compumentor Project 435 Brannon Street Suite 100 San Francisco, CA 94107 Toward TechSoup, a technology website for nonprofit organizations</p>						
04/11/02	0.00	300000.00	0.00	175000.00	0.00	125000.00
<p>B7232.00 The Exploratorium 3601 Lyon Street San Francisco, CA 94123-1099</p> <p>Dissemination of a model teacher induction program</p>						
02/01/01	471400.00	0.00	300956.00	157625.00	0.00	143331.00
<p>B7269.00 The Franklin and Eleanor Roosevelt Institute 4079 Albany Post Road Hyde Park, NY 12538</p> <p>Research and dissemination on the implication of Franklin D. Roosevelt "New Deal" policies for contemporary Russia</p>						
04/12/01	150000.00	0.00	150000.00	139365.00	0.00	10635.00
<p>B2002.62 The Fund for Public Schools, Inc. New York City Board of Education 110 Livingston Street, Room 826 Brooklyn, NY 11201 Projects to honor New York City public school teachers in the wake of the terrorist attack on September 11, 2001</p>						
05/16/02	0.00	1850000.00	0.00	1450000.00	400000.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B2002.41 The Greater Washington Educational Telecommunications Associations, Inc. 2775 South Quincy Street Arlington, VA 22206						
Amended Return						
Reporting by The NewsHour from countries involved in different aspects of the war on terrorism						
03/14/02	0.00	250000.00	0.00	250000.00	0.00	0.00
B7207.00 The International Institute for Strategic Studies Arundel House, 13-15 Arundel Street Temple Place, London WC2R 3DX United Kingdom Policy-relevant research on external intervention in self-determination disputes						
10/12/00	275000.00	0.00	154833.00	84833.00	0.00	70000.00
B7310.00 The International institute for Strategic Studies Arundel House 13-15 Arundel Street, Temple Place London WC2R 3DX, United Kingdom International security forums for Russian regions						
06/14/01	400000.00	0.00	168333.00	168333.00	0.00	0.00
B2002.25 The Leadership Foundation 1621 Connecticut Avenue, NW Suite 300 Washington, DC 20009 Support of an African higher education fellow						
01/10/02	0.00	35000.00	0.00	19600.00	0.00	15400.00
B7213.00 The Research Foundation of State University of New York Binghamton University PO Box 6000 Binghamton, NY 13902-6000 Policy relevant research on external intervention in self-determination disputes						
10/12/00	260200.00	0.00	79350.00	79350.00	0.00	0.00
B7489.00 The Richard Nixon Library and Birthplace Foundation 18001 Yorba Linda Boulevard Yorba Linda, CA 92886 U.S.-Russian dialogue on international security						
06/13/02	0.00	500000.00	0.00	312500.00	0.00	187500.00
B7467.00 The Teachers Education Accreditation Council, Incorporated Suite 101, Williard Hall Education Bldg. Newark, DE 19716 Implementation of an evidence-based system of accreditation for teacher education institutions						
06/13/02	0.00	900000.00	0.00	362417.00	0.00	537583.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-----	-----	-----	-----	-----	-----	-----

B7339.00 The Urban Institute
2100 M Street, NW
Washington, DC 20037

Amended Return

Creation of a standardized template that can be used to collect, synthesize, and disseminate empirical data and informati

06/14/01	293000.00	0.00	293000.00	223883.00	0.00	69117.00
----------	-----------	------	-----------	-----------	------	----------

B2002.56 The Working Group
1611 Telegraph Avenue
Suite 1550
Oakland, CA 94612

Reactivating the national "Not In Our Town" network, a national community campaign against hate crimes in the aftermath

04/04/02	0.00	25000.00	0.00	25000.00	0.00	0.00
----------	------	----------	------	----------	------	------

B0125.00 Theatre for a New Audience, Inc.
154 Christopher Street
Suite 3D
New York, NY 10014-2839
Support

02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
----------	------	----------	------	----------	------	------

B0126.00 Theatre for the New City
155 First Avenue
New York, NY 10003-2906

Support

02/07/02	0.00	50000.00	0.00	50000.00	0.00	0.00
----------	------	----------	------	----------	------	------

B7386.00 Third Sector New England, Inc.
18 Tremont Street
Suite 700
Boston, MA 02108
Development and national expansion of the Nonprofit Quarterly

10/11/01	0.00	315000.00	0.00	315000.00	0.00	0.00
----------	------	-----------	------	-----------	------	------

B0127.00 Third Street Music School Settlement, Inc.
235 East 11th Street
New York, NY 10003-7398

Support

02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
----------	------	-----------	------	-----------	------	------

B7340.00 Tides Center
The Presidio
P.O. Box 29907
San Francisco, CA
Establish a center for transitional justice

06/14/01	250000.00	0.00	41667.00	0.00	0.00	41667.00
----------	-----------	------	----------	------	------	----------

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
<p>B2002.13 Tides Center The Presidio P.O. Box 29907 San Francisco, CA 94129 Evaluation of a pilot national marketing initiative encouraging Americans to donate their Social Security benefits</p> <p style="text-align: center;">Amended Return</p>						
12/03/01	0.00	25000.00	0.00	25000.00	0.00	0.00
<p>B2002.17 Tides Center The Presidio P.O. Box 29907 San Francisco, CA 94129 Strategic planning process by Youth Vote, a nonpartisan coalition that encourages civic participation among young adults</p>						
12/13/01	0.00	25000.00	0.00	25000.00	0.00	0.00
<p>B2002.31 Tides Center The Presidio PO Box 29907 San Francisco, CA 94129 Support for the Africa Grantmakers' Affinity Group</p>						
03/05/02	0.00	25000.00	0.00	25000.00	0.00	0.00
<p>B2002.48 Tides Center The Presidio P.O. Box 29907 San Francisco, CA 94129 Establishing a national virtual community of voter registration/education groups</p>						
03/28/02	0.00	50000.00	0.00	50000.00	0.00	0.00
<p>B7170.00 Tomas Rivera Policy Institute 1050 N. Mills Avenue Claremont, CA 91711-6106 Political participation initiative</p>						
06/08/00	313000.00	0.00	31877.00	31877.00	0.00	0.00
<p>B0128.00 Town Hall Foundation, Inc. 123 West 43rd Street New York, NY 10036 Support</p>						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
<p>B2001.75 Toynbee Prize Foundation, Inc. 11 Lowell Street Cambridge, MA 02138 Conference and dissemination on the effects of globalization on cities and the role cities play in the process of global</p>						
08/23/01	25000.00	0.00	25000.00	25000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
B0129.00 Trisha Brown Dance Company 625 West 55th Street New York, NY 10019						
Support						
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
B2002.08 Tufts University Ballou Hall Medford, MA 02155						
Workshop for staff of the University of Dar es Salaam and Makerere University on technology-enhanced curriculum developm						
11/06/01	0.00	15000.00	0.00	15000.00	0.00	0.00
B2002.74 Tufts University Medford, MA 02155						
Support for the Lincoln Filene Center for Citizenship and Public Affairs' research and public education						
06/05/02	0.00	25000.00	0.00	25000.00	0.00	0.00
B2002.49 U.S. Public Interest Research Group Education Fund 218D Street, SE Washington, DC 20003						
Research and dissemination on the effect of campaign contributions limits on the competitiveness of challengers						
03/28/02	0.00	25000.00	0.00	25000.00	0.00	0.00
B7450.00 Uday Mehta Amherst College Box 5000 Amherst, MA 01002 Constitutional Configurations of the Past: A Comparative Study of India, Israel, South Africa, and the U.S.						
04/11/02	0.00	100000.00	0.00	0.00	0.00	100000.00
B2002.16 United Nations Association of the United States of America, Inc. 801 Second Avenue New York, NY 10017-4706						
U.S.-China dialogue on global security						
12/11/01	0.00	25000.00	0.00	25000.00	0.00	0.00
B7245.00 United States Civilian Research and Development Foundation for the Independent 1800 North Kent Street Suite 1106 Arlington, VA 22209 Support for the Basic Research and Higher Education Program						
02/01/01	1000000.00	0.00	417289.00	0.00	0.00	417289.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-------------------------------	-------------------------	---------------------------	------------------	-----------------	--------------------------	----------------

B2001.83 United States Student Association Foundation

1413 K Street, NW
9th Floor

Washington, DC 20005

Publishing and distribution of an anthology of its founding years, 1946-1952

Amended Return

09/14/01	50000.00	0.00	50000.00	50000.00	0.00	0.00
----------	----------	------	----------	----------	------	------

B7398.00 University of Bradford

Richmond Road

Bradford BD7 1DP

United Kingdom

Development of Genomics Gateway, a website to disseminate information on international treaties and agreements on biotec

02/07/02	0.00	247800.00	0.00	142708.00	0.00	105092.00
----------	------	-----------	------	-----------	------	-----------

B7236.00 University of California, Los Angeles

405 Hilgard Avenue

2147 Murphy Hall

Los Angeles, CA 90095-1405

Research and dissemination on the effects of globalization on self-determination

02/01/01	312000.00	0.00	124543.00	124543.00	0.00	0.00
----------	-----------	------	-----------	-----------	------	------

B7355.00 University of California, San Diego

9500 Gilman Drive

La Jolla, CA 92093-6523

Implementation of district-wide reform in secondary school education

10/11/01	0.00	8000000.00	0.00	1918000.00	0.00	6082000.00
----------	------	------------	------	------------	------	------------

B7479.00 University of California, San Diego

9500 Gilman Drive

La Jolla, CA 92093-6523

Research, analysis and dissemination on globalization, territoriality and conflict

06/13/02	0.00	260000.00	0.00	121525.00	0.00	138475.00
----------	------	-----------	------	-----------	------	-----------

B7141.00 University of California, Santa Cruz

296 McHenry Library

Santa Cruz, CA 95064

Research on globalization and Islam

06/08/00	237300.00	0.00	102850.00	102850.00	0.00	0.00
----------	-----------	------	-----------	-----------	------	------

B7200.00 University of Cambridge

32 Trumpington Street

Cambridge CB2 1QY

United Kingdom

Project to conduct interdisciplinary, comparative case studies of power-sharing arrangements in recent self-determinatio

10/12/00	379700.00	0.00	172404.00	172404.00	0.00	0.00
----------	-----------	------	-----------	-----------	------	------

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-----	-----	-----	-----	-----	-----	-----
<p>87484.00 University of Denver 2199 S. University Boulevard Denver, CO 80208</p> <p style="text-align: center;">Amended Return</p> <p>Dialogue series on U.S. - China relations focusing on globalization, self-determination and international peace and secu</p>						
06/13/02	0.00	247500.00	0.00	145333.00	0.00	102167.00
<p>87485.00 University of Georgia 204 Baldwin Hall Athens, GA 30602-1615</p> <p>Project to develop knowledge and expertise in the Russian Federal Assembly and in the US Congress on export control</p>						
06/13/02	0.00	255000.00	0.00	150000.00	0.00	105000.00
<p>87204.00 University of Georgia Research Foundation, Inc. Administration Building Athens, GA 30602</p> <p>Policy research seeking new solutions for controlling proliferation trade</p>						
10/12/00	400000.00	0.00	166670.00	166670.00	0.00	0.00
<p>82002.23 University of Georgia Research Foundation, Inc. 621 Boyd Graduate Studies Research Ctr Athens, GA 30602-7411</p> <p>Reports on key principled practices in adolescent literacy instruction at the intermediate, middle and high school level</p>						
01/08/02	0.00	48600.00	0.00	48600.00	0.00	0.00
<p>82002.45 University of Illinois at Urbana-Champaign 364 Administration Building Urbana, IL 61801</p> <p>African participation in a symposium on African Universities in the 21st Century</p>						
03/27/02	0.00	23000.00	0.00	23000.00	0.00	0.00
<p>82002.96 University of Iowa Department of Political Science Iowa City, IA 52242</p> <p>Send representatives from selected Nigerian universities to participate in the Decision Makers "Techno Tour" Workshop</p>						
08/14/02	0.00	24800.00	0.00	24800.00	0.00	0.00
<p>87371.00 University of Maryland College Park Foundation, Inc. 2119 Main Administration Building College Park, MD 20742</p> <p>Data gathering, analysis, and dissemination on initiation and settlement of self-determination and autonomy disputes</p>						
10/11/01	0.00	400500.00	0.00	197488.00	0.00	203012.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
82002.33 University of Maryland College Park Foundation, Inc. 2119 Main Administration Building College Park, MD 20742						
International roundtable on the impact of terrorism on global civil society and democracy						
03/05/02	0.00	25000.00	0.00	25000.00	0.00	0.00
87493.00 University of Maryland College Park Foundation, Inc. 2119 Main Administration Building College Park, MD 20742						
Research, analysis and dissemination on the impact of transnational media on the concept of identity and sovereignty						
06/13/02	0.00	380600.00	0.00	238741.00	0.00	141859.00
87311.00 University of Michigan 2074 Fleming Administration Building Ann Arbor, MI 48109						
Foster the development of the social sciences in Russia's regional universities						
06/14/01	400000.00	0.00	215517.00	0.00	0.00	215517.00
87145.00 University of Oxford Wellington Square Oxford OX1 2JD UNITED KINGDOM						
Research on the impact of external culture and economics on ethnic self-determination						
06/08/00	292200.00	0.00	121700.00	121700.00	0.00	0.00
82002.80 University of Oxford Wellington Square Oxford OX1 2JD United Kingdom						
Convening Corporation grantees to discuss self-determination projects						
09/12/02	0.00	8400.00	0.00	0.00	0.00	8400.00
87081.00 University of Pennsylvania 121 College Hall 3451 Walnut Street Philadelphia, PA 19104						
Research and analysis on globalization's effects on ethnopolitics						
04/13/00	248000.00	0.00	99200.00	99200.00	0.00	0.00
87305.00 University of Pennsylvania 100 College Hall, Levy Park Philadelphia, PA 19104-6380						
Implementation of a university-school district leadership training center						
06/14/01	500000.00	0.00	250450.00	0.00	0.00	250450.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
87384.00						
University of Pennsylvania 100 CH/6380 3451 Walnut Street Philadelphia, PA 19104 Research by the Annenberg School for Communication and Public Policy Center on legislative issue advertising						
10/11/01	0.00	316700.00	0.00	247971.00	0.00	68729.00
B2002.29						
University of Pennsylvania 121 College Hall 3451 Walnut Street Philadelphia, PA 19104 Development of an index to rank states on the accuracy, accessibility, and fairness of their voting systems						
02/08/02	0.00	25000.00	0.00	25000.00	0.00	0.00
B7403.00						
University of Sussex Brighton BN1 9RF United Kingdom Harvard/Sussex program to develop a new international treaty that would criminalize the development, production or use						
02/07/02	0.00	144500.00	0.00	82833.00	0.00	61667.00
B7233.00						
University of Washington 301 Gerberding Hall Box 351230 Seattle, WA 98195 Support of the Strengthening and Sustaining Teachers project						
02/01/01	490200.00	0.00	303833.00	195316.00	0.00	108517.00
B7246.00						
University of Waterloo Ira Needles Hall 200 University Avenue West Waterloo, Ontario N2L 3G1, CANADA Research and dissemination of globalization's role in the peaceful management of self-determination disputes						
02/01/01	177700.00	0.00	89767.00	0.00	0.00	89767.00
B7136.00						
University of Wisconsin, Madison Room 1720 Van Hise Hall 1220 Linden Drive Madison, WI 53706 Dissemination activities on new forms of teacher compensation						
06/08/00	450000.00	0.00	275000.00	150000.00	0.00	125000.00
B0130.00						
UrbanGlass New York Contemporary Glass Center, Inc. 647 Fukton Street Brooklyn, NY 11217-1112 Support						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00

Amended Return

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
-----	-----	-----	-----	-----	-----	-----

B2002.64 Vermont Alliance of Nonprofit Organizations
 P.O. Box 8345
 Burlington, VT 05402

Amended Return

Evaluation of a pilot project to help community-based nonprofit organizations use technology to build their policy advoc

05/29/02	0.00	25000.00	0.00	25000.00	0.00	0.00
----------	------	----------	------	----------	------	------

B0131.00 Vineyard Theatre and Workshop Center, Inc.
 108 East 15th Street
 New York, NY 10003

Support

02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
----------	------	----------	------	----------	------	------

B2001.84 Vital Voices Global Partnership, Inc.
 1301 Connecticut Avenue, NW
 Suite 500
 Washington, DC 20036
 Support

09/14/01	25000.00	0.00	25000.00	25000.00	0.00	0.00
----------	----------	------	----------	----------	------	------

B2002.68 Volunteer Consulting Group, Inc.
 6 East 39th Street, Suite 602
 New York, NY 10016-0112

Assessment of boardnetUSA, an Internet-based project to match trustees and board candidates with nonprofit organizations

05/31/02	0.00	50000.00	0.00	50000.00	0.00	0.00
----------	------	----------	------	----------	------	------

B0132.00 Wave Hill, Inc.
 675 West 252nd Street
 Bronx, NY 10471-2899

Support

02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00
----------	------	-----------	------	-----------	------	------

B2002.B2 Wei Jingsheng Foundation, Inc.
 888 16th Street, NW
 Suite 400
 Washington, DC 20006
 Writing and research by Wei Jingsheng on human rights in China

09/12/02	0.00	50000.00	0.00	0.00	0.00	50000.00
----------	------	----------	------	------	------	----------

B7366.00 WestEd
 730 Harrison Street
 San Francisco, CA 94107-1242

Strengthening a model of adolescent literacy acquisition

10/11/01	0.00	600000.00	0.00	350000.00	0.00	250000.00
----------	------	-----------	------	-----------	------	-----------

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
B7414.00						
Western States Center, Inc. PO Box 40305 Portland, OR 97240						
Amended Return						
Campaign finance and voting reform activities						
02/07/02	0.00	200000.00	0.00	116667.00	0.00	83333.00
B7197.00						
WGBH Educational Foundation 125 Western Avenue Boston, MA 02134						
Production and educational outreach fora public television series to promote young children's literacy skills						
10/12/00	1500000.00	0.00	166667.00	166667.00	0.00	0.00
B2002.73						
WGBH Educational Foundation 125 Western Avenue Boston, MA 02134						
Evaluation efficacy of the website accompanying the PBS broadcast "Commanding Heights: The Battle for the World Economy"						
06/04/02	0.00	50000.00	0.00	50000.00	0.00	0.00
B2002.44						
William C. Velasquez Institute, Inc. 403 E. Commerce, Suite 260 San Antonio, TX 78205						
Dissemination of research on Latino voting trends in selected states						
03/26/02	0.00	25000.00	0.00	25000.00	0.00	0.00
B2002.02						
William J. Brennan, Jr. Center for Justice, Inc. 161 Avenue of the Americas, 12th Floor New York, NY 10013						
Research and analysis related to challenging the discriminatory intent of felony disenfranchisement law						
10/01/01	0.00	25000.00	0.00	25000.00	0.00	0.00
B7405.00						
William J. Brennan, Jr., Center for Justice, Inc. 161 Avenue of the Americas New York, NY 10013						
Public education, technical assistance and strategic litigation to advance electoral reforms						
02/07/02	0.00	750000.00	0.00	437500.00	0.00	312500.00
B2002.50						
Wilton Park Wiston House Steyning WEst Sussex BN44 3DZ United Kingdom Conference to examine chemical and biological weapons terrorism						
03/28/02	0.00	25000.00	0.00	25000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
82002.30 WNYC Radio One Centre Street New York, NY 1007						
Rebuilding organizational capacity and strengthening programming in the aftermath of the terrorist attacks on 9/11/2001						
03/04/02	0.00	500000.00	0.00	500000.00	0.00	0.00
80133.00 Women's Project & Production 55 WEst End Avenue New York, NY 10023						
Support						
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
87084.00 Woodrow Wilson International Center for Scholars One Woodrow Wilson Plaza 1300 pennsylvania Avenue, NW Washington, DC 20004-3027						
Creation of centers for advanced study and education in Russia						
04/13/00	2400000.00	0.00	960000.00	960000.00	0.00	0.00
87313.00 Woodrow Wilson International Center for Scholars One Woodrow Wilson Plaza 1300 Pennsylvania Avenue, NW Washington, DC 20523						
Creation of centers for advanced study and education in Russia						
06/14/01	1110200.00	0.00	1110200.00	555100.00	0.00	555100.00
87341.00 Woodrow Wilson International Center for Scholars 1300 Pennsylvania Avenue, NW One Woodrow Wilson Plaza Washington, DC 20007-3027						
Program on conflict prevention was 87247						
02/01/01	460100.00	0.00	291070.00	153612.00	0.00	137458.00
87492.00 Woodrow Wilson International Center for Scholars One Woodrow Wilson Plaza 1300 Pennsylvania Avenue, NW Washington, DC 20523						
Project on interdisciplinary policy dialogues and publications on critical water issues						
06/13/02	0.00	400000.00	0.00	210157.00	0.00	189843.00
87491.00 Woodrow Wilson International Center for Scholars One Woodrow Wilson Plaza 1300 Pennsylvania Avenue, NW Washington, DC 20523						
Creation of center for advanced study and education in Russia						
06/13/02	0.00	4300000.00	0.00	0.00	0.00	4300000.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
Amended Return						
87468.00	Woodrow Wilson National Fellowship Foundation 5 Vaughn Drive, CN 5281 Suite 300 Princeton, NJ 08543-5281 Development of ten new early college high schools emphasizing liberal arts education					
06/13/02	0.00	500000.00	0.00	375000.00	0.00	125000.00
80134.00	Wooster Group, Inc. PO Box 654 Canal Street Station New York, NY 10013 Support					
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
82002.63	World Affairs Council Of Northern California 312 Sutter Street Suite 200 San Francisco, CA 94108 Conference on Islam					
05/29/02	0.00	25000.00	0.00	25000.00	0.00	0.00
80135.00	World Music Institute, Inc. 49 West 27th Street New York, NY 10001 Support					
02/07/02	0.00	75000.00	0.00	75000.00	0.00	0.00
87248.00	Yale University Woodbridge Hall 105 Wall Street, P.O. Box 208229 New Haven, CT 06520 Project to explore the nature and scale of threats to self-determination posed by globalization					
02/01/01	445500.00	0.00	266378.00	154739.00	0.00	111639.00
82002.61	Yale University P.O. Box 208301 New Haven, CT 06520-8301 Strategies for sustainable water resource development in Namibia					
05/16/02	0.00	25000.00	0.00	25000.00	0.00	0.00
80136.00	Young Audiences/New York, Inc. One East 53rd Street 8th Floor New York, NY 10022 Support					
02/07/02	0.00	100000.00	0.00	100000.00	0.00	0.00

Appropriation Date/Gnumber	Prior Appropriations	Current Appropriations	Prior Balance	Current Paid	Current Cancel/Return	New Balance
80137.00						
Young Playwights, Inc. 306 West 38th Street Suite 300 New York, NY 10018 Support						
02/07/02	0.00	25000.00	0.00	25000.00	0.00	0.00
B7068.00						
ZWoodrow Wilson National Fellowship Fouation CN 5281 Princeton, NJ 08543-5281						
Strengthening historical literacy in grades 11-14						
04/13/00	988800.00	0.00	266083.00	266083.00	0.00	0.00
GRANT TOTALS FOR US						
		132754795.00	39239401.00	81086037.56	1040800.00	89867358.44
GRAND TOTALS						
		151387423.20	52979869.00	95194314.76	1040800.00	108132177.44

Amended Return

Less: Refunds <255,122.37>
 Contingencies <1,040,800.00>
150,021,500.83

OFFICER ADMINISTERED GRANTS
 112,392.14 FY2001 ACCRUALS PAID IN FY2002
 <930,698.48> FY2002 ACCRUALS
94,376,008.42
 18,074,988.06 Less: Payments from gift & grants received
76,301,020.36 TOTAL GRANT PAYMENTS
 PART I-LINE 26-COL D

Attachment # 2

Carnegie Corporation of New York #13-1628151
Attachment for Part VII-B, Question 5 (c), 990-PF
For the Fiscal Year Ended September 30, 2002

Amended Return

Diversion: The following is a report on grants to domestic and foreign organizations and individuals for which Carnegie Corporation of New York is assuming expenditure responsibility. To the knowledge of the foundation, and based on the reports furnished by the grantees, no part of these grants was used for other than charitable purposes.

DOMESTIC ORGANIZATIONS

Grants to organizations other than a charitable, etc., organization described in IRS Code section 509(a)(1), (2), or (3), or after December 31, 1984, section 4940(d)(2):

Grant No.: B 6664
Grantee: BATTELLE MEMORIAL INSTITUTE
505 King Avenue
Columbus, OH 43201-2693
Grant Date: April 10, 1997.
Amount: \$225,000
Purpose: toward the State Science and Technology Institute
Payments Made: Grant was paid in full prior to this fiscal year.
Amount of grant spent by grantee: \$225,000 as of 10/31/01
Reports Received: Progress and financial reports were received on 1/30/98, 3/26/99, and 2/1/00, and final financial and progress reports were received on 12/6/02.

Grant No.: B 7017
Grantee: BENTON FOUNDATION
1800 K Street, NW
Suite 200
Washington, DC 20006
Grant Date: October 14, 1999
Amount: \$325,000
Purpose: toward its telecommunications policy and practices program
Payments Made: Grant was paid in full prior to this fiscal year.
Amount of grant spent by grantee: \$325,000 as of 9/30/01
Reports Received: Progress reports were received on 11/20/00 and 12/7/01. Financial reports were received on 11/20/00, 3/29/01, and 12/7/01.

Grant No.: B 7219
Grantee: CAMERA NEWS, INC.
545 Eighth Avenue, 10th Floor
New York, NY 10018
Grant Date: October 12, 2000
Amount: \$250,000
Purpose: toward educational outreach and dissemination of a documentary on Ralph Bunche
Payments Made: Grant was paid in full prior to this fiscal year.
Amount of grant spent by grantee: \$165,524 as of 12/31/01.
Reports Received: Progress report was received on 12/27/01. Financial report was received on 2/25/02. The grant was extended to 6/30/02.

Amended Return

Grant No.: D 01055

Grantee: GREATER BIRMINGHAM MINISTRIES, INC.
2304 12th Avenue North
Birmingham, AL 35234-3111

Grant Date: June 25, 2001

Amount: \$25,000

Purpose: Toward the Fannie Lou Hamer Education Project to educate communities of color about campaign finance reform

Payments Made: Grant was paid in full prior to this fiscal year.

Amount of grant spend by grantee: \$25,000 as of 11/20/02

Reports Received: Progress report received 9/25/01. Final financial and progress reports received 11/20/02.

Grant No.: B 6860

Grantee: INSTITUTE OF INTERNATIONAL EDUCATION, INC.
809 United Nations Plaza
New York, NY 10017-3580

Grant Date: October 8, 1998

Amount: \$388,600

Purpose: as a final grant toward the International Health Policy Program

Payments Made: Grant was paid in full prior to this fiscal year. \$238,064 was carried over from B 6617.

Amount of grant spent by grantee: \$549,528 as of 6/30/02.

Reports Received: Progress reports were received on 1/21/00 and 12/19/00. Financial reports were received on 1/24/00, 12/28/00, and 11/21/02. The grant was extended to 4/30/03. Annual progress and financial reports were requested 11/14/02.

Grant No.: B 7302

Grantee: MATHEMATICA POLICY RESEARCH, INC.
P.O. Box 2393
Princeton, NJ 08543-2393

Grant Date: June 14, 2001

Amount: \$477,800

Purpose: Toward evaluation of an alternative teacher certification program

Payments Made: 7/18/01 \$242,607

10/30/02 \$183,595

Amount of grant spent by grantee: \$48,266 as of 6/30/02.

Reports Received: Progress and financial reports were received 9/17/02.

Grant No.: D B 7302.R01

Grantee: MATHEMATICA POLICY RESEARCH, INC.
P.O. Box 2393
Princeton, NJ 08543-2393

Grant Date: July 1, 2002

Amount: \$34,900

Purpose: Toward additional support for the evaluation of an alternative teacher certification program

Payments Made: Payment of \$27,646 made on 7/17/02.

Reports Received: No reports were due as of 9/30/02.

Grant No.: D 02051

Grantee: MEDIA KIDZ RESEARCH AND CONSULTING
78 Grayson Place
Teaneck, NJ 07666

Grant Date: March 28, 2002

Amount: \$23,000

Purpose: For research and writing on the impact of educational television

Payments Made: Payment of \$23,000 made 4/9/02

Reports Received: No reports were due as of 9/30/02.

Amended Return

Grant No.: B 6996
Grantee: NATIONAL GOVERNORS' ASSOCIATION CENTER FOR BEST PRACTICES
Hall of States
444 North Capitol Street
Washington, DC 20001-1512
Grant Date: October 14, 1999
Amount: \$600,000
Purpose: toward a joint project with the National Conference of State Legislatures to provide assistance to state leaders in strengthening teacher education
Payments Made: Grant was paid in full prior to this fiscal year.
Amount of grant spent by grantee: \$560,009 as of 12/4/02.
Reports Received: Progress and financial reports were received 11/6/00 and 5/3/02. The grant was extended to 11/30/01. \$34,335.68 was carried over to grant B 7303 on May 6, 2002. \$5,655 was carried over to grant B 7303 on October 17, 2002. Final financial report was received 12/4/02.

Grant No.: B 7303
Grantee: NATIONAL GOVERNORS' ASSOCIATION CENTER FOR BEST PRACTICES
Hall of States
444 North Capitol Street
Washington, DC 20001-1512
Grant Date: June 14, 2001
Amount: \$505,400
Purpose: for a joint project with the National Conference of State Legislatures to provide assistance to state leaders in strengthening teacher education
Payments Made: \$292,230 was paid 10/1/01
Reports Received: No reports were due as of 9/30/02. \$34,335.68 was carried over from grant B 6996 on May 6, 2002. \$5,655 was carried over from grant B 6996 on October 17, 2002.

Grant No.: B 7013
Grantee: DEMOS: A NETWORK FOR IDEAS AND ACTION, LTD.
(FORMERLY NETWORK FOR AMERICAN RENEWAL)
155 Avenue of the Americas, 4th Floor
New York, NY 10013
Grant Date: October 14, 1999. Amount: \$200,000
Purpose: toward support
Payments Made: December 8, 1999 \$150,000
February 7, 2001 \$50,000
Amount of grant spent by grantee: \$150,000.00 as of January 31, 2001
Reports Received: Progress and financial reports were received 2/5/01.
Note: 501(c)(3) determination received.

Grant No.: D 99044
Grantee: PLOUGHSHARES FUND
Fort Mason Center
Building B, Suite 330
San Francisco, CA 94123
Grant Date: September 7, 1999
Amount: \$25,000
Purpose: Toward the Peace and Security Funders Group
Payments Made: Grant was paid in full prior to this fiscal year.
Amount of grant spent by grantee: \$25,000 as of 5/31/01.
Reports Received: Progress and financial reports were received on 7/17/00 and 9/4/01.

Grant No.: D 99044.R01
Grantee: PLOUGHSHARES FUND
Fort Mason Center
Building B, Suite 330
San Francisco, CA 94123

Amended Return

Grant Date: January 8, 2002
Amount: \$50,000
Purpose: Toward the Peace and Security Funders Group
Payments Made: \$29,167 was paid 1/30/02
Reports Received: No reports were due as of 9/30/02.

Grant No.: B 7467
Grantee: THE TEACHER EDUCATION ACCREDITATION COUNCIL, INCORPORATED
Suite 101, Willard Hall Education Building
Newark, DE 19716

Grant Date: June 13, 2002
Amount: \$900,000
Purpose: One-time funding toward the implementation of an evidence-based system of accreditation for teacher education institutions
Payments Made: \$362,417 was paid 7/17/02.
Reports Received: No reports were due as of 9/30/02.

Grant No.: D 01075
Grantee: TOYNBEE PRIZE FOUNDATION, INC.
706 BEACON STREET
NEWTON CENTRE, MA 02495

Grant Date: August 23, 2001
Amount: \$25,000
Purpose: Toward a conference and dissemination on the effects of globalization on cities and the role cities play in the process of globalization
Payments Made: \$25,000 was paid 10/1/01.
Reports Received: No reports were due as of 9/30/02.

Grant No.: D 02112
Grantee: WEI JINGSHENG FOUNDATION
888 16th Street, NW, Suite 400
Washington, DC 20006

Grant Date: September 12, 2002
Amount: \$50,000
Purpose: For writing and research by Wei Jingsheng on human rights in China
Payments Made: No payments made as of 9/30/02.
Reports Received: No reports were due as of 9/30/02.

Grant No.: D 01060
Grantee: WOODROW WILSON NATIONAL FELLOWSHIP FOUNDATION
5 Vaughn Drive
CN 5281
Suite 300
Princeton, NJ 08543

Grant Date: July 6, 2001
Amount: \$41,400
Purpose: For a documentary history of liberal arts education
Payments Made: Grant was paid in full prior to this fiscal year.
Amount of grant spent by grantee: \$41,400 as of 12/31/01.
Reports Received: Progress and financial reports were received 4/8/02.

Grant No.: B 7171
Grantee: YOUTH VOTE 2000
1730 M Street, NW
Suite 1000
Washington, DC 20036
Grant Date: June 8, 2000
Amount: \$200,000
Purpose: toward support

Amended Return

Payments Made: Grant was paid in full prior to this fiscal year.
Amount spent by grantee: \$200,000 as of 12/19/02.
Reports Received: Final progress and financial reports received 12/19/02.
Note: Grant completed as a project of the Tides Center, an organization described in section 509(a)(1).

Foreign Organizations

Grant No.: B 7217
Grantee: AFRICAN WOMEN'S DEVELOPMENT FUND
c/o Akina Mama wa Afrika
334-336 Goswell Road
London EC1V 7LQ
UNITED KINGDOM
Grant Date: October 12, 2000
Amount: \$500,000
Purpose: toward establishment and general support
Payments Made: 6/6/01 \$275,000
1/9/02 \$142,000
Amount of grant spent by grantee: \$272,183 as of 9/30/01.
Reports Received: Financial and progress reports received 12/6/01

Grant No.: B 7152
Grantee: ASPEN INSTITUTE BERLIN
Inselstrasse 10
Berlin D-141 29
GERMANY
Grant Date: June 8, 2000
Amount: \$400,700
Purpose: toward the German-American-Russian policy dialogue
Payments Made: \$230,030 was paid 7/6/00.
Amount of grant spent by grantee: \$230,030 as of January 2002
Reports Received: Progress reports were received 3/5/02, 7/9/02, and 8/8/02. Financial report was received 1/17/02.
Note: Unpaid balance of grant transferred to Brown University.

Grant No.: D 00058
Grantee: ASSOCIATION OF AFRICAN UNIVERSITIES
P.O. Box 5744
Accra-North
GHANA
Grant Date: July 6, 2000
Amount: \$25,000
Purpose: toward a study on variants of university education provision in Africa
Payments Made: Grant was paid in full prior to this fiscal year.
Amount of grant spent by grantee: \$25,000 as of 9/30/02
Reports Received: Final financial report was received 11/4/02

Amended Return

Grant No.: D 01008
Grantee: ASSOCIATION OF AFRICAN UNIVERSITIES
African Universities House
Aviation Road Extension, Airport Residential Area
P.O. Box 5744
Accra-North, GHANA
Grant Date: November 6, 2000
Amount: \$25,000
Purpose: toward the 10th AAU General Conference
Payments Made: Grant was paid in full prior to this fiscal year.
Amount of grant spent by grantee: \$25,000 as of 9/30/01
Reports Received: Final financial and progress reports were received 1/22/02.

Grant No.: D 01070
Grantee: ASSOCIATION OF AFRICAN UNIVERSITIES
Aviation Road Extension, Airport Residential Area
P.O. Box 5744
Accra-North
GHANA
Grant Date: August 9, 2001
Amount: \$25,000
Purpose: toward a conference on the role of African university libraries in the 21st Century
Payments Made: Grant was paid in full prior to this fiscal year.
Amount of grant spent by grantee: \$25,000 as of 12/31/01.
Reports Received: Final financial and progress reports were received 5/30/02.

Grant No.: D 00033.R01
Grantee: ASSOCIATION OF CANADIAN UNIVERSITIES FOR NORTHERN STUDIES
405-17 York Street, Suite 405
Ottawa, Ontario K1N 9J6
CANADA
Grant Date: June 4, 2002
Amount: \$40,000
Purpose: As a final grant toward an international conference on arctic and circumpolar issues
Payments Made: \$40,000 was paid 7/10/02
Reports Received: No reports were due as of 9/30/02.

Grant No.: D 02039
Grantee: AUSTRALIAN NATIONAL UNIVERSITY
Canberra, ACT 2601
AUSTRALIA
Grant Date: March 8, 2002
Amount: \$24,900
Purpose: For research and writing on internal conflict and conflict management in the Asian-Pacific region
Payments Made: \$24,900 was paid 6/5/02
Reports Received: No reports were due as of 9/30/02.

Amended Return

Grant No.: B 7036
Grantee: UNIVERSITY OF BRADFORD
West Yorkshire BD7 1DP
UNITED KINGDOM
Grant Date: February 1, 2000
Amount: \$200,000
Purpose: toward a project on preventing the proliferation of biological weapons
Payments Made: Grant was paid in full prior to this fiscal year.
Amount of grant spent by grantee: \$108,706.00 as of March 31, 2001
Reports Received: A financial report was received 5/8/01. A progress report was received 5/22/01. Final financial and progress reports were requested 12/2/02.

Grant No.: B 7036.R01
Grantee: UNIVERSITY OF BRADFORD
West Yorkshire BD7 1DP
UNITED KINGDOM
Grant Date: February 7, 2002
Amount: \$247,800
Purpose: Toward development of Genomics Gateway, a website to disseminate information on international treaties and agreements on biotechnology
Payments Made: \$142,708 was paid 4/1/02
Reports Received: No reports were due as of 9/30/02.

Grant No.: D 02004
Grantee: CENTRE FOR HIGHER EDUCATION TRANSFORMATION TRUST
West Yorkshire BD7 1DP
UNITED KINGDOM
Grant Date: October 4, 2001
Amount: \$50,000
Purpose: Toward a study of higher education in the Eastern Cape, South Africa
Payments Made: \$50,000 was paid 12/12/01
Amount of grant spent by grantee: \$50,000 as of 8/30/02.
Reports Received: Final financial and progress reports were received 8/30/02.

Grant No.: B 6709
Grantee: CIVIL LIBERTIES ORGANISATION
1A Hussey Street, off Herbert Macauley Way
Jibowu, Yaba
Lagos, NIGERIA
Grant Date: June 12, 1997
Amount: \$50,000
Purpose: toward education on women's rights
Payments Made: Grant was paid in full prior to this fiscal year.
Amount of grant spent by grantee: \$25,000.00 as of April 30, 1998
Reports Received: Progress reports were received 7/30/98 and 11/22/99. A financial report was received 7/30/98. The final financial report was requested on 10/26/99, 10/17/00, and 12/2/02. Note: Prohibited from future funding until reporting issues are resolved.

Grant No.: B 6921
Grantee: COMMUNITY FOUNDATION FOR THE WESTERN REGION OF ZIMBABWE
P.O. Box 1799
Bulawayo, ZIMBABWE
Grant Date: April 8, 1999
Amount: \$150,000
Purpose: as a final grant toward support
Payments Made: Grant was paid in full prior to this fiscal year. \$1,155 was carried over from grant B 6712.
Amount of grant spent by grantee: \$110,556.89 as of June 30, 2001
Reports Received: No reports scheduled 10/1/01-9/30/02; final report due 10/1/02 and requested 12/6/02.

Amended Return

Grant No.: D 00023
Grantee: CONSULTATIVE GROUP ON EARLY CHILDHOOD CARE AND DEVELOPMENT
350 Victoria Street
Toronto, Ontario M5B 2K3
CANADA
Grant Date: February 11, 2000
Amount: \$25,000
Purpose: toward the dissemination activities of an international network of early childhood organizations
Payments Made: Grant was paid in full prior to this fiscal year.
Amount of grant spent by grantee: \$25,000 as of June 30, 2001
Reports Received: Final progress and financial reports were received 10/2/01.

Grant No.: B 6701
Grantee: COUNCIL FOR SCIENTIFIC AND INDUSTRIAL RESEARCH
P.O. Box M.32
Accra, GHANA
Grant Date: June 12, 1997
Amount: \$100,000
Purpose: toward the establishment of science and technology policy dialogues in Ghana
Payments Made: Grant was paid in full prior to this fiscal year.
Reports Received: A progress report was received 6/7/99. Final progress and financial reports were requested on 1/13/00, 4/10/01, and 12/6/02.

Grant No.: D 97061
Grantee: FAMILY PLANNING ASSOCIATION OF TANZANIA
S.L.P. 1372
Dar es Salaam,
TANZANIA
Grant Date: August 7, 1997
Amount: \$25,000
Purpose: for a project to strengthen programs and cooperation on women's health among nongovernmental organizations in Tanzania
Payments Made: Grant was paid in full prior to this fiscal year.
Amount of grant spent by grantee: \$25,000.00 as of August 31, 1999
Reports Received: A progress report was received on 12/30/98. Financial reports were received on 1/4/99 and 2/7/00.
The final progress report was requested on 3/27/00, 10/18/00, and 12/6/02. Note: Prohibited from future funding until reporting issues are resolved.

Grant No.: B 7215
Grantee: FOUNDATION FOR LIBRARY AND INFORMATION SERVICE DEVELOPMENT (PTY) LTD.
National Library of South Africa
P.O. Box 397
Pretoria 0001
SOUTH AFRICA
Grant Date: October 12, 2000
Amount: \$46,000
Purpose: for development of an inventory of public and community libraries in South Africa
Payments Made: Grant was paid in full prior to this fiscal year.
Amount of grant spent by grantee: \$33,740 as of 11/30/01.
Reports Received: A progress report was received 7/31/01 and 12/31/01. A financial report was received 12/31/01.
Grant was extended to 11/30/02.

Amended Return

Grant No.: B 7472
Grantee: HUMAN SCIENCE RESEARCH COUNCIL
134 Pretorius Street
Private Bag X41
Pretoria 0001
SOUTH AFRICA
Grant Date: June 13, 2002
Amount: \$150,000
Purpose: For research and studies on higher education partnerships with industry
Payments Made: Payment of \$150,000 made on 9/12/02.
Reports Received: No reports were due as of 9/30/02.

Grant No.: B 7158
Grantee: INTERNATIONAL DEVELOPMENT RESEARCH CENTRE
250 Albert Street
P.O. Box 8500
Ottawa, ON K1G 3H9
CANADA
Grant Date: June 8, 2000
Amount: \$500,000
Purpose: as a final grant toward African Technology Policy Studies Network
Payments Made: Grant was paid in full prior to this fiscal year.
Amount of grant spent by grantee: \$500,000 as of 5/31/02.
Reports Received: A progress report was received 6/28/01. A financial report was received 6/25/01. Grant was transferred to the African Technology Policy Studies Network. Final financial and progress reports were received 8/16/02.

Grant No.: B 6766
Grantee: INTERNATIONAL FOUNDATION FOR SOCIO-ECONOMIC AND POLITICAL STUDIES
(GORBACHEV FOUNDATION)
49, Leningradsky Prospekt
Moscow, 125468
RUSSIA
Grant Date: January 8, 1998
Amount: \$200,000
Purpose: as a final grant for a research project on Russia in the emerging global system
Payments Made: Grant was paid in full prior to this fiscal year. \$1,220 was carried over from grant B 6286
Amount of grant spent by grantee: \$200,000 as of 4/30/01
Reports Received: A progress report was received 2/11/99. A financial report was received 7/18/00. The grant was extended to 4/30/01. Final progress and financial reports were received 11/7/01.

Grant No.: B 6886
Grantee: INTERNATIONAL FORUM FOR SOCIAL SCIENCES IN HEALTH
c/o Laboratorio de Ciencias Sociales (LACSO)
Apartado Postal 47795
Caracas 1040, VENEZUELA
Grant Date: February 2, 1999
Amount: \$150,000
Purpose: as a final grant toward support
Payments Made: Grant was paid in full prior to this fiscal year.
Amount of grant spent by grantee: \$110,000.00 as of December 31, 2000
Reports Received: Progress and financial reports were received 5/18/00 and 7/18/01. Final financial and progress reports were requested 12/27/02.

Amended Return

Grant No.: D 01015
Grantee: INTERNATIONAL HELSINKI FEDERATION FOR HUMAN RIGHTS
Wickenburggasse 14/7
A-1080 Vienna
AUSTRIA
Grant Date: December 6, 2000
Amount: \$20,000
Purpose: toward research and dissemination of a book on the formation of the legal concept of genocide
Payments Made: Grant was paid in full prior to this fiscal year.
Amount of grant spent by grantee: \$16,000.00 as of 11/13/02.
Reports Received: Financial and progress reports was received on 12/30/02.

Grant No.: D 01064
Grantee: INTERNATIONAL HELSINKI FEDERATION FOR HUMAN RIGHTS
Wickenburggasse 14/7
A-1080 Vienna
AUSTRIA
Grant Date: July 19, 2001
Amount: \$25,000
Purpose: for a conference on trafficking in women in Eastern and Central Europe and the former Soviet Union
Payments Made: Grant was paid in full prior to this fiscal year.
Amount Spent by Grantee: \$25,000 as of 2/28/02.
Reports Received: Grant was extended to 2/28/02. Final financial and progress reports were received 6/4/02.

Grant No.: B 7160
Grantee: INTERNATIONAL INSTITUTE FOR EDUCATIONAL PLANNING
7-9 rue Eugene Delacroix
75116 Paris
FRANCE
Grant Date: June 8, 2000
Amount: \$50,000
Purpose: toward support of the Association for the Development of Education in Africa
Payments Made: Grant was paid in full prior to this fiscal year.
Amount Spent by Grantee: \$50,000 as of 6/30/01.
Reports Received: Final financial and progress reports were received 10/18/01.

Grant No.: D 00061
Grantee: INTERNATIONAL INSTITUTE FOR EDUCATIONAL PLANNING
7-9 rue Eugene-Delacroix
75116 Paris
FRANCE
Grant Date: July 19, 2000
Amount: \$25,000
Purpose: toward a study of innovations in African higher education by the Association for the Development of Education in Africa's Working Group on Higher Education
Payments Made: Grant was paid in full prior to this fiscal year.
Amount Spent by Grantee: \$25,000 as of 7/31/01.
Reports Received: Final financial and progress reports were received 1/22/02.

Amended Return

Grant No.: B 7160.R01
Grantee: INTERNATIONAL INSTITUTE FOR EDUCATIONAL PLANNING
7-9 rue Eugene Delacroix
75116 Paris
FRANCE
Grant Date: February 7, 2002
Amount: \$150,000
Purpose: Toward support of the Association for the Development of Education in Africa
Payments Made: \$150,000 was paid 3/14/02.
Reports Received: No reports were due as of 9/30/02.

Grant No.: B 7207
Grantee: THE INTERNATIONAL INSTITUTE FOR STRATEGIC STUDIES
Arundel House
13-15 Arundel Street
Temple Place, London WC2R 3DX
UNITED KINGDOM
Grant Date: October 12, 2000
Amount: \$275,000
Purpose: for policy-relevant research on external intervention in self-determination disputes
Payments Made: November 1, 2000 \$120,167
December 1, 2001 \$84,833
Amount Spent by Grantee: \$80,676 as of 9/30/01.
Reports Received: Financial and progress reports were received 11/27/01.

Grant No.: B 7310
Grantee: THE INTERNATIONAL INSTITUTE FOR STRATEGIC STUDIES
Arundel House
13-15 Arundel Street
Temple Place
London WC2R 3DX, UNITED KINGDOM
Grant Date: June 14, 2001
Amount: \$400,000
Purpose: Toward international security forums for Russian regions
Payments Made: July 5, 2001 \$231,667
August 7, 2002 \$168,333
Amount Spent by Grantee: \$204,817 as of 5/31/02.
Reports Received: Financial and progress reports were received 7/7/02.

Grant No.: B 7159
Grantee: LIBRARY AND INFORMATION ASSOCIATION OF SOUTH AFRICA
Private Bag 17
Bellville 7535
SOUTH AFRICA

Amended Return

Grant Date: June 8, 2000
Amount: \$249,400
Purpose: for institutional support
Payments Made: September 1, 2000 \$95,400
November 1, 2001 \$83,167

Amount of grant spent by grantee: \$60,535.97 as of August 31, 2001
Reports Received: Progress and financial reports were received 9/24/01 and 10/1/02.

Grant No.: B 7393
Grantee: INSTITUTE OF EDUCATION, UNIVERSITY OF LONDON
20 Bedford Way
London WC1H 0AL
ENGLAND

Grant Date: February 7, 2002
Amount: \$197,700
Purpose: Toward research and dissemination on the sustainability of interventions to improve gender equity at
Ugandan and Tanzanian universities
Payments Made: No payments have been made as of 9/30/02.
Reports Received: No reports were due as of 9/30/02.

Grant No.: B 7243
Grantee: MOSCOW SCHOOL OF POLITICAL STUDIES
Bolshaya Nikitskaya ul. 44/2
Office 22, 1-GSP
121069 Moscow
RUSSIA

Grant Date: February 1, 2001
Amount: \$230,000
Purpose: toward a project to strengthen democratic institutions and civil society in Russia
Payments Made: March 7, 2001 \$137,500
April 24, 2002 \$92,500
\$3,008.11 was carried over from grant B 6879
Amount of grant spent by grantee: \$137,057 as of 1/31/02.
Reports Received: Progress report was received 3/18/02; financial report was received 4/18/02.

Grant No.: B 6835
Grantee: NIGERIAN INSTITUTE OF SOCIAL AND ECONOMIC RESEARCH
P.M.B. 5, U.I. Post Office
Oyo Road, Ojoo
Ibadan, NIGERIA

Grant Date: June 11, 1998
Amount: \$75,000
Purpose: as a final grant toward promotion of strategic management of science and technology policy in
Nigeria
Payments Made: Grant was paid in full prior to this fiscal year.
Amount of grant spent by grantee: \$37,500.00 as of June 30, 1999
Reports Received: Progress and financial reports were received on 6/16/00. The grant was extended to 4/30/01.
Progress and financial reports were requested 1/11/01, 2/28/02, and 12/27/02. Note: Prohibited from
future funding until reporting issues are resolved.

Amended Return

Grant No.: B 7430
Grantee: RAND AFRIKAANS UNIVERISITY
P.O. Box 524
Aukland Park 2006
Republic of South Africa
Grant Date: April 11, 2002
Amount: \$1,000,000
Purpose: Toward the establishment of a four-year degree in accounting at the University of Fort Hare, in partnership with Rand Afrikaans University
Payments Made: \$259,250 was paid 5/1/02
Reports Received: No reports were due as of 9/30/02.

Grant No.: B 7272
Grantee: SOCIAL SCIENCE ACADEMY OF NIGERIA
Crescent 12, Flat 99
Kado Estate, Wuse
P.O. Box 8026
Abuja, NIGERIA
Grant Date: April 12, 2001
Amount: \$34,700
Purpose: toward a study of the Nigerian university system and selected universities
Payments Made: Grant was paid in full prior to this fiscal year.
Amount of grant spent by grantee: \$34,700.00 as of 3/31/02.
Reports Received: Final progress report was received on 9/18/02. Final financial report was received on 10/8/02.

Grant No.: B 7432
Grantee: UNIVERSITY OF STELLENBOSCH
Stellenbosch Institute for Advanced Study (STIAS)
Private Bag X1
Stellenbosch 7602
REPUBLIC OF SOUTH AFRICA
Grant Date: April 11, 2002
Amount: \$1,000,000
Purpose: Toward a collaborative program with the Medical University of Southern Africa on the Management of HIV/AIDS in the Workplace
Payments Made: \$446,004 was paid 5/15/02
Reports Received: No reports were due as of 9/30/02.

Grant No.: B 7474
Grantee: UNIVERSITY OF STELLENBOSCH
Stellenbosch Institute for Advanced Study (STIAS)
Private Bag X1
Stellenbosch 7602
REPUBLIC OF SOUTH AFRICA
Grant Date: June 13, 2002
Amount: \$150,000
Purpose: Toward research and dissemination on the transformative factors that affect the production and utilization of scientific knowledge in higher education in South Africa
Payments Made: \$93,000 was paid 7/9/02
Reports Received: No reports were due as of 9/30/02.

Grant No.: B 7038
Grantee: UNIVERSITY OF SUSSEX
Brighton BN1 9RF
UNITED KINGDOM

Amended Return

Grant Date: February 1, 2000

Amount: \$129,900

Purpose: toward the Harvard Sussex Program on the global elimination of biological and chemical weapons

Payments Made: Grant was paid in full prior to this fiscal year.

Amount of grant spent by grantee: \$47,142 as of March 31, 2001

Reports Received: Progress and financial reports were received 5/1/01. Final financial and progress reports were requested 1/17/03.

Note: Equivalency determination made 3/8/02.

Grant No.: B 6706
Grantee: UGANDA NATIONAL COUNCIL FOR SCIENCE AND TECHNOLOGY
76 Buganda Road
P.O. Box 6884
Kampala, UGANDA

Grant Date: June 12, 1997

Amount: \$150,000

Purpose: as a final grant for research and training in health policy in Uganda

Payments Made: Grant was paid in full prior to this fiscal year.

\$18,424.01 was carried over from grant B 6046

Amount of grant spent by grantee: \$132,644.69 as of July 31, 1998

Reports Received: Progress reports were received on 9/3/98 and 4/25/00. Financial reports were received on 5/12/98 and 9/22/98. The final financial report was requested on 10/31/00, 12/21/00, and 12/27/02. Note: Prohibited from future funding until reporting issues are resolved.

Grant No.: B 7475
Grantee: UNIVERSITY OF THE WESTERN CAPE
Private Bag X17
Bellville 7535
REPUBLIC OF SOUTH AFRICA

Grant Date: June 13, 2002

Amount: \$1,000,000

Purpose: For a partnership with universities and technical colleges to research and disseminate the application of information and communication technologies to achieve quality and equity in higher education in South Africa

Payments Made: \$415,800 was paid 8/7/02

Reports Received: No reports were due as of 9/30/02.

Grant No.: B 7476
Grantee: UNIVERSITY OF THE WESTERN CAPE
Private Bag X17
Bellville 7535
REPUBLIC OF SOUTH AFRICA

Grant Date: June 13, 2002

Amount: \$151,700

Purpose: For a study of the reconfiguration of South Africa's higher education institutions

Payments Made: \$84,725 was paid 7/11/02

Reports Received: No reports were due as of 9/30/02.

Amended Return

Grant No.: D 02050
Grantee: WILTON PARK
Wiston House
Steyning
West Sussex BN44 3DZ
UNITED KINGDOM
Grant Date: March 28, 2002
Amount: \$25,000
Purpose: toward a conference to examine chemical and biological weapons terrorism
Payments Made: \$25,000 was paid 4/17/02.
Reports Received: No reports were due as of 9/30/02.

Grant No.: B 6861
Grantee: WOMEN AND LAW IN EAST AFRICA
P.O. Box 72321
Nairobi, KENYA
Grant Date: October 8, 1998
Amount: \$150,000
Purpose: toward research on women's legal rights in Kenya, Tanzania, and Uganda
Payments Made: Grant was paid in full prior to this fiscal year.
\$32,749 was carried over from grant B 6561
Amount of grant spent by grantee: \$89,082.00 as of October 31, 1999
Reports Received: Progress and financial reports were received 1/23/00. Final progress and financial reports were requested on 5/16/01 and 12/27/02.

Grant No.: B 7088
Grantee: WOMEN IN LAW AND DEVELOPMENT IN AFRICA
2nd Floor, Zambia House, Union Avenue
P.O. Box 4622
Harare
ZIMBABWE
Grant Date: April 13, 2000
Amount: \$250,000
Purpose: as a final grant toward training and advocacy on women's rights
Payments Made: Grant was paid in full prior to this fiscal year.
Amount of grant spent by grantee: \$138,558.00 as of March 31, 2001
Reports Received: Progress and financial reports were received 7/24/01. Final financial and progress reports were requested 10/3/02.

Grant No.: B 6976
Grantee: WOMEN'S DEVELOPMENT FOUNDATION
P.O. Box 31028
Braamfontein 2017, Johannesburg
SOUTH AFRICA
Grant Date: June 10, 1999
Amount: \$150,000
Purpose: as a final grant for a policy development program for gender equality
Payments Made: Grant was paid in full prior to this fiscal year.
Amount of grant spent by grantee: \$63,875.85 as of June 30, 2000
Reports Received: The first annual progress and financial reports were received 8/4/00. Final financial and progress reports were requested on 12/27/02.

GRANTS TO INDIVIDUALS

Amended Return

Grant No.: D 01032
Grantee: JOY G. DRYFOOS
20 Circle Drive
Hastings-on-Hudson, NY 10706
Grant Date: March 9, 2001
Amount: \$25,000
Purpose: for research and writing on creating full-service community schools
Payments Made: Grant was paid in full prior to this fiscal year.
Amount of grant spent by grantee: \$25,000 as of 3/31/02
Reports Received: Final financial and progress reports were received on 4/15/02.

Amended Return

Information Return of U.S. Persons With Respect to Certain Foreign Corporations

OMB No 1545-0704

Form 5471

(Rev. May 2001)

Department of the Treasury
Internal Revenue Service

Information furnished for the foreign corporation's annual accounting period (tax year required by section 898) (see instructions) beginning 10/01/2001, and ending 09/30/2002

File In Duplicate
(see When and Where To File on page 1 of the instructions)

See separate instructions.

Name of person filing this return
CARNEGIE CORPORATION OF NEW YORK

Number, street, and room or suite no. (or P.O. box number if mail is not delivered to street address)
437 MADISON AVENUE

City or town, state, and ZIP code
NEW YORK NY 10022

Filer's tax year beginning 10/01/2001, and ending 09/30/2002

A Identifying number
13-1628151

B Category of filer (See page 1 of the instructions. Check applicable box(es)).
1 2 3 4 5

C Enter the total percentage of the foreign corporation's voting stock you owned at the end of its annual accounting period %
100.0000

D Person(s) on whose behalf this information return is filed.

(1) Name	(2) Address	(3) Identifying number	(4) Check applicable box(es)		
			Shareholder	Officer	Director
NOT APPLICABLE					

Important: Fill in all applicable lines and schedules. All information must be in the English language. All amounts must be stated in U.S. dollars unless otherwise indicated.

1a Name and address of foreign corporation
SKIBO CAYMAN HOLDINGS, INC.
C/O MAPLES AND CALDER, ATTORNEYS-AT-LAW
UGLAND HOUSE, P.O. BOX 309, GEORGE TOWN, GRAND CAYMAN, CAYMAN ISLANDS, BRITISH WEST INDIES

b Employer identification number, if any
NONE

c Country under whose laws incorporated
CAYMAN ISLANDS

d Date of incorporation
03/14/2001

e Principal place of business
CAYMAN ISLANDS

f Principal business activity code number
525990

g Principal business activity
SEE STATEMENT 1

h Functional currency
U.S. DOLLARS

2 Provide the following information for the foreign corporation's accounting period stated above.

a Name, address, and identifying number of branch office or agent (if any) in the United States
CARNEGIE CORPORATION OF NEW YORK
437 MADISON AVENUE
NEW YORK, NEW YORK 10022
13-1628151

b If a U.S. income tax return was filed, please show:
(i) Taxable income or (loss) **172,791.**
(ii) U.S. income tax paid (after all credits) **NONE**

c Name and address of foreign corporation's statutory or resident agent in country of incorporation
MAPLES AND CALDER, ATTORNEYS-AT-LAW
UGLAND HOUSE, P.O. BOX 309
GEORGE TOWN, GRAND CAYMAN, CAYMAN ISLANDS, BRITISH WEST INDIES

d Name and address (including corporate department, if applicable) of person (or persons) with custody of the books and records of the foreign corporation, and the location of such books and records, if different
SAME AS 2 (C)

COPY

Schedule A Stock of the Foreign Corporation

Part I - All Classes of Stock

(a) Description of each class of stock	(b) Number of shares issued and outstanding	
	(i) Beginning of annual accounting period	(ii) End of annual accounting period
ORDINARY SHARES (NO CERTIFICATE)	100.	100.

Part II - Additional Information for Preferred Stock

(To be completed only by Category 1 filers for foreign personal holding companies)

(a) Description of each class of Preferred stock (Note: This description should match the corresponding description entered in Part I, column (a).)	(b) Par value in functional currency	(c) Rate of dividend	(d) Indicate whether the stock is cumulative or noncumulative

Schedule E Income, Wa. . profits, and Excess Profits Taxes Paid or Accrued (See page 5 of instructions.)

	(a) Name of country or U.S. possession	Amount of tax		
		(b) In foreign currency	(c) Conversion rate	(d) In U.S. dollars
1	U.S.			
2	NOT APPLICABLE			
3				
4				
5				
6				
7				
8	Total			

Schedule F Balance Sheet

Important: Report all amounts in U.S. dollars prepared and translated in accordance with U.S. GAAP. See page 5 of the instructions for an exception for DASTM corporations.

Assets

		(a) Beginning of annual accounting period	(b) End of annual accounting period
1	Cash	30,000,100.	5,826.
2a	Trade notes and accounts receivable		
2b	Less allowance for bad debts	()	()
3	Inventories		
4	Other current assets (attach schedule)		
5	Loans to stockholders and other related persons		
6	Investment in subsidiaries (attach schedule)		
7	Other investments (attach schedule) SEE STATEMENT 3	55,105,083.	87,180,041.
8a	Buildings and other depreciable assets		
8b	Less accumulated depreciation	()	()
9a	Depletable assets		
9b	Less accumulated depletion	()	()
10	Land (net of any amortization)		
11	Intangible assets:		
11a	a Goodwill		
11b	b Organization costs		
11c	c Patents, trademarks, and other intangible assets		
11d	d Less accumulated amortization for lines 11a, b, and c	()	()
12	Other assets (attach schedule)		
13	Total assets	85,105,183.	87,185,867.

Liabilities and Stockholders' Equity

14	Accounts payable		
15	Other current liabilities (attach schedule)		
16	Loans from stockholders and other related persons		
17	Other liabilities (attach schedule)		
18	Capital stock:		
18a	a Preferred stock		
18b	b Common stock		
19	Paid-in or capital surplus (attach reconciliation)		
20	Retained earnings	85,105,183.	87,185,867.
21	Less cost of treasury stock	()	()
22	Total liabilities and stockholders' equity	85,105,183.	87,185,867.

Schedule G Other Information

- | | | |
|--|--------------------------|-------------------------------------|
| | Yes | No |
| 1 During the tax year, did the foreign corporation own at least a 10% interest, directly or indirectly, in a foreign partnership? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| If "Yes," see page 5 of the instructions for required attachment. | | |
| 2 During the tax year, did the foreign corporation own an interest in any trust? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 3 During the tax year, did the foreign corporation own any foreign entities that were disregarded as entities separate from their owners under Regulations sections 301.7701-2 and 301.7701-3? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| If "Yes," attach a statement listing the name, country under whose laws the entity was organized, and EIN (if any) of each entity. | | |

Schedule H Current Earnings and Profits (See page 5 of the instructions.)

Important: Enter the amounts on lines 1 through 5c in functional currency.

1 Current year net income or (loss) per foreign books of account	1	2,080,684.
2 Net adjustments made to line 1 to determine current earnings and profits according to U.S. financial and tax accounting standards (see instructions):		
	Net Additions	Net Subtractions
a Capital gains or losses		
b Depreciation and amortization		
c Depletion		
d Investment or incentive allowance		
e Charges to statutory reserves		
f Inventory adjustments		
g Taxes		
h Other (attach schedule).		
3 Total net additions		
4 Total net subtractions		
5 a Current earnings and profits (line 1 plus line 3 minus line 4)	5a	2,080,684.
b DASTM gain or (loss) for foreign corporations that use DASTM (see instructions)	5b	
c Combine lines 5a and 5b	5c	2,080,684.
d Current earnings and profits in U.S. dollars (line 5c translated at the appropriate exchange rate as defined in section 989(b) and the related regulations (see instructions)	5d	2,080,684.
Enter exchange rate used for line 5d ▶		

Schedule I Summary of Shareholder's Income From Foreign Corporation (See page 6 of instructions.)

1 Subpart F income (line 40b, Worksheet A in the instructions)	1	
2 Earnings invested in U.S. property (line 17, Worksheet B in the instructions)	2	
3 Previously excluded subpart F income withdrawn from qualified investments (line 6b, Worksheet C in the instructions)	3	
4 Previously excluded export trade income withdrawn from investment in export trade assets (line 7b, Worksheet D in the instructions)	4	
5 Factoring income	5	
6 Total of lines 1 through 5. Enter here and on your income tax return. See page 6 of instructions	6	
7 Dividends received (translated at spot rate on payment date under section 989(b)(1))	7	
8 Exchange gain or (loss) on a distribution of previously taxed income	8	

- | | | |
|--|--------------------------|-------------------------------------|
| • Was any income of the foreign corporation blocked? | Yes | No |
| | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| • Did any such income become unblocked during the tax year (see section 964(b))? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
- If the answer to either question is "Yes," attach an explanation.

**SCHEDULE J
(Form 5471)**

(Rev. May 2001)
Department of the Treasury
Internal Revenue Service

**Accumulated Earnings and Profits (E&P)
of Controlled Foreign Corporation** **Amended Return**

OMB No. 1545-0704

▶ Attach to Form 5471. See Instructions for Form 5471.

Name of person filing Form 5471 CARNEGIE CORPORATION OF NEW YORK	Identifying number 13-1628151
--	---

Name of foreign corporation
SKIBO CAYMAN HOLDINGS, INC.

Important. Enter amounts in functional currency.	(a) Post-1986 Undistributed Earnings (post-86 section 959(c)(3) balance)	(b) Pre-1987 E&P Not Previously Taxed (pre-87 section 959(c)(3) balance)	(c) Previously Taxed E&P (see instructions) (sections 959(c)(1) and (2) balances)			(d) Total Section 964(a) E&P (combine columns (a), (b), and (c))
			(i) Earnings Invested in U.S. Property	(ii) Earnings Invested in Excess Passive Assets	(iii) Subpart F Income	
1 Balance at beginning of year	-3,346,795.					-3,346,795.
2a Current year E&P	2,080,684.					
b Current year deficit in E&P	NONE					
3 Total current and accumulated E&P not previously taxed (line 1 plus line 2a, minus line 2b)	-1,266,111.					
4 Amounts included under section 951(a) or reclassified under section 959(c) in current year	NONE					
5a Actual distributions or reclassifications of previously taxed E&P						
b Actual distributions of nonpreviously taxed E&P	NONE					
6a Balance of previously taxed E&P at end of year (line 1 plus line 4, minus line 5a)						
b Balance of E&P not previously taxed at end of year (line 3 minus line 4, minus line 5b)	-1,266,111.					
7 Balance at end of year. (Enter amount from line 6a or line 6b, whichever is applicable.)	-1,266,111.					-1,266,111.

For Paperwork Reduction Act Notice, see the Instructions for Form 5471.

Schedule J (Form 5471) (Rev. 5-2001)

Transactions Between Controlled Foreign Corporation and Shareholders or Other Related Persons

▶ Attach to Form 5471. See Instructions for Form 5471.

Name of person filing Form 5471

CARNEGIE CORPORATION OF NEW YORK

Amended Return

Identifying number

13-1628151

Name of foreign corporation

SKIBO CAYMAN HOLDINGS, INC.

Important: Complete a separate Schedule M for each controlled foreign corporation. Enter the totals for each type of transaction that occurred during the annual accounting period between the foreign corporation and the persons listed in columns (b) through (f). All amounts must be stated in U.S. dollars translated from functional currency at the average exchange rate for the foreign corporation's tax year. See page 12 of the instructions.

U. S. DOLLARS

Enter the relevant functional currency and the exchange rate used throughout this schedule ▶

(a) Transactions of foreign corporation	(b) U.S. person filing this return	(c) Any domestic corporation or partnership controlled by U.S. person filing this return	(d) Any other foreign corporation or partnership controlled by U.S. person filing this return	(e) 10% or more U.S. shareholder of controlled foreign corporation (other than the U.S. person filing this return)	(f) 10% or more U.S. shareholder of any corporation controlling the foreign corporation
1 Sales of stock in trade (inventory)	NONE	NONE	NONE	NONE	NONE
2 Sales of property rights (patents, trademarks, etc.)	NONE	NONE	NONE	NONE	NONE
3 Compensation received for technical, managerial, engineering, construction, or like services	NONE	NONE	NONE	NONE	NONE
4 Commissions received	NONE	NONE	NONE	NONE	NONE
5 Rents, royalties, and license fees received	NONE	NONE	NONE	NONE	NONE
6 Dividends received (exclude deemed distributions under subpart F and distributions of previously taxed income)	NONE	NONE	NONE	NONE	NONE
7 Interest received	NONE	NONE	NONE	NONE	NONE
8 Premiums received for insurance or reinsurance	NONE	NONE	NONE	NONE	NONE
9 Add lines 1 through 8	NONE	NONE	NONE	NONE	NONE
10 Purchases of stock in trade (inventory)	NONE	NONE	NONE	NONE	NONE
11 Purchases of tangible property other than stock in trade	NONE	NONE	NONE	NONE	NONE
12 Purchases of property rights (patents, trademarks, etc.)	NONE	NONE	NONE	NONE	NONE
13 Compensation paid for technical, managerial, engineering, construction, or like services	NONE	NONE	NONE	NONE	NONE
14 Commissions paid	NONE	NONE	NONE	NONE	NONE
15 Rents, royalties, and license fees paid	NONE	NONE	NONE	NONE	NONE
16 Dividends paid	NONE	NONE	NONE	NONE	NONE
17 Interest paid	NONE	NONE	NONE	NONE	NONE
18 Add lines 10 through 17	NONE	NONE	NONE	NONE	NONE
19 Amounts borrowed (enter the maximum loan balance during the year) - see instructions	NONE	NONE	NONE	NONE	NONE
20 Amounts loaned (enter the maximum loan balance during the year) - see instructions	NONE	NONE	NONE	NONE	NONE

Amended Return

FORM 5471, LINE 1(G)

PRINCIPAL BUSINESS ACTIVITY

TO CARRY ON THE BUSINESS OF AN INVESTMENT COMPANY AND TO ACT AS PROMOTERS AND ENTREPRENEURS AND TO CARRY ON BUSINESS AS FINANCIERS, CAPITALISTS, CONCESSIONAIRES, MERCHANTS, BROKERS, TRADERS, DEALERS, AGENTS, IMPORTERS AND EXPORTERS AND TO UNDERTAKE AND CARRY ON AND EXECUTE ALL KINDS OF INVESTMENT, FINANCIAL, COMMERCIAL, MERCANTILE, TRADING AND OTHER OPERATIONS.

Amended Return

FORM 5471, PAGE 2 DETAIL

=====

SCH C, LINE 8 - OTHER INCOME

NET REALIZED INVESTMENT INCOME FROM
BROOKSIDE CAPITAL PARTNERS FUND, L.P.

3,380,448.

TOTAL

3,380,448.
=====

SCH C, LINE 16 - OTHER DEDUCTIONS

(INCREASE)/DECREASE IN UNREALIZED
INVESTMENTS

1,305,490.

TOTAL

1,305,490.
=====

Amended Return

FORM 5471, PAGE 3 DETAIL

SCH F, LINE 7 - OTHER INVESTMENTS	BEGINNING	ENDING
-----	-----	-----
INVESTMENT IN PARTNERSHIPS:		
BROOKSIDE CAPITAL PARTNERS FUND, LP	55,105,083.	57,754,696.
STANDARD PACIFIC GLOBAL EQUITY PARTNERS	NONE	29,425,345.
	-----	-----
TOTALS	55,105,083.	87,180,041.
	=====	=====

**Return by a Shareholder of a Passive Foreign
Investment Company or Qualified Electing Fund**

OMB No. 1545-1002

Attachment
Sequence No. **69**

▶ See separate instructions.

Name of shareholder Carnegie Corporation of New York	Identifying number (see page 2 of instructions) 13-1628151
Number, street, and room or suite no. (If a P.O. box, see page 2 of instructions.) 437 Madison Avenue	Shareholder tax year: calendar year 20__ or other tax year beginning 10/1 , 20__ and ending 9/30 , 20__
Amended Return	
City or town, state, and ZIP code or country New York, NY 10022	
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate	
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF) Trustor AB (In Liquidation)	Employer identification number (if any) N/A
Address (Enter number, street, city or town, and country.) Norrlandsgatan 16 Stockholm SE-103 96, Sweden	Tax year of company or fund: calendar year 20__ or other tax year beginning _____, 20__ and ending _____, 20__

Part I Elections (See instructions.)

- A** **Election To Treat the PFIC as a QEF.** I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. *Complete lines 1a through 2c of Part II.*
- B** **Deemed Sale Election.** I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. *Enter gain or loss on line 10f of Part IV.*
- C** **Deemed Dividend Election.** I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. *Enter this amount on line 10e.*
- D** **Election To Extend Time For Payment of Tax.** I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until the election is terminated. *Complete lines 3a through 4c of Part II to calculate the tax that may be deferred.*
Note: If any portion of line 1a or line 2a of Part II is includible under section 551 or 951, you may not make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.
- E** **Election To Recognize Gain on Deemed Sale of PFIC.** I, a shareholder of a former PFIC, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC, or, if I qualify, my share of the PFIC's post-1986 earnings and profits deemed distributed, on the last day of its last tax year as a PFIC under section 1297(a). *Enter gain on line 10f of Part IV.*
- F** **Election To Mark-to-Market PFIC Stock.** I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). *Complete Part III.*

COPY

Part II Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 1a through 2c. If you are making Election D, also complete lines 3a through 4c. (See page 5 of instructions.)

1a Enter your pro rata share of the ordinary earnings of the QEF	1a	0		
b Enter the portion of line 1a that is included in income under section 551 or 951 or that may be excluded under section 1293(g)	1b	0		
c Subtract line 1b from line 1a. Enter this amount on your tax return as dividend income	1c		0	
2a Enter your pro rata share of the total net capital gain of the QEF	2a	79		
b Enter the portion of line 2a that is included in income under section 551 or 951 or that may be excluded under section 1293(g)	2b	0		
c Subtract line 2b from line 2a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)	2c		79	
3a Add lines 1c and 2c	3a			
b Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	3b			
c Enter the portion of line 3a not already included in line 3b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	3c			
d Add lines 3b and 3c	3d			
e Subtract line 3d from line 3a, and enter the difference (if zero or less, enter amount in brackets)	3e			
Important: If line 3e is greater than zero, and no portion of line 1a or 2a is includible in income under section 551 or 951, you may make Election D with respect to the amount on line 3e.				
4a Enter the total tax for the tax year (See instructions.)	4a			
b Enter the total tax for the tax year determined without regard to the amount entered on line 3e	4b			
c Subtract line 4b from line 4a. This is the deferred tax, the time for payment of which is extended by making Election D. See instructions	4c			

Amended Return

Part III Gain or (Loss) From Mark-to-Market Adjusted Return (See page 5 of instructions.) Not Applicable

5 Enter the fair market value of your PFIC stock at the end of the tax year	5	
6 Enter your adjusted basis in the stock at the end of the tax year	6	
7 Excess. Subtract line 6 from line 5. If a gain, stop here. Include this amount as ordinary income on your tax return. If a loss, go to line 8	7	
8 Enter any unreversed inclusions (as defined in section 1296(d)). See instructions.	8	
9 Enter the smaller of line 7 or line 8. Include this amount as an ordinary loss on your tax return	9	

Part IV Distributions and Disposition of Stock in a Section 1291 Fund (See page 6 of instructions.)
Complete a separate Part IV for each excess distribution. Not Applicable

10a Enter your total distributions from the PFIC during the current tax year. If the holding period of the PFIC stock began in the current tax year, this amount is dividend income to the extent there are accumulated earnings and profits	10a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the company for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	10b	
c Divide line 10b by 3. (See instructions if the number of preceding tax years is less than 3.)	10c	
d Multiply line 10c by 125%. Enter the lesser of line 10a or line 10d as a dividend on your income tax return	10d	
e Subtract line 10d from line 10a. This amount, if more than zero, is the total excess distribution. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part IV. See instructions if you received more than one distribution during the current tax year	10e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 11. If a loss, show it in brackets and do not complete line 11	10f	
11a Attach a statement for each distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 11a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC tax years). Enter these amounts on your income tax return as other income	11b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	11c	
d Foreign tax credit. (See instructions.)	11d	
e Subtract line 11d from line 11c. Enter this amount on your income tax return as "additional tax." (See instructions.)	11e	
f Determine interest on each net increase in tax determined on line 11e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	11f	

Part V Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections
Complete a separate column for each outstanding election. Complete lines 9 and 10 only if there is a partial termination of the section 1294 election. Not Applicable

	(i)	(ii)	(iii)	(iv)	(v)	(vi)
1 Tax year of outstanding election						
2 Undistributed earnings to which the election relates						
3 Deferred tax						
4 Interest accrued on deferred tax (line 3) as of the filing date						
5 Event terminating election						
6 Earnings distributed or deemed distributed during the tax year						
7 Deferred tax due with this return						
8 Accrued interest due with this return						
9 Deferred tax outstanding after partial termination of election						
10 Interest accrued after partial termination of election						

Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund

OMB No. 1545-1002

Attachment Sequence No. **69**

▶ See separate instructions.

Name of shareholder Carnegie Corporation of New York	Identifying number (see page 2 of instructions) 13-1628151
Number, street, and room or suite no. (If a P.O. box, see page 2 of instructions.) 437 Madison Avenue	Shareholder tax year: calendar year 20__ or other tax year beginning 10/1, 20__ and ending 9/30, 20__
City or town, state, and ZIP code or country New York, NY 10022	
Amended Return	
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate	
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF) Lansdowne European Equity Fund Limited USD Class	Employer identification number (if any) N/A
Address (Enter number, street, city or town, and country.) c/o Fortis Fund Services (Ireland) Limited Plaza 2, Custom House Plaza, IFSC, Dublin, Ireland	Tax year of company or fund: calendar year 20 ⁰¹ or other tax year beginning _____, 20__ and ending _____, 20__

Part I Elections (See instructions.)

- A **Election To Treat the PFIC as a QEF.** I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. *Complete lines 1a through 2c of Part II.*
- B **Deemed Sale Election.** I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. *Enter gain or loss on line 10f of Part IV.*
- C **Deemed Dividend Election.** I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. *Enter this amount on line 10e.*
- D **Election To Extend Time For Payment of Tax.** I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. *Complete lines 3a through 4c of Part II to calculate the tax that may be deferred.*
Note: *If any portion of line 1a or line 2a of Part II is includible under section 551 or 951, you may not make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.*
- E **Election To Recognize Gain on Deemed Sale of PFIC.** I, a shareholder of a former PFIC, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC, or, if I qualify, my share of the PFIC's post-1986 earnings and profits deemed distributed, on the last day of its last tax year as a PFIC under section 1297(a). *Enter gain on line 10f of Part IV.*
- F **Election To Mark-to-Market PFIC Stock.** I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). *Complete Part III.*

Part II Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 1a through 2c. If you are making Election D, also complete lines 3a through 4c. (See page 5 of instructions.)

1a Enter your pro rata share of the ordinary earnings of the QEF	1a	1,548,625		
b Enter the portion of line 1a that is included in income under section 551 or 951 or that may be excluded under section 1293(g)	1b	0		
c Subtract line 1b from line 1a. Enter this amount on your tax return as dividend income	1c		1,548,625	
2a Enter your pro rata share of the total net capital gain of the QEF	2a	-327,652		
b Enter the portion of line 2a that is included in income under section 551 or 951 or that may be excluded under section 1293(g)	2b	0		
c Subtract line 2b from line 2a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)	2c		-327,652	
3a Add lines 1c and 2c	3a			
b Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	3b			
c Enter the portion of line 3a not already included in line 3b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	3c			
d Add lines 3b and 3c	3d			
e Subtract line 3d from line 3a, and enter the difference (if zero or less, enter amount in brackets)	3e			
Important: <i>If line 3e is greater than zero, and no portion of line 1a or 2a is includible in income under section 551 or 951, you may make Election D with respect to the amount on line 3e.</i>				
4a Enter the total tax for the tax year (See instructions.)	4a			
b Enter the total tax for the tax year determined without regard to the amount entered on line 3e	4b			
c Subtract line 4b from line 4a. This is the deferred tax, the time for payment of which is extended by making Election D. See instructions	4c			

Part III Gain or (Loss) From Mark-to-Market Election (See page 5 of instructions.) Not Applicable

Table with 2 columns: Description (lines 5-9) and Amount. Line 5: Enter the fair market value of your PFIC stock at the end of the tax year. Line 6: Enter your adjusted basis in the stock at the end of the tax year. Line 7: Excess. Subtract line 6 from line 5. Line 8: Enter any unreversed inclusions. Line 9: Enter the smaller of line 7 or line 8.

Part IV Distributions and Disposition of Stock in a Section 1291 Fund (See page 6 of instructions.) Complete a separate Part IV for each excess distribution. Not Applicable

Table with 2 columns: Description (lines 10a-11f) and Amount. Line 10a: Enter your total distributions from the PFIC during the current tax year. Line 10b: Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)). Line 10c: Divide line 10b by 3. Line 10d: Multiply line 10c by 125%. Line 10e: Subtract line 10d from line 10a. Line 10f: Enter gain or loss from the disposition of stock. Line 11a: Attach a statement for each distribution and disposition. Line 11b: Enter the total of the amounts determined in line 11a. Line 11c: Enter the aggregate increases in tax. Line 11d: Foreign tax credit. Line 11e: Subtract line 11d from line 11c. Line 11f: Determine interest on each net increase in tax.

Part V Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections Complete a separate column for each outstanding election. Complete lines 9 and 10 only if there is a partial termination of the section 1294 election. Not Applicable

Table with 7 columns: (i) through (vi) and 10 rows: 1 Tax year of outstanding election, 2 Undistributed earnings to which the election relates, 3 Deferred tax, 4 Interest accrued on deferred tax (line 3) as of the filing date, 5 Event terminating election, 6 Earnings distributed or deemed distributed during the tax year, 7 Deferred tax due with this return, 8 Accrued interest due with this return, 9 Deferred tax outstanding after partial termination of election, 10 Interest accrued after partial termination of election.

Amended Return

Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund

Form **8621**

(Rev. December 2000)

Department of the Treasury
Internal Revenue Service

OMB No. 1545-1002

Attachment
Sequence No. **69**

▶ See separate instructions.

Name of shareholder Carnegie Corporation of New York		Identifying number (see page 2 of instructions) 13-1628151
Number, street, and room or suite no. (If a P.O. box, see page 2 of instructions.) 437 Madison Avenue		Shareholder tax year: calendar year 20__ or other tax year beginning 10/1, 20__ and ending 9/30, 20__
City or town, state, and ZIP code or country New York, NY 10022		
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate		
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF) EQT Scandinavia Limited		Employer identification number (if any) N/A
Address (Enter number, street, city or town, and country.) National Westminster House P.O. Box 626, St. Peter Port Guernsey, Channel Islands GY1 4PW		Tax year of company or fund: calendar year 20__ or other tax year beginning _____, 20__ and ending _____, 20__

Part I Elections (See instructions.)

- Election To Treat the PFIC as a QEF.** I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. Complete lines 1a through 2c of Part II.
- Deemed Sale Election.** I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 10f of Part IV.
- Deemed Dividend Election.** I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 10e.
- Election To Extend Time For Payment of Tax.** I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. Complete lines 3a through 4c of Part II to calculate the tax that may be deferred.
Note: If any portion of line 1a or line 2a of Part II is includible under section 551 or 951, you may not make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.
- Election To Recognize Gain on Deemed Sale of PFIC.** I, a shareholder of a former PFIC, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC, or, if I qualify, my share of the PFIC's post-1986 earnings and profits deemed distributed, on the last day of its last tax year as a PFIC under section 1297(a). Enter gain on line 10f of Part IV.
- Election To Mark-to-Market PFIC Stock.** I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part III.

Part II Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 1a through 2c. If you are making Election D, also complete lines 3a through 4c. (See page 5 of instructions.)

1a Enter your pro rata share of the ordinary earnings of the QEF	1a	7		
b Enter the portion of line 1a that is included in income under section 551 or 951 or that may be excluded under section 1293(g)	1b	0		
c Subtract line 1b from line 1a. Enter this amount on your tax return as dividend income	1c		7	
2a Enter your pro rata share of the total net capital gain of the QEF	2a	12,859		
b Enter the portion of line 2a that is included in income under section 551 or 951 or that may be excluded under section 1293(g)	2b	0		
c Subtract line 2b from line 2a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)	2c		12,859	
3a Add lines 1c and 2c	3a			
b Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	3b			
c Enter the portion of line 3a not already included in line 3b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	3c			
d Add lines 3b and 3c	3d			
e Subtract line 3d from line 3a, and enter the difference (if zero or less, enter amount in brackets)	3e			
Important: If line 3e is greater than zero, and no portion of line 1a or 2a is includible in income under section 551 or 951, you may make Election D with respect to the amount on line 3e.				
4a Enter the total tax for the tax year (See instructions.)	4a			
b Enter the total tax for the tax year determined without regard to the amount entered on line 3e	4b			
c Subtract line 4b from line 4a. This is the deferred tax, the time for payment of which is extended by making Election D. See instructions	4c			

For Paperwork Reduction Act Notice, see page 7 of separate instructions.

Form **8621** (Rev. 12-2000)

Amended Return

Part III Gain or (Loss) From Mark-to-Market Election (See page 5 of instructions.) Not Applicable

5 Enter the fair market value of your PFIC stock at the end of the tax year	5	
6 Enter your adjusted basis in the stock at the end of the tax year	6	
7 Excess. Subtract line 6 from line 5. If a gain, stop here. Include this amount as ordinary income on your tax return. If a loss, go to line 8	7	0
8 Enter any unreversed inclusions (as defined in section 1296(d)). See instructions.	8	
9 Enter the smaller of line 7 or line 8. Include this amount as an ordinary loss on your tax return	9	0

Part IV Distributions and Disposition of Stock in a Section 1291 Fund (See page 6 of instructions.)
Complete a separate Part IV for each excess distribution. Not Applicable

10a Enter your total distributions from the PFIC during the current tax year. If the holding period of the PFIC stock began in the current tax year, this amount is dividend income to the extent there are accumulated earnings and profits	10a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the company for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	10b	
c Divide line 10b by 3. (See instructions if the number of preceding tax years is less than 3.)	10c	0
d Multiply line 10c by 125%. Enter the lesser of line 10a or line 10d as a dividend on your income tax return	10d	0
e Subtract line 10d from line 10a. This amount, if more than zero, is the total excess distribution. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part IV. See instructions if you received more than one distribution during the current tax year	10e	0
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 11. If a loss, show it in brackets and do not complete line 11	10f	
11a Attach a statement for each distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 11a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC tax years). Enter these amounts on your income tax return as other income	11b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	11c	
d Foreign tax credit. (See instructions.)	11d	
e Subtract line 11d from line 11c. Enter this amount on your income tax return as "additional tax." (See instructions.)	11e	0
f Determine interest on each net increase in tax determined on line 11e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	11f	

Part V Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections
Complete a separate column for each outstanding election. Complete lines 9 and 10 only if there is a partial termination of the section 1294 election. Not Applicable

	(i)	(ii)	(iii)	(iv)	(v)	(vi)
1 Tax year of outstanding election	-----	-----	-----	-----	-----	-----
2 Undistributed earnings to which the election relates						
3 Deferred tax						
4 Interest accrued on deferred tax (line 3) as of the filing date						
5 Event terminating election						
6 Earnings distributed or deemed distributed during the tax year						
7 Deferred tax due with this return						
8 Accrued interest due with this return						
9 Deferred tax outstanding after partial termination of election						
10 Interest accrued after partial termination of election						

Return of U.S. Persons With Respect to Certain Foreign Partnerships

2001

Department of the Treasury
Internal Revenue Service

▶ **Attach to your tax return. See separate instructions.**
Information furnished for the foreign partnership's tax year
(see instructions) beginning 7/1, 2001, and ending 12/31, 2001

Attachment
Sequence No. **118**

Important: All information must be in English. All amounts must be in U.S. dollars unless otherwise indicated.

Name of person filing this return Carnegie Corporation of New York	Filer's identifying number 13-1628151
Filer's address (if you are not filing this form with your tax return) 437 Madison Avenue New York, New York 10022	A Category of filer (see Categories of Filers in the instructions and check applicable box(es): 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input checked="" type="checkbox"/> 4 <input type="checkbox"/>
	B Filer's tax year beginning 10/1, 2001, and ending 9/30, 2002

Amended Return

C Filer's share of liabilities: Nonrecourse \$ None Qualified nonrecourse financing \$ None Other \$ None

D If filer is a member of a consolidated group but not the parent, enter the following information about the parent: Not Applicable

Name	EIN
Address	

E Information about certain other partners (see instructions) Not Applicable

(1) Name	(2) Address	(3) Identifying number	(4) Check applicable box(es)		
			Category 1	Category 2	Constructive owner

F1 Name and address of foreign partnership
CCG Investment (BVI), LP
c/o Golden Gate Capital, One Embarcadero Center, 33rd Floor
San Francisco, California 94111

2 EIN (if any)
94-3402599

3 Country under whose laws organized
British Virgin Islands

4 Date of organization 7/12/2001	5 Principal place of business U.S.A.	6 Principal business activity code number 525990	7 Principal business activity Investment	8 Functional currency and exchange rate (see instr.) U.S. Dollars
-------------------------------------	---	---	---	--

G Provide the following information for the foreign partnership's tax year:

1 Name, address, and identifying number of agent (if any) in the United States Golden Gate Capital Management, LLC One Embarcadero Center, 33rd Floor San Francisco, CA 94111 (EIN: 94-3380896)	2 Check if the foreign partnership must file: <input type="checkbox"/> Form 1042 <input type="checkbox"/> Form 8804 <input checked="" type="checkbox"/> Form 1065 or 1065-B Service Center where Form 1065 or 1065-B is filed: Ogden, Utah 84201-0011
3 Name and address of foreign partnership's agent in country of organization, if any HWR Services Limited Craigmuir Chambers, P.O. Box 71 Road Town, Tortola, British Virgin Islands	4 Name and address of person(s) with custody of the books and records of the foreign partnership, and the location of such books and records, if different c/o Golden Gate Capital - Attn: Sue Breedlove One Embarcadero Center, 33rd Floor San Francisco, California 94111

5 Were any special allocations made by the foreign partnership? Yes No

6 Number of foreign disregarded entities owned by the partnership (attach list) None

7 How is this partnership classified under the law of the country in which it is organized? Limited Partnership

8 Did the partnership own any separate units within the meaning of Regulations section 1.1503-2(c)(3) or (4)? Yes No

9 Does this partnership meet both of the following requirements?
 • The partnership's total receipts for the tax year were less than \$250,000 and
 • The value of the partnership's total assets at the end of the tax year was less than \$600,000.
 If "Yes," do not complete Schedules L, M-1, and M-2. Yes No

COPY

Sign Here Only if You Are Filing This Form Separately and Not With Your Tax Return

Under penalties of perjury, I declare that I have examined this return, including accompany schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than general partner or limited liability company member) is based on all information of which preparer has any knowledge.

Signature of general partner or limited liability company member	Date
Preparer's signature <i>[Signature]</i>	Date 7/24/2002
Firm's name (or yours if self-employed), address, and ZIP code KPMG LLP 345 Park Avenue, New York, NY 10154	Check if self-employed <input type="checkbox"/> Preparer's SSN or PTIN EIN 13-5565207 Phone no. (212) 872-7648

Schedule A

Constructive Ownership of Partnership Interest. Check the boxes that apply to the filer. If you check box b, enter the name, address, and U.S. taxpayer identifying number (if any) of the person(s) whose interest you constructively own. See instructions.

a Owns a direct interest

b Owns a constructive interest

Name	Address	Identifying number (if any)	Check if foreign person	Check if direct partner
Not Applicable				
	Amended Return			

Schedule A-1 Certain Partners of Foreign Partnership (see instructions)

Name	Address	Identifying number (if any)	Check if foreign person
None			

Does the partnership have any other foreign person as a direct partner? Yes No

Schedule A-2

Affiliation Schedule. List all partnerships (foreign or domestic) in which the foreign partnership owns a direct interest or indirectly owns a 10% interest.

Name	Address	EIN (if any)	Total ordinary income or loss	Check if foreign partnership
None				

Schedule B

Income Statement - Trade or Business Income Not Applicable

Caution: include **only** trade or business income and expenses on lines 1a through 22 below. See the instructions for more information.

Income	1 a	Gross receipts or sales	1 a		1 c	
	b	Less returns and allowances	1 b			
	2	Cost of goods sold			2	
	3	Gross profit. Subtract line 2 from line 1c			3	
	4	Ordinary income (loss) from other partnerships, estates, and trusts (attach schedule)			4	
	5	Net farm profit (loss) (attach Schedule F (Form 1040))			5	
	6	Net gain (loss) from Form 4797, Part II, line 18			6	
7	Other income (loss) (attach schedule)			7		
8	Total income (loss). Combine lines 3 through 7			8		
Deductions (see page 8 of the instructions for limitations)	9	Salaries and wages (other than to partners) (less employment credits)			9	
	10	Guaranteed payments to partners			10	
	11	Repairs and maintenance			11	
	12	Bad debts			12	
	13	Rent			13	
	14	Taxes and licenses			14	
	15	Interest			15	
	16 a	Depreciation (if required, attach Form 4562)	16 a			
	b	Less depreciation reported on Schedule A and elsewhere on return	16 b		16 c	
	17	Depletion (Do not deduct oil and gas depletion.)			17	
	18	Retirement plans, etc.			18	
	19	Employee benefit programs			19	
	20	Other deductions (attach schedule)			20	
	21	Total deductions. Add the amounts shown in the far right column for lines 9 through 20.			21	
	22	Ordinary income (loss) from trade or business activities. Subtract line 21 from line 8.			22	

Schedule D Capital Gains and Losses Not Applicable

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (e.g., 100 shares of "Z" Co.)	(b) Date acquired (month, day, year)	(c) Date sold (month, day, year)	(d) Sales price (see instructions)	(e) Cost or other basis (see instructions)	(f) Gain or (loss) ((d) minus (e))
1					
Amended Return					
2	Short-term capital gain from installment sales from Form 6252, line 26 or 37				2
3	Short-term capital gain (loss) from like-kind exchanges from Form 8824				3
4	Partnership's share of net short-term capital gain (loss), including specially allocated short-term capital gains (losses), from other partnerships, estates, and trusts				4
5	Net short-term capital gain or (loss). Combine lines 1 through 4 in column (f). Enter here and on Form 8865, Schedule K, line 4d or 7				5

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (e.g., 100 shares of "Z" Co.)	(b) Date acquired (month, day, year)	(c) Date sold (month, day, year)	(d) Sales price (see instructions)	(e) Cost or other basis (see instructions)	(f) Gain or (loss) ((d) minus (e))	(g) 28% rate gain or (loss) *(see instr. below)
6						
7	Long-term capital gain from installment sales from Form 6252, line 26 or 37				7	
8	Long-term capital gain (loss) from like-kind exchanges from Form 8824				8	
9	Partnership's share of net long-term capital gain (loss), including specially allocated long-term capital gains (losses), from other partnerships, estates, and trusts				9	
10	Capital gain distributions				10	
11	Combine lines 6 through 10 in column (g). Enter here and on Schedule K, line 4e(2) or 7				11	
12	Net long-term capital gain or (loss). Combine lines 6 through 10 in column (f). Enter here and on Form 8865, Schedule K, line 4e(1) or 7				12	

*28% rate gain or (loss) includes all "collectibles gains and losses" (as defined in the instructions).

Schedule K Partners' Shares of Income, Credits, Deductions, etc. Not Applicable

		(a) Distributive share items	(b) Total amount
Income (Loss)	1	Ordinary income (loss) from trade or business activities (enter from Schedule B, line 22)	1
	2	Net income (loss) from rental real estate activities (attach Form 8825)	2
	3 a	Gross income from other rental activities	3a
	b	Expenses from other rental activities (attach schedule)	3b
	c	Net income (loss) from other rental activities. Subtract line 3b from line 3a	3c
	4	Portfolio income (loss):	
	a	Interest income	4a
	b	Ordinary dividends	4b
	c	Royalty income	4c
	d	Net short-term capital gain (loss)	4d
	e	(1) Net long-term capital gain (loss)	4e(1)
		(2) 28% rate gain (loss) (3) Qualified 5-year gain	
	f	Other portfolio income (loss) (attach schedule)	4f
5	Guaranteed payments to partners	5	
6	Net section 1231 gain (loss) (other than due to casualty or theft) (attach Form 4797)	6	
7	Other income (loss) (attach schedule)	7	
Deductions	8	Charitable contributions (attach schedule)	8
	9	Section 179 expense deduction	9
	10	Deductions related to portfolio income (itemize)	10
	11	Other deductions (attach schedule)	11
Credits	12 a	Low-income housing credit:	
		(1) From partnerships to which section 42(j)(5) applies	12a(1)
		(2) Other than on line 12a(1)	12a(2)
	b	Qualified rehabilitation expenditures related to rental real estate activities (attach Form 3468)	12b
	c	Credits (other than credits shown on lines 12a and 12b) related to rental real estate activities	12c
d	Credits related to other rental activities	12d	
13	Other credits	13	
Investment Interest	14 a	Interest expense on investment debts	14a
	b	(1) Investment income included on lines 4a, 4b, 4c, and 4f above	14b(1)
	(2) Investment expenses included on line 10 above	14b(2)	
Self-Employment	15 a	Net earnings (loss) from self-employment	15a
	b	Gross farming or fishing income	15b
	c	Gross nonfarm income	15c
Adjustments and Tax Preference Items	16 a	Depreciation adjustment on property placed in service after 1986	16a
	b	Adjusted gain or loss	16b
	c	Depletion (other than oil and gas)	16c
	d	(1) Gross income from oil, gas, and geothermal properties	16d(1)
		(2) Deductions allocable to oil, gas, and geothermal properties	16d(2)
e	Other adjustments and tax preference items (attach schedule)	16e	

Schedule K (continued)

		(a) Distributive share items	(b) Total amount
Foreign Taxes	17 a	Name of foreign country or U.S. possession ▶	
	b	Gross income from all sources	17b
	c	Gross income sourced at partner level	17c
	d	Foreign gross income sourced at partnership level:	
	(1)	Passive	17d(1)
	(2)	Listed categories (attach schedule)	17d(2)
	(3)	General limitation	17d(3)
	e	Deductions allocated and apportioned at partner level:	
	(1)	Interest expense	17e(1)
	(2)	Other	17e(2)
	f	Deductions allocated and apportioned at partnership level to foreign source income:	
(1)	Passive	17f(1)	
(2)	Listed categories (attach schedule)	17f(2)	
(3)	General limitation	17f(3)	
g	Total foreign taxes (check one): ▶ Paid <input type="checkbox"/> Accrued <input type="checkbox"/>	17g	
h	Reduction in taxes available for credit (attach schedule)	17h	
Other	18	Section 59(e)(2) expenditures: a Type ▶ b Amount ▶	18b
	19	Tax-exempt interest income	19
	20	Other tax-exempt income	20
	21	Nondeductible expenses	21
	22	Distributions of money (cash and marketable securities)	22
	23	Distributions of property other than money	23
	24	Other items and amounts required to be reported separately to partners (attach schedule)	

Schedule L Balance Sheets per Books (Not required if Question G9, page 1, is answered "Yes.")

	Beginning of tax year		End of tax year	
	(a)	(b)	(c)	(d)
Assets				
1	Cash			
2 a	Trade notes and accounts receivable			
b	Less allowance for bad debts			
3	Inventories			
4	U.S. government obligations			
5	Tax-exempt securities			
6	Other current assets (attach schedule)			
7	Mortgage and real estate loans			
8	Other investments (attach schedule)			
9 a	Buildings and other depreciable assets			
b	Less accumulated depreciation			
10 a	Depletable assets			
b	Less accumulated depletion			
11	Land (net of any amortization)			
12 a	Intangible assets (amortizable only)			
b	Less accumulated amortization			
13	Other assets (attach schedule)			
14	Total assets			
Liabilities and Capital				
15	Accounts payable			
16	Mortgages, notes, bonds payable in less than 1 year			
17	Other current liabilities (attach schedule)			
18	All nonrecourse loans			
19	Mortgages, notes, bonds payable in 1 year or more			
20	Other liabilities (attach schedule)			
21	Partners' capital accounts			
22	Total liabilities and capital			

Not Applicable

Schedule M Balance Sheets for Interest Allocation Not Applicable

	(a) Beginning of tax year	(b) End of tax year
1. Total U.S. assets		
2. Total foreign assets:		
a Passive income category		
b Listed categories (attach schedule)		
c General limitation income category		

Schedule M-1 Reconciliation of Income (Loss) per Books With Income (Loss) per Return (Not required if Question G9, page 1, is answered "Yes.") Not Applicable

1 Net income (loss) per books ..		6 Income recorded on books this year not included on Schedule K, lines 1 through 7 (itemize): . . .	
2 Income included on Schedule K, lines 1 through 4, 6, and 7, not recorded on books this year (itemize):		a Tax-exempt interest \$ -----	
3 Guaranteed payments (other than health insurance)		7 Deductions included on Schedule K, lines 1 through 11, 14a, 17g, and 18b, not charged against book income this year (itemize): . . .	
4 Expenses recorded on books this year not included on Schedule K, lines 1 through 11, 14a, 17g, and 18b (itemize):		a Depreciation \$ -----	
a Depreciation \$ -----			
b Travel and entertainment \$ -----		8 Add lines 6 and 7	
5 Add lines 1 through 4		9 Income (loss). Subtract line 8 from line 5	

Schedule M-2 Analysis of Partners' Capital Accounts (Not required if Question G9, page 1, is answered "Yes.")

1 Balance at beginning of year		6 Distributions: a Cash	
2 Capital contributed during year		b Property	
3 Net income (loss) per books		7 Other decreases (itemize): -----	
4 Other increases (itemize): -----		8 Add lines 6 and 7	
5 Add lines 1 through 4		9 Balance at end of year. Subtract line 8 from line 5	

Not Applicable

Schedule N Transactions Between Controlled Foreign Partnership and Partners or Other Related Entities

Important: Complete a separate Form 8865 and Schedule N for each controlled foreign partnership. Enter the totals for each type of transaction that occurred between the foreign partnership and the persons listed in columns (a) through (d).

Transactions of foreign partnership	(a) U.S. person filing this return	(b) Any domestic corporation or partnership controlling or controlled by the U.S. person filing this return	(c) Any other foreign corporation or partnership controlling or controlled by the U.S. person filing this return	(d) Any U.S. person with a 10% or more direct interest in the controlled foreign partnership (other than the U.S. person filing this return)
1 Sales of inventory				
2 Sales of property rights (patents, trademarks, etc.)				
3 Compensation received for technical, managerial, engineering, construction, or like services				
4 Commissions received				
5 Rents, royalties, and license fees received				
6 Distributions received				
7 Interest received				
8 Other				
9 Add lines 1 through 8				
10 Purchases of inventory				
11 Purchases of tangible property other than inventory				
12 Purchases of property rights (patents, trademarks, etc.)				
13 Compensation paid for technical, managerial, engineering, construction, or like services				
14 Commissions paid				
15 Rents, royalties, and license fees paid				
16 Distributions paid				
17 Interest paid				
18 Other				
19 Add lines 10 through 18				
20 Amounts borrowed (enter the maximum loan balance during the year) - see instructions				
21 Amounts loaned (enter the maximum loan balance during the year) - see instructions				

Amended Return

SCHEDULE O (Form 8865)

Transfer of Property to a Foreign Partnership (under section 6038B)

OMB No. 1545-1668

2001

Department of the Treasury
Internal Revenue Service

▶ Attach to Form 8865. See Instructions for Form 8865.

Name of transferor Carnegie Corporation of New York	Filer's identifying number 13-1628151
Name of foreign partnership CCG Investments (BVI), LP	

Part I Transfers Reportable Under Section 6038B

Type of property	(a) Date of transfer	(b) Number of items transferred	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Section 704(c) allocation method	(f) Gain recognized on transfer	(g) Percentage interest in partnership after transfer
Cash	2001		1,140,804				1.537733
Marketable securities							
Inventory							
Tangible property used in trade or business							
Intangible property							
Other property							

Supplemental Information Required To Be Reported (see instructions):

Not Applicable

Part II Dispositions Reportable Under Section 6038B Not Applicable

(a) Type of property	(b) Date of original transfer	(c) Date of disposition	(d) Manner of disposition	(e) Gain recognized by partnership	(f) Depreciation recapture recognized by partnership	(g) Gain allocated to partner	(h) Depreciation recapture allocated to partner

Part III Is any transfer reported on this schedule subject to gain recognition under section 904(f)(3) or section 904(f)(5)(F)?

Yes No

For Paperwork Reduction Act Notice, see the Instructions for Form 8865.

Schedule O (Form 8865) 2001

Amended Return

Manager	A	B	C	D	E	F	G	H	I	J	K	L	M
Book Cost 9/30/2001	Cash Paid	Purchases	Receipts Free	Capital Change	Capital Total	Proceeds Cash	Cost of Sales	Deliver Free	Adjustment	Capital Change	Total Gain/(Loss)	Cost of Investments 9/30/2002	Market Value of Investments 9/30/2002
Investment Activity - Comptrol Funds	204,744,055.66	8,086,243.59	8,086,243.59	0.00	0.00	5,000,000.00	9,791,034.73	0.00	0.00	0.00	(4,791,034.73)	205,039,264.53	194,947,114.91
Capital Guardian Trust - Domestic Equity	154,545,572.31	87,916,565.68	87,916,565.68	0.00	0.00	115,919,643.20	117,370,193.90	0.00	0.00	82,534.58	(1,450,550.70)	125,909,209.51	59,442,540.95
Sub-total	154,545,572.31	87,916,565.68	87,916,565.68	0.00	0.00	115,919,643.20	117,370,193.90	0.00	0.00	82,534.58	(1,450,550.70)	125,909,209.51	59,442,540.95
Internally Managed Fixed Income	86,257,625.58	44,758,390.01	44,758,390.01	0.00	0.00	63,779,260.88	4,015,625.00	0.00	0.00	0.00	(15,625.00)	4,733,333.37	6,484,663.08
Investment Activity	86,257,625.58	44,758,390.01	44,758,390.01	0.00	0.00	63,779,260.88	4,015,625.00	0.00	0.00	0.00	(15,625.00)	4,733,333.37	6,484,663.08
Payment/Dividends	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Sub-total	86,257,625.58	44,758,390.01	44,758,390.01	0.00	0.00	63,779,260.88	4,015,625.00	0.00	0.00	0.00	(15,625.00)	4,733,333.37	6,484,663.08
Morgan Stanley Dean Witter - Fixed Income	158,441,794.09	157,773,351.47	157,773,351.47	0.00	0.00	143,393,556.74	141,622,603.24	0.00	0.00	0.00	1,770,953.50	145,097,749.50	71,398,696.5
Investment Activity	158,441,794.09	157,773,351.47	157,773,351.47	0.00	0.00	143,393,556.74	141,622,603.24	0.00	0.00	0.00	1,770,953.50	145,097,749.50	71,398,696.5
Margin Activity	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Payment/Dividends	0.00	0.00	0.00	0.00	0.00	31,494,792.82	31,494,792.82	0.00	0.00	0.00	(778.70)	1,085,346.49	29,314,302.65
Sub-total	158,441,794.09	157,773,351.47	157,773,351.47	0.00	0.00	143,393,556.74	141,622,603.24	0.00	0.00	0.00	1,770,953.50	145,097,749.50	71,398,696.5
Morgan Stanley Dean Witter - Equity	95,876,477.19	2,700,520.61	2,700,520.61	0.00	0.00	91,718,672.94	98,756,997.50	0.00	0.00	0.00	(6,858,324.86)	95,038,352.64	30,210,529.91
Investment Activity	95,876,477.19	2,700,520.61	2,700,520.61	0.00	0.00	91,718,672.94	98,756,997.50	0.00	0.00	0.00	(6,858,324.86)	95,038,352.64	30,210,529.91
Payment/Dividends	0.00	0.00	0.00	0.00	0.00	92,804,019.43	98,756,997.50	0.00	0.00	0.00	(5,717,278.37)	95,038,352.64	30,210,529.91
Sub-total	95,876,477.19	2,700,520.61	2,700,520.61	0.00	0.00	91,718,672.94	98,756,997.50	0.00	0.00	0.00	(6,858,324.86)	95,038,352.64	30,210,529.91
Bankers Reserve Account	34,210,529.91	333,441,244.84	333,441,244.84	0.00	0.00	328,337,472.10	328,337,472.10	0.00	0.00	0.00	0.00	28,314,302.65	30,210,529.91
Investment Activity	34,210,529.91	333,441,244.84	333,441,244.84	0.00	0.00	328,337,472.10	328,337,472.10	0.00	0.00	0.00	0.00	28,314,302.65	30,210,529.91
Payment/Dividends	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Sub-total	34,210,529.91	333,441,244.84	333,441,244.84	0.00	0.00	328,337,472.10	328,337,472.10	0.00	0.00	0.00	0.00	28,314,302.65	30,210,529.91
State Street	97,017,703.12	216,651.96	216,651.96	0.00	0.00	81,630,353.32	97,234,355.08	0.00	0.00	0.00	(15,604,001.76)	97,017,703.12	0.00
Investment Activity	97,017,703.12	216,651.96	216,651.96	0.00	0.00	81,630,353.32	97,234,355.08	0.00	0.00	0.00	(15,604,001.76)	97,017,703.12	0.00
Payment/Dividends	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Sub-total	97,017,703.12	216,651.96	216,651.96	0.00	0.00	81,630,353.32	97,234,355.08	0.00	0.00	0.00	(15,604,001.76)	97,017,703.12	0.00
EIT Realty Securities	40,600,382.24	62,304,879.44	62,304,879.44	0.00	0.00	66,246,341.87	60,559,229.12	0.00	0.00	0.00	5,687,112.75	45,346,022.56	30,210,529.91
Investment Activity	40,600,382.24	62,304,879.44	62,304,879.44	0.00	0.00	66,246,341.87	60,559,229.12	0.00	0.00	0.00	5,687,112.75	45,346,022.56	30,210,529.91
Payment/Dividends	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Sub-total	40,600,382.24	62,304,879.44	62,304,879.44	0.00	0.00	66,246,341.87	60,559,229.12	0.00	0.00	0.00	5,687,112.75	45,346,022.56	30,210,529.91
Westport Asset Management	46,666,439.63	18,368,708.87	18,368,708.87	0.00	0.00	21,242,248.12	19,897,373.26	0.00	0.00	0.00	1,344,874.86	45,346,022.56	30,210,529.91
Investment Activity	46,666,439.63	18,368,708.87	18,368,708.87	0.00	0.00	21,242,248.12	19,897,373.26	0.00	0.00	0.00	1,344,874.86	45,346,022.56	30,210,529.91
Payment/Dividends	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Sub-total	46,666,439.63	18,368,708.87	18,368,708.87	0.00	0.00	21,242,248.12	19,897,373.26	0.00	0.00	0.00	1,344,874.86	45,346,022.56	30,210,529.91
Indian Asset Management	43,146,971.48	14,991,456.12	14,991,456.12	0.00	0.00	62,458,343.51	58,138,407.60	0.00	0.00	0.00	4,300,135.91	43,146,971.48	0.00
Investment Activity	43,146,971.48	14,991,456.12	14,991,456.12	0.00	0.00	62,458,343.51	58,138,407.60	0.00	0.00	0.00	4,300,135.91	43,146,971.48	0.00
Payment/Dividends	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Sub-total	43,146,971.48	14,991,456.12	14,991,456.12	0.00	0.00	62,458,343.51	58,138,407.60	0.00	0.00	0.00	4,300,135.91	43,146,971.48	0.00



345 Park Avenue
New York, NY 10154

Amended Return

Independent Auditors' Report

The Board of Trustees
Carnegie Corporation of New York:

We have audited the accompanying balance sheets of Carnegie Corporation of New York as of September 30, 2002 and 2001, and the related statements of changes in net assets and cash flows for the years then ended. These financial statements are the responsibility of the Corporation's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Carnegie Corporation of New York as of September 30, 2002 and 2001, and the changes in its net assets and its cash flows for the years then ended in conformity with accounting principles generally accepted in the United States of America.

KPMG LLP
New York, New York

December 12, 2002



KPMG LLP, KPMG LLP a U.S. limited liability partnership, is
a member of KPMG International, a Swiss association.

Balance Sheets
for the years ended September 30, 2002 and 2001

Amended Return

	<u>2002</u>	<u>2001</u>
Assets		
Cash	\$ 19,356	\$ 15,959
Investments-note 3	1,610,668,740	1,710,547,344
Refundable taxes-note 5	929,630	293,801
Grants receivable	15,636,000	—
Prepaid expenses and other assets	77,400	228,507
Fixed assets-note 4	<u>402,398</u>	<u>425,029</u>
Total assets	<u>\$1,627,733,524</u>	<u>\$1,711,510,640</u>
Liabilities and net assets		
Liabilities		
Grants payable	\$ 108,132,177	\$ 52,979,869
Accounts payable and other liabilities	<u>4,275,045</u>	<u>1,450,930</u>
Total liabilities	<u>112,407,222</u>	<u>54,430,799</u>
Net assets		
Unrestricted	1,364,353,434	1,521,742,973
Temporarily restricted	15,636,000	—
Permanently restricted	<u>135,336,868</u>	<u>135,336,868</u>
Total net assets	<u>1,515,326,302</u>	<u>1,657,079,841</u>
Total liabilities and net assets	<u>\$1,627,733,524</u>	<u>\$1,711,510,640</u>

See accompanying notes to financial statements.

**Statements of Changes in Net Assets
for the years ended September 30, 2002 and 2001**

Amended Return

	<u>2002</u>	<u>2001</u>
Changes in unrestricted net assets		
Revenues		
Investment income		
Interest and dividends	\$ 28,612,381	\$ 33,286,554
Income from partnerships, net	3,242,869	26,062,940
Net realized gain on investment transactions	<u>12,006,350</u>	<u>74,500,256</u>
Total realized investment income	43,861,600	133,849,750
Less investment expenses paid	<u>5,737,287</u>	<u>6,083,063</u>
Net realized investment income	38,124,313	127,766,687
Grant income	<u>19,364,000</u>	<u>—</u>
Total unrestricted revenues	<u>57,488,313</u>	<u>127,766,687</u>
Expenses		
Grant appropriations	146,799,656	82,516,315
Appropriations for projects administered by officers	3,291,845	1,837,594
Program management and direct charitable activities	10,830,602	9,658,162
General administration	3,302,484	3,027,768
Provision for taxes, net-note 5	<u>607,427</u>	<u>3,399,242</u>
Total expenses	<u>164,832,014</u>	<u>100,439,081</u>
(Deficiency) excess of unrestricted revenues over expenses	(107,343,701)	27,327,606
Increase in unrealized depreciation of investments, net of related deferred federal excise tax (credit) of \$ -0- in 2002 and \$(4,598,776) in 2001-note 5	<u>(50,045,838)</u>	<u>(268,805,599)</u>
Decrease in unrestricted net assets	<u>(157,389,539)</u>	<u>(241,477,993)</u>
Changes in temporarily restricted net assets		
Grant income	<u>15,636,000</u>	<u>—</u>
Increase in temporarily restricted net assets	<u>15,636,000</u>	<u>—</u>
Decrease in net assets	(141,753,539)	(241,477,993)
Net assets, beginning of year	<u>1,657,079,841</u>	<u>1,898,557,834</u>
Net assets, end of year	<u>\$1,515,326,302</u>	<u>\$1,657,079,841</u>

See accompanying notes to financial statements.

Amended Return

Statements of Cash Flows for the years ended September 30, 2002 and 2001

	2002	2001
Cash flows from operating activities		
Decrease in net assets	\$(141,753,539)	\$ (241,477,993)
Adjustments to reconcile decrease in net assets to net cash used in operating activities		
Increase in unrealized depreciation of investments	50,045,838	273,404,375
Net realized gain on investment transactions	(12,006,350)	(74,500,256)
Depreciation and amortization	155,793	167,224
Change in deferred federal excise tax	<u>—</u>	<u>(4,314,877)</u>
Total adjustments	<u>38,195,281</u>	<u>194,756,466</u>
Change in refundable taxes, grants receivable, prepaid expenses and other assets	(16,120,722)	(371,958)
Change in grants payable and accounts payable and other liabilities	<u>57,976,423</u>	<u>27,704,698</u>
Net cash used in operating activities	<u>(61,702,557)</u>	<u>(19,388,787)</u>
Cash flows from investing activities		
Proceeds from sales or redemptions of investments	1,249,517,370	1,239,726,543
Purchases of investments	(1,187,678,254)	(1,220,793,560)
Purchases of fixed assets	<u>(133,162)</u>	<u>(111,954)</u>
Net cash provided by investing activities	<u>61,705,954</u>	<u>18,821,029</u>
Change in cash	3,397	(567,758)
Cash, beginning of year	<u>15,959</u>	<u>583,717</u>
Cash, end of year	<u>\$ 19,356</u>	<u>\$ 15,959</u>

See accompanying notes to financial statements.

Notes to Financial Statements
September 30, 2002 and 2001

Amended Return

(1) Organization:

Carnegie Corporation of New York (the Corporation) is a philanthropic grantmaking foundation that was created by Andrew Carnegie in 1911 to promote the advancement and diffusion of knowledge and understanding. The Corporation has a policy of selecting a few areas at a time in which to concentrate its grants. The Corporation is exempt from federal income taxes under Section 501(c)(3) of the Internal Revenue Code.

(2) Summary of significant accounting policies:

The accompanying financial statements have been prepared on the accrual basis of accounting.

Fixed assets are stated at cost. Depreciation is calculated on a straight-line basis over the estimated lives of the related assets ranging from five to ten years. Leasehold improvements are amortized over the remaining life of the lease.

Grants receivable at September 30, 2002 total \$15,636,000 of which \$6,768,000 is expected to be received within one year and \$8,868,000 in two to three years.

Grant appropriations, including multi-year grants, are recorded as an expense and a payable when grants are approved and communicated to the grantees.

For purposes of the statements of cash flows, cash includes all cash held in bank accounts at September 30, 2002 and September 30, 2001.

The resources of the Corporation consist of permanently restricted, temporarily restricted and unrestricted net assets. Permanently restricted net assets represent the original sums received from Andrew Carnegie who, by the terms of the conveying instrument, stipulated that the principal may never be expended. Temporarily restricted net assets are subject to donor-imposed stipulations that will be met either by actions of the Corporation or the passage of time. Unrestricted net assets are not subject to donor imposed restrictions.

The fair value of investments has been determined as indicated in note 3. The carrying amounts of the Corporation's other financial instruments approximate fair value because of their short maturity.

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Notes to Financial Statements
September 30, 2002 and 2001

Amended Return

(3) Investments:

Readily marketable investments are reported at fair value on the basis of quoted market prices. Limited partnerships and similar interests are reported at fair value based on financial statements and other information received from the partnerships. The general partner determines the fair value of securities using quoted market prices, if available, or using other valuation methods, including independent appraisals. Investments in limited partnerships and similar interests totaled \$914,474,217 at September 30, 2002 and \$760,336,841 at September 30, 2001.

Investments are composed of the following at September 30, 2002 and 2001:

	<u>September 30, 2002</u>		<u>September 30, 2001</u>	
	<u>Cost</u>	<u>Fair Value</u>	<u>Cost</u>	<u>Fair Value</u>
Equities	\$ 777,336,835	\$ 657,164,230	\$ 850,324,547	\$ 744,874,164
Fixed income	241,882,051	257,278,087	301,804,635	315,943,709
Real estate	209,157,972	234,047,752	198,543,219	230,763,332
Absolute return	301,589,852	308,023,841	263,784,511	275,366,378
Private equity	174,640,837	154,705,550	160,170,586	164,233,624
Due to brokers, net	<u>(427,413)</u>	<u>(550,720)</u>	<u>(20,614,598)</u>	<u>(20,633,863)</u>
Total	<u>\$1,704,180,134</u>	<u>\$1,610,668,740</u>	<u>\$1,754,012,900</u>	<u>\$1,710,547,344</u>

Included in the table above is accrued investment income of \$1,861,832 and \$2,886,954 at September 30, 2002 and 2001, respectively.

At September 30, 2002, the Corporation had unfunded commitments of approximately \$302 million in various private equity and real estate limited partnership investments.

As a result of its investing strategies, the Corporation is a party to index futures contracts. The Corporation's fixed income investment manager uses treasury index futures contracts to manage the duration of the fixed income portfolio. Changes in the market value of these futures contracts are recognized currently in the statements of changes in net assets, using the marked-to-market method. However, index futures contracts involve, to varying degrees, elements of market risk and credit risk in excess of the amounts recorded on the balance sheets. Market risk represents the potential loss the Corporation faces due to the decrease in the value of the financial instruments in the table below. Credit risk represents the potential loss the Corporation faces due to the inability of counterparties to meet the terms of their contracts.

The table below summarizes the long and short exchange-traded financial futures positions at September 30, 2002, and September 30, 2001:

	<u>September 30, 2002</u>		<u>September 30, 2001</u>	
	Net number of contracts- <u>long/(short)</u>	Contract Value <u>(in \$ millions)</u>	Net number of contracts- <u>long/(short)</u>	Contract Value <u>(in \$ millions)</u>
<u>Index future contracts</u>				
30-year Treasury bond	240	27.4	(39)	(4.1)
10-year Treasury note	221	24.7	312	33.9
5-year Treasury note	(67)	(7.6)	72	7.8
2-year Treasury note	-	-	(100)	(21.0)

The margin requirements on deposit with third-party safekeeping banks for index futures contracts were approximately \$.8 million at September 30, 2002, and \$.6 million at September 30, 2001. The partnerships in which the Corporation invests may also hold index futures and options. These positions are not included in the table above.

The Corporation permits its investment managers to use forward foreign exchange contracts to manage the currency risk inherent in owning securities denominated in foreign currencies. In a forward foreign currency transaction, the Corporation agrees to exchange one currency for another on an agreed-upon date at an agreed-upon exchange rate. At September 30, 2002 and 2001, the Corporation held forward currency buy contracts with notional amounts totaling \$1.2 million and \$2.8 million, respectively. Such contracts involve, to varying degrees, risks of loss arising either from the potential change in market prices or from the possible inability of counterparties to meet the terms of their contracts. Forward foreign currency contracts are carried in the balance sheets at market value. Changes in the value of forward foreign currency contracts are recognized as increases or decreases in unrealized appreciation or depreciation until such contracts are closed.

The Corporation's investment advisors monitor the financial condition of the firms used for futures and forward foreign currency trading in order to minimize the risk of loss. Exposure limits are placed on firms relative to their credit worthiness. Management does not anticipate that losses, if any, resulting from credit or market risk would have a material adverse effect on the financial statements.

Notes to Financial Statements
September 30, 2002 and 2001

Amended Return

(4) Fixed assets:

Fixed assets are composed of the following at September 30, 2002 and 2001:

	<u>2002</u>	<u>2001</u>
Leasehold improvements	\$3,893,816	\$3,880,126
Furniture and equipment	<u>3,253,765</u>	<u>3,134,293</u>
	7,147,581	7,014,419
Less accumulated depreciation and amortization	<u>(6,745,183)</u>	<u>(6,589,390)</u>
Total	<u>\$ 402,398</u>	<u>\$ 425,029</u>

(5) Taxes:

The Corporation is liable for federal excise taxes of two percent of its net investment income, as defined, which includes realized capital gains, for the year. However, this tax is reduced to one percent if certain conditions are met. The Corporation met the requirements for the reduced tax in 2002 but not in 2001. Therefore, current taxes are estimated at one percent of net investment income, as defined, for 2002 and at two percent for 2001.

Deferred taxes represent two percent of unrealized appreciation (depreciation) of investments at September 30, 2002, as qualification for the one percent tax is not determinable until the fiscal year in which gains are realized. Because of unrealized losses incurred in 2002 and 2001, the Corporation has no deferred tax liability.

During 2002 and 2001, the Corporation had unrelated business income of \$348,600 and \$1,060,200, respectively, from certain investment partnership activities. Federal and state taxes of \$100,700 in 2002 and \$462,900 in 2001 on this income are calculated using applicable corporate tax rates and are included in the provision for taxes.

The Corporation paid estimated federal excise taxes of \$1,250,000 in 2002 and \$2,940,000 in 2001. The Corporation also paid estimated federal unrelated business income tax of \$255,000 in 2002 and \$150,000 in 2001.

(6) Benefit plans:

The Corporation purchases annuities for qualifying employees under the terms of a noncontributory, defined contribution retirement plan with Teachers Insurance and Annuity Association and College Retirement Equities Fund. Retirement plan expense for the years ended September 30, 2002 and 2001, was \$947,000 and \$859,600, respectively.

In addition, the Corporation has a noncontributory defined benefit annuity plan to supplement the basic plan described above. This plan is also administered by Teachers Insurance and Annuity Association and College Retirement Equities Fund. Contributions to this plan are based on actuarial calculations. No contribution was required in 2002 or 2001. At December 31, 2001, the assets of the plan exceeded the actuarial present value of accumulated plan benefits by approximately \$1,273,000.

In addition, the Corporation provides certain medical benefits to its retirees. The cost of providing these benefits was \$127,100 in 2002 and \$98,800 in 2001, on a pay-as-you-go basis.

(7) Leases:

The Corporation occupies office space at 437 Madison Avenue under a lease agreement expiring December 31, 2003 and has entered into a lease agreement with the landlord effective January 1, 2004 to continue renting this space through December 31, 2013.

The following is a schedule of the future minimum lease payments at September 30, 2002.

Fiscal year ending <u>September 30</u>	
2003	\$ 1,517,000
2004	1,604,000
2005	1,604,000
2006	1,604,000
2007	1,604,000
2008-2014	<u>10,517,000</u>
	<u>\$18,450,000</u>

Rental expense for 2002 and 2001, including escalations, was \$1,425,300 and \$1,422,600, respectively.